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FINANCIAL DISCLOSURE REPORTS
MEMBERS OF HOUSE OF REPRESENTATIVES
JANUARY 1–DECEMBER 31, 2000
VOLUME 3 OF 3

United States Congressional Serial Set

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107th Congress, 1st Session - - - - - - - - - - - - House Document 107–104

FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2000 to December 31, 2000

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 3 of 3)

JULY 20, 2001.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

74–035 WASHINGTON : 2001

LETTER OF SUBMITTAL

OFFICE OF THE CLERK,
HOUSE OF REPRESENTATIVES,
Washington, DC, July 19, 2001.

Hon. J. DENNIS HASTERT,
The Speaker, The Capitol,
House of Representatives, Washington, DC.

DEAR MR. SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVI.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVI by May 15, 2001. The compilation includes reported financial transactions which occurred between January 1, 2000 and December 31, 2000.

House Rule XXVI provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am
Sincerely,

JEFF TRANDAHL,
Clerk, House of Representatives.

Enclosures.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

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MC

MICHAEL RICHARD PENCE
(Full Name)

1605 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)

WASHINGTON, D.C. 20515

Daytime Telephone: 202-225-3021

Filer Status	[X] Member of the U.S. House of Representatives	State INDIANA District SECOND	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael R. Pence	5-8-01

(8119)

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Emmis Communications Corp., Indpls., IN	salary	29,800
	Southport Presbyterian Christian School	spouse salary	NA
	Self-employed artist	spouse income	NA
	MacGraw-Hill Co.	media commentary 11/98	200

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
none			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B											Block C						Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other: Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	SP Mega Corp. Stock					X								X										X							P
DC	*Examples* Simon & Schuster		Indefinite																	Royalties									X		
JT	1st Bank of Paducah KY accounts						X									X									X						
JT	3407 Wild Ivy Circle Indpls., IN	X													X		X							X	HEAT	X	CG				S
DC	Conseco Equity Fund-Class A	X															X							X							S
DC	Conseco Equity Fund-Class A	X															X							X							S
DC	Conseco Equity Fund-Class A	X															X							X							S
DC	Central In. Sch. Emp. FCU		X													X						X									
DC	Central In. Sch. Emp. FCU		X													X						X									

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Richard Pence

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
DC	Central IN Sch. Emp. FCU	B $1 – $1,000	INTEREST	None	
JT	Central IN Sch. Emp. FCU	B $1 – $1,000	INTEREST	$1 – $200	
JT	Promissory Note to Chris Nelson	C $1,001 – $15,000	INTEREST	IV $1,001 – $2,500	
JT	Promissory Note to Chris Nelson	D $50,001 – $100,000	INTEREST	III $201 – $1,000	S
JT	Promissory Note to Chris Nelson	C $1,001 – $15,000	INTEREST	None	
	Emma's Communications Corp. 401(K) Plan		DIVIDENDS	III $201 – $1,000	
	Kel Bros O.I. Co., Inc	F $100,001 – $250,000	Other Type of Income (Specify) Sub S	VII $15,001 – $50,000	
	KP O.I., Inc. (Stock)	F $100,001 – $250,000	DIVIDENDS	None	
JT	Bank One, Indy's, IN	B $1 – $1,000	INTEREST	$1 – $200	

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SCHEDULE IV — TRANSACTIONS

Name Michael Richard Pence | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction										
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10–12–00		X								
JT	3407 Wild Ivy Circle, Indpls IN			X		2-11-00				X						
JT	loan to Chris Nelson, 3407 Wild Ivy Circle Indpls IN			X		6-1-00	X									
DC	Conseco Equity Fund -Class A			X		10-30-00	X									
DC	Conseco Equity Fund- Class A			X		10-30-00	X									
DC	Conseco Equity Fund- Class A			X		10-30-00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	GMAC Mortgage Corp.	Mortgage on 3407 Wild Ivy Cir			X							
JT	Shell Platinum Master Card	Revolving Credit Card	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	none	

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federa., state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	ONTARIO CORPORATION	JUNE 13-14	MONCIE, IN-WASH D.C. -MUNCIE, IN	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
partner	HCI / Dawson Indpls, IN
officer	Hoosier Conservative, Inc., Indpls, IN
employee	Emmis Communications Corp., Indpls, IN * terminated as of 10/31/00

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/15/99	Emmis Communications Corp + Michael R. Pence	Broadcast consultant through 10/31/2000 * terminated as of 10/31/00

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

Collin C. Peterson
(Full Name)

26192 Floyd Lake Point Road Detroit Lakes, MN 56501-7607
(Mailing Address)

202-225-2165
Daytime Telephone

Filer Status ☑ Member of the U.S House of Representatives State: MN District: 07 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-8-01

3828

SCHEDULE I - EARNED INCOME

Name Collin C. Peterson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Hall for Congress Committee	Musician Fee	$325

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Collin C. Peterson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see Instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Peterson Fox, Inc. - common stock	$50,001 - $100,000	common stock	NONE	
Lakeland Estates, Inc. - notes/common stock	$15,001 - $50,000	interest, capital gains	NONE	
Lakewest Properties - partnership	$15,001 - $50,000	Rent	$5,001 - $15,000	
Bekkerus Schott & Co. - contract	$50,001 - $100,000	interest, capital gains	$5,001 - $15,000	
Technimar Industries, Inc.- stock	$15,001 - $50,000	common stock	NONE	

SCHEDULE V - LIABILITIES

Name Collin C Peterson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Peterson Fox, Inc 50% ownership	demand loan created when the stock was issued 1/1/90	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Collin C. Peterson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Buckmasters, Ltd.	Jan 27-Jan 30	Wash., D.C.--Montgomery-Wash.D.C. (personal expense)	Y	Y	N	N/A
Alaska Natural Gas to Liquids	May 27-June 5	Wash., D.C. -Capetown, SA-George - Wash.D.C.	Y	Y	N	3 days
Dee Dot Timberlands	Nov. 17-Nov 19 Nov. 19	Wash., D.C.-Jacksonville-Wash., D.C.	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President & Unpaid Director	Peterson Fox, Inc.
President & Unpaid Director	Lakeland Estates, Inc.
Partner	Lakewest Properties

SCHEDULE IX - AGREEMENTS

Name Collin C. Peterson

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
2/27/90	self & Bekkerus Schott & Co., Ltd.	payments for former business payable over 6 years

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(Office Use Only)

3835

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

John E Peterson
(Full Name)

248 N Main Pleasantville, PA 16341
(Mailing Address)

814-589-5241
Daytime Telephone

Filer Status ☑ Member of the U S House of Representatives — State PA District 05 — Officer Or Employee — Employing Office

Report Type ☑ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g. salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	John E. Peterson	5-15-00

SCHEDULE I - EARNED INCOME

Nam John E Peterson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Commonwealth of PA	Pension	$15,060

SCHEDULE III - ASSETS AND "U

Nam John E. Peterson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
288 N Main Pleasantville, PA	$50,001 - $100,000	RENT	$5,001 - $15,000	na
130 N 2nd st Pleasantville, PA	$15,001 - $50,000	RENT	$2,501 - $5,000	na
IDS Growth fund	$50,001 - $100,000	CAPITAL GAINS	$1,001 - $2,500	
Prudential-Common fund	$15,001 - $50,000	variable apprciable life	NONE	
Prudential Utility fund	$15,001 - $50,000	INTEREST	NONE	
Prudential natural resource fund	$15,001 - $50,000	variable appreciabile life/n	NONE	

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SCHEDULE III - ASSETS AND "U

Nam John E Peterson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Prudential high dividend fund	$1,001 - $15,000	variable appreciable life	NONE	
Prudential equity fund	$1,001 - $15,000	IRA	NONE	
Franklin Templeton fund	$15,001 - $50,000	IRA	NONE	
Northstar Growth fund	$15,001 - $50,000	IRA	NONE	
Putnam Fund	$15,001 - $50,000	IRA	NONE	
Braintech stock	$1 - $1,000	CAPITAL GAINS	NONE	

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SCHEDULE III - ASSETS AND "U

Nam John E. Peterson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
ERHC	$1 - $1 000	CAPITAL GAINS	NONE	
Bid com stock	$1 001 - $15 000	CAPITAL GAINS	NONE	
Interactive Objects	$1 - $1 000	CAPITAL GAINS	NONE	
Nanopierce Tech stock	$1 001 - $15 000	CAPITAL GAINS	NONE	
intercell corp	$1 001 - $15,000	CAPITAL GAINS	NONE	

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SCHEDULE IV - TRANSACTIONS

Nam John E Peterson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Larbarge, Inc stock	S	2-22-00	$1,001 - $15,000
	Blair Corp stock	S	1-19-00	$1,001 - $15,000
	Northwest Bank Corp stock	S	1-19-00	$1,001 - $15,000
	Braintech	S	1-24-00	$1,001 - $15,000
	Braintech	S	2-02-00	$1,001 - $15,000
	Interactive Objects	P	1-24-00	$1,001 - $15,000
	Interactive Objects	S	2-23-00	$1,001 - $15,000
	Sideware systems stock	P	1-21-00	$1,001 - $15,000
	Sideware systems stock	S	1-24-00	$1,001 - $15,000
	Webco Industries stock	S	2-22-00	$1,001 - $15,000
	Bid.com stock	P	1-24-00	$1,001 - $15,000
	Interactive objects stock	P	5-24-00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Nam John E. Peterson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Nanopierce tech Stock	P	3-3-00	$1,001 - $15,000
	Intercell corp stock	P	3-13-00	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Nam John E Peterson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Northwest Savings Bank	Prime line of credit	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

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HAND DELIVERED

(Office Use Only)

THOMAS E. PETRI
(Full Name)

N5329 DE NEVEU LANE
FOND DU LAC, WISCONSIN 54935
Mailing Address

920-922-1180
Daytime Telephone

Filer Status: ☐ Member of the U.S. House of Representatives — State ____ District ____ ☐ Officer or Employee — Employing Office ____

Report Type: ☐ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefitting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Th. E. Petri	April 27, 2001

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	AMERICAN COUNCIL OF TRUSTEES AND ALUMNI	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
ASSOCIATION OF AMERICAN RAILROADS	SPEECH	JAN. 27, 2000	$1,000

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name T. E. PETRI

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A: Asset and/or Income Source		Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	Examples				
SP	Mega Corp. Stock	X	X		P
	Simon & Schuster	Indefinite			
	1st Bank of Paducah, KY accounts	X	X	X	
WISCONSIN RETIREMENT ACCT		X	X X	NA	
STRONG MONEY BND FUND		X	X X	NA	P, E
STRONG HIGH-YIELD BND FUND		X	X X	NA	P
STRONG GROWTH FUND	X	X	X X	NA	E, P
JANUS WORLDWIDE FUND		X	X X	NA	P
JANUS FUND		X	X X	NA	P.

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. E. Petri

Page 5 of 11

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P S E
	AIM FUND (GROWTH)				X									X		X	X					NA										P
	FIRSTAR CORP. STOCK									X				X															X			P
	AM. HOME PRODUCTS STOCK				X									X										X								
	AMERICAN EXPRESS STOCK						X							X										X								
	WALGREEN, STOCK										X			X													X					
	BANDAG, STOCK					X								X											X							
	ASSOCIATED BANCORP STOCK			X										X								X										
	DUKE CO, STOCK			X										X								X										
	CASTLE & COOKE, STOCK	X																			X											S
	DISNEY CORP., STOCK	X																														S
	MARSHALL & ILSLEY STOCK								X					X												X						
	NORTHWEST MUTUAL LIFE INS				X									X											X							
	BERKSHIRE HATHAWAY STOCK							X													X											
	UAL CORP, STOCK			X										X										X								
	LLOYD'S OF LONDON								X							X	X									X						
	MERRILL LYNCH CASH MGT. ACT	X													•	X										X						
	FIRSTAR TRUSTS CTFN		X													X						X										
	FIRSTAR U.S. TREASURY FUND						X									X									X							
	U.S. TREASURY NOTES	X														X								X								
	A.T.&T. CORP. STOCK				X									X								X										
	VERIZON NY. AIRTOUCH STOCK				X									X								X										
	SBC COMMUNICATION'S STOCK						X							X										X								

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name T. E. PETRY

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	BELL ATLANTIC STOCK	X												X									X									E
	BELL SOUTH, STOCK				X									X									X									
	LUCENT TECH. STOCK			X										X								X										
	NCR CORP., STOCK		X																		X											
	U.S. WEST, STOCK	X												X								X										E
	QUEST COMM, STOCK			X																	X											
	EQUITAS TRUST				X																X											
	AVAYA INC. STOCK		X																		X											
	VERIZON COMM, STOCK				X									X									X									
	MEDIAONE, STOCK	X																			X											E
	RENTAL PROPERTY				X										X										X							
	1/4 LOT'L (CLASS B)			X										X							NA											E
	BOND FUND OF AMERICA			X												X					NA											E
	ALLIANCE HIGH YIELD (CLASS C)					X										X								X								P
	ING PILGRIM HIGH YIELD II (CLASS C)					X										X								X								P
	LORD ABBETT BOND DEB (CLASS C)							X								X										X						P
	SALOMON SMITH BARNEY GOVT BONDS			X													X					X										
	PERKINS-ELMER			X										X								X										P

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3848

SCHEDULE IV — TRANSACTIONS

Name T.E. PETRI

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out	Type of Transaction			Date	Amount of Transaction									
SP, DC, JT — Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP *Example* Mega Corporation Common Stock	X			10-12-00		X								
STRONG ADVISOR BOND FUND	X			IRA DIVIDEND REINVESTMENT										
STRONG GROWTH FUND	X			IRA DIVIDEND REINVESTMENT	X									
STRONG GROWTH FUND			X	3/8/00		X								
STRONG ADVISOR BOND FUND			X	3/8/00		X								
STRONG HIGH YIELD BOND FUND	X			IRA DIVIDEND REINVESTMENT	X									
JANUS WORLDWIDE FUND	X			401 DIVIDEND REINVESTMENT	X									
JANUS FUND	X			401K DIV. REINVESTMENT	X									
AIM FUND (GROWTH)				401 DIV. REINV. MANAGEMENT	X									
FIRSTAR CORP, STOCK.	X			1/18/00	X									
FIRSTAR CORP, STOCK.	X			5/9/00	X									
FIRSTAR CORP, STOCK.	X			8/7/00	X									
FIRSTAR CORP, STOCK	X			11/9/00	X									
CASTLE & COOKE, STOCK		X		5/2/00	X									
DISNEY CORP, STOCK		X		5/2/00	X									
BELL ATLANTIC, STOCK			X	8/8/00		X								
VERIZON COMMUNICATIONS, STOCK			X	8/8/00		X								

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name T. E. Petty | Page 8 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	U.S. West, Stock			X	7/25/00	X									
	Qwest, Stock			X	7/25/00	X									
	Mediaone Group, Stock			X	9/25/00	X									
	AT&T, Stock			X	9/25/00	X									
	IVA Int'l (Class B)			X	9/8/00	X									
	Bond Fund of America			X	9/8/00	X									
	Alliance High Yield II (Class C)	X			6/9/99			X							
	ING Pilgrim High Yield II (Class C)	X			6/9/99			X							
	Lord Abbott Bond/Debenture (Class C)	X			12/20/00					X					
	Perkins-Elmer	X			2/7/00										
	Perkins-Elmer	X			7/17/00										

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						
	MERRILL LYNCH	LOAN SECURED BY STOCK						X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	ASSOCIATION OF AMERICAN RAILROADS	JAN. 21-23, 2000	WASH, D.C.- PALM SPRINGS- WASH, D.C.	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
PARTNER	LLOYD'S UNDERWRITING INSURANCE RISKS, LONDON, ENGLAND
DIRECTOR	SOCIETY INSURANCE (A MUTUAL COMPANY), FOND DU LAC, WISCONSIN

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 - 0-429 (mac)

Use additional sheets if more space is required.

THOMAS E. PETRI
6TH DISTRICT, WISCONSIN

2462 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-4906
(202) 225-2476

6TH DISTRICT OFFICES:
FOND DU LAC, WI
(920) 922-1180

OSHKOSH, WI
920) 231-6331

Congress of the United States
House of Representatives
Washington, DC 20515–4906

June 7, 2001

HAND DELIVERED
01 JUN -8 AM 11:35

1
✓
MC

Clerk of the House of Representatives
Legislative Resource Center
Room B-106 Cannon House Office Building
Washington, D.C. 20515

Dear Sir:

This is to supplement the original filing of my Financial Disclosure Statement for the 2000 calendar year in order to make additional information available as follows:

1. On page 5 of my previously-submitted Statement, I reference the sale of Disney stock. Income from the sale of that stock is under $200.

2. On page 6 of the Statement, income from rental property is referenced. The address of that property is N5331 De Neveu Lane, Fond du Lac, Wisconsin.

Should you require any further information, please do not hesitate to contact me.

Sincerely,

Thomas E. Petri
Member of Congress

TEP/dg

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 3:17

HAND DELIVERED

MC

(Office Use Only)

DAVID D. PHELPS
(Full Name)

35 DEWEY ROAD
(Mailing Address)

ELDORADO, IL 62930

Daytime Telephone: (618) 273-3214

EARON S. WOLAVER, CPA

Filer Status	[X] Member of the U.S. House of Representatives	State District: Illinois 19TH	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	David D. Phelps	5-11-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	ELDORADO UNIT #4 SCHOOL DISTRICT	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	Mega Corp. Stock				X									X										X								P
DC	*Examples* Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts					X										X									X							
JT	CP BURNETT & SONS BANKERS			X												X							X									
JT	FARMERS STATE BANK				X											X								X								
SP	PRUDENTIAL INSURANCE			X												X					X											
SP	MBNA AMERICA			X												X					X											
SP	BRANCH BANKING & TRUST				X											X									X							
JT	MOBILE HOME		X												N/A						X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DAVID D. PHELPS

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	HOUSE 2206 FOURTH STREET	D	QUALIFIED BLIND TRUST: N/A	I None: X	
	PHELPS BROTHERS QUARTET				
	PARTNERSHIP, IL				
	MUSICAL GROUP	C	Other Type of Income (Specify): PARTNERSHIP INCOME	II $1 – $200: X	
	IL STATE RETIREMENT	G	QUALIFIED BLIND TRUST: N/A		
SP	TEACHERS'RETIREMENT SYSTEM	D	QUALIFIED BLIND TRUST: N/A		
SP	HORACE MANN T.D. ANNUITY	C	QUALIFIED BLIND TRUST: N/A	II $1 – $200: N/A TAX DEFERRED ANNUITY	
SP	AMERICAS FIDELITY T.D.				
	ANNUITY	C	QUALIFIED BLIND TRUST: N/A	II $1 – $200: N/A TAX DEFERRED ANNUITY	
DC	FARMERS STATE BANK	A None: X	INTEREST: X	II $1 – $200: X	

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
PARTNER	PHELPS BROTHERS QUARTET

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 12:46
(Office Use Only)

Charles W. "Chip" Pickering, Jr.
(Full Name)

427 Cannon House Building/US House of Representatives
(Mailing Address)

Washington, D.C. 20510 — 202/225-5031
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State District MS/3	[ ] Officer or Employee — Employing Office	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles W. "Chip" Pickering	5/15/2001

3860

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B											BLOCK C						BLOCK D										BLOCK E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP					X									X										X								P
DC	*Examples*	Indefinite																		Royalties								X				
JT							X									X										X						
	BankCorp South S&L (MS		X													X						X										
	Washington Mutual Inves. Fund			X										X								X										
DC	The First Bancshares, Inc. (Lamar County, MS)			X										X								X										
Sp	US Treasury Bill Roatman's				X											X							X									
	Bank (Memphis, TN)																															
	3.3 Acres Jones County		X																	Land	X											
	MS Section 25, Township 10, Range 11																															

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Charles W. "Chip" Pickering, Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	CD Bank of Bartlett, Memphis, TN	C	INTEREST	III	
DC	Soloman Smith Barney Mutual Fund Ed-IRA	B	INTEREST	III	*p
	Wright Patman Cong. Federal Credit Union, WDC	B	INTEREST	III	
			*Gift given by Relative		

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP DC JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
DC	Soloman Smith Barney Mutual Fund ED-IRA *Gift Given by Relative	X			08-23-00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	BankCorp South, Laurel, MS	Mortgage on 2101 Powhatan Falls Church, VA						X				
	Bank of Jones County, Laurel, MS	Car Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Cellular Telephone Industry Assoc. (CTIA)	Feb 27-28	Taylorsville, MS-New Orleans, LA-Wash., DC	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:06
(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

A $200 penalty shall be assessed against anyone who files more than 30 days late.

3866

Joseph Russell Pitts
(Full Name)

905 Mitchell Farm Lane Kennett Square, PA 19348
(Mailing Address)

610-444-4581
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: PA District: 16	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Joe Pitts	May 15, 2001

SCHEDULE I - EARNED INCOME

NAME Joseph Russell Pitts

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Commonwealth of Pennsylvania	Legislative Pension	$84,172

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Joseph Russell Pitts

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Peoples Bank of Oxford	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Comm of PA Deferred Comp (admin by Copeland Companies)	$15,001 - $50,000	N/A	N/A	
	IRA (Admin by Putnam Inv.)	$250,001 - $500,000	N/A	N/A	
SP	TIAA/Cref	$50,001 - $100,000	N/A	N/A	

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SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Joseph Russell Pitts

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Jubilee Campaign / Inayat Begum Memorial Trust	May 29 - June 6	Wash., D.C.--Jakarta, Indonesia--Lahore--Islambad--Koth Nora--Kashmar Border, Pakistan--Wash., D.C.	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise; any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	County Corrections Gospel Mission
Advisory Board Member	Eastern College Advisory Board
Advisory Board Member	Keystone States Games
Advisory Board Member	Greystone Society
Advisory Board Member	Crosslinks
Advisory Board Member	Congressional Youth Leadership Council
Advisory Board Member	International Health Services Board

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 4:31

INSIDE MAIL

(Office Use Only)

MC

Todd Russell Platts

Filer's Full Name

1911 E Market St.

York, PA 17402

Mailing Address

717-600-1919

Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State: PA, District: 19th

[ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — Amendment

[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you, or your spouse have earned income (e.g. salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II** — Yes [ ] No [X]

III. Did you, your spouse, and dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset with more than $1,000 at the end of the period? **If yes, complete and attach Schedule III** — Yes [X] No [ ]

IV. Did you, your spouse, and dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability in more than $10,000 during the reporting period? **If yes, complete and attach Schedule V** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (e.g., aggregating more than $140 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursement for travel in the reporting period (with more than $140 from any source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "exempted trusts" need not be disclosed. Have you excluded from this report holdings of your spouse or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, income, liabilities, or transactions of yourself, a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Todd R Platts	5/14/01

3871

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of PA, House of Representatives	Salary	61,628.00

For payments to charity in lieu of honoraria, use Schedule II.

3872

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s), unless there is rental income; any debt owed to you by your spouse or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.

BLOCK B — Value of Asset (at close of reporting year)

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: For Example Partnership Income of Farm Income)	Block D I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP	SP Magazine Sales					X																										P, S, E
DC	Examples Sister & Sister																			Royalties								X				P
JT	1st Bank of Portland, KY Annuities						X									X									X							
	T. Rowe Price Mutual Fund: Blue Chip Fund		X											X			X					X										P
	Rental Property, 1411 E market St York PA 17402 (Personal Residence)	X																		No income - Original cost was the value of this property in Dec 1993	X											—
	PA State Employee Retirement Fund				X											X							X									P

For additional assets and unearned income, use next page.

3873

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Sallie Mae Servicing Corp Wilkes-Barre, PA	Student Loans		X								
	Am. Ex Centurion Bank, Chicago, IL	Line of Credit Balance	X									
	Universal Card Services, Jacksonville, FL	Line of Credit Balance	X									
	First USA Bank, Wilmington, DE	Line of Credit Balance	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342), political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	People for Platts (Comm / State Comm)	7/22 - 31	Balt, MD – San Diego, CA	Y	Y	N	N
	Harvard University	12/14 - 17	Balt MD - Boston, MA	Y	Y	Y	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	High O'Brien Youth Foundation Central PA Leadership Seminar

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 70-729 (mac)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 JUN -7 AM 11:04

6

HAND DELIVERED
(Office Use Only)

Richard William Pombo
(Full Name)

2411 Rayburn HOB Washington DC 20515 202-225-1947
(Mailing Address) (Daytime Telephone)

Filer Status	[ ] Member of the U.S. House of Representatives	State CA District 11	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS— Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		6/6/01

3877

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Safari Club International PAC	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

3878

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Richard W. Pombo

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Name	A $1 – $1,000	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	L DIVIDEND	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: Sale, Exchange, Partnership, Income of a family member)	None	I $1 – $200	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	XI	Transaction (P, S, E)
SP	SP Mega Corp Stock				X								X										X									P
DC	*Examples* Simon & Schuster																		Royalties										X			
JT	1st Bank of Paducah KY accounts						X								X										X							
JT	Novated Stock		X																													
	R. Pombo Ranch						X																									

For additional assets and unearned income, use next page.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Wright Patman Congressional Credit Union Washington DC	Revolving Credit	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child dunng the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Safari Club International	2-3-00	Wash. DC-Reno NV	Y	Y	n	n
	2-6-00	Reno NV - Wash. DC				

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Name	Richard W. Pombo	Page 6 of 6

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	R. Pombo Ranch II

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Richard William Pombo
(Full Name)

2411 Rayburn HOB Washington, D.C. 20515 (202) 225-1947
(Mailing Address) Daytime Telephone

HAND DELIVERED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 11	[ ] Officer or Employee	Employing Office
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for a exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Richard Pombo	June 13, 01

3883

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Safari Club International PAC	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

3884

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Richard W. Pombo

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence (unless there is rental income), any debt owed to you by your spouse or by your or your spouse's child, parent or sibling, any Republic totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1–$1,000; C $1,001–$15,000; D $15,001–$50,000; E $50,001–$100,000; F $100,001–$250,000; G $250,001–$500,000; H $500,001–$1,000,000; I $1,000,001–$5,000,000; J $5,000,001–$25,000,000; K $25,000,001–$50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Rental Partnerships, Income or Farm Income))	Block D: Amount of Income (I None; II $1–$200; III $201–$1,000; IV $1,001–$2,500; V $2,501–$5,000; VI $5,001–$15,000; VII $15,001–$50,000; VIII $50,001–$100,000; IX $100,001–$1,000,000; X $1,000,001–$5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP	SP Mega Corp. Stock				P
DC Examples	DC Smith & Schulster		Royalties		
JT	JT Bank of Podunk IRA account	F		V	
JT	JT, Neymed Joint	B		II	
	R. Pombo Ranch	F		II	
	(Tracy, CA)				

For additional assets and unearned income, use next page.

3885

Name Richard W. Pombo | Page 4 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Wright Patman Congressional Credit Union	Washington, DC Revolving Credit										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

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Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

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	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Safari Club International	2/3/00 2/6/00	Wash, DC - Reno, NV Reno, NV - Wash, DC	Y	Y	N	N

This page may be copied if more space is required.

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	R. Pombo Ranch II

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A PAGE 1 OF 4
For use by Members, officers, and employees

CHIEF ADMINISTRATIVE RESOURCE CENTER
2001 MAY 15 AM 11:28

4
MC

HON. EARL POMEROY
(Full Name)

1110 LONGWORTH HOUSE OFFICE BLDG, WASHINGTON, DC
(Mailing Address)

202-225-2611
Daytime Telephone

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State ND District 00	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	Amendment	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes	No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III**	Yes ☑	No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes	No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV**	Yes	No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes	No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes	No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Earl Pomeroy	5/14/01

3889

SCHEDULE III - ASSETS AND "U

Name HON. EARL POMEROY

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Jefferson Pilot Financial insurance	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Bank Center First	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	First American Funds	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
SP	American General Fund Group (IRA)	$1,001 - $15,000		"NA"	
SP	Public Employees Ret Sys *	$15,001 - $50,000		"NA"	
	Public Employees Ret Sys *	$15,001 - $50,000		"NA"	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name HON. EARL POMEROY

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see Instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		**Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	**Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	**Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	**Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	VALIC	$15,001 - $50,000		"NA"	
	VALIC	$50,001 - $100,000		"NA"	
SP	Tweedy, Browne American Value Fund (IRA)	$1,001 - $15,000		"NA"	
SP	Tweedy, Browne Global Value Fund (IRA)	$15,001 - $50,000		"NA"	
	Tweedy, Browne American Value Fund (IRA)	$15,001 - $50,000		"NA"	
	Tweedy, Browne Global Value Fund (IRA)	$15,001 - $50,000		"NA"	

* INVESTED WITH INSTITUTION, INDIVIDUAL HAS NO DISCRETION REGARDING PURCHASE OR SALE OF ASSETS IN ACCOUNTS

3861

SCHEDULE VII - TRAVEL PAYMENTS

Name HON. EARL POMEROY

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Aspen Institute	Jan. 28-29	Wash., DC-Queenstown, MD-Wash., DC	Y	Y	N	N/A
National Farmers Union	Feb. 25-26	Bismarck-Salt Lake City-Bismarck	Y	Y	N	N/A
Pacific Life Ins./Wellpoint Health Networks	May 31-Jun 1	Bismarck-LA-Bismarck	Y	Y	N	N/A
Morgan Stanley Dean Witter	Jun 5-6	DC-NYC-DC	Y	Y	N	N/A
Passe Club International	Dec 5	DC-Boston-DC	N	Y	N	N/A

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

ROBERT J. PORTMAN
(Full Name)

203 MIAMI AVENUE TERRACE PARK, OH 45174
(Mailing Address)

202-225-3164
(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: OH, District: 02	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I	Did you, your spouse, have earned income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II	Did any individual or organization make a donation to a charity in lieu of paying you for a speech/appearance in lieu of fee in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset (worth more than $1,000 at the end of the period)? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☑ No ☐
V	Did you, your spouse, or a dependent child have any reportable liabilities (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. This statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct and/or Department of Justice. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (See 5 U.S.C. app. 4 § 104 and 18 U.S.C. § 1001.)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert J. Portman	5/15/2001

3893

SCHEDULE I - EARNED INCOME

Na ROBERT J PORTMAN

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Richard Saunders, International, Cincinnati, Ohio	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNE

Na ROBERT J PORTMAN

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DC	Flag Investors Equity A Fund	$15,001 - $50,000	Dividends	$201 - $1,000	
DC	Procter & Gamble Co	$15,001 - $50,000	Dividends	$201 - $1,000	
DC	Irrevocable Trust		See Detail Below		
DC	Fifth Third Quality Growth Fund	$100,001 - $250,000	Dividends	$5,001 - $15,000	P
DC	Fifth Third International Fund	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
DC	Fifth Third Mid Cap Fund	$50,001 - $100,000	Dividends	$5,001 - $15,000	P

SCHEDULE III - ASSETS AND "UNE

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	Flag Investors Equity A Fund	$1,001 - $15,000	Dividends	$201 - $1,000	
DC	Irrevocable Trust		See Detail Below		
DC	Fifth Third Quality Growth Fund	$50,001 - $100,000	Dividends	$5,001 - $15,000	P
DC	Fifth Third International Fund	$1,001 - $15,000	Dividends	$1,001 - $2,500	P
DC	Fifth Third Mid Cap Fund	$15,001 - $50,000	Dividends	$5,001 - $15,000	P
DC	Flag Investors Equity A Fund	$15,001 - $50,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNE

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse; or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	Procter & Gamble Co	$15,001 - $50,000	Dividends	$201 - $1,000	
DC	Irrevocable Trust		See Detail Below		
DC	Fifth Third Quality Growth Fund	$100,001 - $250,000	Dividends	$5,001 - $15,000	P
DC	Fifth Third International Fund	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
DC	Fifth Third Mid Cap Fund	$50,001 - $100,000	Dividends	$5,001 - $15,000	P
	NUVEEN MUNICIPAL VALUE FUND	None	Interest	$201 - $1,000	S

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	ASHWOOD FARMS, INC. SPRINGBORO, OH (WARREN CO)	$1,001 - $15,000	Dividends	NONE	
	VICTORY GRADISON GOVT RESERVES	$100,001 - $250,000	Dividends	$2,501 - $5,000	P
	GOLDEN LAMB TRUST - LAND, BLDG LOCATED ON BROADWAY STREET IN LEBANON, OH	$50,001 - $100,000	Rent	$15,001 - $50,000	
	LEBANON HOUSE INC. - CINCINNATI, OH	$250,001 - $500,000	Dividends	NONE	
	VICTORY OHIO MUNI BOND FUND CLASS G	$15,001 - $50,000	Interest	$1,001 - $2,500	P & S

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SCHEDULE III - ASSETS AND "UNE

Na ROBERT J PORTMAN

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	VICTORY ESTABLISHED VALUE CLASS G	$100,001 - $250,000	Dividends	$5,001 - $15,000	P
	VICTORY SMALL COMPANY OPPORTUNITY FUND CLASS G	None	Dividends	$2,501 - $5,000	P & S
	PORTMAN EQUIPMENT COMPANY (INCLUDING STOCK HELD IN IRREVOCABLE TRUST WHICH MEMBER IS A BENEFICIARY) - CINCINNATI, OH	$1,000,001 - $5,000,000	Dividends (LOAN BACK TO COMPANY) *	$100,001 - $1,000,000	
	PORTMAN EQUIPMENT COMPANY - NOTE RECEIVABLE *	$250,001 - $500,000	Interest	NONE	P

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MARIEMONT VILLAGE PROPERTIES - LIMITED PARTNERSHIP	$1,001 - $15,000	Capital Gains	NONE	
PROCTER & GAMBLE CO	$1,001 - $15,000	Dividends	$201 - $1,000	
SHAKER PROPERTIES LTD - LAND & BUILDING LOCATED ON FUJITEC DRIVE IN LEBANON & SPANGLER ROAD IN FAIRBORN, OHIO	$1,001 - $15,000	Rent	$5,001 - $15,000	
GRAUSTARK, LLC - LAND & BUILDING LOCATED ON TRIPORT ROAD IN GEORGETOWN, KY	$15,001 - $50,000	Rent	NONE	

3900

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	GRAYDON HEAD & RITCHEY - RETIREMENT PLAN, CINCINNATI, OH	$50,001 - $100,000	NA	NA	
	VICTORY INTERNATIONAL GROWTH FUND CLASS G	None	Dividends	$2,501 - $5,000	P & S
	PEAVLER PARTNERSHIP - LAND AND BUILDING LOCATED ON ROSSPLAIN ROAD IN CINCINNATI, OHIO AND JAMIKE AVENUE IN ERLANGER, KY	$518,000	Rent	$109,400	
SP	VICTORY GRADISON GOVT RESERVES	$100,001 - $250,000	Interest	$201 - $1,000	

3901

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	IRA, GRADISON-MCDONALD	$15,001 - $50,000	Interest	NA	
SP	NUVEEN FLAGSHIP LTD TERM MUNICIPAL BOND FUND	$15,001 - $50,000	Interest	$1,001 - $2,500	
SP	NUVEEN MUNICIPAL VALUE FUND	None	Dividends	$1,001 - $2,500	S
SP	NATIONAL COMMERCE BANCORP (FORMERLY CCB FINANCIAL CORPORATION)	$15,001 - $50,000	Dividends	$201 - $1,000	E
SP	AMERICAN EXPRESS CO. NONCALL NOTES	$1,001 - $15,000	Interest	$1 - $200	
	VICTORY DIVERSIFIED STOCK FUND CLASS G	None	Dividends	$15,001 - $50,000	P & S

3902

SCHEDULE III - ASSETS AND "UNE

Na ROBERT J. PORTMAN

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
	IRA VICTORY FUND FOR INCOME CLASS G	$1,001 - $15,000	Dividends	$201 - $1,000	
	IRA, VICTORY ESTABLISHED VALUE CLASS G	$1,001 - $15,000	Dividends	$201 - $1,000	
	IRA VICTORY DIVERSIFIED STOCK FUND CLASS G	$1,001 - $15,000	Dividends	$1,001 - $2,500	P
	IRA VICTORY INTERNATIONAL GROWTH FUND CLASS G	$1,001 - $15,000	Dividends	$1,001 - $2,500	P
	IRA, VICTORY GRADISON GOVT RESERVES	$1,001 - $15,000	Dividends	$1 - $200	
SP	LIBERTY SELECT (FORMERLY COLONIAL SELECT VALUE FUND)	$100,001 - $250,000	Dividends	$15,001 - $50,000	P

SCHEDULE III - ASSETS AND "UNE

No ROBERT J PORTMAN

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investment(s) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet, for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	STATE STREET RESEARCH ALPHA FUND C	$50,001 - $100,000	Dividends	$2,501 - $5,000	P
SP	TEMPLETON FOREIGN SERIES II	None	Dividends	$2,501 - $5,000	P & S

SCHEDULE IV - TRANSACTIONS

No ROBERT J PORTMAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	IRA, VICTORY DIVERSIFIED STOCK FUND CLASS G	P	MON DIV REIN	$1,001 - $15,000
SP	LIBERTY SELECT VALUE FUND (FORMERLY COLONIAL SELECT)	P	MON DIV REIN	$15,001 - $50,000
SP	STATE STREET RESEARCH ALPHA FUND C	P	MON DIV REIN	$1,001 - $15,000
SP	TEMPLETON FOREIGN SERIES II	P	MON DIV REIN	$1,001 - $15,000
	VICTORY OHIO MUNI BOND FUND CLASS G	P	MON DIV REIN	$1,001 - $15,000
	VICTORY ESTABLISHED VALUE CLASS G	P	06/01/00, 07/03/00, MON DIV REIN	$15,001 - $50,000
	VICTORY SMALL COMPANY OPPORTUNITY FUND CLASS G	P	06/01/00, 07/03/00, MON DIV REIN	$1,001 - $15,000
	VICTORY INTERNATIONAL GROWTH FUND CLASS G	P	06/01/00, 07/03/00, MON DIV REIN	$1,001 - $15,000
	VICTORY INTERNATIONAL GROWTH FUND CLASS G	S	01/03/00, 12/18/00	$50,001 - $100,000
	VICTORY DIVERSIFIED STOCK FUND CLASS G	P	06/01/00, 07/03/00, MON DIV REIN	$15,001 - $50,000
	VICTORY SMALL COMPANY OPPORTUNITY FUND CLASS G	S	12/18/00	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Na ROBERT J PORTMAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	NUVEEN MUNICIPAL VALUE FUND	S	12/19/00	$15,001 - $50,000
	PORTMAN EQUIPMENT COMPANY NOTE RECEIVABLE	P	05/00	$250,001 - $500,000
	NUVEEN MUNICIPAL VALUE FUND	S	12/18/00	$1,001 - $15,000
	VICTORY GRADISON GOVT RESERVES	P	MON DIV REIN	$1,001 - $15,000
	VICTORY OHIO MUNI BOND FUND CLASS G	S	09/15/00	$15,001 - $50,000
	VICTORY DIVERSIFIED STOCK FUND CLASS G	S	12/18/00	$100,001 - $250,000
	IRA. VICTORY INTERNATIONAL GROWTH FUND CLASS G	P	MON DIV REIN	$1,001 - $15,000
SP	TEMPLETON FOREIGN SERIES II	S	12/19/00	$50,001 - $100,000
DC	IRREVOCABLE TRUST		SEE DETAIL BELOW	
DC	FIFTH THIRD QUALITY GROWTH FUND	P	MON DIV REIN	$1,001 - $15,000
DC	FIFTH THIRD INTERNATIONAL FUND	P	05/01/00, MON DIV REIN	$1,001 - $15,000
DC	FIFTH THIRD MID CAP FUND	P	05/01/00	$1,001 - $15,000

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SCHEDULE IV - TRANSACTIONS

Na ROBERT J PORTMAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	IRREVOCABLE TRUST		SEE DETAIL BELOW	
DC	FIFTH THIRD QUALITY GROWTH FUND	P	MON DIV REIN	$1,001 - $15,000
DC	FIFTH THIRD INTERNATIONAL FUND	P	05/01/00, MON DIV REIN	$1,001 - $15,000
DC	FIFTH THIRD MID CAP FUND	P	05/01/00, MON DIV REIN	$1,001 - $15,000
DC	IRREVOCABLE TRUST		SEE DETAIL BELOW	
DC	FIFTH THIRD QUALITY GROWTH FUND	P	MON DIV REIN	$1,001 - $15,000
DC	FIFTH THIRD INTERNATIONAL FUND	P	05/01/00, MON DIV REIN	$1,001 - $15,000
DC	FIFTH THIRD MID CAP FUND	P	05/01/00, MON DIV REIN	$1,001 - $15,000
SP	NATIONAL COMMERCE BANCORP (MERGED-FORMERLY CCB FINANCIAL CORPORATION)	E	07/07/00	$15,001 - $50,000

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	United Brotherhood of Carpenters and Joiners of America	Aug. 24	Cinc., OH - Chicago - Cinc., OH	N	N	N	N

SCHEDULE VIII - POSITIONS

No ROBERT J PORTMAN

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise; any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	THE SPRINGER SCHOOL
MEMBER	UNITED WAY & COMMUNITY CHEST GOV'T RELATIONS COMMITTEE
PARTNER	PEAVLER PARTNERSHIP
LIMITED PARTNER	MARIEMONT VILLAGE PROPERTIES
DIRECTOR	COMMUNITY ANTI-DRUG COALITION OF AMERICA (CADCA)
DIRECTOR & PRESIDENT	COALITION FOR A DRUG FREE GREATER CINCINNATI
LLC MEMBER	SHAKER PROPERTIES, LTD
LLC MEMBER	GRAUSTARK LLC

SCHEDULE IX - AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	GRAYDON HEAD & RITCHEY CINCINNATI, OH RETIREMENT PLAN	TERMINATED PARTICIPANT WITH ACCOUNT BALANCE ONLY

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER (8)
2001 MAY 15 PM 3:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Full Name: DAVID Eugene Price

Mailing Address: 2200 N. Lakeshore Drive, Chapel Hill, NC 27514

Daytime Telephone: 202-225-1784

Filer Status	[X] Member of the U.S. House of Representatives	State District NC 04	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX**	Yes [X]	No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	David E. Price	5/15/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Westview Press	Book Royalties	846.47
	North Carolinians Against Gun Violence Education Fund	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B	Block C	Block D	Block E
	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP Mega Corp. Stock	X	X	X	P
DC Examples: Simon & Schuster; 1st Bank of Paducah, KY accounts	Indefinite	Royalties	X	
JT	X	X	X	
Smith Barney Money Market units	X	X	X	
NC Eastern Municipal Power Agency Bonds	X	X	X	
NC Municipal Power Agency #1 Bonds	X	X	X	
Duplin Co., NC Municipal Bonds	X	X	X	
NC Educational Facilities Bonds	X	X	X	P
Cisco Systems Stock	X	X	X	

For additional assets and unearned income, use next page.

3913

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name David Price

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCERPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	metropolitan Life Ins. Policy			X										X									X									
	Coca Cola Stock				X									X									X									
	Corning Inc. Stock			X										X							X											P
	Electronic Data Systems Stock			X										X								X										
	Emc Stock			X										X							X											P
	Emerson Electric Stock				X									X									X									
	Exxon mobil Stock *						X							X											X							
	First VA Bank Stock *					X								X										X								
	General Electric Stock					X								X									X									
	Gillette Stock			X										X								X										
	Home Depot				X									X								X										
	Intel Corp. Stock			X										X								X										
	Lucent Technologies Stock			X										X							X											P
	McDonalds Corp. Stock			X										X								X										
	Minn. Mining & Mfg Stock			X										X									X									
	Motorola Inc. Stock			X										X								X										
	Nokia Corp Stock			X										X							X											P
	Nortel Networks Stock			X										X							X											P
	Oracle Corp Stock			X										X							X											P
	Peps. Co Inc. Stock				X									X									X									
	Sun Microsystems Stock			X										X							X											P
	Tricon Global Rest. Stock			X										X							X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name David Price

Page 3 of 8

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Tyco Int'l Stock			X										X							X											P
	Union Planters Corp. Stock*			X										X									X									
	Worldcom Inc. Stock			X										X							X											P
	N.W. Mutual Life Ins. Policy			X										X									X									
	TIAA/CREF Retirement Plan								X											Retirement Plan	X											

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SCHEDULE IV — TRANSACTIONS

Name David Price

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction										
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example*	Mega Corporation Common Stock	X			10-12-00		X								
	American Precision Industries Stock			X		3-28-00	X									
	Consolidated National Gas Comp Stock			X		1-10-00	X									
	Corning Inc. Stock		X			12-26-00	X									
	EMC Corp. Stock		X			12-26-00	X									
	Lucent Technologies Stock		X			12-26-00	X									
	Nokia Corp Stock		X			12-26-00	X									
	Nortel Networks Stock		X			12-26-00	X									
	Oracle Corp. Stock		X			12-26-00	X									
	Sun Microsystems Stock		X			12-29-00	X									
	Tyco Int'l Stock		X			12-26-00	X									
	Worldcom Inc Stock		X			12-26-00	X									
	NC Educational Facilities Bonds		X			12-18-00	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Cntr. for Middle East Peace	Jan. 16-17	Raleigh - Morocco, Tunisia, Israel, UAE - Raleigh	Y	Y	N	N
	N.C. Regional Transportation Alliance	Jan. 17	Raleigh - Atlanta - Raleigh	N	Y	N	N
	Aspen Institute	May 20 - June 4	Raleigh - Vancouver - Raleigh	Y	Y	Y	Y
	Community Transportation Assoc. of America	June 5 - 6	Raleigh - Miami - D.C.	Y	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Professor on Leave	Duke University
Board of Advisors	Mars Hill College
Advisory Board	American Political Science Assn. - Congressional Fellowship Program
Consulting Editor	Current Magazine

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment; a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	Duke University	Unpaid Leave of Absence

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

MAY 15 2001

Deborah D. Pryce
Full Name

5602 Riverside Drive Dublin, OH 43017
Mailing Address

(614) 889-9414
Daytime Telephone

2001 MAY 15 [illegible] 11:50

(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State OH District 15th	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $ 60 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [X] No [ ]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (*See* 5 U.S.C. app. 4 § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete, and correct to the best of my knowledge and belief.	Deborah Pryce	5/14/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source		Type	Amount
Examples	Keene State		Approved Teaching Fee	$6,000
	State of Maryland		Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)		Spouse Speech	$1,000
	Ontario County Board of Education		Spouse Salary	NA
	Commercial One Realtors	Columbus, OH	Spouse's Commission	74,392
	Ohio Mutual Insurance Company		Spouse's Director Fee	9,230
	United Ohio Insurance Co.		Spouse's Director Fee	8,195
	145 E. Rich Street LLC		Spouse's Mgmt Fee	11,445
	Swansea Pryce Mgmt	Columbus, OH	Spouse's Mgmt Fee	508

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranum. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
None			

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Name Deborah D. Pryce | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B											Block C						Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income > (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP Mega Corp Stock				X								X										X								P
DC	*Examples:*	Simon & Schuster		Indefinite																Royalties									X			
JT		1st Bank of Paducah, KY accounts					X									X									X							
	Congressional Federal Credit Union			X												X							X									
JT	Huntington National Bank			X												X							X									
SP	Huntington National Bank RE acct			X												X							X									
SP	Fifth Third Bank (IRA Retirement)													See Broker Statement																		
SP	145 E. Rich Street LLC				X											X					X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Deborah D. Pryce

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Ohio Bank - Checking			X												X						X										
SP	Ohio Bank - Savings					X										X									X							
	Fifth/Third Bank			X												X						X										
	Northwestern Mutual Life			X										X																		
	Bank One 2797/sh @ 36.62			X										X											X							
JT	Merrill Lynch-Steele Tech		X											X								X										
SP	340 E. Gay St Cols OH							X							X											X						
	Charing Rd Associates	X													X								X									
	Fifth Third Bank (IRA Retirement)													See Broker Statement																		
	Merrill Lynch (IRA Retirement)	X												See Broker Statement							X											
SP	726 S High St Cols OH							X							X									X								
SP	31-46W Kossuth St Cols OH							✓							X											X						
SP	Fore Sum Ltd					X														Ordinary	X											
SP	Charing Rd. (Retirement)				X																		X									
SP	Paine Webber S & P Industrial			X										X								X										S
SP	Ohio Savings Bank - CD			X												X								X								
SP	Merrill Lynch (IRA Retirement)													See Broker Statement																		
SP	Penn Mutual Life Insurance			X												X																
	Mass Mutual Life Insurance		X											X																		
SP	Zoom Ltd 31-6165195 (11.66%)	X														X							X									S
SP	Bonded Development Co. Ltd.					X											X									X						S

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SCHEDULE IV — TRANSACTIONS

Name Deborah D. Pryce

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
SP	PaineWebber S & P Industrial 1999 Ser C Select		X		5-24-00	X				(partial sale)					
SP	Zoom, Ltd.		X		12-31-00		X								
SP	Bonded Development		X			X				(partial sale)					
SP	Intel Corporation	X			5-24-00	X									
SP	Cisco Systems, Inc.	X			5-24-00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Donna Walker	Personal Note		X								
SP	The Ohio Bank	Mtg. 340 E Gav St, Cols OH					X					
SP	The Ohio Bank	Mtg. 32b S High St, Cols OH				X						
SP	The Ohio Bank	Mtg. 32-46 W Kossuth Cols OH					X					
JT	The Ohio Bank	Mtg. Charing Rd Cols OH			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6-11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
The Congressional Program	Feb. 18 -	Wash., D.C.-San Juan	Y	Y	Y	None
of the Aspen Institute	Feb. [illegible]	San Juan-Wash., D.C.				
The Rajan Educational Fund	Nov. 24 -	Cols., OH-Wash., D.C.-Rome	Y	Y	Y	None
	Dec. [illegible]	Rome, Italy-Wash., D.C.-Cols, OH				
The American Trucking Assoc.	Feb 4 -	Pittsburgh, PA - Ft. Lauderdale FL	Y	Y	Y	None
(Winter Leadership meeting)	Feb. [illegible]	Ft. Lauderdale FL - Washington DC				

Name [illegible]	Page 9 of [illegible]

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	[illegible]

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or understanding with respect to future employment, a leave of absence during the period of government service, continuation of deferred payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
[illegible]	[illegible]	[illegible]

Fifth Third Securities, Inc.
34 Fountain Square Plaza • Cincinnati, Ohio 45202
(513) 744-8888 • (800) 334-0483
Internet Address: "Investments.53.com"

Fifth Third Securities is not a bank and securities offered by it are not insured by the FDIC or any other governmental agency, are not deposits or obligations of any bank, are not endorsed or guaranteed by any bank, and are subject to investment risks, including the possible loss of principal

AGB F078 001471 001470 078032 2000380 0
SELF DIRECTED IRA FBO
DEBORAH D PRYCE
5602 RIVERSIDE DR
DUBLIN, OH 43017

Acct #	Period	Page
45518731	09/29/00 - 12/29/00	1 of 3

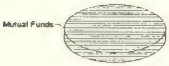
Office #	Rep #	Rep Phone #
AGB	F078	(614) 233-4483

Rep Name
MR STEVEN J TRUITT

HAPPY NEW YEAR! FIFTH THIRD SECURITIES IS LOOKING FORWARD TO SERVING YOUR INVESTMENT AND FINANCIAL NEEDS IN 2001. YOUR SATISFACTION IS VERY IMPORTANT TO US. PLEASE TAKE A MOMENT TO FILL OUT THE ENCLOSED SURVEY TO LET US KNOW HOW WE CAN BETTER SERVE YOUR NEEDS.

Account Value Summary

Investment Allocation
December 29, 2000



Description	This Statement	%	Last Statement	%
Mutual Funds	$10,477.44	100	$11,061.89	100
Total Assets	**$10,477.44**	**100**	**$11,061.89**	**100**
Account Value	**$10,477.44**		**$11,061.89**	
		Net Change	**-5.3% Decrease**	

Earnings Summary

Description	This Period	Year-To-Date	Description	This Period	Year-To-Date
Tax Deferred Income					
Dividends	$16.53	$16.53	**Total Income**	$1,343.75	$1,343.75
Capital Gains Distributions	1,327.22	1,327.22			
Total Tax Deferred Income	**$1,343.75**	**$1,343.75**	**Net Total**	$1,343.75	$1,343.75

Account Value Detail

Acct Type	Quantity	Description	Symbol	Current Price	Market Value	Est Annual Income	Est Yield
Mutual Funds							
Cash	492.361	FIFTH THIRD QUALITY GROWTH FUND CLASS A	FSQGX	21.280	10,477.44		
		Mutual Funds total market value:			**10,477.44**		
		ACCOUNT VALUE:			**10,477.44**		

Account Activity Summary

Description	This Period	Description	This Period
Debits		**Credits**	
Dividend and Interest Charged	$0.00	Dividend and Interest Income	$1,343.75
Other Debits / Actions Affecting Your Portfolio	1,343.75	Other Credits / Actions Affecting Your Portfolio	0.00
Total	**$1,343.75**	**Total**	**$1,343.75**

Account carried with Fiserv Securities, Inc., a member of the NYSE, NASD and other principal exchanges, SIPC

Fifth Third Securities, Inc.
34 Fountain Square Plaza • Cincinnati, Ohio 45202
(513) 744-8888 • (800) 334-0483
Internet Address "investments.53.com"

Fifth Third Securities is not a bank and securities offered by it are not insured by the FDIC or any other governmental agency, are not deposits or obligations of any bank, are not endorsed or guaranteed by any bank, and are subject to investment risks, including the possible loss of principal.

AGB F078 001471 001470 079352 2000369 0

Acct #	Customer Name	Period	Page
45518731	PRYCE D D	09/29/00 - 12/29/00	2 of 3

Dividends, Interest and Principal

Acct Type	Date	Activity	Quantity	Description	Debit	Credit
Cash	10/30/00	DIVIDEND		OLD FIFTH THIRD CARDINAL FUND CLASS A STCG DIV R10/24/00 P10/25/00		16.53
Cash	10/30/00	DIVIDEND		OLD FIFTH THIRD CARDINAL FUND CLASS A LTCG DIST R10/24/00 P10/25/00		1,327.22

Other Debit and Credit Activity / Actions Affecting Your Portfolio

Acct Type	Date	Activity	Quantity	Description	Debit	Credit
Cash	10/30/00	RECEIVED	492.361	FIFTH THIRD QUALITY GROWTH FUND CLASS A CUSIP MERGER FR OLD FCFAX# 68		
Cash	10/30/00	DELIVERED	719.296-	OLD FIFTH THIRD CARDINAL FUND CLASS A CUSIP MERGER TO FSQGX 6845044		
Cash	10/30/00	RECEIVED	90.719	OLD FIFTH THIRD CARDINAL FUND CLASS A CPG REINVST @ 14.630 10/25/00		
Cash	10/30/00	RECEIVED	1.13	OLD FIFTH THIRD CARDINAL FUND CLASS A DIV REINVST @ 14.630 10/25/00		
Cash	10/30/00	REINVEST DIV		OLD FIFTH THIRD CARDINAL FUND CLASS A STCG DIV R10/24/00 P10/25/00	16.53	
Cash	10/30/00	REINVEST DIV		OLD FIFTH THIRD CARDINAL FUND CLASS A LTCG DIST R10/24/00 P10/25/00	1,327.22	

Retirement Account Summary

In accordance with Internal Revenue Service fair market value reporting requirements, the information shown as "Account Value" on Page 1 of this statement represents the December 31, 2000 Fair Market Value for your account. This Fair Market Value information will be furnished to the Internal Revenue Service.

December 31, 1988 Account Value $11,162.28

REMINDER - NO CONTRIBUTIONS HAVE BEEN MADE FOR CURRENT YEAR

End of Statement

Account carried with Fifth Third Securities, Inc., a member of the NYSE, NASD and other principal exchanges. SIPC

Fifth Third Bank
CENTRAL OHIO
P.O. BOX 182026 COLUMBUS OH 43218

PLATINUM ONE ACCOUNT	1
ACCOUNT TYPE	PAGE
12/20/00 THROUGH 01/19/01	674-78017
STATEMENT PERIOD	ACCOUNT NUMBER
LANE AVENUE	1-800-972-3030
OFFICE	TELEPHONE NUMBER

DEBORAH D PRYCE 1
5602 RIVERSIDE DR
DUBLIN OH
43017-1547

12/20 BALANCE	3,146.14
0 DEPOSITS	0.00
1 WITHDRAWALS/FEES	250.00
INTEREST EARNED	5.07
INTEREST WITHHELD	
01/19 BALANCE	2,901.21

BYE BYE BILLS!
IT'S TIME TO KISS YOUR BILLS GOODBYE WITH A HOME EQUITY LOAN FROM
FIFTH THIRD BANK. BORROW UP TO 100% OF THE EQUITY IN YOUR HOME
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OUR GREAT LOW RATES! CONSULT TAX ADVISOR EQUAL HOUSING LENDER

2001 INTEREST EARNED 5.07 FOR TAX ID 284-50-5500

CHECK	DATE	AMOUNT	CHECK	DATE	AMOUNT	CHECK	DATE	AMOUNT
1043	01/03	250.00						

DATE	AMOUNT	DESCRIPTION
01/19	5.07+	INTEREST

DAILY BALANCE		DAILY BALANCE		DAILY BALANCE		DAILY BALANCE	
01/03	2,896.14	01/19	2,901.21				

5.07 IS THE INTEREST EARNED FOR THE STATEMENT PERIOD.
2.00% IS THE ANNUAL PERCENTAGE YIELD EARNED FOR THE STATEMENT PERIOD.

Fifth Third Bank

EXPERIENCE A NEW WAY TO BANK AT WWW.53.COM!
APPLY ONLINE FOR A FIFTH THIRD HOME EQUITY LOAN OR LINE OF CREDIT.
IT'S EASY, QUICK AND CONVENIENT TO APPLY ONLINE - DO IT TODAY!
EQUAL HOUSING LENDER.

Merrill Lynch
Pierce, Fenner & Smith Inc.
Member, Securities Investor Protection Corporation (SIPC)

Statement of Retirement Account

ACCOUNT #	F/C#	PAGE #	SOCIAL SEC. #
656 92087	9874	1	294-50-5500

STATEMENT PERIOD	EIN NUMBER
09/30/00 TO 12/29/00	00-0000000

FINANCIAL CONSULTANT	ACCOUNT TYPE
(000) 000-0000	IRA

OFFICE SERVING YOUR ACCOUNT
65 E. STATE ST., SUITE 2600
COLUMBUS OH 43215

TRANSFER TO 54H82448 000803 *
DEBORAH PRYCE IRA
FBO DEBORAH PRYCE
5602 RIVERSIDE DR
DUBLIN OH 43017-1547

***** ACCOUNT SUMMARY *****

PRICED PORTFOLIO		DIVIDEND/INTEREST	
AS OF 12/29/00. . . .	$.00	THIS STATEMENT.....	$.00
AS OF 09/29/00. . . .	$.00	THIS YEAR	$98.41

***** CONTRIBUTION INFORMATION *****

TAX YEAR 1999	TAX YEAR 2000
$.00	$.00

***** DISTRIBUTION INFORMATION *****

TAX YEAR 1999	TAX YEAR 2000
$.00	$.00

IN CALCULATING MANDATORY DISTRIBUTIONS, OR FOR THE PURPOSE OF COMPLETING IRS FORM 86
YOU MUST USE THE VALUE OF YOUR ACCOUNT AS OF 12/31/00. THIS VALUE SHOULD INCLUDE YOUR
PRICED PORTFOLIO TOTAL AND THE VALUE OF CERTAIN LIMITED PARTNERSHIPS AND OTHER DIRECT
INVESTMENTS, AS WELL AS ANY SECURITIES THAT WE ARE UNABLE TO PRICE - MARKED
"UNAVAILABLE". THE "YEAR-END PLAN VALUE" BELOW CONSISTS OF YOUR PRICED PORTFOLIO TOTA
PLUS THE ESTIMATED VALUE OF LIMITED PARTNERSHIPS OR OTHER DIRECT INVESTMENTS REPORTED
ON YOUR YEAR-END STATEMENT.

YEAR-END PLAN VALUE AS OF 12/31/00:

FOR IRA, IRRA AND SEP/IRA ACCOUNTS, THE YEAR-END PLAN VALUE REPRESENTS THE VALUATION
WE MUST FURNISH TO YOU AND THE INTERNAL REVENUE SERVICE AS PART OF THE IRS FORM 5498
REPORTING REQUIREMENTS.

HAVE YOU MADE YOUR YEAR 2000 IRA CONTRIBUTION? YOU HAVE UNTIL APRIL 16, 2001,
TO MAKE AN IRA CONTRIBUTION FOR THE YEAR 2000. CONTACT YOUR FC FOR DETAILS.

000015431 END OF STATEMENT DECEMBER, 20

Please advise you, Financial Consultant, immediately of any discrepancies on your statement and you contemplate charging your address. When making inquiries, please mention your account number and address of correspondence to the office servicing your account. Please retain this statement for your tax records. See reverse side for explanation of key terms.

CODE 5029P(IRA)99

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MERRILL LYNCH RCMA® ACCOUNT

AWARD WINNING STATEMENT

TOTAL ACCOUNT VALUE AS OF 12/29/2000 $32,304.57

RANDY/L/WALKER
145 E RICH ST
COLUMBUS OH 43215

YOUR FINANCIAL CONSULTANT:
THOMAS C PULERI
Thomas_Puleri@ml.com
(614) 475-2798

Your Merrill Lynch Office:
2 EASTON OVAL SUITE 100
COLUMBUS OH 43219

FOR CUSTOMER SERVICE QUESTIONS: 1-800-MER-WCMA (1-800-637-9262)

Monthly Portfolio Summary

Asset	11/30/00 Value	%	12/29/00 Value	%
Cash/Money Accounts	11,168	35	11,661	36
CD's/Equivalents				
Government Securities				
Corporate Bonds				
Municipal Bonds				
Equities				
Mutual Funds	20,439	65	20,642	64
Options				
Other				
Long Market Value	31,608		32,304	
Short Market Value				
Estimated Accrued Interest				
Debit Balance				
Net Portfolio Value	31,608		32,304	

Income Summary

	This Statement	Year-to-Date
Money Fund Dividends	56.60	285.72
Tax-Exempt Funds		
Tax-Exempt Interest		
Reportable Interest		
Reportable Dividends	4,514.62	4,550.56
Income Not Reported		
Total	4,571.22	4,836.28

Items for Attention

Security	Message	Date
	No Items For Attention	

Purchasing Power 11,621 Investor Credit Line

NEWS

Do you have two or more accounts with Merrill Lynch? Keep them together with the free CMA Link(SM) statement service. It can help you monitor & manage the assets in all your Merrill Lynch accounts. Each month you'll get individual statements for all linked accounts in one package, along with a summary page. Call your FC for more details.

Financial Market Indicators

	This Statement	Last Statement	Previous Year-End
Dow Jones Industrial Average	10787.99	10414.49	11497.12
Three-Month Treasury Bills	5.88%	6.20%	5.31%
Long-Term Treasury Bonds	5.45%	5.61%	6.47%

PLEASE SEE REVERSE SIDE

Page Statement Period Account No.

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Merrill Lynch

MERRILL LYNCH RCMA® ACCOUNT

AWARD WINNING STATEMENT

RANDY/L/WALKER

Cash Flow Summary

Activity Summary	This Statement	Year-to-Date	Credits	This Statement	Year-to-Date	Debits	This Statement	Year-to-Date
Opening Balance Cash & Money Accounts	11,128.52		Sales Income	4,571.22	4,836.28	Purchases Withdrawals	4,078.05	4,158.66
			Funds Received			Other		
			Other		5.07			
Net Credits & Debits	493.17	682.69						
Closing Balance Cash & Money Accounts	11,621.69		Total Credits	4,571.22	4,841.35	Total Debits	4,078.05	4,158.66

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
	CASH			16		16				
11,605	CMA MONEY FUND		1.00	11,605	1.00	11,605			709	6.11
40	ML INSTITUTIONAL FUND B		1.00	40	1.00	40			2	6.42

PLEASE SEE REVERSE SIDE

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Merrill Lynch

MERRILL LYNCH RCMA® ACCOUNT

AWARD WINNING STATEMENT

RANDY/L/WALKER

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
	(.6700 FRACTIONAL SHARE)									
	Total Cash/Money Accounts			11,661		11,662			711	6.11

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
82	ML EUROFUND FD CL D			N/A	N/A	N/A	15.12	1,239	N/A		
	(.6030 FRACTIONAL SHARE)			N/A	N/A	N/A	15.12	9	N/A		
	Total Client Investment	N/A									
	Investment Return	N/A									
125	ML FUNDAMENTAL GROWTH C			05/05/94	9.51	1,189	21.18	2,647	1,457		
	(.6110 FRACTIONAL SHARE)			N/A	N/A	N/A	21.18	12	N/A		
	Total Client Investment			$1,189							
	Investment Return			$1,457							

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Merrill Lynch

MERRILL LYNCH **RCMA**® ACCOUNT

AWARD WINNING STATEMENT

RANDY/L/WALKER

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Curre Yield %
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
105	ML GLOBAL TECH FD CL B (.6200 FRACTIONAL SHARE)			08/05/99	13.19	1,405	15.34	1,610	201		
				N/A	N/A	N/A	15.34	9	N/A		
	Total Client Investment			$1,331							
	Investment Return			$278							
128	ML INTL EQUITY FD CL D (.2330 FRACTIONAL SHARE)			N/A	N/A	N/A	9.88	1,264	N/A		
				N/A	N/A	N/A	9.88	2	N/A		
	Total Client Investment	N/A									
	Investment Return	N/A									
65	ML SMALL CAP VALUE FUND CL D			05/05/95	16.56	1,075	19.57	1,272	195		
18	ML SMALL CAP VALUE FUND CL D (.2490 FRACTIONAL SHARE)			N/A	N/A	N/A	19.57	352	N/A		
				N/A	N/A	N/A	19.57	4	N/A		
	Total Client Investment			$1,075							
	Investment Return			$195							
699	MONTGOMERY GLBL LONG-SHORT FD CL B (.6690 FRACTIONAL SHARE)			08/11/98	15.27	10,639	14.59	10,199	(440)		
				N/A	N/A	N/A	14.59	9	N/A		
	Total Client Investment			$5,198							
	Investment Return			$4,820							

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Merrill Lynch

MERRILL LYNCH RCMA® ACCOUNT

AWARD WINNING STATEMENT

RANDY/L/WALKER

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Total Mutual Funds - Equity					14,312		18,633	1,815		
Mutual Funds - Fixed Income											
143	ML GLOBAL BD FOR I&R B			05/05/94	9.38	1,342	8.13	1,162	(179)	43	3.76
	(.8720 FRACTIONAL SHARE)			N/A	N/A	N/A	8.13	7	N/A		3.76
	Total Client Investment			$1,342							
	Investment Return			($179)							
145	ML WORLD INCOME FD CL B			05/05/94	8.72	1,264	5.75	833	(430)	83	9.98
	(.9060 FRACTIONAL SHARE)			N/A	N/A	N/A	5.75	5	N/A		9.98
	Total Client Investment			$1,264							
	Investment Return			($430)							
	Total Mutual Funds - Fixed Income					2,606		2,008	(609)	127	6.36
	Total Mutual Funds					16,919		20,642	806	127	.62

DATE ACQUIRED: Date of your initial investment in this fund.
UNIT COST BASIS: Average cost basis for all shares owned
UNREALIZED GAIN or (LOSS): Total market value minus total cost basis

TOTAL CLIENT INVESTMENT: Cost of shares directly purchased (excluding shares purchased through reinvestment)
INVESTMENT RETURN: Value of reinvested shares plus capital appreciation(depreciation) of all shares purchased, including through reinvestment.

Total of Long Portfolio	28,581*	32,304	806 *	839	2.60

* - Excludes N/A items

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MERRILL LYNCH RCMA® ACCOUNT

AWARD WINNING STATEMENT

RANDY/L/WALKER

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Security Transactions			
12/11	Divd Reinv	173	MONTGOMERY GLBL LONG-SHORT FD CL B REINV AMOUNT $2786.14 REINV PRICE $16.09000 QUANTITY BOT 173.1600 AS OF 12/08			
12/11	Divd Reinv	79	MONTGOMERY GLBL LONG-SHORT FD CL B REINV AMOUNT $1286.96 REINV PRICE $16.09000 QUANTITY BOT 79.9850 AS OF 12/08			
12/11	Journal Entry	1	MONTGOMERY GLBL LONG-SHORT FD CL B FULL SHARE ACCUM			
			Net Total			
			Dividends and Interest			
12/01	* Dividend		ML INSTITUTIONAL FUND B			.21
12/08	* Lg Tm Cap Gain		ML SMALL CAP VALUE FUND CL D			17.81
12/08	* Sh Tm Cap Gain		ML SMALL CAP VALUE FUND CL D			88.82
12/11	* Lg Tm Cap Gain		MONTGOMERY GLBL LONG-SHORT FD CL B			1,286.96
12/11	* Sh Tm Cap Gain		MONTGOMERY GLBL LONG-SHORT FD CL B			2,786.14
12/20	* Lg Tm Cap Gain		ML INTL EQUITY FD CL D			11.30
12/20	* Lg Tm Cap Gain		ML EUROFUND FD CL D			41.59

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Merrill Lynch

MERRILL LYNCH RCMA® ACCOUNT

AWARD WINNING STATEMENT

RANDY/L/WALKER

Monthly Activity

Date		Transaction	Quantity	Description	Price	Debit	Credit
				Dividends and Interest			
12/20	*	Sh Tm Cap Gain		ML EUROFUND FD CL D			23.23
12/21	*	Lg Tm Cap Gain		ML FUNDAMENTAL GROWTH C			49.92
12/21	*	Sh Tm Cap Gain		ML FUNDAMENTAL GROWTH C			173.19
12/29	*	Dividend		ML GLOBAL BD FOR I&R B			5.55
12/29		Share Dividend	56	CMA MONEY FUND			
12/29		Cash Dividend		CMA MONEY FUND FROM 11-30 THRU 12-29			.60
12/29	*	Dividend		ML WORLD INCOME FD CL B			9.68
12/29	*	Dividend		ML INSTITUTIONAL FUND B			.22
		Income Total		CMA MONEY FUND			56.00
				Net Total			4,571.22
				Other Activity			
12/01		Reinvestment		ML INSTITUTIONAL FUND B		.21	
12/01		Divd Reinv		ML INSTITUTIONAL FUND B AGENT AS OF 11/30/00 REINV AMOUNT $.21 REINV PRICE $1.00 QUANTITY BOT .2100			
12/11		Reinvestment		MONTGOMERY GLBL LONG-SHORT FD CL B		1,286.96	
12/11		Reinvestment		MONTGOMERY GLBL LONG-SHORT FD CL B		2,786.10	
12/20		Fgn STCG Tax		ML EUROFUND FD CL D		4.52	
12/29		Reinvestment		ML INSTITUTIONAL FUND B		.22	

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Merrill Lynch

MERRILL LYNCH RCMA® ACCOUNT

AWARD WINNING STATEMENT

RANDY/L/WALKER

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Other Activity			
12/29	Divd Reinv		NL INSTITUTIONAL FUND B AGENT AS OF 12/29/00 REINV AMOUNT $.22 REINV PRICE $1.00 QUANTITY BOT .2200			
			Net Total		8,078.05	

PLEASE SEE REVERSE SIDE

Page 8 OF 14 | Statement Period 11/01/00 TO 12/28/00 | Account No. 584-05061

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Merrill Lynch

MERRILL LYNCH RCMA® ACCOUNT

AWARD WINNING STATEMENT

RANDY/L/WALKER

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
12/08	* Lg Tm Cap Gain		ML SMALL CAP VALUE FUND CL D		37.81CR		
12/08	* Sh Tm Cap Gain		ML SMALL CAP VALUE FUND CL D		88.82CR	127.15CR	11,128
12/11	* Lg Tm Cap Gain		MONTGOMERY GLBL LONG-SHORT FD CL B		1,286.96CR		
12/11	Reinvestment		MONTGOMERY GLBL LONG-SHORT FD CL B		1,286.96		
12/11	* Sh Tm Cap Gain		MONTGOMERY GLBL LONG-SHORT FD CL B		2,786.14CR		
12/11	Reinvestment		MONTGOMERY GLBL LONG-SHORT FD CL B		2,786.14		
12/11	Divd Reinv	173	MONTGOMERY GLBL LONG-SHORT FD CL B REINV AMOUNT $2786.14 REINV PRICE $16.09000 QUANTITY BOT 173.1600 AS OF 12/08				
12/11	Divd Reinv	79	MONTGOMERY GLBL LONG-SHORT FD CL B REINV AMOUNT $1286.96 REINV PRICE $16.09000 QUANTITY BOT 79.9850 AS OF 12/08				
12/11	Journal Entry	1	MONTGOMERY GLBL LONG-SHORT FD CL B FULL SHARE ACCUM				
12/11	Purchase	127	CMA MONEY FUND		127.00	.15CR	11,255
12/20	* Lg Tm Cap Gain		ML INTL EQUITY FD CL D		11.30CR		
12/20	* Lg Tm Cap Gain		ML EUROFUND FD CL D		41.59CR		
12/20	* Sh Tm Cap Gain		ML EUROFUND FD CL D		23.21CR		
12/20	Fgn STCG Tax		ML EUROFUND FD CL D		4.52	71.75CR	11,255
12/21	* Lg Tm Cap Gain		ML FUNDAMENTAL GROWTH C		49.92CR		

363449 J PLEASE SEE REVERSE SIDE

Page 40 OF 46 CODE 8 0000 Settlement Period 09/01/00 TO 12/29/00 Account No. 544-05081 014000

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Merrill Lynch

MERRILL LYNCH RCMA® ACCOUNT

AWARD WINNING STATEMENT

RANDY/L/WALKER

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
12/21	* Sh Tm Cap Gain		ML FUNDAMENTAL GROWTH C		173.19CR		
12/21	Purchase	-71	CMA MONEY FUND		71.00	223.86CR	11,326
12/22	Purchase	223	CMA MONEY FUND		223.00	.86CR	11,549
12/29	* Dividend		ML GLOBAL BD FOR I&R B		5.55CR		
12/29	Share Dividend	56	CMA MONEY FUND				
12/29	Cash Dividend		CMA MONEY FUND		.60CR		
			FROM 11-30 THRU 12-29				
12/29	* Dividend		ML WORLD INCOME FD CL B		9.68CR		
12/29	* Dividend		ML INSTITUTIONAL FUND B		.22CR		
12/29	Reinvestment		ML INSTITUTIONAL FUND B		.22		
12/29	Divd Reinv		ML INSTITUTIONAL FUND B				
			AGENT AS OF 12/29/00				
			REINV AMOUNT $.22				
			REINV PRICE $1.00				
			QUANTITY BOT .2200				
		40	Consolidation of Money Funds				
12/29	Closing Balance				16.69CR	16.69CR	11,645

Customer Service

For information about your account, please call 1-800-MER-WCMA (1-800-637-9262). To report Lost or Stolen Visa Cards or Checks please call 1-800-262-5678. When requested, enter your Access Code 28-544-65081.

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PLEASE SEE REVERSE SIDE

Page	Statement Period	Account No.
11 OF 12	12/01/00 TO 12/29/00	544-05081

Merrill Lynch

MERRILL LYNCH RCMA® ACCOUNT

AWARD WINNING STATEMENT

RANDY/L/WALKER

Daily Activity Review

Date	Transaction	Quantity	Description	Price	Amount	Cash Balance	Money Account Balance
12/08	* Lg Tm Cap Gain		ML SMALL CAP VALUE FUND CL D		37.81CR		
12/08	* Sh Tm Cap Gain		ML SMALL CAP VALUE FUND CL D		88.82CR	127.15CR	11,128
12/11	* Lg Tm Cap Gain		MONTGOMERY GLBL LONG-SHORT FD CL B		1,286.96CR		
12/11	Reinvestment		MONTGOMERY GLBL LONG-SHORT FD CL B		1,286.96		
12/11	* Sh Tm Cap Gain		MONTGOMERY GLBL LONG-SHORT FD CL B		2,786.14CR		
12/11	Reinvestment		MONTGOMERY GLBL LONG-SHORT FD CL B		2,786.14		
12/11	Divd Reinv	173	MONTGOMERY GLBL LONG-SHORT FD CL B REINV AMOUNT $2786.14 REINV PRICE $16.09000 QUANTITY BOT 173.1600 AS OF 12/08				
12/11	Divd Reinv	79	MONTGOMERY GLBL LONG-SHORT FD CL B REINV AMOUNT $1286.96 REINV PRICE $16.09000 QUANTITY BOT 79.9850 AS OF 12/08				
12/11	Journal Entry	1	MONTGOMRY GLBL LONG-SHORT FD CL B FULL SHARE ACCUN				
12/11	Purchase	127	CMA MONEY FUND		127.00	.15CR	11,255
12/20	* Lg Tm Cap Gain		ML INTL EQUITY FD CL D		11.30CR		
12/20	* Lg Tm Cap Gain		ML EUROFUND FD CL D		41.59CR		
12/20	* Sh Tm Cap Gain		ML EUROFUND FD CL D		23.23CR		
12/20	Fgn STCG Tax		ML EUROFUND FD CL D		4.52	71.75CR	11,255
12/21	* Lg Tm Cap Gain		ML FUNDAMENTAL GROWTH C		49.92CR		

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U.S. CONGRESS
DEBORAH PRYCE

JUN 11 2001

June 11, 2001

RE: Financial Disclosure Statement request for additional information, Deborah D. Pryce, OH 15th District

The Clerk, US House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, DC 20515-6612

01 JUN 12 PM 2:38

Dear Sir or Madam:

As requested, attached is the Fifth Third Securities statement (disclosed on page 4, line 4 of the 2000 Financial Disclosure) for the spouse of Deborah Pryce, Randy Walker. As explained to Mr. Walker by Fifth Third Bank, the bank had many computer problems at the end of 2000, and neglected printing year-end IRA statements for many of its customers. Mr. Walker did not receive his year-end IRA statement and the bank could not even print an additional copy of the statement when requested by Mr. Walker. Fifth Third Bank did provide to Mr. Walker a 1st quarter 2001 statement showing the value at the end of 2000 which we have attached to this letter.

We apologize for any inconvenience this may have caused. Thank you for your help and please contact us with any additional questions.

Sincerely,

Robert J. Beck, Treasurer

Robert J. Beck

Treasurer

145 East Rich Street · Columbus, Ohio 43215 – 614/228-1994 · Fax 614/228-1344

Paid for by the Pryce for Congress Committee · Robert J. Beck, CPA · Treasurer

Fifth Third Securities, Inc.
34 Fountain Square Plaza • Cincinnati, Ohio 45202
(513) 744-8888 • (800) 334-0483
Internet Address "Investments.53.com"

Fifth Third Securities is not a bank and securities offered by it are not insured by the FDIC or any other governmental agency, are not deposits or obligations of any bank, are not endorsed or guaranteed by any bank, and are subject to investment risks, including the possible loss of principal.

AGB F078 001454 001453 0825209 2001091 0
SELF DIRECTED IRA FBO
RANDY L WALKER
145 E RICH ST
COLUMBUS, OH. 43215

Acct #	Period	Page
45521286	12/29/00 - 03/30/01	1 of 2

Office #	Rep #	Rep Phone #
AGB	F078	(614) 233-4483

Rep Name
MR STEVEN J TRUITT

IF ALL THE RECENT MARKET VOLATILITY HAS YOU CONCERNED, PLEASE CALL YOUR INVESTMENT EXECUTIVE TO REVIEW YOUR PORTFOLIO AND ANSWER ANY QUESTIONS YOU MAY HAVE

Account Value Summary

Investment Allocation
March 30, 2001

Mutual Funds

Description	This Statement	%	Last Statement	%
Mutual Funds	$8,908.86	100	$10,722.88	100
Total Assets	**$8,908.86**	**100**	**$10,722.88**	**100**
Account Value	**$8,908.86**		**$10,722.88**	

Net Change -16.9% Decrease

Account Value Detail

Acct Type	Quantity	Description	Symbol	Current Price	Market Value	Est Annual Income	Est Yield
		Mutual Funds					
Cash	503.895	FIFTH THIRD QUALITY GROWTH FUND CLASS A	FSQGX	17.680	8,908.86		
		Mutual Funds total market value:			8,908.86		
		ACCOUNT VALUE:			8,908.86		

Retirement Account Summary

The December 31, 2000 account value shown below is the fair market value of your account for IRS reporting purposes. You may have received a statement for February in which the December 31 value was misstated. We apologize for any inconvenience this may have caused.

December 31, 2000 Account Value $10,722.88

REMINDER - NO CONTRIBUTIONS HAVE BEEN MADE FOR CURRENT YEAR

End of Statement

Accounts carried with Fifth Third Securities, Inc. a member of the NYSE, NASD and other principal exchanges. SIPC.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 10 PM 4:31
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(5)

HAND DELIVERED
(Office Use Only)

ADAM HUGHES PUTNAM
(Full Name)

P. O. BOX 2426
(Mailing Address)

(202) 225-1252
Daytime Telephone

BARTOW, FL 33831

Filer Status	[X] Member of the U.S. House of Representatives	State FLORIDA District 12	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** N/A	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.** N/A	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Adam H. Putnam	5/10/01

3945

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source		Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
STATE OF FLORIDA		SALARY STATE REPRESENTATIVE	23,475.
SCHOOL BOARD OF POLK COUNTY, FLORIDA		SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name ADAM HUGHES PUTNAM

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, or E
SP / DC / JT	*Examples*				
SP	Mega Corp Stock	X	X	IV $1,001 – $2,500	P
DC	Simon & Schuster	Indefinite	Royalties	X	
JT	1st Bank of Paducah, KY accounts	X	X	VI $5,001 – $15,000	
	STOCK CITRUS & CHEMICAL BANK	X	X	III $201 – $1,000	
	VAN KAMPEN EMERGING GROWTH FUND B	X	X	II $1 – $200	
	PUTNAM GROVES, INC. OWNERSHIP SHARE	BOOK VALUE X	S CORP INCOME	VIII $50,001 – $100,000	
	DUDLEY PUTNAM, INC. OWNERSHIP SHARE	BOOK VALUE X		I None	
	PART INTEREST VACANT LAND LAKE AURORA POLK CNTY FL	X		I None	
	FEDERATED FLORIDA MUNICIPAL CASH TRUST	X	X	III $201 – $1,000	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ADAM HUGHES PUTNAM

Page 4 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	SUNTRUST BANK CHECKING				X											X					X											
	SUNTRUST INVESTMENTS CLASSIC FUNDS:																															
	SMALL CAP VALUE EQUITY			X										X								X										
	CAPITAL GROWTH FUND (LG. CAP APPRECIATION)				X									X			X								X							P,S
	INTERNATIONAL EQUITY FUND TRUST				X									X			X								X							P,S
	INVESTMENT GRADE TAX-EXEMPT BOND FUND			X										X										X								S
	MID-CAP EQUITY TRUST FUND				X									X			X								X							P
	SMALL CAP GROWTH TRUST FUND				X									X			X							X								P
	GROWTH & INCOME TRUST FUND				X									X			X						X									P
	VALUE INCOME STOCK FUND	X												X									X									S
	PRIME MONEY MARKET (EXCHANGED INTO FEDERATED FLORIDA MUNICIPAL CASH TR	X												X									X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name ADAM HUGHES PUTNAM

Page 5 of 5

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	SUNTRUST INVESTMENTS CLASSIC FUNDS:														
	INTERNATIONAL EQUITY FUND TRUST	X			08-24-00 08-16-00	X									
	GROWTH AND INCOME TRUST SHARES	X			08-24-00		X								
	MID-CAP EQUITY TRUST FUND	X			08-24-00 12-14-00	X									
	CAPITAL GROWTH FUND	X			08-24-00	X									
	SMALL CAP GROWTH TRUST FUND	X			08-24-00 12-14-00 11-30-00	X									
	INTERNATIONAL EQUITY FUND TRUST		X		12-05-00	X									
	VALUE INCOME STOCK FUND		X		08-15-00		X								
	CAPITAL GROWTH FUND		X		12-05-00 11-30-00	X									
	INVESTMENT GRADE TAX EXEMPT BOND FUND		X		12-08-00 12-28-00		X								

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:06
(Office Use Only)

JACK QUINN
(Full Name)
2448 RAYBURN HOUSE OFFICE BLDG
(Mailing Address)
WASHINGTON, DC 20515
Daytime Telephone 202-225-3306

Filer Status	[X] Member of the U.S. House of Representatives	State NY Distrct 30	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jack Quinn	May 14, 2001

39950

Name	Page
JACKQUINN	1 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NEW YORK HEALTH DEPARTMENT	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name JACK QUINN | Page 2 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A Asset and/or Income Source		BLOCK B Value of Asset	BLOCK C Type of Income					BLOCK D Amount of Income											BLOCK E Transaction
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples	SP	Mega Corp Stock				X								X										X								P
DC			Simon & Schuster			Indefinite															Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X										X						
JT	KEYBANK CAMP RD HAMB 2-CD ACCTS					X									X									X									
JT	MUTUAL FUNDS WASHINGTON MUTUAL INVESTMENTS					X									X									X									
JT	PAYROLL SAVINGS KEYBANK - CAMP RD. HAMB				X										X								X										
JT	STOCKS (PHARMERICA PHARMACIA MONTSANTO)				X										X								X										
DC	MUTUAL FUNDS (JACK III) WASHINGTON MUTUAL INVES.				X										X								X										
DC	MUTUAL FUNDS (KARI) WASHINGTON MUTUAL INVEST.					X									X									X									

For additional assets and unearned income, use next page.

3952

JACK QUINN
[illegible] DISTRICT, NEW YORK
COMMITTEE:
TRANSPORTATION AND INFRASTRUCTURE
CHAIRMAN, SUBCOMMITTEE ON AIRPORTS
SUBCOMMITTEE ON AVIATION
SUBCOMMITTEE ON HIGHWAYS AND TRANSIT
VETERANS' AFFAIRS
SUBCOMMITTEE ON BENEFITS
CHAIRMAN, NORTHEAST MARITIME [illegible]
CHAIRMAN, STEEL CAUCUS

Congress of the United States
House of Representatives
Washington, D.C. 20515-3230

PLEASE REPLY TO:
WASHINGTON OFFICE
[illegible]
WASHINGTON, D.C. 20515
[illegible]
Fax: [illegible]
MAIN OFFICE
[illegible]
[illegible]
[illegible]
Fax: [illegible]
SATELLITE OFFICE
[illegible]
[illegible]
[illegible]

May 14, 2001

Financial Disclosure Addendum

Our family financial situation continues to be affected by Educational Expenses.

College tuition for our daughter, Kara, at Georgetown University in Washington, DC was approximately $36,000 in 2000.

Our son, Jack III, is currently enrolled at SUNY at Buffalo Law School, for which our contribution is approximately $4,000.

We received no financial assistance and expect this situation to continue for the future, as Kara will be attending Georgetown University and Jack III will be attending law school.

Very Truly Yours,

Jack Quinn
Member of Congress

JACK QUINN
30TH DISTRICT, NEW YORK

COMMITTEES:

TRANSPORTATION AND INFRASTRUCTURE
CHAIRMAN, SUBCOMMITTEE ON RAILROADS
SUBCOMMITTEE ON AVIATION
SUBCOMMITTEE ON HIGHWAYS AND TRANSIT

VETERANS' AFFAIRS
SUBCOMMITTEE ON BENEFITS

CHAIRMAN, NORTHEAST MIDWEST COALITION
CHAIRMAN, STEEL CAUCUS

Congress of the United States
House of Representatives
Washington, D.C. 20515-3230

PLEASE RESPOND TO:

WASHINGTON OFFICE
2448 RAYBURN BUILDING
WASHINGTON, DC 20515
(202) 225-3306
FAX (202) 226-0347

MAIN OFFICE
403 MAIN STREET
SUITE 240
BUFFALO, NY 14203-2199
(716) 845-5257
FAX (716) 847-0323

SATELLITE OFFICE
1490 JEFFERSON AVENUE
BUFFALO, NY 14208
(716) 886-4076

May 14, 2001

I wish to specify that Schedule III, p. 2 of my 2000 Financial Disclosure forms to reflect the following:

1. to clarify that:
   - A. The payroll savings account is at the Key Bank in Hamburg, New York, and
   - B. The Washington Mutual Investment asset is one mutual fund.

Thank you very much for your assistance.

Very Truly Yours,

Jack Quinn
Member of Congress

INTERNET HOME AND WEBMAIL www.house.gov/quinn

GEORGE RADANOVICH
19TH DISTRICT, CALIFORNIA

COMMITTEE ON BUDGET

COMMITTEE ON INTERNATIONAL RELATIONS

COMMITTEE ON RESOURCES

U.S. House of Representatives
Washington, DC 20515–0519

123 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-0519
(202) 225-4540
FAX (202) 225-3402

2377 WEST SHAW, SUITE 105
FRESNO, CA 93711
(559) 248-0800
FAX (559) 248-0169

http://www.house.gov/radanovich

HAND DELIVERED

(8)

MC

01 MAY 15 AM 10: 18

LEGISLATIVE RESOURCE CENTER

May 15, 2001

Hon. Jeff Trandahl, Clerk
U.S. House of Representatives
Legislative Resource Center
Room B-106 Cannon HOB
Washington, D.C. 20515-6612

Dear Mr. Trandahl:

Attached please find the original and two copies of my completed Financial Disclosure Statement for Calendar 2000. This form reports all reportable interests and activities from 2000, and has been prepared in compliance with instructions provided by the Committee on Standards of Official Conduct.

This form does reflect a change from prior year filings in that a 401(k) account held in my wife Ethie's name, is reported for the first time. In prior years, I was unaware that this account was a reportable interest, as I do not contribute and cannot influence the use of the account. The account is managed and held entirely by Ethie's employer, Woodward and McDowell. By this transmittal, I am amending my financial disclosures since my marriage in 1996 to reflect that spousal holding.

The 401(k) account is purchased in monthly installments totaling under $15,000 per year, generates dividend reinvestment income under $5,000 per year, and has had a value since 1997 of between $15,000 and $50,000. As of this date, I am not named as the beneficiary on the account, though I understand that this is not relevant as to its reportability.

Please let me know if you have any questions concerning this filing, or require any additional information in order for my filing to be complete.

Sincerely,

George Radanovich
Member of Congress

RECEIVED - CLERK'S OFFICE

01 MAY 15 PM 5:00

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

Office Use Only

George P. Radanovich
(Full Name)

123 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202 225-4540
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 19	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	George Radanovich	5/14/01

3956

SCHEDULE I - EARNED INCOME

Nam George P. Radanovich

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Woodward and McDowell Political Consulting Palo Alto, CA	Spouse Salary	NA

SCHEDULE III - ASSETS AND "UNE

Nam George P. Radanovich

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief de cription in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Stock in Radanovich Winery, Inc	$100,001 - $250,000	None	NONE	
Property 3891 Ben Hur Road	$100,001 - $250,000	None	NONE	
Spouse 401 (k) Woodward & McDowell	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P

3958

SCHEDULE IV - TRANSACTIONS

Nam George P. Radanovich

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Spouse 401 (k) Woodward & McDowell	P	monthly	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Nam George P. Radanovich

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Congressional Credit Union	Signiture Loan	$10,001 - $15,000
	Louise Hudson, Mariposa,CA	1st Trust Deed 3891 Ben Hur Road	$50,001 - $100,000
	Charlie Sihota, Tulare,CA	2nd trust deed 3891 Ben Hur Road	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AN

Nam George P Radanovich

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Saipan Chamber of Commerce	Jan 8- Jan 16	Wash DC - Saipan - San Francisco	Y	Y	Y	NA
US Wine Industry Conference	December 8,	Mariposa - Napa	Y	Y	Y	NA
National Water Resource Association	Nov 29 - Nov 30	Fresno - San Diego -Fresno	Y	Y	N	NA
Colorado River Water Users Assn	Dec 13-14	DC - Las Vegas - DC	Y	Y	N	NA

SCHEDULE VIII - POSITIONS

Nam George P. Radanovich

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Radanovich Winery, Inc

(124)

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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Nick Joe Rahall, II
(Full Name)

1801 Harper Road
(Mailing Address)

Beckley, WV 25801

Daytime Telephone (202) 225-3452

Filer Status	[X] Member of the U.S. House of Representatives	State WV District 3rd	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nick Joe Rahall	5-15-01

3963

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	WTNJ Radio Station, WV Broadcasting, Inc.	Bonus & Non-Employee Comp.	1,600

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
Maritime Trades Department/Seafarers	Speech	2/11/00	$1,000.00

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	BLOCK D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E: P, S, E
SP	Examples	Mega Corp Stock				X								X										X								P
DC		Simon & Schuster			Indefinite															Royalties									X			
JT		1st Bank of Paducah, KY accounts					X									X									X							
JT	EGR Industries, Inc. -							X							X												X					
	Investment Bldg & Land -																															
	Harper Road Co-Mac																															
	Cellular One, Beckley, WV																															
	1/2 with brother																															

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

BLOCK A Asset and/or Income Source (SP, DC, JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: Blk/Ex/Ends	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
WV Broadcasting WTNJ - P.O. Box 1127 Beckley, WV 25802-1127 Equipment Lease			X											X						X											
JT Hernando Co. FL; Land with brother, cousins and friends - Approximately 250 Acres				X									X								X										
JT H.L. & R Corp. - Raleigh County, WV Land and money market - 1/2 with brother							X						X		X										X						
Rahall Realty - Beckley, WV (12½)			X										X							X											
Hilton Head SC Time - Share Property - two week peak season			X																	X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	WV Broadcasting stock							X													X											
	First Union Securities								X					X		X	X									X						Attach. 15
	Account - See Attachment 1																															
	Salomon Smith Barney									X				X		X	X									X						Attach. 2 P.6
	Account - See Attachment 2																															
	Dean Witter Reynolds						X							X		X	X									X						Attach. 16
	Access #1 Acct. See Attachments 3 and 4																															
	Dean Witter Reynolds						X							X		X	X									X						Attach. 17
	Access #2 Acct. See Attachments 5 and 6																															
	Dean Witter Reynolds						X							X		X	X				X											Attach. 18
	Access #3 Acct. See Attachments 7 and 8																															

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Dean Witter Reynolds IRA Account. See Attachment 9				X									X		X	X									X						Attach. 19
	Barington Capital Account (Account Closed)	X																			X											
	Congressional Fed Credit Union Account			X												X						X										
DC	Suzanne Rahall - daughter - Wheat First Account (Attachment 12 and 13)					X								X		X	X									X						Attach. 20
DC	Suzanne Rahall - U.S. Senate Credit Union Savings Account (Account Closed 9/21/00)	X														X						X										
	BB&T, Oak Hill, WV Checking Account (Formerly One Valley Bank)			X												X						X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	.1712% Interest Qualified Housing Partners Limited Partnership			X																Partnership	X											
	.0396% Interest Scottsdale Land Trust L.P.	X																		Partnership						X						S
	3.88% Interest Oxford - Columbia Associated L.P.			X																Partnership						X						
	5.38% Interest SNI Development Company Partnership					X														Partnership							X					
	2.80% Interest Cambridge Heights Apartments Partnership			X																Partnership				X								
	.006449% Interest SB Partners Limited Partnership		X																	Partnership	X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Wheat First Securities SEP IRA - Attachment 14					X								X		X	X								X							Attach. 14
	.126664% Interest Chesapeak L.P.				X															Partnership				X								
	.0966% Portfolio Strategy Fund Partnership - Attachment 11						X													Partnership						X						
	Dean Witter Reynolds Account #642 115916 Attachments 10 and 11			X										X		X	X						X									
	Buckman, Buckman & Reid Account (Account Closed Jan. 2000)	X												X			X				X											
	First Union National Bank Checking Account			X																	X											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Joe Rahall, II

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	1301 Delaware Ave. SW #409 N, Washington, D.C. (Acquired in 1985 - Began renting in 1999)					X									X									X								

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Wheat First Securities Account - Attachment 15	X	X		See Attached						X				
	Salomon Smith Barney Account -Attachment 2-P.6		X		See Attached		X								
	Dean Witter Reynolds Access #1 Account	X	X		See Attached					X					
	Attachment 16														
	Dean Witter Reynolds Access #2 Account	X	X		See Attached		X								
	Attachment 17														
	Dean Witter Reynolds Access #3 Account	X	X		See Attached				X						
	Attachment 18														
DC	Suzanne Rahall - Wheat First Securities Acct.	X	X		See Attached				X						
	Attachment 20														

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SCHEDULE IV — TRANSACTIONS

Name Nick Joe Rahall, II

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Dean Witter Reynolds IRA Acct. - Attachment 19		X		See Attached	X									
	Wheat First Securities SEP IRA:	X			Various	X									
	Liberty Funds TR III & MFS Series TR I														
	.0396% Interest Scottsdale Land Trust L.P.		X		12-28-00		X								

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	MBNA America (Acct. 749 94412)	Cash Advances&Credit Charges		X								
	Citibank Mastercard	Cash Advances&Credit Charges		X								
	MBNA America (Acct. 4264 2904)	Cash Advances&Credit Charges		X								
	American Express Optima	Cash Advances&Credit Charges		X								
	First USA (Acct. 5417 1225 9464 2140)	Cash Advances&Credit Charges	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

	Source	Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE		

Use additional sheets if more space is required.

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						
	First USA (Acct. 4417 1258 8156 2130)	Cash Advances&Credit Charges	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
SMC3 (Southern Motor Carriers)	1/18-19/2000	Wash.Dulles to Atlanta,GA and return	Y	Y	N	0
National Motor Freight	2/4-7/2000	Wash.Dulles to Ft. Lauderdale to Charleston,WV to Wash. Dulles	Y	Y	N	0
Maritime Trade Department Seafarers International	2/10-11/2000	Wash.Dulles to New Orleans and return	Y	Y	N	0
AAI	2/13/2000	Wash/National to Detroit, MI and return	N	N	N	0
AAI	3/10/2000	Wash. Dulles to Detroit,MI and return	N	N	N	0
Nazir Hussein	4/15-16/2000	Wash. Dulles to Los Angeles, CA	Y	N	N	0
Islamic Institute	4/16-20/2000	Los Angeles to London to Doha, Qatar to London to Dulles	Y	Y	N	2
National Motor Frieght	8/4-5/00	Wash Dulles to Seattle,WA and return	Y	Y	N	0
CSX	9/2-4/00	Wash. DC to Lewisburg, WV to Wash. DC (No travel expenses were accepted)	Y	Y	0	0

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	WTNJ WV Broadcasting Corporation
Vice President & Secretary	H. L. & R. Corporation
Vice President & Secretary	E G R Industries, Inc.
Member	Professional Advisory Board of ALSAC/ St. Jude's Children's Research Hospital
Member	American Task Force for Lebanon Board of Directors

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

Nick J. Rahall II

Attachment to Financial Disclosure Statement

2000

Please note that assets belonging to dependent child N. J. Rahall, III have been excluded this year, since he is no longer a "dependent" within the meaning of section 152 of the Internal Revenue Code.

FIRST UNION Securities

Capital Resource Account for NICK JOE RAHALL II

December 1 - December 31, 2000

Current Investment Objective: Growth + Aggressive

Message from First Union Securities

IN THE FABLE THE TORTOISE ENDED UP BEATING THE HARE... AND THAT'S WHAT WE'RE EXPECTING IN THE STOCK MARKET IN 2001. MAKING "OLD ECONOMY" STOCKS AND LONG-TERM BONDS ATTRACTIVE TODAY. READ INVESTOR'S SPECTRUM TO LEARN MORE. THEN TALK WITH YOUR FINANCIAL ADVISOR.

Account No. 0808 65 89 9650

December 2000

Portfolio Summary

	Value on Nov. 30	Value on Dec. 31	
Total portfolio value	$564,734.12	$560,354.39	
		this period	
Change in value		$4,379.73	

Portfolio assets

	Value on Nov. 30	Value on Dec. 31	Percent of total assets
Cash and money market funds	$322,584.39	$298,290.60	53.23
Stocks and options	$70,270.28	$86,472.51	15.43
Bonds	$171,879.45	$175,591.20	31.34
Total portfolio value	**$564,734.12**	**$560,354.39**	**100%**

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FIRST UNION Securities

Portfolio Assets

Cash and money market funds

Description	Current market value	Yield to maturity	Current yield (%)
Cash	$2,[illegible]		
EVERGREEN CRT MONEY MARKET PORTFOLIO Interest Period 11/16/00 - 12/1/00	$[illegible]	[illegible]	[illegible]
Total cash and money market funds	$298,290.68		

Stocks and options

Stocks

Description	Symbol	# of shares	Unit price	Current market value	Unrealized gain/loss	Unrealized gain/loss (%)
AT HOME CORP SER A	ATHM	[illegible]	$[illegible]	$[illegible]	N/A	N/A
CHESAPEAKE L P (A MANAGED FUTURES [illegible]		[illegible]	$[illegible]	$[illegible]	N/A	N/A
DIGITAL ISLNG DEL COM	[illegible]	[illegible]	$[illegible]	$4,[illegible]	N/A	N/A
INKTOMI CORP	INKI	[illegible]	$[illegible]	$7,150.00	N/A	N/A
LIBERATE TECHNOLOGIES COM	LIBR1	[illegible]	$[illegible]	$[illegible]	N/A	N/A
MICROSOFT CORP	MSFT	[illegible]	$[illegible]	$4,937.50	N/A	N/A
QXL RICARDO P L C ADR NEW	QXLRD	[illegible]	$[illegible]	$[illegible]	N/A	N/A
RED HAT INC	RHAT	[illegible]	$6.2500	$12,500.00	N/A	N/A

Stocks and options

Stocks (continued)

Description	Symbol	Number of shares	Unit price	Current market value	Estimated income	Estimated yield (%)
SYCAMORE NETWORKS INC	SCMR	[illegible]	[illegible]	$7,[illegible]	N/A	N/A
Total Stocks				$86,659 51		

Options

Description	Symbol	Number of contracts	Current price of option	Current market value
CALL AT HOME CORP $30 EXP 01/20/01	AHGAE	10	[illegible]	$187 00
Total options				- $187 00
Total stocks and options				$86,472 51

Bonds

Municipal bonds

Description	Number of bonds	Unit price	Current market value	Accrued interest	Estimated income	Estimated yield (%)
BERKELEY CNTY W VA BEDG COMM HOSP REV CITY HOSP PROJ REDG 01-26 1% CPN: 6 000% DUE 11/01/01 DTD 09/15/92 FC 05/01/93 Moody N/R , S&P BBB+	25,000	[illegible]	$25,[illegible]54 25	$441 75	$1,500 00	5 96
WEST VIRGINIA ST BLDG COMMN LEASE REV SUB W VA REG JAIL C AMBAC DY= 4.26 CPN: 4 200% DUE 07/01/03 DTD 08/01/98 FC 01/01/99 Moody AAA , S&P AAA	25,000	99 966	$24,991 50	$519 25	$1,050 00	4 20

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FIRST UNION Securities

Account Statement for NICK JOE RAHALL II
Account No. BK06 1639 9650

December 2000

Bonds

Municipal bonds (continued)

Description	Par/Units	Unit price	Current market value	Accrued interest	Estimated income	Est. yield (%)
WEST VA PARKWAYS ECON DEV & TOURISM AUTH PKWY REV SER 1989 OY-7.15% CPN: 0.000% DUE 07/01/04 DTD 11/07/89 Moody AAA ; S&P AAA	15,000	[illegible]	[illegible]	N/A	N/A	N/A
TAMPA FLA WTR & SWR REV CPN: 0.000% DUE 10/01/05 DTD 05/01/82 Moody AAA ; S&P AAA	[illegible]	[illegible]	[illegible]	N/A	N/A	N/A
WEST VA ECON DEV AUTH RESIDNTL CARE FAC REV BD (EDGEWOOD SUMMIT) SER 93 CPN: 6.500% DUE 11/01/13 DTD 10/15/93 FC 05/01/94 CALL 11/01/03 @ 102.000 Moody N/R ; S&P N/R	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
KANAWHA PUTNAM CNTY HUNTINGTON CHARLESTON WV S/F MTG 84A OY=11.5% CPN: 0.000% DUE 12/01/16 DTD 09/19/84 Moody AAA ; S&P AAA	75,000	[illegible]	[illegible]	N/A	N/A	N/A
PUERTO RICO ELEC PWR AUTH PWR REV SERIES U OY-7.05% CPN: 0.000% DUE 07/01/17 DTD 08/24/89 Moody BAA1 ; S&P A	[illegible]	[illegible]	[illegible]	N/A	N/A	N/A

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Bonds

Municipal bonds (continued)

Description	Rail bonds	Current price	Current market value	Accrued interest	Est. ann income	Est. ann yield (%)
PRINCETON W VA HOSP REV RFDG-PRINCETON CMNTY HOSP CPN 6.000% DUE 05/01/18 DTD 05/01/93 FC 11/01/93 CALL 05/01/03 @ 102.000 Moody BAA3, S&P BBB	20,000	87.3150	$17,463.00	$199.40	$1,200.00	6.87
Total Municipal bonds			$175,591.20	$1,216.15	$5,375.00	3.06
Total Bonds			$175,591.20	$1,216.15	$5,375.00	3.06

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/05	Cash	SALE	-250.00000	INKTOMI CORP	29.8750	$6,880.30
12/05	Cash	TRANSFER FROM		EVERGREEN CRT MONEY MARKET PORTFOLIO	1.0000	$11,775.19
12/06	Cash	PURCHASE	1,000.00000	AT HOME CORP SER A	5.7500	$5,904.50
12/06	Cash	NAME CHANGE	-5,000.00000	QXL COM PLC AUS XXX		$0.00
12/06	Cash	NAME CHANGE	5,000.00000	QXL RICARDO PLC AUS		$0.00
12/11	Cash	TRANSFER FROM		EVERGREEN CRT MONEY MARKET PORTFOLIO	1.0000	$74.70

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FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

REP ACCOUNT	PAGE
BK08 6539-9650	1 OF 8
TAXPAYER ID: 236-78-86-5	

FOR QUESTIONS CALL:
(304)252-6221
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

NICK JOE RAHALL II
216 1/2 MAIN ST
BECKLEY WV 25801-4613

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE.
IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.
ISSUE DATE: 01/18/01

DIVIDENDS AND DISTRIBUTIONS

DESCRIPTION			ACTIVITY	AMOUNT	IRS BOX	COUNTRY
EVERGREEN CRT MONEY	*	(1)	DIVIDEND	$19,301.71	1	

IRS BOX 1099-DIV -- TOTALS FOR TAX YEAR 2000
SUBSTITUTE FOR IRS FORM 1099-DIV, OMB NO. 1545-0110

1.	ORDINARY DIVIDENDS	$19,301.71
2A.	TOTAL CAPITAL GAINS DISTRIBUTIONS	$0.00
2B.	28 % RATE GAIN	$0.00
2C.	UNRECAP 1250 GAIN	$0.00
2D.	SECTION 1202 GAIN	$0.00
3.	NONTAXABLE DISTRIBUTIONS	$0.00
4.	FEDERAL INCOME TAX WITHHELD	$0.00
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	LIQUIDATION DISTRIBUTIONS	$0.00

(1) INCLUDES A SERVICE FEE OF $690.10 THAT MAY BE DEDUCTIBLE

001649

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FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II	REP ACCOUNT	PAGE
216 1/2 MAIN ST	BK08 6539-9650	4 OF 8
BECKLEY WV 25801-4613	TAXPAYER ID: 236-78-8645	

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

ISSUE DATE: 01/18/01

THIS SECTION LISTS MISCELLANEOUS INFORMATION WHICH CAN BE HELPFUL IN THE PREPARATION OF YOUR TAX RETURN. IT LISTS NON FEDERALLY REPORTABLE INCOME WHICH MAY OR MAY NOT BE TAXABLE AT THE STATE OR LOCAL LEVEL. PLEASE REVIEW THIS INFORMATION WITH YOUR TAX ADVISOR.

DESCRIPTION	ACTIVITY	AMOUNT	REF #
BERKELEY CO WV BLDG 6%01	INTEREST	$1,500.00	2
KANAWHA PUTNAM CO 0%16	OID LONG TERM	$1,339.04	2
NC ED DUKE UNIV CAB 0%00	OID LONG TERM	$1,483.93	2
PRINCETON WV HSP 6%18	INTEREST	$1,200.00	2
PR ELEC PWR 0.00%17	OID LONG TERM	$640.20	2
TAMPA FL 0.00%05	OID LONG TERM	$1,172.05	2
WV ECON EDGEWOOD 6.50%13	INTEREST	$1,625.00	2
WEST VIRGINIA ST 4.20%03	INTEREST	$1,050.00	2
WVA PKWYS AUTH 0.00%04	OID LONG TERM	$795.73	2
TOTAL TAX EXEMPT MUNI BOND INTEREST		$10,805.95	
PRINCETON WV HSP 6%18	PURCH INT-EXEMPT	$283.33DR	9

REF #	-- TOTALS FOR TAX YEAR 2000 MISCELLANEOUS INFORMATION TOTALS	
1.	MARGIN DEBIT INTEREST	$0.00DR
2.	FEDERALLY TAX EXEMPT MUNICIPAL BONDS	$10,805.95
3.	FEDERALLY TAX EXEMPT DIVIDENDS	$0.00
4.	NOT USED AT THIS TIME	$0.00
5.	INCOME SUBJECT TO ALTERNATIVE MINIMUM TAX	$0.00
6.	FEDERALLY TAX EXEMPT INTEREST	$0.00
7.	FEDERALLY TAX EXEMPT ACCRUED INTEREST	$0.00
8.	MASTER LIMITED PARTNERSHIP DISTRIBUTIONS	$0.00
9.	ACCRUED INTEREST ON PURCHASES	$283.33DR

SALOMON SMITH BARNEY

A member of citigroup

Ref: 00006922 00036508

Select Client Statement

December 1 - December 31, 2000

Account number 685-03503-12 291

685L00291035030000006922IP 300364AE01 IPFOL014A
CONGRESSMAN NICK JOE RAHALL II
2269 RAYBURN BUILDING
WASHINGTON DC 20515-0005

SALOMON SMITH BARNEY INC.
Your Financial Consultant

JAMES A JOSEPH/PETER JOSEPH
701 MAIN STREET
SUITE 100
STROUDSBURG PA 18360
570-421-3100

Select Service Center: 1-800-423-7248
Branch: 800-445-2291
Email: peter.t.joseph@rssmb.com

Copies of this statement have also been sent to:

ALAN BLAKE
CONGRESSMAN NICK JOE RAHALL II

Copy for the account of CONGRESSMAN NICK JOE RAHALL II SAM ACCOUNT
218 1/2 MAIN STREET BECKLEY WV

Account value

	Last period	This period	%
Cash balance	$ 0.00	$ 2,054.80	.10
Money funds	82,441.83	82,381.87	3.83
Accrued money fund dividends	151.03	0.00	
Common stocks & options	1,996,570.16	2,068,213.35	96.08
Direct investments		*(see Portfolio details)*	
Net value	**$ 2,079,163.02**	**$ 2,152,650.02**	**100**

Earnings summary

	This period	This year Taxable	This year Non-taxable
Dividends	$ 2,030.40	$ 15,974.64	$ 0.00
Money funds earnings	419.31	0.00	2,108.95
Total	**$ 2,449.71**	**$ 15,974.64**	**$ 2,108.95**

Additional summary information

	This period	This year
Other income	$ 1,464.80	$ 19,186.40

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 12,660.42 LT $ 0.00 ST
Unrealized gain or (loss)	1,641,258.66	*Not applicable*

SALOMON SMITH BARNEY
A member of citigroup

Ref: 00006922 00036509

Select Client Statement
December 1 - December 31, 2000

CONGRESSMAN NICK JOE RAHALL II Account number 685-03503-12 291

Cash and money funds balance

	This period	This year
Opening balance	$ 82,441.83	
Withdrawals	-1,919.67	-7,943.73
Dividends credited	2,030.40	
Money funds earnings reinvested	419.31	
Other income credited	1,464.80	
Closing balance	$ 84,436.67	

Portfolio summary

	This period	Year-to-date
Opening value of securities	2,079,163.02	2,259,425.38
+ Opening cash balance	0.00	300.00
= Opening portfolio balance	2,079,163.02	2,259,725.38
Securities deposited/withdrawn net	0.00	0.00
Capital deposited/withdrawn net	-1,919.67	-7,943.73
Adjusted opening value	2,077,243.35	2,251,781.65
Closing value of securities	2,150,595.22	2,150,595.22
+ Closing cash balance	2,054.80	2,054.80
= Closing portfolio value	2,152,650.02	2,152,650.02

Note: Securities deposited/withdrawn net = The value of securities on the day they were debited from or credited to the account as reflected in the "Other security activity" section.
Capital deposited/withdrawn net = Cash withdrawals and deposits, credit card payments, FMA Card activity, checks written, and Money funds/Insured Deposit Account℠ transfers.

Portfolio details

The values of your holdings are as of 12/29/00. Please note, unrealized gain/(loss) is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Money funds

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend Yield/Anticipated Income (annualized)
82,381.87	SMITH BARNEY MUNICIPAL MONEY MARKET FD CL A	$ 82,381.87		3.71% $ 3,056.36
Total money funds		$ 82,381.87	$ 0.00	3.70 $ 3,056.36

Common stocks & options

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
19.4873	AT&T CORP	02/03/87	$ 577.70	$ 29.664	$ 17.25	$ 336.16	($ 241.54)#	LT	
749.5127	SYMBOL: T/RATING: B+	02/03/95	17,501.24	23.365	17.25	12,929.09	(4,572.15)	LT	
769			**18,078.94**	**23.51**		**13,265.26**	**(4,813.69)**		.869 115.35
3,600	AMERICAN EXPRESS CO SYMBOL: AXP/RATING: B+	03/13/95	40,054.98	11.006	54.938	197,776.80	157,721.82	LT	.582 1,152.00
1,600	AMGEN INC	02/08/90	1,816.67	1.135	63.938	102,300.80	100,484.13	LT	
4,000	SYMBOL: AMGN/RATING: B+	06/14/90	6,333.33	1.583	63.938	255,752.00	249,418.67	LT	
5,600			**8,150.00**	**1.455**		**358,052.80**	**349,902.80**		

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CONGRESSMAN NICK JOE RAHALL II Account number 685-03503-12 201

Common stocks & options *continued*

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
1,600	BRISTOL MYERS SQUIBB CO SYMBOL: BMY/RATING: A	07/12/83	$ 8,189.48	$ 5.045	$ 73.938	$ 118,300.80	$ 110,111.32#	LT	1.487 % $ 1,760.00
1,000	COCA-COLA CO SYMBOL: KO/RATING: A	12/28/93	22,644.75	22.257	60.938	60,938.00	38,293.26	LT	1.115 680.00
600	EASTMAN KODAK CO SYMBOL: EK/RATING: B+	08/26/93	29,748.85	49.581	39.375	23,625.00	(6,123.85)	LT	4.488 1,058.00
2,000	FEDERAL HOME LN MTG CORP SYMBOL: FRE/RATING: A+	11/23/84	24,383.64	12.031	68.875	137,750.00	113,366.46	LT	.887 1,360.00
400	FORD MOTOR COMPANY PAR 0.01 SYMBOL: F/RATING: B	09/30/93	7,113.70	17.784	23.438	9,375.20	2,261.50	LT	5.119 480.00
4,500	HOME DEPOT INC SYMBOL: HD/RATING: A+	01/05/84	40,000.00	8.888	45.688	205,596.00	165,596.00	LT	.35 720.00
2,700	HOUSEHOLD INTERNATIONAL INC SYMBOL: HI/RATING: A-	02/03/95	37,406.84	13.705	55.00	148,500.00	111,093.16	LT	1.381 2,052.00
1,000	LEUCADIA NATIONAL CORP SYMBOL: LUK/RATING: B	06/01/95	18,801.30	18.801	35.438	35,438.00	16,636.70	LT	.705 260.00
16.8284 647.1736 664	LUCENT TECHNOLOGIES INC SYMBOL: LU	02/03/87 02/03/95	222.74 6,747.57 6,970.31	13.237 10.426 10.497	13.50 13.50	227.16 8,736.84 8,964.00	4.42# 1,989.27 1,993.69	LT LT	692 53.12
2,400	MCDONALDS CORP SYMBOL: MCD/RATING: A+	08/22/94	32,046.24	13.167	34.00	81,600.00	49,553.76	LT	.832 616.00
1,400	MERCK & CO INC SYMBOL: MRK/RATING: A+	12/23/86	9,308.93	6.61	93.625	131,075.00	121,766.07#	LT	1.452 1,904.00
600	MINNESOTA MINING & MFG CO SYMBOL: MMM/RATING: A	11/25/92	29,718.21	49.53	120.50	72,300.00	42,581.79	LT	1.926 1,392.00
.8109 31.1891 32	NCR CORP NEW SYMBOL: NCR	02/03/87 02/03/95	28.90 875.48 904.38	35.713 28.124 28.262	49.125 48.125	39.84 1,532.16 1,572.00	10.94# 656.68 667.62	LT LT	

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CONGRESSMAN NICK JOE RAHALL II Account number 685-03503-12 291

Common stocks & options
continued

Quantity	Description	Date acquired	Cost	Share cost	Current share price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
2,000	TIME WARNER INC SYMBOL: TWX/RATING: B-	01/18/93	$ 32,783.72	$ 16.197	$ 52.24	$ 104,480.00	$ 71,696.28	LT	.344% $ 360.00
1,200	VIACOM INC CL B SYMBOL: VIAB/RATING: B-	08/30/95	29,372.31	24.187	46.75	56,100.00	26,727.69	LT	
52	VISTEON CORP SYMBOL: VC	06/30/93	288.93	5.595	11.50	598.00	309.07	LT	2.086 12.48
4,900	WAL-MART STORES INC SYMBOL: WMT/RATING: A-	01/15/85	6,209.82	1.275	53.125	255,000.00	248,790.18//	LT	.451 1,152.00
500	WM WRIGLEY JR CO SYMBOL: WWY/RATING: A+	05/22/96	26,898.66	53.154	95.813	47,906.50	21,006.84	LT	1.461 700.00
Total common stocks and options			$ 426,954.00			$ 2,089,213.35	$ 0.00	ST	.76
							$ 1,641,268.66	LT	$ 16,714.95

Direct investments

The following information, provided for your financial record keeping, will be shown throughout the life of the direct investment. The Original Purchase Amounts do not reflect current market value, or premiums/discounts on transferred units. Please note that direct investments are generally illiquid, may not be currently priced, and are not included in your Net Value. If you have invested in a fund that has multiple capital contributions, please note that the original purchase amount represents your total capital commitment. Prices shown for exchange funds are prices as of a date prior to this statement's closing date. The assets listed in this section are included as a service to you and are provided for informational purposes only. The information (including valuation) regarding these assets is derived from external sources, which may include information provided by you, for which Salomon Smith Barney is not responsible. These assets are not covered by SIPC. If you have any questions regarding Direct Investments, please contact your Financial Consultant.

Number of units	Description	Orig. purchase amount	Unit cost	Current unit price	Current value	Unrealized gain/(loss)		Average % Yield/Anticipated Income (annualized)
20	SCOTTSDALE LAND TRUST L P Purchase date 09/30/88	$ 20,000.00	$ 1,000.00	NOT PRICED	NOT PRICED			
Total direct investments		$ 20,000.00						
Total portfolio value		$ 529,338.66			$ 2,160,595.22	$ 0.00	ST	.87
						$ 1,641,268.66	LT	$ 18,771.31

#Based on information supplied by your Financial Consultant.

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SALOMON SMITH BARNEY

citigroup

Ref: [illegible]

Select Client Statement
Forms 1099 for 2000

Account Number 685-03503-12 291 SS # 236-78-8645

Payer

SALOMON SMITH BARNEY INC
388 GREENWICH STREET
NEW YORK, NEW YORK 10013
Attn: Tax Reporting Service Center
Tax ID Number 11-2418191

[illegible]
AMER [illegible]
SALOMON SMITH BARNEY INC
[illegible] MAIN STREET
[illegible]
[illegible] 4A [illegible]
[illegible]
[illegible]

CONGRESSMAN NICK JOE RAHALL II
SAM ACCOUNT
216 1/2 MAIN STREET
BECKLEY WV
25801 4613

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-DIV Dividends and Distributions 2000

(OMB No 1545-0110)

Ordinary dividends	Total capital gain distributions	Unrecaptured gain	Section 1202 gain	Nontaxable distributions	
$ 15,974.64					
Box 1	Box 2a	Box 2b	Box 2c	Box 2d	Box 3
Federal income tax withheld	[illegible]	[illegible]	[illegible]	Liquidation distributions [illegible]	Liquidation distributions [illegible]
Box 4	Box 5	Box 6	Box 7	Box 8	Box 9

Other income

Date	Description	Comment	Amount
12/29/00	SCOTTSDALE LAND TRUST L P	DISTRIBUTION 141929	$ 1,464.80
Total other income			**$ 1,464.80**

Gain/loss details

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Trades are allocated using the FIFO (first in-first out) method. Day traders should therefore not rely on this section for day trading results.

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ASSOCIATES FIRST CAPITAL CORP CLASS A	09/30/93	09/07/00 Sold	208	$ 18.006	$ 38.375	$ 3,715.47	$ 7,929.73	$ 4,214.26 LT
AVAYA INC	02/03/87	10/30/00 Sold	1.3938	9.255	10.75	12.82	14.63	1.81 # LT
	02/03/95	10/30/00 Sold	53.6062	7.292	10.75	388.55	562.85	174.30 LT
	Total		**55**			**$ 401.37**	**$ 577.48**	**$ 176.11**
FORD MOTOR CO	09/30/93	08/01/00 Rollover	400	$ 17.784	$ 37.784	$ 7,113.70	$ 15,113.70	$ 8,000.00 LT
HOMEFED CORP NEW	08/21/98	01/24/00 Sold	792	.179	.52	141.77	411.82	270.05 LT
Total realized gain or (loss) - this period						**$ 0.00**	**$ 0.00**	**$ 0.00**
Total Long Term - year-to-date								**$ 12,660.42**
Total Short Term - year-to-date								**$ 0.00**
Total realized gain or (loss) - year-to-date						**$ 11,372.31**	**$ 24,032.73**	**$ 12,660.42**

#Based on information supplied by your Financial Consultant.

We have available at no cost brochures describing our investment advisory services and those of any other investment advisors managing your account. If you would like copies, please ask your Salomon Smith Barney Financial Consultant or Salomon Smith Barney Portfolio Manager.

Please contact your Salomon Smith Barney Financial Consultant or Salomon Smith Barney Portfolio Manager if there have been any changes in your financial situation or investment objective, or if you wish to impose any restrictions, or modify existing restrictions, on the management of your account.

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Your Investment Manager
NICHOLAS-APPLEGATE CAPITAL MGMT

Account Number FA
642 108600 022

AAA Customer Service
1-800-869-3326

THE HONORABLE NICK JOE RAHALL II 000030
ACCESS #1-NICHOLAS APPLEGATE
PRIVATE & CONFIDENTIAL 642
2367 RAYBURN HOB
WASHINGTON DC 20515 0001

Asset Summary

	Value	% of Assets
Money Market Funds	$8,394.66	8.17
Stocks	94,464.84	91.9
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$102,859.50	100.0
Cash	21.47	
Total Asset Value	$102,880.97	

Income Summary

	Year To Date
Money Market Funds	$769.77
Stocks	547.56
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$1,317.33
Taxable Income	$1,304.47
Tax Exempt Income	$12.86

Activity Summary

Total Asset Value January 01, 2000		$144,911.49
Cash/Money Market Activity for 2000		
Credits To Your Account		
Dividend and Interest	1,317.33	
Deposits	0.00	
Sales Proceeds/Redemptions	236,523.97	
Other Credits	685.01	
Total Credits	238,526.31	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	-11,800.00	
Funds to Purchase Securities	-220,955.08	
Other Debits	-3,718.18	
Total Debits	-236,473.26	
Changes in Asset Value for 2000		
Value of Priced Assets 1/01/00	$138,501.27	
Securities Bought	220,955.08	
Securities Received	0.00	
Securities Sold/Redeemed	-236,523.97	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	-28,467.84	
Value of Priced Assets 12/31/00	94,464.84	
Net Change in Asset Value		-44,036.43
Total Asset Value as of December 31 2000		$102,880.97

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108600 FA 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	21.47	---	---
ACTIVE ASSETS MONEY TRUST	8,394.66	8.1%	519
Total Cash and Money Market Funds	8,416.13	8.1%	519

Net Change Since January 1, 2000 $2,053.05

Annualized 30 Day Yields

Active Assets Money Trust....6.19%
Active Assets Govt. Sec. Tr....6.08%
Active Assets California Tax Free....3.02%
Active Assets Tax Free Trust....3.68%
Active Assets Insured Account....5.86%
Active Assets Deposit Account....5.86%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
25	ABBOTT LABORATORIES	48.43750	1,210.93	1.2%	19	1.56%	ABT	Next Dividend Payable 02/01
25	ACE LIMITED ORD	42.43750	1,060.93	1.0%	13	1.22%	ACL	Next Dividend Payable 01/12/01
15	AKZO NOBEL NV ADR	53.25000	798.75	0.8%	11	1.42%	AKZOY	Next Dividend Payable 05/01
29	ALBERTA ENERGY COMPANY LTD	48.25000	1,399.25	1.4%	7	.55%		Next Dividend Payable 06/01
25	ANADARKO PETE	71.08	1,777.00	1.7%	5	.28%	APC	Next Dividend Payable 03/01
22	ASTRAZENECA PLC ADS	51.50000	1,133.00	1.1%	15	1.35%	AZN	Next Dividend Payable 05/01
151	BAA PLC ADR	9.23200	1,394.03	1.4%	33	2.39%	BAAPY	Next Dividend Payable 01/19/01
43	BAE SYS PLC SPON ADR	22.82500	981.47	1.0%	20	2.12%	BAESY	Next Dividend Payable 06/01
11	BALLARD POWER SYSTEMS INC	63.15625	694.71	0.7%	0	0.00%	BLDP	
26	BASF AG SP ADR	44.43750	1,155.37	1.1%	19	1.64%	BF	Next Dividend Payable 05/01
48	BG GROUP PLC	20.37500	978.00	1.0%	521	53.36%	BRG	
66	BRITISH AMER TOB SPON ADR	15.81250	1,043.62	1.0%	52	4.98%	BTI	Next Dividend Payable 03/01
36	CADBURY SCHW PLC ADR 10 ORD NW	29.00000	1,044.00	1.0%	21	2.03%	CSG	Next Dividend Payable 05/01
6	CHECK POINT SOFTWARE TECH LTD	133.56250	801.37	0.8%	0	0.00%	CHKP	
98	CHEUNG KONG HOLDINGS ADR	12.78900	1,253.32	1.2%	16	1.30%	CHEUY	Next Dividend Payable 06/01
10	CHUBB CP	86.50000	865.00	0.8%	13	1.52%	CB	Next Dividend Payable 01/03/01
10	CIENA CORPORATION	81.25000	812.50	0.8%	0	0.00%	CIEN	
50	CISCO SYS INC	38.25000	1,912.50	1.9%	0	0.00%	CSCO	
45	CITIGROUP INC	51.06250	2,297.81	2.2%	25	1.09%	C	Next Dividend Payable 02/01
42	COMCAST SPL A	41.75000	1,753.50	1.7%	0	0.00%	CMCSK	
15	CORNING INC	52.81250	792.18	0.8%	3	.45%	GLW	Next Dividend Payable 03/01
37	DEUTSCHE LUFTHANSA ADR	25.33900	937.64	0.9%	13	1.47%	DLAKY	Next Dividend Payable 06/01
45	DIAGEO PLC SPON ADR NEW	44.37500	1,996.87	1.9%	56	2.81%	DEO	Next Dividend Payable 05/01
35	DYNEGY INC (HLDG CO) CL A	56.06250	1,962.18	1.9%	10	.53%	DYN	Next Dividend Payable 03/01
20	E.ON AG	60.37500	1,207.50	1.2%	16	1.38%	EON	
20	ELAN PLC ADR	46.81250	936.25	0.9%	0	0.00%	ELN	
31	EMC CORP MASS	66.50000	2,061.50	2.0%	0	0.00%	EMC	
17	ENRON CORP	83.12500	1,413.12	1.4%	8	.60%	ENE	Next Dividend Payable 03/01
13	EXELON CORP	70.21	912.73	0.9%	8	.90%	EXC	
19	FOREST LABORATORIES	132.87500	2,524.62	2.5%	0	0.00%	FRX	

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THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

Stocks		Current Price	Value	Percent Assets	Est Ann Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
2	FURUKAWA ELECT ADR	174.69500	349.38	0.3%	1	.33%	FUNAY	Next Dividend Payable 06/01
32	GENENTECH INC	81.50000	2,608.00	2.5%	0	0.00%	GNA	
48	GENERAL ELECTRIC CO	47.93750	2,301.00	2.2%	30	1.33%	GE	Next Dividend Payable 01/25/01
38	GROUPE DANONE SPONSORED ADR	30.70	1,166.60	1.1%	21	1.80%	DA	Next Dividend Payable 07/01
15	HSBC HOLDINGS PLC SPON ADR NEW	73.60	1,104.00	1.1%	28	2.53%	HBC	Next Dividend Payable 04/01
15	HUMAN GENOME SCIENCES INC	69.31250	901.06	0.9%	0	0.00%	HGSI	
7	HUTCHISON WHAMPOA ADR	62.34000	436.38	0.4%	7	1.63%	HUWHY	Next Dividend Payable 06/01
18	ING GROEP NV ADR	80.12500	1,442.25	1.4%	24	1.70%	ING	Next Dividend Payable 04/01
33	INTL PWR PLC ADS	55.00000	1,155.00	1.1%	76	6.66%	IPR	
24	KONINKLIJKE AHOLD NV ADS	32.50000	780.00	0.8%	8	1.12%	AHO	Next Dividend Payable 04/01
6	LION BIOSCIENCES AG ADS	75.37500	452.25	0.4%	0	0.00%	LEON	
24	LLOYDS TSB GROUP PLC	42.12500	1,011.00	1.0%	12	1.22%	LLDTY	
78	MANULIFE FINANCIAL CORP	31.37500	2,447.25	2.4%	20	.85%	MFC	Next Dividend Payable 03/01
33	MEDIMMUNE INC	47.68750	1,573.68	1.5%	0	0.00%	MEDI	
34	MICROSOFT CORP	43.37500	1,474.75	1.4%	0	0.00%	MSFT	
122	NATL BK GREECE SA	7.18750	876.87	0.9%	26	3.07%	NBG	
22	NATL GRID GROUP PLC ADR	45.37500	998.25	1.0%	23	2.32%	NGG	Next Dividend Payable 01/25/01
8	NESTLE SPON ADR REP REG SHR	116.63100	933.04	0.9%	8	.92%	NSRGY	Next Dividend Payable 06/01
81	NISSAN MTR CO LTD SPND ADR	11.00000	891.00	0.9%	0	0.00%	NSANY	
20	NOKIA CP ADR	43.50000	870.00	0.8%	3	.37%	NOK	Next Dividend Payable 04/01
3	NOMURA SEC LTD ADR JAPAN 10COM	179.94700	539.84	0.5%	3	.65%	NRSCY	Next Dividend Payable 07/01
22	NORTEL NETWORKS CORP NEW	32.06250	705.37	0.7%	1	.23%	NT	Next Dividend Payable 03/01
10	NOVO NORDISK A/S ADR	88.50000	885.00	0.9%	4	.49%	NVO	Next Dividend Payable 12/01
34	PIONEER CP SPON ADR	26.18750	890.37	0.9%	3	.36%	PIO	Next Dividend Payable 06/01
12	QUALCOMM INC	82.18750	986.25	1.0%	0	0.00%	QCOM	
50	REGUS PLC ADS	28.00000	1,400.00	1.4%	0	0.00%	REGS	
24	RYANAIR HLDGS PLC ADR	55.68750	1,336.50	1.3%	0	0.00%	RYAAY	
5	S O L INC	148.18750	740.93	0.7%	0	0.00%	SOLI	
54	SANTA FE INTERNATIONAL	32.06250	1,731.37	1.7%	7	.40%	SDC	Next Dividend Payable 01/15/01
12	SCHLUMBERGER LTD	79.93750	959.25	0.9%	9	.93%	SLB	Next Dividend Payable 01/05/01
15	SIEBEL SYSTEMS INC	67.62500	1,014.37	1.0%	0	0.00%	SEBL	
7	SONY CORP ADR 1974 NEW	69.50000	486.50	0.5%	1	.22%	SNE	Next Dividend Payable 06/01
11	SWISS REINSURANCE CO SPON ADR	119.87000	1,318.57	1.3%	14	1.08%	SWCEY	Next Dividend Payable 08/01
111	TESCO PLC SPONSORED ADR	12.24500	1,359.19	1.3%	20	1.50%	TSCOY	Next Dividend Payable 06/01
16	TEVA PHARMACEUTICALS IND ADR	73.25000	1,172.00	1.1%	2	.22%	TEVA	Next Dividend Payable 03/01
23	TEXAS INSTRUMENTS	47.37500	1,089.62	1.1%	1	.17%	TXN	Next Dividend Payable 02/01
84	TOMRA SYS A/S ADR NEW	19.39100	1,628.84	1.6%	2	.14%	TMRAY	Next Dividend Payable 11/01
19	TRANSOCEAN SEDCO FOREX	46.00000	874.00	0.8%	2	.26%	RIG	Next Dividend Payable 03/01
31	TYCO INTERNATIONAL LTD NEW	55.50000	1,720.50	1.7%	1	.09%	TYC	Next Dividend Payable 02/02/01
17	VERITAS SOFTWARE DE	87.50000	1,487.50	1.4%	0	0.00%	VRTS	
36	WASHINGTON MUTUAL INC	53.06250	1,910.25	1.9%	43	2.26%	WM	Next Dividend Payable 02/01
40	WATERS CORP	83.50000	3,340.00	3.2%	0	0.00%	WAT	
21	XL CAPITAL LTD CL A	87.37500	1,834.87	1.7%	37	2.06%	XL	Next Dividend Payable 02/01
36	ZURICH FINANCIAL SVC	60.29000	2,170.44	2.1%	0	0.00%	ZFSVY	

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3995

MORGAN STANLEY DEAN WITTER

1099-DIV

STATEMENT FOR TAX YEAR 2000

OMB NO.
1545-0110

CORRECTED
03/08/01

Account Number
642 108600

FA
022

Social Security Number
236-78-8645

FA Phone Number
(202) 862-9000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE 000198
PRIVATE & CONFIDENTIAL
2307 RAYBURN HOB
WASHINGTON DC 20515 0001

DIVIDENDS AND DISTRIBUTIONS

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1. Ordinary Dividends	2a. Total Capital Gain Distributions	2b. 28% Gain Distributions	2c. Section 1250 Gain Distributions	3. Non-Taxable Distributions	4. Federal Income Tax Withheld	6. Foreign Tax Paid
DEAN WITTER REYNOLDS INC. PAYER'S FED. ID. # 94-1671384	534.70	0.00	0.00	0.00	12.86	0.00	47.14
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3075005 PLAN NUMBER 260-014665391	769.77	0.00	0.00	0.00	0.00	0.00	0.00

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

1099-INT 1099-OID

STATEMENT FOR TAX YEAR 2000

OMB NO
1545-0112
1545-0117

CORRECTED
03/08/01

Account Number FA
642 108600 022

For Account Of

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

INTEREST INCOME, ORIGINAL ISSUE DISCOUNT AND INCOME NOT REPORTED TO IRS

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Interest Income (See 1099-INT Detail)

From Other Than U S Treasury Obligations	
Interest Credited and Allocated to Your Account	0.00
Accrued Interest Sold (See 1099-B, Gross Proceeds)	0.00
From U S Treasury Obligations	
U S Treasury Bill Interest	0.00
U S Treasury Coupon	0.00
Accrued Interest Sold (See 1099-B, Gross Proceeds)	0.00
Total Interest Income	**0.00**
Early Withdrawal Penalty	0.00
Federal Income Tax Withheld	0.00
Foreign Tax Paid	0.00

Original Issue Discount - OID (See 1099-OID Detail)

Original Issue Discount	0.00
Other Periodic Interest	0.00
Amount of Forfeiture	0.00
Federal Income Tax Withheld	0.00
Original Issue Discount on U S Treasury Obligations	0.00
Investment Expenses	0.00

Income Not Reported To IRS*

Municipal Interest	0.00
Municipal Original Issue Discount	0.00
Tax Free Income	12.86
Municipal Accrued Interest (See Gross Proceeds Detail)	0.00

* This information is not reported to the IRS by Morgan Stanley Dean Witter, but is provided for your use in preparing your income tax return.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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RGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

S-APPLEGATE CAPITAL MGMT

MR PEAR/MR WANDO 022

tity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
ERM GAIN/(LOSS) TRANSACTIONS								
32	SBC COMMUNICATIONS	1-20-99	53.08	1,698.44	1-03-00	47.78	1,528.84	(169.60)
27	AMAZON COM INC	7-16-99	56.09	1,514.49	1-06-00	67.96	1,834.98	320.41
2	GENENTECH INC	8-02-99	74.00	148.00	1-06-00	123.08	246.15	98.15
9	ORACLE CORP	6-21-99	34.77	312.96	1-06-00	99.37	894.35	581.39
7	ENI SPA AMER DEP RCPT	10-26-99	58.21	407.50	1-12 00	53.62	375.37	(32.13)
14	EIDOS PLC ADR	6-02-99	35.00	489.96	1-14-00	60.50	846.97	357.01
5	KYOCERA CP ADR	9-03-99	69.75	348.75	1-19-00	176.83	880.14	531.39
4	DAI ICHI KANGYO BK LTD	9-27-99	117.93	471.73	1-21-00	89.26	357.04	(114.69)
22	GKN PUB LTD CO ADR	2-26-99	13.75	302.50	1-24-00	13.56	298.34	(4.16)
13	GKN PUB LTD CO ADR	2-22-99	14.12	183.62	1-24-00	13.56	176.29	(7.33)
27	WORLDCOM INC	6-03-99	57.40	1,549.91	1-25-00	42.25	1,140.71	(409.20)
12	WORLDCOM INC	7-16-99	60.21	722.50	1-25-00	42.25	506.98	(215.52)
45	CORUS GROUP PLC ADS	12-16-99	25.76	1,159.21	1-26-00	20.66	929.49	(229.72)
18	HSBC HOLDINGS PLC SPON ADR NEW	4-21-99	60.33	1,086.00	1-26-00	59.19	1,065.49	(20.51)
8	SANWA BANK LTD(JAPAN)ADR	8-27-99	141.63	1,133.04	1-26-00	96.00	767.97	(365.07)
8	SHL TRNSBTRAD PLC NY SH NEW	5-19-99	42.75	342.00	1-26-00	45.87	366.98	24.98
12	SHL TRNSBTRAD PLC NY SH NEW	7-13-99	50.13	601.50	1-26-00	45.87	550.48	(51.02)
6	KUBOTA CP ADR	11-29-99	78.00	468.00	2-01-00	71.33	427.98	(40.02)
13	BANK OF TOKYO-MITSUBISHI LTD	8-20-99	14.94	220.19	2-02-00	13.12	170.61	(49.58)
6	BANK OF TOKYO-MITSUBISHI LTD	3-18-99	13.94	83.63	2-02-00	13.12	78.75	(4.88)
2	BRITISH TELECOMMUNIC PLC ADR	2-26-99	175.87	351.73	2-02-00	156.49	312.99	(38.74)
51	INFINITY BROADCASTING CP A NEW	3-08-99	24.00	1,224.00	2-02-00	33.37	1,701.79	477.79
17	INFINITY BROADCASTING CP A NEW	7-16-99	29.44	500.44	2-02-00	33.37	567.26	66.82
10	SHIRE PHARM GROUP PLC ADS	9-22-99	26.00	260.00	2-03-00	38.09	380.94	120.94
12	COMCAST SPL A	7-16-99	39.69	476.25	2-08-00	50.87	610.48	134.23

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'RGAN STANLEY DEAN WITTER

ACCESS

M M CAPITAL GAINS SCHEDULE M M

642 108600 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

\S-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

		PURCHASE INFORMATION			SALE INFORMATION			Realized
tity	Security Description	Date	Per Unit	Total Cost	Date	Per Unit	Proceeds	Gain or (Loss)
ERM GAIN/(LOSS) TRANSACTIONS								
20	UPM KYMMENE CORP ADR	8-16-99	38.26	765.23	2-10-00	31.96	639.11	(126.12)
35	CATHAY PCFC ARWYS LTD NW S ADR	9-30-99	9.35	327.22	2-11-00	8.00	279.99	(47.28)
60	CATHAY PCFC ARWYS LTD NW S ADR	8-24-99	9.07	544.36	2-11-00	8.00	479.90	(64.38)
23	INI POST GRP N. V ADR	11-04-99	25.00	575.00	2-11-00	24.12	554.74	(20.26)
0	NTL INCORPORATED	2-15-00	.00	.00	2-15-00	.00	23.09	23.09
2	ARACRUZ CELULOSE SA AMER NEW	4-14-99	17.75	35.50	2-17-00	22.00	44.00	8.50
19	ARACRUZ CELULOSE SA AMER NEW	3-22-99	13.96	265.30	2-17-00	22.00	417.90	152.60
12	INTERNET INITIATIVE JAPAN INC	8-20-99	67.99	815.80	2-17-00	102.15	1,226.27	410.47
7	SONERA CORP ADS	10-15-99	26.73	187.16	2-17-00	77.25	540.76	353.62
6	CORNING INC	12-09-99	113.49	680.91	2-18-00	193.49	1,160.96	480.05
12	DEUTSCHE BANK AG SP ADR	8-18-99	67.13	805.50	2-18-00	79.37	952.46	146.96
4	SEVEN ELEVEN JAPAN CO LTD ADR	7-16-99	52.50	210.00	2-18-00	113.00	451.98	241.98
1	SONY CORP ADR 1974 NEW	4-19-99	98.94	98.94	2-18-00	273.01	273.00	174.06
3	SONY CORP ADR 1974 NEW	6-25-99	104.53	313.60	2-18-00	273.01	819.02	505.42
22	COOKSON GROUP PLC SP ADR	9-01-99	18.50	407.00	2-22-00	17.65	388.26	(18.74)
9	INDEPNDT ENRGY HLDGS PLC ADS	9-29-99	19.23	173.05	2-22-00	59.57	536.09	183.06
4	NTT DOCOMO INC SP ADR	11-01-99	159.45	637.78	2-22-00	159.54	638.16	80.38
19	NIPPON TELEGRAPH&TELEPHONE ADS	6-30-99	60.92	1,157.59	2-23-00	67.19	1,276.52	119.15
7	DEUTSCHE TELEKOM AG 1 ORD ADS	12-16-99	59.50	416.50	2-25-00	88.09	616.63	200.13
8	TELEFONICA DE ESPANA SA ADR	12-03-99	69.79	558.31	2-25-00	87.06	696.47	138.16
21	REPSOL YPF SA ADR	1-27-00	20.02	420.32	2-28-00	19.00	399.00	(21.32)
33	REPSOL YPF SA ADR	1-26-00	20.55	678.05	2-28-00	19.00	626.99	(51.06)
88	BILLITON PLC ADR	12-17-99	6.50	572.00	2-29-00	4.06	357.48	(214.52)
20	DE BEER CONS MINES ADR DEFD	9-27-99	28.25	565.00	2-29-00	22.36	447.82	(172.18)
12	DE BEER CONS MINES ADR DEFD	9-30-99	27.49	329.25	2-29-00	22.14	265.70	(63.55)

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Morgan Stanley Dean Witter, its affiliates, and employees are affiliated with Morgan Stanley Dean Witter Advisors Inc., member SIPC.
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'RGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE KAHALL II

1-01-00 THRU 12-31-00

\S-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

›ity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
(ERM GAIN/(LOSS) TRANSACTIONS								
51	INVENSYS PLC SPONS ADR	12-21-99	10.61	541.26	2-29-00	9.12	465.36	(75.90)
4	GENENTECH INC	8-02-99	74.00	296.00	3-02-00	218.99	875.97	579.97
9	UNIX CORP	5-21-99	42.06	378.51	3-02-00	87.00	782.97	404.46
6	INDEPNDT ENROY HLDGS PLC ADS	9-30-99	19.23	115.35	3-06-00	50.81	304.86	189.51
3	FUJITSU LTD ADR NEW	4-19-99	81.75	245.25	3-08-00	162.30	486.98	241.65
11	REED INT'L PLC SP ADR	2-23-00	38.95	428.44	3-08-00	24.66	271.25	(157.19)
9	SHIRE PHARM GROUP PLC ADS	9-22-99	26.00	234.00	3-08-00	59.81	538.29	304.29
12	BIOVAIL CORP	9-24-99	25.00	300.00	3-09-00	53.75	644.97	344.97
20	CANON INC ADR NEW	7-07-99	29.25	585.00	3-09-00	40.11	802.25	217.25
7	FRESENIUS MEDICAL CARE AG	6-14-99	17.71	123.97	3-09-00	24.54	171.79	47.82
19	BIOGEN INC MASS	2-18-00	119.03	2,261.51	3-10-00	81.61	1,550.53	(710.98)
3	GENENTECH INC	8-02-99	74.00	222.00	3-10-00	195.18	585.54	363.54
19	ORACLE CORP	6-21-99	17.39	330.34	3-10-00	80.75	1,534.19	1,203.85
15	QUALCOMM INC	2-02-00	136.67	2,050.07	3-10-00	124.81	1,872.17	(177.90)
6	TEXAS INSTRUMENTS	9-30-99	82.75	496.50	3-10-00	101.29	1,087.75	591.25
3	VOICE STREAM WIRELESS CORP	2-08-00	148.58	445.73	3-10-00	127.89	383.66	(62.07)
18	TRANSOCEAN SEDCO FOREX	1-27-00	33.62	605.19	3-16-00	42.03	756.49	151.30
2	TRANSOCEAN SEDCO FOREX	1-03-00	148.72	297.44	3-16-00	42.03	84.05	(213.39)
11	SCHLUMBERGER LTD	10-26-99	53.30	586.28	3-17-00	74.75	822.22	235.94
10	SCHLUMBERGER LTD	1-03-00	48.67	486.72	3-17-00	74.75	747.47	260.75
15	SPRINT PCS SERIES 1	8-11-99	27.65	414.74	3-17-00	57.00	854.97	440.23
19	DIAGEO PLC SPON ADR NEW	1-13-00	36.00	684.04	3-22-00	28.00	531.98	(152.06)
34	LUCENT TECHNOLOGIES	3-02-00	67.63	2,299.46	3-22-00	61.84	2,102.61	(196.85)
10	APPLIED MATERIALS INC	1-10-00	62.42	624.23	3-27-00	107.27	1,072.65	448.42
4	CORNING INC	12-09-99	113.49	453.94	3-27-00	210.68	842.72	388.78

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MORGAN STANLEY DEAN WITTER

ACCESS

M M CAPITAL GAINS SCHEDULE M M

642-108600 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Qty	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
(M GAIN/(LOSS) TRANSACTIONS								
7	JDS UNIPHASE CORP	1-06-00	80.63	564.42	3-27-00	133.68	935.77	371.35
7	VERITAS SOFTWARE DE	2-08-00	107.66	753.62	3-27-00	169.47	1,186.26	432.64
11	UTD PAN-EUROPE COMM NV SP AD A	10-29-99	25.62	281.82	3-29-00	50.54	555.92	274.10
5	DEUTSCHE TELEKOM AG 1 ORD 1ADS	12-10-99	59.50	297.50	3-31-00	80.81	404.04	106.54
4	EQUANT N.V.	10-20-99	92.96	371.85	3-31-00	84.50	337.98	(33.87)
4	SATYAM INFOWAY LTD ADS	2-24-00	110.63	442.52	3-31-00	51.86	204.25	(238.27)
8	IXOS SOFTWARE AKTIENGESELLSHAF	3-10-00	98.40	787.20	4-03-00	33.42	267.35	(519.85)
8	NYCOMED AMERSHAM PLC ADR	3-08-00	55.45	443.57	4-03-00	40.01	320.05	(123.52)
8	EPCOS A G	12-08-99	83.44	667.50	4-04-00	116.24	929.89	262.39
5	COGNOS INC	1-20-00	58.76	293.79	4-05-00	53.73	268.64	(25.15)
4	NORTEL NETWORKS CORP NEW	6-09-99	41.50	166.00	4-05-00	116.12	464.48	298.48
9	SAP AKTIENGESELLSCHAFT ADS	12-16-99	50.25	452.25	4-05-00	54.66	491.94	39.69
6	SEVEN ELEVEN JAPAN CO LTD ADR	7-16-99	52.50	315.00	4-05-00	114.00	683.97	368.97
3	SIMICROELECTRONICS NV	6-02-99	56.48	169.44	4-05-00	163.49	490.48	321.04
6	MOTOROLA INC	4-12-99	81.63	489.75	4-06-00	146.83	880.96	391.21
22	MICROSOFT CORP	12-20-99	112.74	2,480.28	4-12-00	79.94	1,758.68	(721.52)
5	SSP CO LTD JPY 50 COMMON	1-28-00	15.59	77.97	4-13-00	11.75	58.76	(19.23)
9	AT&T LIBERTY MEDIA GROUP A	6-03-99	34.61	311.45	4-13-00	51.56	464.04	152.59
4	APPLIED MATERIALS INC	1-10-00	62.42	249.69	4-13-00	96.00	383.98	134.29
5	AXA ADS	8-19-99	61.56	307.78	4-13-00	78.37	391.86	84.08
52	BILLITON PLC ADR	12-17-99	6.50	338.00	4-13-00	4.25	220.99	(117.01)
10	BIOVAIL CORP	9-24-99	25.00	250.00	4-13-00	47.75	477.48	227.48
3	BRITISH AIRWAYS FINL INST ADR	3-24-00	55.78	167.33	4-13-00	52.93	158.80	(8.53)
12	CBS CORPORATION	9-30-99	46.56	558.75	4-13-00	56.00	671.97	113.22
2	COGNOS INC	1-20-00	58.76	117.52	4-13-00	60.81	121.62	4.10

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RGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

S-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

tity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
ERM GAIN/(LOSS) TRANSACTIONS								
10	DELL COMPUTER CORP	3-10-00	53.88	538.75	4-13-00	52.81	528.11	(10.64)
5	EMC CORP MASS	12-22-99	98.75	493.75	4-13-00	120.99	604.97	111.22
4	ENDESA SA ADS	2-28-00	20.99	83.97	4-13-00	22.50	89.99	6.02
3	ENRON CORP	4-12-00	74.00	221.99	4-13-00	73.25	219.74	(2.25)
4	FORTIS AMEV NV SPS ADR	9-08-99	34.19	136.75	4-13-00	29.00	115.99	(20.76)
4	GENERAL MOTORS CORP H (NEW)	12-15-99	88.26	353.06	4-13-00	104.56	418.23	65.17
6	GENSET ADR	3-06-00	78.36	470.15	4-13-00	25.87	155.24	(314.91)
1	GROUPE DANONE SPONSORED ADR	4-06-00	46.33	46.33	4-13-00	48.74	48.74	2.41
15	HOME DEPOT INC	9-28-99	44.76	671.45	4-13-00	62.37	935.59	264.14
1	HUTCHISON WHAMPOA ADR	3-31-00	90.86	90.86	4-13-00	79.99	79.99	(10.87)
5	INTEL CORP	10-13-99	71.66	358.28	4-13-00	124.49	622.47	264.19
17	INVENSYS PLC SPONS ADR	12-21-99	10.61	180.42	4-13-00	9.12	155.12	(25.30)
8	JP MORGAN CHASE&CO	2-09-00	84.75	678.00	4-13-00	84.62	676.97	(1.03)
9	KOREA ELECTRIC POWER CORP ADS	2-28-00	14.38	129.38	4-13-00	15.56	140.05	10.67
1	KYOCERA CP ADR	9-03-99	69.75	69.75	4-13-00	142.49	142.49	72.74
2	MACRONIX INT'L CO LTD ADR	4-06-00	32.00	64.00	4-13-00	30.12	60.24	(3.76)
3	MAGYAR TAVKOZLESIRT	5-27-99	28.25	84.75	4-13-00	39.50	118.49	33.74
5	MARUBENI CORP ADR	10-18-99	30.00	150.00	4-13-00	36.00	179.99	29.99
1	MARUICO LTD ADR NEW	4-05-00	36.48	36.48	4-13-00	31.99	31.99	(4.49)
1	NTT DOCOMO INC SP ADR	11-01-99	139.45	139.45	4-13-00	172.99	172.99	33.54
1	NEXTEL COMMUNICATIONS INC CL A	3-10-00	161.69	161.69	4-13-00	115.99	115.99	(45.70)
5	NINTENDO CO LTD ADR NEW	5-19-99	14.38	71.88	4-13-00	19.50	97.49	25.61
1	NOMURA SEC LTD ADR JAPAN 10COM	10-18-99	156.00	156.00	4-13-00	286.99	286.99	130.99
1	NORTEL NETWORKS CORP NEW	6-09-99	41.50	41.50	4-13-00	101.80	101.80	60.30
1	OIL CO LUKOIL SPN ADR	4-04-00	62.50	62.50	4-13-00	58.24	58.24	(4.26)

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MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JUE RAHALL II

1-01-00 THRU 12-31-00

5-APPLEGATE CAPITAL MGMT

MR PEAK/MR HANDO 022

tity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
ERM GAIN/(LOSS) TRANSACTIONS								
7	ORACLE CORP	6-21-99	17.39	121.71	4-13-00	74.56	521.92	400.21
2	ORIX CORP	5-21-99	42.06	84.11	4-13-00	85.25	170.49	86.38
2	QLT PHOTOTHERAPEUTICS INC	1-26-00	73.12	146.24	4-13-00	58.00	115.99	(30.25)
7	RHODIA SPONSORED ADR	10-29-99	19.30	135.00	4-13-00	18.56	129.93	(5.15)
1	SAP AKTIENGESELLSCHAFT ADS	12-16-99	50.25	50.25	4-13-00	49.99	49.99	(.26)
6	SCHLUMBERGER LTD	1-03-00	48.67	292.03	4-13-00	72.81	436.86	144.83
6	SPRINT PCS SERIES 1	8-11-99	27.69	165.90	4-13-00	47.75	286.49	120.59
1	STMICROELECTRONICS NV	6-02-99	56.48	56.48	4-13-00	166.55	166.55	110.07
6	SUNGARD DATA SYSTEMS INC	3-24-00	39.45	236.73	4-13-00	35.56	213.37	(23.36)
1	TDK CP ADR NEW	3-30-00	131.83	131.83	4-13-00	122.49	122.49	(9.34)
2	TAIYO YUDEN CO LTD UNSP ADR	10-29-99	148.00	296.00	4-13-00	229.99	459.98	163.98
1	TELEFONOS DE MX SA DE CV ADR	3-06-00	72.48	72.48	4-13-00	61.62	61.62	(10.86)
3	TERADYNE INC	4-06-00	95.10	285.31	4-13-00	88.50	265.49	(19.82)
1	VODAFONE GP PLC ADS	1-31-00	54.25	54.25	4-13-00	51.49	51.49	(2.76)
21	WAL MART STORES INC	12-13-99	68.88	1,446.38	4-13-00	60.12	1,262.58	(183.80)
0	VODAFONE GROUP PLC USD.10 ORD	4-14-00	.00	.00	4-14-00	.00	3.91	3.91
6	NTL INCORPORATED	1-31-00	96.51	579.07	4-14-00	77.09	462.53	(146.54)
5	DEUTSCHE TELEKOM AG 1 ORD 1ADS	12-10-99	59.50	297.50	4-17-00	66.03	330.14	32.64
5	EPCOS A G	12-08-99	83.44	417.19	4-17-00	114.75	573.73	156.54
2	EQUANT N.V.	10-28-99	92.96	185.92	4-17-00	73.58	147.15	(38.77)
1	EQUANT N.V.	2-22-00	116.10	116.10	4-17-00	73.58	73.58	(42.52)
5	EQUANT N.V.	12-13-99	96.43	482.17	4-17-00	73.58	367.87	(114.30)
3	SAP AKTIENGESELLSCHAFT ADS	12-16-99	50.25	150.75	4-17-00	50.10	150.31	(.44)
9	SAP AKTIENGESELLSCHAFT ADS	1-13-00	63.13	568.19	4-17-00	50.10	450.92	(117.27)
31	VIVENDI SPONSORED ADR OLD	2-04-00	23.75	736.25	4-17-00	20.00	619.97	(116.28)

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RGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

\S-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

\tity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
ERM GAIN/(LOSS) TRANSACTIONS								
3	VIVENDI SPONSORED ADR OLD	2-23-00	24.00	72.00	4-17-00	20.00	60.00	(12.00)
3	BRITISH TELECOMMUNIC PLC ADR	3-07-00	192.91	578.73	4-19-00	173.41	520.23	(58.50)
16	KONIN PHILIPS ELEC NV OLD	7-16-99	27.53	440.50	4-27-00	43.00	687.97	247.47
20	PECHINEY	8-10-99	27.71	554.13	4-27-00	21.55	431.01	(123.12)
10	PECHINEY	12-16-99	34.74	347.38	4-27-00	21.55	215.51	(131.87)
6	SHIRE PHARM GROUP PLC ADS	1-28-00	41.98	251.85	4-27-00	40.00	239.99	(11.86)
2	SHIRE PHARM GROUP PLC ADS	9-22-99	26.00	52.00	4-27-00	40.00	79.99	27.99
4	ERICSSON LM TEL ADR B SEK 10	7-08-99	29.54	118.16	5-01-00	91.16	364.63	246.47
9	GENENTECH INC	8-02-99	74.00	666.00	5-04-00	118.50	1,066.46	400.46
6	GENENTECH INC	9-30-99	75.20	451.18	5-04-00	118.50	710.98	259.80
4	SIEMENS AG ADR NEW	1-27-00	148.75	595.00	5-04-00	145.94	583.77	(11.23)
22	HOME DEPOT INC	9-28-99	44.76	984.80	5-05-00	53.12	1,168.73	183.93
18	JP MORGAN CHASE&CO	2-09-00	84.75	1,525.50	5-05-00	70.90	1,276.27	(249.23)
0	VIACOM INC B	5-05-00	.00	.00	5-05-00	.00	25.40	25.40
41	SSP CO LTD JPY 50 COMMON	1-28-00	15.59	639.36	5-09-00	12.86	527.24	(112.12)
3	EMC CORP MASS	12-22-99	98.75	296.25	5-10-00	119.11	357.33	61.08
5	ROYAL PTT NEDERLAND N.V. ADS	10-26-99	50.22	251.10	5-12-00	98.75	493.73	242.63
4	TELECOM ITALIA S.P.A	2-29-00	181.98	727.91	5-12-00	136.67	546.68	(181.23)
1	TELEFONICA DE ESPANA SA ADR	12-03-99	69.79	69.79	5-12-00	65.31	65.31	(4.48)
2	TELEFONICA DE ESPANA SA ADR	12-20-99	74.03	148.07	5-12-00	65.31	130.62	(17.45)
1	NTT DOCOMO INC SP ADR	11-01-99	139.45	139.45	5-15-00	149.99	149.99	10.54
8	NEWS CP LTD ADR NEW	1-31-00	47.31	378.50	5-15-00	48.46	387.71	9.21
5	TELEFONICA DE ESPANA SA ADR	12-20-99	74.03	370.17	5-15-00	65.00	324.98	(45.19)
10	TELESP CELULAR PART SA ADR	3-31-00	58.45	584.54	5-15-00	34.50	344.98	(239.56)
16	MARUBENI CORP ADR	10-18-99	30.00	480.00	5-16-00	29.60	473.61	(6.39)

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MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RANALL II

1-01-00 THRU 12-31-00

APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

		PURCHASE INFORMATION			SALE INFORMATION			Realized
tx	Security Description	Date	Per Unit	Total Cost	Date	Per Unit	Proceeds	Gain or (Loss)
M GAIN/(LOSS) TRANSACTIONS								
59	PORTUGAL TELECOM S.A. ADS	1-21-00	11.63	686.15	5-18-00	10.01	590.36	(95.79)
13	UTD PAN-EUROPE COMM NV SP AD A	10 29 99	25.62	333.05	5-18-00	24.25	315.30	(17.75)
7	APPLIED MATERIALS INC	1-10-00	62.42	436.97	5-22-00	73.31	513.17	76.20
21	SAATCHI & SAATCHI PLC ADR	2-01-00	30.24	635.05	5 22 00	20.49	430.27	(204.78)
14	NEXTEL COMMUNICATIONS INC CL A	3-10-00	161.69	2,263.69	5-23-00	89 22	1,249 04	(1,014.65)
5	KONIN PHILIPS ELEC NV OLD	7-16-99	27.53	137.66	5-24-00	37.87	189.37	51.71
8	INFINEON TECHNOLOGIES AG	4-17-00	56.88	455.00	5-25-00	60.01	480.08	25.08
6	STMICROELECTRONICS NV	6-02-99	18.83	112.96	5-25-00	56.61	339.65	226.69
2	TAIYO YUDEN CO LTD UNSP ADR	10-29-99	148 00	296.00	5-25-00	291 43	582 85	286.85
20	RHODIA SPONSORED ADR	10-29-99	19 30	385.95	5-31-00	16.83	336.66	(49.29)
0	HUTCHISON WHAMPOA ADR	6-01-00	00	.00	6-01-00	.00	42.00	42.00
1	TOTAL FINA ELF SA	1 27 00	65.46	65.46	6 01 00	78.12	78.12	12.66
7	TOTAL FINA ELF SA	7-20-99	66 25	463.75	6-01-00	78.12	546.85	83.10
59	ABITIBI CONSOLIDATED INC	2-03-00	11.97	466.81	6-02-00	10.25	399.73	(67.08)
54	BILLITON PLC ADR	12-17-99	6 50	351.00	6-02-00	3.50	188.99	(162.01)
1	NOMURA SEC LTD ADR JAPAN 10COM	10 18 99	156.00	156 00	6 02 00	233.39	233.39	77.39
0	ORIX CORP	6-05-00	00	.00	6-05-00	.00	29 17	29.17
10	TELEFONOS DE MX SA DE CV ADR	3-06-00	72.48	724 79	6-09-00	52.57	525.71	(199.08)
5	TELEFONOS DE MX SA DE CV ADR	3-30-00	69 50	347.50	6-09-00	52 57	262 85	(84.65)
23	TIME WARNER INC	3-27-00	104.63	2,406.58	6-09-00	80.50	1,851.43	(554.95)
12	FORTIS AMEV NV SPS ADR	9-08-99	34 19	410 23	6-13-00	28 00	335 98	(74.25)
4	SUMITUMO BK LTD ADR	4-20-00	148.53	594 11	6 15 00	115.73	462.93	(131.18)
33	INVENSYS PLC SPONS ADR	3-22-00	9.88	325.89	6-14-00	6.90	227 69	(98.20)
32	INVENSYS PLC SPONS ADR	12-21-99	10.61	339.62	6-14-00	6.90	220.79	(118.83)
16	INDEPNDT ENRGY HLDGS PLC ADS	9 30 99	19.23	307.61	6-16-00	7.84	125.37	(182.24)

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RGAN STANLEY DEAN WITTER

* * CAPITAL GAINS SCHEDULE * *

ACCESS

642-108600 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

S-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

tity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
ERM GAIN/(LOSS) TRANSACTIONS								
12	TEXAS INSTRUMENTS	9-30-99	41.38	496.50	6-21-00	85.57	1,026.22	529.72
5	AXA ADS	8-19-99	61.56	307.79	6-22-00	75.14	375.68	67.89
20	COLGATE PALMOLIVE CO	5-11-00	60.25	1,205.00	6-22-00	55.85	1,117.05	(87.95)
8	ENDESA SA ADS	6-06-00	23.06	184.45	6-22-00	19.99	159.91	(24.54)
24	ENDESA SA ADS	2-28-00	20.99	503.85	6-22-00	19.99	479.74	(24.11)
1	KYOCERA CP ADR	9-03-99	69.75	69.75	6-22-00	176.16	176.16	106.41
1	TDK CP ADR NEW	3-30-00	131.83	131.83	6-22-00	137.91	137.91	6.08
54	SUNGARD DATA SYSTEMS INC	3-24-00	39.45	2,130.54	6-23-00	32.43	1,751.20	(379.34)
6	VODAFONE GP PLC ADS	1-31-00	54.25	325.50	6-23-00	43.69	262.15	(63.35)
6	VODAFONE GP PLC ADS	2-03-00	61.28	367.67	6-23-00	43.69	262.15	(105.52)
5	VODAFONE GP PLC ADS	10-22-99	26.13	130.66	6-23-00	43.69	218.46	87.80
17	GENERAL MOTORS CORP H (NEW)	12-15-99	88.26	1,500.49	6-29-00	84.90	1,443.37	(57.12)
19	PEARSON PLC SP ADR	1-28-00	36.00	684.00	7-10-00	30.07	571.38	(112.62)
14	PEARSON PLC SP ADR	3-21-00	37.93	530.99	7-10-00	30.07	421.01	(109.98)
24	SCHLUMBERGER LTD	1-03-00	48.67	1,168.12	7-10-00	69.52	1,668.57	500.45
24	ALLIED IRISH BK SPON ADR ORD	6-02-00	19.93	478.39	7-12-00	17.81	427.52	(50.87)
32	GENERAL ELECTRIC CO	5-09-00	51.39	1,644.54	7-13-00	52.25	1,671.94	27.40
0	MACRONIX INT'L CO LTD ADR	7-14-00	.00	.00	7-14-00	.00	10.31	10.31
6	QLT PHOTOTHERAPEUTICS INC	1-26-00	73.12	438.71	7-14-00	62.27	373.64	(65.07)
6	QLT PHOTOTHERAPEUTICS INC	5-02-00	61.00	366.00	7-14-00	62.27	373.64	7.64
27	VIVENDI SPONSORED ADR OLD	2-23-00	24.00	648.00	7-14-00	16.50	445.48	(202.52)
6	AXA ADS	4-24-00	74.80	448.78	7-17-00	73.95	443.70	(5.08)
2	AXA ADS	8-19-99	61.56	123.11	7-17-00	73.95	147.90	24.79
4	UBS AG	6-13-00	151.21	604.85	7-18-00	139.60	558.39	(46.46)
2	ROYAL PTT NEDERLAND N.V. ADS	6-13-00	50.23	100.46	7-18-00	43.86	87.72	(12.74)

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MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

HOLAS-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
SHORT-TERM GAIN/(LOSS) TRANSACTIONS								
2	ROYAL PTT NEDERLAND N.V. ADS	10-26-99	25.11	50.22	7-18-00	43.86	87.72	37.50
10	ROYAL PTT NEDERLAND N.V. ADS	11-01-99	25.39	253.91	7-18-00	43.86	438.60	184.69
12	TELE DANMARK A/S ADS	7-27-99	29.00	348.00	7-18-00	34.18	410.16	62.16
7	ALCATEL ADS	5-11-00	50.21	351.45	7-19-00	70.50	493.48	142.03
8	AVENTIS ADS	5-16-00	58.72	469.80	7-19-00	67.48	539.84	70.04
17	JDS UNIPHASE CORP	1-06-00	80.63	1,370.73	7-19-00	108.56	1,845.54	474.81
21	TERADYNE INC	4-06-00	95.10	1,997.14	7-19-00	68.37	1,435.83	(561.31)
1	AMDOCS LIMITED ORD	2-18-00	69.43	69.43	7-20-00	75.87	75.87	6.44
7	AMDOCS LIMITED ORD	2-04-00	57.27	400.88	7-20-00	75.87	531.10	130.22
13	ASTRAZENECA PLC ADS	5-25-00	40.98	532.80	7-20-00	43.35	563.57	30.77
8	LAGARDERE GROUPE SCA SP ADR	3-22-00	88.90	711.20	7-20-00	70.40	563.17	(148.03)
11	RHODIA SPONSORED ADR	4-07-00	18.65	205.11	7-26-00	15.71	172.80	(32.31)
2	RHODIA SPONSORED ADR	10-29-99	19.30	38.59	7-26-00	15.71	31.42	(7.17)
20	RHODIA SPONSORED ADR	12-27-99	22.75	455.00	7-26-00	15.71	314.19	(140.81)
12	VOICE STREAM WIRELESS CORP	2-08-00	148.58	1,782.91	7-26-00	137.80	1,653.62	(129.29)
7	BALTIMORE TECH PLC ADS	1-26-00	22.88	160.17	7-27-00	18.59	130.10	(30.07)
3	TOTAL FINA ELF SA	1-27-00	65.46	196.39	7-27-00	74.00	221.99	25.60
2	BRITISH AIRWAYS FINL INST ADR	3-30-00	54.44	108.88	7-28-00	57.08	114.17	5.29
8	BRITISH AIRWAYS FINL INST ADR	3-24-00	55.70	446.21	7-28-00	57.08	456.67	10.46
9	SONERA CORP ADS	10-13-99	26.73	240.61	7-28-00	40.32	362.85	122.24
5	TOYOTA MOTOR CP ADR NEW	4-28-00	100.34	501.72	7-31-00	83.51	417.53	(84.19)
2	INFOSYS TECH LIMITED	3-03-00	329.17	658.34	8-01-00	123.95	247.90	(410.44)
1	NOMURA SEC LTD ADR JAPAN 10COM	10-18-99	156.00	156.00	8-01-00	190.20	190.20	34.20
1	NOMURA SEC LTD ADR JAPAN 10COM	3-31-00	333.00	333.00	8-01-00	190.20	190.20	(142.80)
0	KONINKLIJKE PHIL EL SP ADR NEW	8-02-00	.00	.00	8-02-00	.00	26.97	26.97

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'RGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

\S-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

		PURCHASE INFORMATION			SALE INFORMATION			Realized
tity	Security Description	Date	Per Unit	Total Cost	Date	Per Unit	Proceeds	Gain or (Loss)
'ERM GAIN/(LOSS) TRANSACTIONS								
12	MACRONIX INT'L CO LTD ADR	4-06-00	29.33	352.00	8-02-00	19.75	236.99	(115.01)
8	VERSATEL TELECOM INTL NV ADS	3-16-00	71.37	570.96	8-02-00	30.88	247.03	(323.93)
16	HANSON PLC SPON ADR NEW	6-07-00	37.46	599.42	8-03-00	28.23	451.65	(147.77)
14	TEXAS INSTRUMENTS	9-30-99	41.38	579.25	8-03-00	54.65	765.16	185.91
10	VODAFONE GP PLC ADS	10-22-99	26.13	261.31	8-07-00	41.03	410.34	149.03
6	CANON INC ADR NEW	7-21-00	47.97	287.83	8-08-00	41.60	249.59	(38.24)
12	CANON INC ADR NEW	5-08-00	47.24	566.91	8-08-00	41.60	499.18	(67.73)
3	TDK CP ADR NEW	3-30-00	131.83	395.49	8-10-00	126.61	379.83	(15.66)
21	WAL MART STORES INC	12-13-99	68.87	1,446.37	8-10-00	51.02	1,071.46	(374.91)
4	AMDOCS LIMITED ORD	6-30-00	76.25	305.00	8-11-00	65.00	259.99	(45.01)
4	AMDOCS LIMITED ORD	2-18-00	69.43	277.72	8-11-00	65.00	259.99	(17.73)
4	CABLE&WIRELESS PLC SPONS ADR	3-06-00	73.30	293.18	8-15-00	52.42	209.67	(83.51)
7	CABLE&WIRELESS PLC SPONS ADR	2-18-00	62.00	434.00	8-15-00	52.42	366.92	(67.08)
6	NOKIA CP ADR	7-27-00	42.44	254.63	8-16-00	39.00	233.99	(20.64)
4	NOKIA CP ADR	6-21-00	60.00	240.00	8-16-00	39.00	155.99	(84.01)
2	BRITISH AIRWAYS FINL INST ADR	5-04-00	49.25	98.49	8-18-00	52.79	105.58	7.09
7	BRITISH AIRWAYS FINL INST ADR	5-03-00	51.98	363.85	8-18-00	52.79	369.55	5.70
3	BRITISH AIRWAYS FINL INST ADR	3-30-00	54.44	163.31	8-18-00	52.79	158.38	(4.93)
6	TEVA PHARMACEUTICALS IND ADR	4-26-00	42.95	257.69	8-22-00	64.44	386.61	128.92
14	VODAFONE GP PLC ADS	10-22-99	26.13	365.84	8-23-00	37.49	524.90	159.06
25	QWEST COMMUNICATIONS INTL INC	2-17-00	48.91	1,222.75	8-24-00	47.81	1,195.27	(27.48)
2	KYOCERA CP ADR	9-03-99	69.75	139.50	8-25-00	169.17	338.33	198.83
1	KYOCERA CP ADR	4-27-00	172.41	172.41	8-25-00	169.17	169.17	(3.24)
3	NTT DOCOMO INC SP ADR	11-01-99	139.44	418.33	8-25-00	127.82	383.47	(34.86)
5	REUTERS GROUP PLC ADR	2-22-00	122.24	611.20	8-25-00	112.53	562.63	(48.57)

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'GAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

;-APPLEGATE CAPITAL MGMT

MR PEAK/MR HANDO 022

		PURCHASE INFORMATION			SALE INFORMATION			Realized
tity	Security Description	Date	Per Unit	Total Cost	Date	Per Unit	Proceeds	Gain or (Loss)
ERM GAIN/(LOSS) TRANSACTIONS								
33	ROLLS ROYCE PLC SPONS ADR	5-17-00	19.01	627.18	8-25-00	12.47	411.49	(215.69)
13	ROLLS ROYCE PLC SPONS ADR	6-13-00	19.21	249.67	8-25-00	12.47	162.11	(87.56)
15	ROLLS ROYCE PLC SPONS ADR	8-18-00	18.94	284.09	8-25-00	12.47	187.04	(97.05)
4	YAHOO INC	7-18-00	128.13	512.50	8-29-00	121.68	486.73	(25.77)
6	TELEFONOS DE MX SA DE CV ADR	7-18-00	62.50	375.00	9-01-00	55.00	329.98	(45.02)
9	CIENA CORPORATION	3-10-00	166.07	1,494.62	9-05-00	217.91	1,961.19	466.57
6	CORNING INC	12-09-99	113.49	680.92	9-05-00	331.00	1,986.02	1,305.10
7	S D L INC	3-16-00	200.35	1,402.43	9-05-00	391.71	2,741.99	1,339.56
12	QIAGEN NV	3-09-00	47.64	571.69	9-06-00	44.05	528.56	(43.13)
18	MARVICO LTD ADR NEW	4-05-00	36.48	656.60	9-06-00	27.58	496.42	(160.18)
8	MARVICO LTD ADR NEW	5-10-00	37.33	298.65	9-06-00	27.58	220.63	(78.02)
16	PRECISION DRILLING CORP	5-08-00	37.38	598.00	9-06-00	36.64	586.29	(11.71)
61	BANCO SANTANDER CENT HISPANO	7-13-00	10.42	635.85	9-07-00	10.23	624.00	(11.85)
25	DEUTSCHE LUFTHANSA ADR	7-20-00	25.28	632.00	9-07-00	20.17	504.23	(127.77)
10	ENI SPA AMER DEP RCPT	7-05-00	57.06	570.63	9-07-00	58.39	583.88	13.25
10	HEINEKEN NV ADR	5-18-00	55.50	555.00	9-07-00	50.02	500.18	(54.82)
3	YAHOO INC	7-18-00	128.13	384.38	9-07-00	109.43	328.30	(56.08)
7	YAHOO INC	7-21-00	138.67	970.69	9-07-00	109.43	766.04	(204.65)
142	JAPAN AIRLINE ADR NEW	5-09-00	6.80	965.29	9-08-00	6.93	983.54	18.25
4	CELLTECH GP PLC ADS	6-13-00	36.56	146.24	9-18-00	37.11	148.43	2.19
17	CELLTECH GP PLC ADS	2-23-00	34.15	580.54	9-18-00	37.11	630.85	50.31
29	COOKSON GROUP PLC SP ADR	7-05-00	17.18	498.33	9-18-00	15.63	453.30	(45.03)
13	COOKSON GROUP PLC SP ADR	8-01-00	17.73	230.49	9-18-00	15.63	203.20	(27.29)
15	MARCONI PLC SP ADR	7-28-00	32.58	488.65	9-18-00	28.78	431.71	(56.94)
0	BANCO BRADESCO SA PFD ADR	9-19-00	.00	.00	9-19-00	.00	44.65	44.65

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'GAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JHF KAHALI II

1-01-00 THRU 12-31-00

5-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

		PURCHASE INFORMATION			SALE INFORMATION			Realized
tity	Security Description	Date	Per Unit	Total Cost	Date	Per Unit	Proceeds	Gain or (Loss)
-RM GAIN/(LOSS) TRANSACTIONS								
8	MARCONI PLC SP ADR	7-28-00	32.58	260.61	9-20-00	29.52	236.16	(24.45)
12	MARCONI PLC SP ADR	7-31-00	31.05	372.60	9-20-00	29.52	354.24	(18.36)
31	VIACOM INC B	9-30-99	43.56	1,350.31	9-20-00	59.70	1,850.70	500.39
31	KOREA ELECTRIC POWER CORP ADS	2-28-00	14.37	445.62	9-21-00	13.00	403.10	(42.52)
24	TELE NORTE LESTE PART SA ADR	7-20-00	26.25	630.87	9-26-00	22.69	544.54	(85.33)
19	MAGNA INTL A COM	5-15-00	50.49	959.31	9-28-00	41.95	797.05	(162.26)
34	ACCOR SA LTD SP ADR	8-01-00	21.97	746.98	9-29-00	19.05	647.74	(99.24)
11	APPLIED MATERIALS INC	1-10-00	62.42	686.66	10-03-00	52.38	576.21	(110.45)
26	INTEL CORP	10-13-99	35.83	931.54	10-03-00	42.03	1,092.77	161.23
9	SIEBEL SYSTEMS INC	6-06-00	70.10	630.88	10-03-00	101.71	915.39	284.51
37	DELL COMPUTER CORP	3-10-00	53.88	1,993.38	10-05-00	25.50	943.46	(1,049.92)
26	PECHINEY	7-20-00	22.94	596.33	10-05-00	20.56	534.54	(61.79)
3	FUJITSU LTD ADR NEW	6-30-00	173.63	520.88	10-10-00	106.95	320.84	(200.04)
19	INNOGY HLDGS PLC ADR	9-19-00	22.45	426.56	10-11-00	27.68	525.90	99.34
20	PETROLEO BRAS SA ADS	8-10-00	29.97	599.38	10-26-00	26.88	537.63	(61.75)
5	BALTIMORE TECH PLC ADS	2-22-00	43.61	218.06	10-27-00	15.11	75.57	(142.49)
8	BALTIMORE TECH PLC ADS	1-26-00	22.88	183.06	10-27-00	15.11	120.90	(62.16)
9	CELESTICA INC SUB VTG SHS	7-18-00	60.98	548.85	10-27-00	71.50	643.47	94.62
17	ERICSSON LM TEL ADR B SEK 10	8-24-00	20.22	343.78	10-27-00	12.59	214.00	(129.78)
9	ERICSSON LM TEL ADR B SEK 10	6-05-00	22.25	200.25	10-27-00	12.59	113.29	(86.96)
21	SERONO S A	8-03-00	27.55	578.52	10-27-00	22.40	470.38	(108.14)
22	SERONO S A	9-29-00	29.84	656.58	10-27-00	22.40	492.78	(163.80)
9	C-MAC INDUSTRIES INC	8-16-00	71.25	641.23	10-31-00	55.00	495.02	(146.21)
17	GOLDMAN SACHS GRP INC	8-04-00	110.27	1,874.66	11-01-00	97.17	1,651.83	(222.83)
5	GOLDMAN SACHS GRP INC	9-27-00	110.10	550.91	11-01-00	97.17	485.83	(65.08)

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MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

662-108600 NICK JOE KAHALI II

1-01-00 THRU 12-31-00

S-APPLEGATE CAPITAL MGMT

MW PEAR/MW HANHU 022

Quantity	Security Description	Purchase Information Date	Per Unit	Total Cost	Sale Information Date	Per Unit	Proceeds	Realized Gain or (Loss)
LONG TERM GAIN/(LOSS) TRANSACTIONS								
9	ALCATEL ADS	9-05-00	84.84	763.53	11-02-00	62.87	565.85	(197.68)
3	ALCATEL ADS	6-21-00	68.38	205.13	11-02-00	62.87	188.62	(16.51)
3	ALCATEL ADS	6-05-00	61.63	184.88	11-02-00	62.87	188.62	3.74
2	ALCATEL ADS	5-11-00	50.21	100.41	11-02-00	62.87	125.75	25.34
69	BANCO BRADESCO SA PFD ADR	8-08-00	9.06	625.31	11-06-00	6.09	420.45	(204.86)
39	BANCO BRADESCO SA PFD ADR	9-06-00	8.94	348.56	11-06-00	6.09	237.65	(110.91)
20	KOMATSU LTD SPON ADR NEW	6-22-00	25.09	501.88	11-06-00	18.61	372.19	(129.69)
10	KOMATSU LTD SPON ADR NEW	8-25-00	26.34	263.44	11-06-00	18.61	186.09	(77.35)
16	KOMATSU LTD SPON ADR NEW	9-21-00	23.18	370.94	11-06-00	18.61	297.75	(73.19)
190	NEW WORLD DEV CO LTD SPON ADR	8-23-00	3.48	661.01	11-08-00	2.28	432.93	(228.08)
6	ASM LITHOGRAPHY HLDG NV	6-30-00	43.75	262.48	11-10-00	23.60	141.58	(120.90)
5	ASM LITHOGRAPHY HLDG NV	6-05-00	41.17	205.85	11-10-00	23.60	117.99	(87.86)
30	EXODUS COMMUNICATIONS INC	8-23-00	63.49	1,904.66	11-10-00	30.29	908.78	(995.88)
8	OIL CO LUKOIL SPN ADR	4-04-00	62.50	500.00	11-10-00	45.00	359.99	(140.01)
5	OIL CO LUKOIL SPN ADR	5-03-00	62.00	310.00	11-10-00	45.00	224.99	(85.01)
26	ABITIBI CONSOLIDATED INC	8-30-00	11.07	287.81	11-14-00	8.80	228.93	(58.88)
7	ABITIBI CONSOLIDATED INC	2-03-00	11.97	83.79	11-14-00	8.80	61.63	(22.16)
18	ABITIBI CONSOLIDATED INC	4-28-00	11.23	202.14	11-14-00	8.80	158.49	(43.65)
32	ABITIBI CONSOLIDATED INC	4-13-00	10.50	336.00	11-14-00	8.80	281.76	(54.24)
9	MITSUBISHI ELECTRIC ADR	9-28-00	83.61	752.49	11-14-00	69.67	626.99	(125.50)
6	MITSUBISHI ELECTRIC ADR	9-06-00	93.45	560.70	11-14-00	69.67	418.00	(142.70)
11	CORVIS CORPORATION	8-07-00	104.49	1,149.41	11-20-00	28.79	316.74	(832.67)
0	BG GROUP PLC	11-21-00	.00	.00	11-21-00	.00	487.83	487.83
2	VERISIGN INC	9-15-00	179.97	359.93	11-21-00	96.75	193.50	(166.43)
12	VERISIGN INC	3-27-00	196.19	2,354.25	11-21-00	96.75	1,160.97	(1,193.28)

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MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALI II

1-01-00 THRU 12-31-00

-APPLEGATE CAPITAL MGMT

MR PEAK/MR HANDU 072

ity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
RM GAIN/(LOSS) TRANSACTIONS								
18	XILINX INC	10-04-00	89.14	1,604.54	11-21-00	55.00	989.96	(614.58)
7	AMVESCAP PLC ADR	9-14-00	43.89	307.20	11-22-00	37.40	261.79	(45.41)
18	AMVESCAP PLC ADR	8-08-00	34.60	622.71	11-22-00	37.40	673.17	50.46
3	NEC CORP ADR	4-17-00	123.78	371.33	11-22-00	88.13	264.38	(106.95)
2	NEC CORP ADR	5-02-00	141.31	282.61	11-22-00	88.13	176.25	(106.36)
2	NEC CORP ADR	6-22-00	154.66	309.31	11-22-00	88.13	176.25	(133.06)
9	VITRIA TECH INC	7-14-00	67.37	606.29	11-24-00	15.78	142.00	(464.29)
13	VITRIA TECH INC	8-10-00	37.03	481.36	11-24-00	15.78	205.12	(276.24)
12	VITRIA TECH INC	9-15-00	49.81	597.75	11-24-00	15.78	189.34	(408.41)
146	BILLITON PLC ADR	8-23-00	4.74	692.48	11-27-00	3.25	475.15	(217.33)
12	DRESDNER BANK A G SPON ADR	8-15-00	48.89	586.62	11-27-00	39.05	468.58	(118.04)
9	DRESDNER BANK A G SPON ADR	7-17-00	43.71	393.36	11-27-00	39.05	351.44	(41.92)
21	SANPAOLO IMI SPA ADS	8-16-00	35.87	753.21	11-30-00	30.76	646.00	(107.21)
8	SANPAOLO IMI SPA ADS	9-07-00	34.71	277.68	11-30-00	30.76	246.09	(31.59)
11	SANPAOLO IMI SPA ADS	9-15-00	33.90	372.90	11-30-00	30.76	338.38	(34.52)
12	BIOVAIL CORP	7-24-00	30.62	367.39	12-01-00	33.26	399.17	31.78
26	BIOVAIL CORP	5-15-00	21.50	559.00	12-01-00	33.26	864.86	305.86
18	DESCARTES SYSTEMS GROUP INC	9-14-00	50.01	900.19	12-05-00	26.74	481.26	(418.93)
14	DESCARTES SYSTEMS GROUP INC	9-26-00	52.57	735.91	12-05-00	26.74	374.32	(361.59)
22	GEMSTAR-TV GUIDE INTERNATIONAL	7-13-00	72.73	1,599.98	12-05-00	43.04	946.77	(653.21)
23	KONINKLIJKE AHOLD NV ADS	5-12-00	24.98	574.46	12-11-00	29.71	683.30	108.84
8	KONINKLIJKE AHOLD NV ADS	8-25-00	29.46	235.68	12-11-00	29.71	237.67	1.99
21	PETROCHINA CO LTD ADR	8-10-00	24.00	504.02	12-11-00	17.33	363.99	(140.03)
10	PETROCHINA CO LTD ADR	9-07-00	24.44	244.40	12-11-00	17.33	173.33	(71.07)
6	AVENTIS ADS	10-31-00	71.85	431.12	12-12-00	73.96	443.77	12.65

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MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOF RAHALL II

1-01-00 THRU 12-31-00

APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Qty	Security Description	Purchase Information Date	Per Unit	Total Cost	Sale Information Date	Per Unit	Proceeds	Realized Gain or (Loss)
RM GAIN/(LOSS) TRANSACTIONS								
4	AVENTIS ADS	9-15-00	73.83	295.31	12-12-00	73.96	295.84	.53
7	AVENTIS ADS	5-16-00	50.72	411.07	12-12-00	73.96	517.73	106.66
5	CELESTICA INC SUB VTG SHS	8-11-00	65.99	329.96	12-12-00	65.01	325.06	(4.90)
9	CELESTICA INC SUB VTG SHS	7-20-00	68.06	612.51	12-12-00	65.01	585.10	(27.41)
1	CELESTICA INC SUB VTG SHS	7-18-00	60.98	60.98	12-12-00	65.01	65.01	4.03
51	TESCO PLC SPONSORED ADR	9-20-00	11.09	565.75	12-12-00	11.28	575.26	9.51
2	AVENTIS ADS	10-31-00	71.86	143.71	12-18-00	74.75	149.49	5.78
8	AVENTIS ADS	11-08-00	74.19	593.50	12-18-00	74.75	597.98	4.48
1	AVENTIS ADS	11-01-00	70.83	70.83	12-18-00	74.75	74.75	3.92
24	LOGITECH INTL SA ADR	9-29-00	31.40	753.60	12-19-00	21.88	525.01	(228.59)
16	LOGITECH INTL SA ADR	7-06-00	38.12	609.86	12-19-00	21.88	350.01	(259.83)
9	JUNIPER NETWORKS	6-29-00	141.24	1,271.13	12-20-00	98.44	885.99	(385.14)
3	JUNIPER NETWORKS	7-21-00	163.36	490.08	12-20-00	98.44	295.33	(194.75)
2	TOTAL FINA ELF SA	3-29-00	70.97	141.94	12-20-00	67.25	134.49	(7.45)
1	TOTAL FINA ELF SA	1-27-00	65.46	65.46	12-20-00	67.25	67.25	1.79
5	TOTAL FINA ELF SA	3-08-00	72.91	364.54	12-20-00	67.25	336.24	(28.30)
9	ARIBA INC	9-07-00	164.97	1,484.77	12-22-00	51.81	466.29	(1,018.48)
4	COGNOS INC	1-20-00	29.38	117.52	12-22-00	19.18	76.71	(40.81)
5	COGNOS INC	6-21-00	40.94	204.69	12-22-00	19.18	95.90	(108.79)
6	COGNOS INC	2-09-00	33.83	203.00	12-22-00	19.18	115.07	(87.93)
33	PALM INC	10-04-00	45.58	1,504.29	12-22-00	29.59	976.62	(527.67)
	TOTAL SHORT-TERM GAIN							50,505.07
	TOTAL SHORT-TERM LOSS							(32,945.07)
	TOTAL SHORT-TERM			196,862.42			194,423.42	(2,439.00)

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MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-100600 NILK JOE RANALL II

1-01-00 THRU 12-31-00

-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

ity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
M GAIN/(LOSS) TRANSACTIONS								
8	AMGEN INC	9-01-98	14.55	116.38	1-06-00	61.00	487.98	371.60
31	LUCENT TECHNOLOGIES	10-20-98	28.61	886.98	1-10-00	56.94	1,765.00	878.10
3	ARM HOLDINGS PLC ADS	6-16-98	10.09	30.28	1-13-00	170.49	511.48	481.20
4	SONY CORP ADR 1974 NEW	9-01-98	74.49	297.95	1-24-00	241.17	964.68	666.73
33	BANK OF TOKYO-MITSUBISHI LTD	11-04-98	10.81	356.81	2-02-00	13.12	433.11	76.30
2	BRITISH TELECOMMUNIC PLC ADR	12-08-98	140.25	280.50	2-02-00	156.49	312.98	32.48
52	VITESSE SEMICONDUCTORS	2-11-98	12.28	638.63	2-07-00	46.64	2,425.03	1,786.40
46	COMCAST SPL A	9-23-98	23.13	1,063.75	2-08-00	50.87	2,340.17	1,276.42
4	CISCO SYS INC	11-18-98	36.38	145.50	2-17-00	128.99	515.95	370.45
18	FRESENIUS MEDICAL CARE AG	12-28-98	23.57	424.31	2-17-00	28.18	507.15	82.84
12	FLEXTRONICS INTL LTD	8-25-98	9.63	115.50	2-18-00	54.82	657.84	542.34
30	OMNICOM GROUP	4-15-97	24.94	748.09	2-23-00	89.87	2,696.16	1,948.07
20	FRESENIUS MEDICAL CARE AG	12-28-98	23.57	471.65	3-09-00	24.54	490.84	19.39
12	SUN MICROSYSTEMS INC	10-15-98	11.91	142.87	3-10-00	98.18	1,178.21	1,035.34
6	CISCO SYS INC	11-18-98	36.38	218.25	3-16-00	131.62	789.72	571.47
18	TAIWAN SMCNDCTR MFG CO LTD ADR	11-18-98	13.25	238.53	3-21-00	55.89	1,006.18	767.57
3	MODERN TIMES GP MTG AB ADR	2-10-99	76.00	228.00	3-22-00	287.01	861.03	633.03
8	AT&T CORPORATION	4-12-99	57.79	462.31	4-13-00	54.87	438.98	(23.33)
16	AMGEN INC	9-01-98	14.55	232.75	4-13-00	58.75	939.96	707.21
6	CISCO SYS INC	11-18-98	18.19	109.17	4-13-00	63.25	379.48	270.36
1	COLT TELECOM GROUP PLC ADR	11-21-97	9.90	9.90	4-13-00	174.99	174.99	165.09
12	NOKIA CP ADR	9-16-97	5.24	62.91	4-13-00	49.94	599.23	536.32
1	PRIMACOM AG SPDH ADR	3-23-99	17.75	17.75	4-13-00	33.87	33.87	16.12
5	SUN MICROSYSTEMS INC	10-15-98	11.91	59.53	4-13-00	81.25	406.23	346.70
12	NOKIA CP ADR	9-16-97	5.24	62.90	4-24-00	47.00	563.98	501.08

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GAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

S-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

tity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
RM GAIN/(LOSS) TRANSACTIONS								
11	NOKIA CP ADR	9-16-97	5.24	57.66	5-01-00	58.76	646.41	588.75
22	AT&T CORPORATION	4-12-99	57.79	1,271.35	5-05-00	39.34	865.54	(405.81)
5	COLT TELECOM GROUP PLC ADR	11-21-97	9.90	49.51	5-11-00	136.00	680.00	630.49
14	MOTOROLA INC	4-12-99	81.63	1,142.75	5-11-00	88.93	1,245.00	102.33
20	ARM HOLDINGS PLC ADS	6-16-98	2.02	40.38	5-15-00	28.87	577.48	537.10
2	MODERN TIMES GP MTG AB ADR	3-04-99	87.09	174.17	5-30-00	216.19	432.38	258.21
1	TOTAL FINA ELF SA	10-12-98	59.00	59.00	6-01-00	78.12	78.12	19.12
2	TOTAL FINA ELF SA	3-19-99	59.80	119.60	6-01-00	78.12	156.24	36.64
20	PRIMACOM AG SPON ADR	3-23-99	17.75	355.00	6-07-00	25.77	515.30	160.30
2	COLT TELECOM GROUP PLC ADR	11-21-97	9.90	19.80	7-18-00	149.69	299.38	279.58
9	NOKIA CP ADR	9-16-97	5.24	47.18	7-18-00	55.19	496.67	449.49
18	ASM LITHUGRAPHY HLDG NV	12-01-98	8.92	160.58	7-20-00	43.04	709.17	628.67
24	ERICSSON LM TEL ADR B SEK 10	7-08-99	7.39	177.26	7-20-00	23.01	552.25	375.01
7	TELE DANMARK A/S ADS	7-27-99	29.00	203.00	7-28-00	29.45	206.15	3.15
14	AMGEN INC	7-16-99	38.95	545.23	8-07-00	68.62	960.65	415.42
15	AMGEN INC	9-01-98	14.55	218.20	8-07-00	68.62	1,029.26	811.06
10	NOKIA CP ADR	9-16-97	5.24	52.42	8-07-00	38.00	379.98	327.56
20	ARM HOLDINGS PLC ADS	6-16-98	2.02	40.37	8-08-00	29.49	589.80	549.43
11	MAGYAR TAVKOZLESIRT	5-27-99	28.25	310.75	8-08-00	30.25	332.73	21.98
2	MODERN TIMES GP MTG AB ADR	3-04-99	87.09	174.17	8-15-00	185.44	370.88	196.71
2	NOKIA CP ADR	9-16-97	5.25	10.49	8-16-00	39.00	78.00	67.51
5	FLEXTRONICS INTL LTD	8-25-98	9.63	48.13	8-23-00	78.62	393.09	344.96
35	SPRINT PCS SERIES 1	8-11-99	27.65	967.72	8-23-00	47.02	1,645.77	678.05
9	NINTENDO CO LTD ADR NEW	5-19-99	14.37	129.37	8-25-00	20.73	186.56	57.19
14	NINTENDO CO LTD ADR NEW	8-18-99	20.00	280.00	8-25-00	20.73	290.21	10.21

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MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-108600 NICK JOE RAHAIL II

1-01-00 THRU 12-31-00

S-APPLEGATE CAPITAL MGMT

MR PEAR/MR HANDO 022

Qty	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
RM GAIN/(LOSS) TRANSACTIONS								
74	AT&T LIBERTY MEDIA GROUP A	6-03-99	17.30	1,280.43	9-15-00	20.47	1,514.88	234.45
10	KONINKLIJKE PHIL EL SP ADR NEW	7-16-99	30.20	302.04	9-15-00	46.25	462.53	159.69
6	STMICROELECTRONICS NV	6-02-99	18.83	112.95	10-04-00	48.67	292.03	179.08
2	ORACLE CORP	6-21-99	17.39	34.77	10-09-00	66.01	132.01	97.24
24	ORACLE CORP	7-16-99	19.69	472.50	10-09-00	66.01	1,584.16	1,111.66
32	ERICSSON LM TEL ADR B SEK 10	7-08-99	7.39	236.33	10-27-00	12.59	402.82	166.49
18	JAPAN TELECOM LTD ADR	10-18-99	26.50	476.98	10-27-00	21.78	383.07	(93.96
1	ORIX CORP	5-21-99	42.06	42.06	11-06-00	49.26	49.26	7.20
7	ORIX CORP	10-04-99	51.43	360.08	11-06-00	49.26	344.80	(15.20
6	ASM LITHOGRAPHY HLDG NV	12-01-98	8.92	53.58	11-10-00	23.60	141.59	88.09
22	FLEXTRONICS INTL LTD	8-25-98	4.81	105.87	11-27-00	30.58	671.82	565.15
38	SUN MICROSYSTEMS INC	10-15-98	5.95	226.22	12-08-00	39.03	1,483.03	1,256.81
	TOTAL ALL LONG-TERM GAIN							25,497.39
	TOTAL ALL LONG-TERM LOSS							(538.30
	TOTAL ALL LONG-TERM			17,779.31			42,738.40	24,959.09
	TOTAL ACCOUNT GAIN/(LOSS)			214,641.73			237,161.82	22,520.09

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Your Investment Manager:
LAZARD FRERES ASSET MANAGEMENT

Account Number FA
642 113487 022

AAA Customer Service
1-800-869-3326

THE HONORABLE NICK JOE RAHALL II 002137
ACCESS #2-LAZARD FRERES
PRIVATE & CONFIDENTIAL 642
2307 RAYBURN HOB
WASHINGTON DC 20515 0001

Asset Summary

	Value	% of Assets
Money Market Funds	$4,788.03	4.0%
Stocks	113,725.29	96.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$118,513.32	100.0%
Cash	0.00	
Total Asset Value	$118,513.32	

Income Summary

	Year To Date
Money Market Funds	$265.74
Stocks	1,962.87
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$2,228.61
Taxable Income	$2,228.61
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2000		$114,178.64
Cash/Money Market Activity for 2000		
Credits To Your Account		
Dividend and Interest	2,340.58	
Deposits	0.00	
Sales Proceeds/Redemptions	16,960.91	
Other Credits	82.67	
Total Credits	19,384.16	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	-14,966.79	
Other Debits	-3,106.29	
Total Debits	-18,073.08	
Changes in Asset Value for 2000		
Value of Priced Assets 1/01/00	$110,535.57	
Securities Bought	14,966.79	
Securities Received	0.00	
Securities Sold/Redeemed	-16,960.91	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	5,183.84	
Value of Priced Assets 12/31/00	113,725.29	
Net Change In Asset Value		3,189.72
Total Asset Value as of December 31 2000		$118,513.32

MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

Account Number FA
642 113487 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
ACTIVE ASSETS MONEY TRUST	4,788.03	4.0%	296
Total Cash and Money Market Funds	$4,788.03	4.0%	$296

Net Change Since January 1, 2000 $1,311.08

Annualized 30 Day Yields	
Active Assets Money Trust	6.19%
Active Assets Govt. Sec. Tr	6.03%
Active Assets California Tax Free	3.02%
Active Assets Tax Free Trust	3.68%
Active Assets Insured Account	5.86%
Active Assets Deposit Account	5.86%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
165	ABN AMRO HLDGS N V	22.75000	3,753.75	3.2%	111	2.98%	ABN	Next Dividend Payable 03/01
60	AEGON NV ADR	41.43750	2,486.25	2.1%	45	1.82%	AEG	Next Dividend Payable 03/01
60	ALCATEL ADS	55.93750	3,356.25	2.8%	20	.59%	ALA	Next Dividend Payable 06/01
71	ASTRAZENECA PLC ADS	51.50000	3,656.50	3.1%	49	1.35%	AZN	Next Dividend Payable 05/01
108	AVENTIS ADS	84.25000	9,099.00	7.7%	34	.38%	AVE	Next Dividend Payable 06/01
33	BANK OF AMERICA CORP	45.87500	1,513.87	1.3%	73	4.88%	BAC	Next Dividend Payable 03/01
15	BRITISH TELECOMMUNIC PLC ADR	86.75000	1,301.25	1.1%	45	3.52%	BTY	Next Dividend Payable 02/20/01
60	CADBURY SCHW PLC ADR 10 ORD NH	29.00000	1,740.00	1.5%	35	2.03%	CSG	Next Dividend Payable 05/01
73	CITIGROUP INC	51.06250	3,727.56	3.1%	40	1.09%	C	Next Dividend Payable 02/01
30	DEUTSCHE BANK AG SP ADR	83.36900	2,501.07	2.1%	23	.94%	DTBKY	Next Dividend Payable 06/01
43	DIAGEO PLC SPON ADR NEW	44.37500	1,908.12	1.6%	53	2.81%	DEO	Next Dividend Payable 05/01
43	DU PONT EI DE NEMOURS & CO	48.31250	2,077.43	1.8%	60	2.89%	DD	Next Dividend Payable 03/01
110	ENDESA SA ADS	16.68750	1,835.62	1.5%	52	2.88%	ELE	Next Dividend Payable 01/12/01
35	ENI SPA AMER DEP RCPT	64.31250	2,250.93	1.9%	42	1.90%	E	Next Dividend Payable 07/01
26	EXXON MOBIL CORP	86.93750	2,260.37	1.9%	45	2.02%	XOM	Next Dividend Payable 03/01
30	FANNIE MAE	86.75000	2,602.50	2.2%	33	1.29%	FNM	Next Dividend Payable 02/01
90	GENERAL ELECTRIC CO	47.93750	4,314.37	3.6%	57	1.33%	GE	Next Dividend Payable 01/25/01
36	HEINEKEN NV ADR	60.50800	2,178.28	1.8%	9	.44%	HINKY	Next Dividend Payable 04/01
30	HSBC HOLDINGS PLC SPON ADR NEW	73.60	2,208.00	1.9%	56	2.53%	HBC	Next Dividend Payable 04/01
40	ING GROEP NV ADR	80.12500	3,205.00	2.7%	54	1.70%	ING	Next Dividend Payable 04/01
35	INTL BUSINESS MACHINES CORP	85.00000	2,975.00	2.5%	18	.61%	IBM	Next Dividend Payable 03/01
30	JOHNSON & JOHNSON	105.06250	3,151.87	2.7%	38	1.21%	JNJ	Next Dividend Payable 03/01
7	KAO CORP ADR	290.71800	2,035.02	1.7%	11	.57%	KAOCY	Next Dividend Payable 06/01
110	MARCONI PLC SP ADR	20.56250	2,261.87	1.9%	10	.45%	MONI	Next Dividend Payable 02/20/01
35	MERCK & CO	93.62500	3,276.87	2.8%	47	1.45%	MRK	Next Dividend Payable 01/02/01
50	NESTLE SPON ADR REP REG SHR	116.63100	5,831.55	4.9%	53	.92%	NSRGY	Next Dividend Payable 06/01
89	NOVARTIS AG ADR	44.75000	3,982.75	3.4%	36	.91%	NVS	Next Dividend Payable 11/01
20	PROCTER & GAMBLE	78.43750	1,568.75	1.3%	28	1.78%	PG	Next Dividend Payable 02/01
38	ROYAL DUTCH PETE NY 1.25 GU	60.56250	2,301.37	1.9%	44	1.93%	RD	Next Dividend Payable 03/01
59	SBC COMMUNICATIONS	47.75000	2,817.25	2.4%	59	2.12%	SBC	Next Dividend Payable 02/01

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THE HONORABLE NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

Account Number FA
642 113487 022

Stocks

		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
15	SIEMENS AG ADR NEW	130.73400	1,961.01	1.7%	10	.53%	SMAWY	Next Dividend Payable 05/01
30	SMITHKLINE PLC ADS 5	62.18750	1,865.62	1.6%	30	1.61%	SBH	Next Dividend Payable 06/17/01
300	SOCIETE GENERALE SP ADR	12.43000	3,729.00	3.1%	72	1.93%	SCGLY	Next Dividend Payable 05/01
26	SONY CORP ADR 1974 NEW	69.50000	1,807.00	1.5%	5	.27%	SNE	Next Dividend Payable 06/01
17	SYNGENTA AG ADR	10.93750	185.93	0.2%	0	0.00%	SYT	
68	TOTAL FINA ELF SA	72.68750	4,942.75	4.2%	64	1.30%	TOT	Next Dividend Payable 07/01
130	UNILEVER PLC (NEW) ADS	34.56250	4,493.12	3.8%	99	2.20%	UL	Next Dividend Payable 06/01
35	VERIZON COMMUNICATIONS	50.12500	1,754.37	1.5%	53	3.07%	VZ	Next Dividend Payable 02/01
33	VIVENDI UNIV ADS NEW	65.31250	2,155.31	1.8%	22	1.05%	V	
64	ZURICH FINANCIAL SVC	60.29000	2,652.76	2.2%	0	0.00%	ZFSVY	
Total Stocks			**$113,725.29**	**96.0%**	**$1,656**	**1.45%**		

Net Change Since January 1, 2000 **$3,189.72**

Asset Summary

	Value	Est Yrly Income
Total Asset Value	**$118,613.32**	**$1,952**

Total Net Change In Priced Assets Since January 1, 2000 **$3,189.72**

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	265.74	Money Market Funds
Dividend	ABN AMRO HLDGS N V	110.89	Stocks
Dividend	ALCATEL ADS	47.27	Stocks
Dividend	ALLIED ZURICH PLC LEVEL I ADR	157.35	Stocks
Dividend	ASTRAZENECA PLC ADS	55.22	Stocks
Dividend	AVENTIS ADS	52.55	Stocks
Dividend	BANK OF AMERICA CORP	67.98	Stocks
Dividend	BELL ATLANTIC CORP	13.48	Stocks
Dividend	BRITISH TELECOMMUNIC PLC ADR	30.59	Stocks
Dividend	CADBURY SCHW PLC ADR 10 ORD NW	39.35	Stocks
Dividend	CITIGROUP INC	38.09	Stocks
Dividend	DAIMLER-CHRYSLER AG	55.58	Stocks

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MORGAN STANLEY DEAN WITTER

1099-DIV

STATEMENT FOR TAX YEAR 2000

OMB NO.
1545-0110

Account Number FA
642 113487 022

Social Security Number
236-78-8645

FA Phone Number
(202) 862-9000

THE HONORABLE NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES 013926
PRIVATE & CONFIDENTIAL
2307 RAYBURN HOB
WASHINGTON DC 20515 0001

DIVIDENDS AND DISTRIBUTIONS

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1. Ordinary Dividends	2a. Total Capital Gain Distributions	2b. 28% Gain Distributions	2c. Section 1250 Gain Distributions	3. Non-Taxable Distributions	**4. Federal Income Tax Withheld**	6. Foreign Tax Paid
DEAN WITTER REYNOLDS INC. PAYER'S FED. ID. # 94-1671384	1,962.87	0.00	0.00	0.00	0.00	0.00	166.12
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3075005 PLAN NUMBER 260-014981854	265.74	0.00	0.00	0.00	0.00	0.00	0.00

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-113487 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

LAZARD FRERES ASSET MANAGEMENT

MR PEAR/MR HANDO 022

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
SHORT-TERM GAIN/(LOSS) TRANSACTIONS								
23	BAE SYS PLC SPON ADR	1-03-00	.00	.00	2-14-00	19.54	449.40	449.40
0	INTL PWR PLC ADS	8-22-00	.00	.00	8-22-00	.00	58.90	58.90
0	CITIGROUP INC	8-25-00	.00	.00	8-25-00	.00	10.21	10.21
0	ZURICH FINANCIAL SVC	10-20-00	.00	.00	10-20-00	.00	12.39	12.39
0	SYNGENTA AG ADR	11-13-00	.00	.00	11-13-00	.00	5.47	5.47
0	SYNGENTA AG ADR	11-13-00	.00	.00	11-13-00	.00	7.03	7.03
	TOTAL SHORT-TERM GAIN							551.40
	TOTAL SHORT-TERM LOSS							.00
	TOTAL SHORT-TERM			.00			551.40	551.40
LONG-TERM GAIN/(LOSS) TRANSACTIONS								
15	MANNESMANN AG SP ADR	9-09-93	19.50	292.50	1-27-00	261.92	3,928.86	3,636.36
50	RICOH LTD ADR	11-30-98	48.50	2,425.00	2-16-00	83.43	4,171.27	1,746.27
5	SONY CORP ADR 1974 NEW	3-12-96	59.00	295.00	2-23-00	271.44	1,357.20	1,062.20
20	DAIMLER-CHRYSLER AG	6-21-96	55.00	1,100.00	5-19-00	53.79	1,075.82	(24.18)
5	DAIMLER-CHRYSLER AG	5-13-96	53.75	268.75	5-19-00	53.79	268.96	.21
40	ALCATEL ADS	10-16-96	17.63	705.00	7-21-00	71.81	2,872.54	2,167.54
20	ALCATEL ADS	6-09-95	18.50	370.00	7-21-00	71.81	1,436.27	1,066.27
20	INTL PWR PLC ADS	3-23-96	74.88	1,497.53	9-08-00	66.47	1,329.30	(168.23)
	TOTAL ALL LONG-TERM GAIN							9,678.85
	TOTAL ALL LONG-TERM LOSS							(192.41)
	TOTAL ALL LONG-TERM			6,953.78			16,440.22	9,486.44
	TOTAL ACCOUNT GAIN/(LOSS)			6,953.78			16,991.62	10,037.84

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MORGAN STANLEY DEAN WITTER

ACCESS

* * CAPITAL GAINS SCHEDULE * *

642-113487 NICK JOE RAHALL II

1-01-00 THRU 12-31-00

LAZARD FRERES ASSET MANAGEMENT

MR PEAR/MR HANDO 022

		PURCHASE INFORMATION			SALE INFORMATION			Realized
Quantity	Security Description	Date	Per Unit	Total Cost	Date	Per Unit	Proceeds	Gain or (Loss)

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® PORTFOLIO ADVANTAGE ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Account Number FA
642 122048 022

AAA Customer Service
1-800-869-3326

THE HONORABLE NICK JOE RAHALL II 002541
AGENT: MSDW ADVISORS
PRIVATE & CONFIDENTIAL 642
2307 RAYBURN HOB
WASHINGTON DC 20515 0001

Asset Summary

	Value	% of Assets
Money Market Funds	$4,618.42	4.5%
Stocks	95,832.69	95.5
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$100,451.11	100.0%
Cash	13.13	
Total Asset Value	$100,464.24	

Income Summary

	Year To Date
Money Market Funds	$131.52
Stocks	1,439.19
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$1,570.71
Taxable Income	$1,570.71
Tax Exempt Income	$0.00

Activity Summary

Total Asset Value January 01, 2000		$100,200.06
Cash/Money Market Activity for 2000		
Credits To Your Account		
Dividend and Interest	1,570.71	
Deposits	0.00	
Sales Proceeds/Redemptions	82,745.82	
Other Credits	61.22	
Total Credits	84,377.75	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	-779.00	
Funds to Purchase Securities	-78,415.65	
Other Debits	-2,735.84	
Total Debits	-81,930.49	
Changes in Asset Value for 2000		
Value of Priced Assets 1/01/00	$98,014.66	
Securities Bought	78,415.65	
Securities Received	0.00	
Securities Sold/Redeemed	-82,745.82	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	2,148.20	
Value of Priced Assets 12/31/00	95,832.69	
Net Change in Asset Value		-2,181.97
Total Asset Value as of December 31 2000		$100,464.24

MORGAN STANLEY DEAN WITTER ACTIVE ASSETS® PORTFOLIO ADVANTAGE ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
AGENT: MSDW ADVISORS

Account Number FA
642 122048 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	13.13	---	---
ACTIVE ASSETS MONEY TRUST	4,618.42	4.5%	285
Total Cash and Money Market Funds	$4,631.55	4.5%	$285

Net Change Since January 1, 2000 $2,447.26

Annualized 30 Day Yields

Active Assets Money Trust	6.19%
Active Assets Govt. Sec. Tr.	6.03%
Active Assets California Tax Free	3.02%
Active Assets Tax Free Trust	3.68%
Active Assets Insured Account	5.86%
Active Assets Deposit Account	5.86%

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
7	AGILENT TECHNOLOGIES	54.75000	383.25	0.4%	0	0.00%	A	
50	AMERICAN HOME PRODUCTS	63.55	3,177.50	3.2%	46	1.44%	AHP	Next Dividend Payable 03/01
50	ANHEUSER BUSCH COS INC	45.50000	2,275.00	2.3%	33	1.45%	BUD	Next Dividend Payable 03/01
75	BAKER HUGHES INC	41.56250	3,117.18	3.1%	34	1.10%	BHI	Next Dividend Payable 02/01
45	BRISTOL MYERS SQUIBB CO	73.93750	3,327.18	3.3%	49	1.48%	BMY	Next Dividend Payable 02/01
69	CITIGROUP INC	51.06250	3,523.31	3.5%	38	1.09%	C	Next Dividend Payable 02/01
190	COMPAQ COMPUTER CORP	15.05	2,859.50	2.8%	19	.66%	CPQ	Next Dividend Payable 01/19/01
147	CONOCO INC CL B	28.93750	4,253.81	4.2%	111	2.62%	COCB	Next Dividend Payable 03/01
60	EL PASO ENERGY CORP	71.62500	4,297.50	4.3%	49	1.15%	EPG	Next Dividend Payable 01/02/01
75	ELECTR DATA SYSTEMS CORP	57.75000	4,331.25	4.3%	45	1.03%	EDS	Next Dividend Payable 03/01
30	ELI LILLY & CO	93.06250	2,791.87	2.8%	33	1.20%	LLY	Next Dividend Payable 03/01
35	EMERSON ELECTRIC CO	78.81250	2,758.43	2.7%	53	1.94%	EMR	Next Dividend Payable 03/01
30	ENRON CORP	83.12500	2,493.75	2.5%	15	.60%	ENE	Next Dividend Payable 03/01
26	EXXON MOBIL CORP	86.93750	2,260.37	2.3%	45	2.02%	XOM	Next Dividend Payable 03/01
40	FANNIE MAE	86.75000	3,470.00	3.5%	44	1.29%	FNM	Next Dividend Payable 02/01
75	GENERAL ELECTRIC CO	47.93750	3,595.31	3.6%	48	1.33%	GE	Next Dividend Payable 01/25/01
25	GILLETTE CO	36.12500	903.12	0.9%	16	1.79%	G	Next Dividend Payable 03/01
70	HEWLETT PACKARD	31.56250	2,209.37	2.2%	22	1.01%	HWP	Next Dividend Payable 01/10/01
86	HONEYWELL INTERNATIONAL INC	47.31250	4,068.87	4.1%	64	1.58%	HON	Next Dividend Payable 03/01
80	INTEL CORP	30.06250	2,405.00	2.4%	6	.26%	INTC	Next Dividend Payable 03/01
30	INTL BUSINESS MACHINES CORP	85.00000	2,550.00	2.5%	15	.61%	IBM	Next Dividend Payable 03/01
20	J P MORGAN & CO	165.50000	3,310.00	3.3%	80	2.41%	JPM	Next Dividend Payable 01/01
35	MINNESOTA MINING & MFG CO	120.50000	4,217.50	4.2%	81	1.92%	MMM	Next Dividend Payable 03/01
60	PFIZER INC	46.00000	2,760.00	2.7%	26	.95%	PFE	Next Dividend Payable 03/01
50	PROVIDIAN FINCL CORP	57.50000	2,875.00	2.9%	6	.20%	PVN	Next Dividend Payable 02/01
10	RAYTHEON CO (NEW) CLB	31.06250	310.62	0.3%	8	2.57%	RTNB	Next Dividend Payable 01/30/01
60	SCHERING PLOUGH CORP	56.75000	3,405.00	3.4%	33	.98%	SGP	Next Dividend Payable 02/01
130	TARGET CORPORATION	32.25000	4,192.50	4.2%	28	.68%	TGT	Next Dividend Payable 03/01
75	TYCO INTERNATIONAL LTD NEW	55.50000	4,162.50	4.1%	3	.09%	TYC	Next Dividend Payable 02/02/01
40	UNITED TECHNOLOGIES CORP	78.62500	3,145.00	3.1%	36	1.14%	UTX	Next Dividend Payable 03/01

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® PORTFOLIO ADVANTAGE ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
AGENT: MSDW ADVISORS

Account Number 642 122048 FA 022

Stocks		Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks								
64	VERIZON COMMUNICATIONS	50.12500	3,208.00	3.2%	98	3.07%	VZ	Next Dividend Payable 02/01
80	WILLIAMS CO INC	39.93750	3,195.00	3.2%	48	1.50%	MWB	Next Dividend Payable 03/01
Total Stocks			$95,832.69	95.5%	$1,242	1.29%		
Net Change Since January 1, 2000			-2,181.97					

Asset Summary	Value	Est Yrly Income
Total Asset Value	$100,464.24	$1,528
Total Net Change In Priced Assets Since January 1, 2000	-2,181.97	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS MONEY TRUST	131.52	Money Market Funds
Dividend	ALCOA INC	30.00	Stocks
Dividend	ANHEUSER BUSCH COS INC	37.50	Stocks
Dividend	ASSOCIATES FIRST CAP CP A	10.40	Stocks
Dividend	AT&T CORPORATION	63.36	Stocks
Dividend	AVON PRODUCTS INC	36.09	Stocks
Dividend	BAKER HUGHES INC	57.52	Stocks
Dividend	BRISTOL MYERS SQUIBB CO	44.12	Stocks
Dividend	BURLINGTON RESOURCES INC	15.12	Stocks
Dividend	CITIGROUP INC	36.01	Stocks
Dividend	COMPAQ COMPUTER CORP	10.76	Stocks
Dividend	COMPUTER ASSOC INTL INC	2.80	Stocks
Dividend	CONOCO INC CL B	111.72	Stocks
Dividend	DU PONT EI DE NEMOURS & CO	42.00	Stocks
Dividend	EL PASO ENERGY CORP	8.24	Stocks
Dividend	ELECTR DATA SYSTEMS CORP	26.25	Stocks
Dividend	ELI LILLY & CO	36.40	Stocks
Dividend	EMERSON ELECTRIC CO	13.39	Stocks
Dividend	ENRON CORP	17.50	Stocks
Dividend	EXXON MOBIL CORP	45.76	Stocks

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MORGAN STANLEY DEAN WITTER

1099-DIV

STATEMENT FOR TAX YEAR 2000

OMB. NO.
1545-0110

Account Number FA
642 122048 022

Social Security Number
236-78-8645

FA Phone Number
(202) 862-9000

THE HONORABLE NICK JOE RAHALL II
AGENT, MSDW ADVISORS 000150
PRIVATE & CONFIDENTIAL
2307 RAYBURN HOB
WASHINGTON DC 20515 0001

DIVIDENDS AND DISTRIBUTIONS

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

The following dividend information is reported to the IRS by payer. When preparing your income tax return you should list payer information separately as shown below.

Payer	1. Ordinary Dividends	2a. Total Capital Gain Distributions	2b. 28% Gain Distributions	2c. Section 1250 Gain Distributions	3. Non-Taxable Distributions	4. Federal Income Tax Withheld	6. Foreign Tax Paid
DEAN WITTER REYNOLDS INC. PAYER'S FED. ID. # 94-1671384	1,439.19	0.00	0.00	0.00	0.00	0.00	1.11
ACTIVE ASSETS MONEY TRUST PAYER'S FED. ID. # 13-3075005 PLAN NUMBER 260-080148780	131.52	0.00	0.00	0.00	0.00	0.00	0.00

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

MORGAN STANLEY DEAN WITTER ADVISORS

Capital Gains Schedule
for
THE HONORABLE NICK JOE RAHALL

ccount Number: 642-122048-022

Reporting Period: 01/01/00 thru 12/31/00

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
HORT-TERM GAIN/(LOSS) TRANSACTIONS								
50	WEYERHAEUSER CO	9-02-99	57.62	2,880.91	1-07-00	72.06	3,603.00	722.09
20	ENRON CORP	4-13-99	32.50	650.00	1-10-00	47.03	940.59	289.79
30	ATLANTIC RICHFIELD CO DE	3-10-99	61.25	1,837.50	1-14-00	76.27	2,288.00	450.50
15	ENRON CORP	4-13-99	32.50	488.10	1-20-00	62.86	942.89	454.79
40	BANK OF AMERICA CORP	3-10-99	71.25	2,850.00	1-21-00	46.28	1,851.27	(998.73)
20	PEPSICO INC NC	6-29-99	38.19	763.75	1-27-00	34.19	683.73	(80.02)
75	PEPSICO INC NC	3-10-99	38.00	2,850.00	1-27-00	34.19	2,563.97	(286.03)
25	SEAGRAM CO LTD	9-22-99	46.50	1,162.50	2-15-00	60.95	1,523.72	361.22
20	ENRON CORP	4-13-99	32.50	650.01	2-28-00	62.77	1,255.47	604.66
45	KIMBERLY CLARK CORP	3-10-99	49.14	2,210.62	3-01-00	49.25	2,216.17	5.55
15	WILLIAMS CO INC	3-10-99	35.19	527.81	3-01-00	42.83	642.38	114.57
25	SEAGRAM CO LTD	10-26-99	45.63	1,140.63	3-08-00	59.00	1,474.95	334.32
15	SEAGRAM CO LTD	10-20-99	38.87	583.05	3-08-00	59.00	884.97	301.92
5	SEAGRAM CO LTD	9-22-99	46.50	232.50	3-08-00	59.00	294.99	62.49
10	HEWLETT PACKARD	3-10-99	68.44	684.37	3-10-00	149.59	1,495.92	811.55
40	KERR MCGEE CORP	3-02-00	47.37	1,894.63	4-07-00	54.73	2,189.12	294.49
25	US WEST INC	3-09-00	74.00	1,850.00	4-13-00	68.50	1,712.40	(137.56)
85	ASSOCIATES FIRST CAP CP A	3-15-00	17.38	1,476.08	5-25-00	26.35	2,239.70	762.86
75	ASSOCIATES FIRST CAP CP A	2-28-00	20.01	1,500.98	5-25-00	26.35	1,976.24	475.26
0	AGILENT TECHNOLOGIES	6-02-00	.00	.00	6-02-00	.00	43.01	43.01
55	BURLINGTON RESOURCES INC	11-15-99	36.00	1,980.00	6-02-00	43.00	2,364.92	384.92
15	TARGET CORPORATION	3-03-00	60.64	909.60	6-06-00	60.75	911.21	1.61
50	AVON PRODUCTS INC	11-29-99	35.16	1,758.20	6-29-00	41.06	2,053.06	294.86
10	ELI LILLY & CO	7-16-99	76.19	761.88	6-30-00	97.75	977.46	215.58
0	VERIZON COMMUNICATIONS	7-07-00	.00	.00	7-07-00	.00	49.44	49.44

Capital Gains Schedule
for
THE HONORABLE NICK JOE RAHALL

ccount Number: 642-122048-022 Reporting Period: 01/01/00 Thru 12/31/00

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
HORT-TERM GAIN/(LOSS) TRANSACTIONS								
65	SEAGRAM CO LTD	5-30-00	47.80	3,106.95	7-11-00	56.08	3,645.50	538.55
15	PITNEY BOWES INC	10-26-99	47.94	719.06	7-17-00	36.43	546.39	(172.67)
30	DU PONT EI DE NEMOURS & CO	7-11-00	46.93	1,407.95	8-10-00	48.98	1,469.53	61.58
30	DU PONT EI DE NEMOURS & CO	1-26-00	65.06	1,951.88	8-10-00	48.98	1,469.53	(482.35)
30	USX MARATHON GP	6-06-00	26.88	806.25	8-24-00	27.12	813.57	7.32
30	USX MARATHON GP	6-05-00	26.75	802.50	8-24-00	27.12	813.56	11.06
5	AVON PRODUCTS INC	11-29-99	35.16	175.82	8-25-00	37.35	186.77	10.95
40	AVON PRODUCTS INC	4-12-00	33.69	1,347.50	8-25-00	37.35	1,494.16	146.66
0	CITIGROUP INC	8-25-00	.00	.00	8-25-00	.00	18.21	18.21
80	GOODYEAR TIRE & RUBBER	6-02-00	27.24	2,179.42	8-25-00	23.18	1,854.32	(325.10)
40	TRW INC	5-31-00	49.60	1,984.16	8-25-00	47.67	1,906.71	(77.45)
15	TRW INC	3-01-00	52.71	790.61	8-25-00	47.67	715.02	(75.59)
10	INTERNATIONAL PAPER CO	1-28-00	48.56	485.63	9-07-00	32.11	321.08	(164.55)
35	INTERNATIONAL PAPER CO	12-08-99	54.14	1,894.95	9-07-00	32.11	1,123.77	(771.18)
40	INTERNATIONAL PAPER CO	7-10-00	31.94	1,277.50	9-07-00	32.11	1,284.31	6.81
10	INTERNATIONAL PAPER CO	6-14-00	34.38	343.75	9-07-00	32.11	321.08	(22.67)
20	ALCOA INC	2-02-00	37.25	745.00	9-21-00	24.88	497.67	(247.33)
50	ALCOA INC	1-14-00	43.56	2,178.13	9-21-00	24.88	1,244.17	(933.96)
10	ALCOA INC	7-10-00	29.94	299.38	9-21-00	24.88	248.84	(50.54)
20	ALCOA INC	4-11-00	35.16	703.13	9-21-00	24.88	497.67	(205.46)
90	SUPERVALU INC	9-06-00	15.16	1,364.63	10-13-00	14.10	1,269.10	(95.53)
120	SUPERVALU INC	6-29-00	20.00	2,399.70	10-13-00	14.10	1,692.14	(707.56)
28	PROCTER & GAMBLE	1-27-00	102.62	2,873.33	10-31-00	70.19	1,965.21	(908.12)
10	COMPUTER ASSOC INTL INC	3-22-00	66.81	668.13	12-13-00	26.53	265.30	(402.83)
35	COMPUTER ASSOC INTL INC	1-14-00	65.99	2,309.63	12-13-00	26.53	928.54	(1,381.09)

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formation contained herein is based on data obtained from sources believed to be reliable. However, we cannot make any representations to its accuracy or completeness. Any market data statements constitute only current opinions that are subject to change without notice.

MORGAN STANLEY DEAN WITTER ADVISORS

Capital Gains Schedule
for
THE HONORABLE NICK JOE RAHALL

Account Number: 642-122048-022

Reporting Period: 01/01/00 thru 12/31/00

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
SHORT-TERM GAIN/(LOSS) TRANSACTIONS								
50	COMPUTER ASSOC INTL INC	8-16-00	26.50	1,324.82	12-13-00	26.53	1,326.48	1.66
15	COMPUTER ASSOC INTL INC	5-08-00	47.25	708.75	12-13-00	26.53	397.95	(310.80)
10	COMPUTER ASSOC INTL INC	4-07-00	62.25	622.50	12-13-00	26.53	265.30	(357.20)
55	SPRINT CORP (FON GROUP)	8-25-00	32.56	1,790.73	12-19-00	24.89	1,368.79	(421.94)
40	SPRINT CORP (FON GROUP)	1-12-00	62.56	2,502.50	12-19-00	24.89	995.48	(1,507.02)
	TOTAL SHORT-TERM GAIN							7,838.23
	TOTAL SHORT-TERM LOSS							(11,123.23)
	TOTAL SHORT-TERM			71,409.81			68,129.76	(3,285.05)
LONG-TERM GAIN/(LOSS) TRANSACTIONS								
55	SBC COMMUNICATIONS	3-10-99	51.75	2,846.25	3-22-00	43.27	2,379.73	(466.52)
80	BAKER HUGHES INC	3-19-99	23.25	1,860.00	5-08-00	34.83	2,786.77	926.77
20	BAKER HUGHES INC	3-19-99	23.25	465.00	5-18-00	37.87	757.47	292.47
10	INTL BUSINESS MACHINES CORP	3-10-99	90.88	908.75	6-27-00	109.27	1,092.71	183.96
10	ANHEUSER BUSCH COS INC	3-10-99	77.63	776.25	6-29-00	77.00	769.97	(6.28)
100	HERCULES INC	4-15-99	30.94	3,094.30	7-14-00	12.76	1,275.58	(1,818.72)
50	PITNEY BOWES INC	3-30-99	63.68	3,184.02	7-17-00	36.43	1,821.29	(1,362.73)
5	PROVIDIAN FINCL CORP	6-07-99	76.74	383.70	8-18-00	105.50	527.48	143.78
15	PROVIDIAN FINCL CORP	5-28-99	92.50	1,387.50	8-18-00	105.50	1,582.44	194.94
20	AT&T CORPORATION	9-21-99	45.38	907.50	12-11-00	20.00	399.99	(507.51)
52	AT&T CORPORATION	3-10-99	56.50	2,937.81	12-11-00	20.00	1,039.96	(1,897.85)
10	SPRINT CORP (FON GROUP)	10-04-99	60.50	605.00	12-19-00	24.89	248.87	(356.13)

Information contained herein is believed to be reliable but is not guaranteed to be accurate or complete. Opinions and other information are subject to change without notice. This material is intended for recipient's use only and is not an offer or solicitation for the purchase or sale of any security.

MORGAN STANLEY DEAN WITTER ADVISORS

Capital Gains Schedule
for
THE HONORABLE HICK JOE RAHALL

Account Number: 642-122048-022 Reporting Period: 01/01/00 thru 12/31/00

Quantity	Security Description	PURCHASE INFORMATION Date	Per Unit	Total Cost	SALE INFORMATION Date	Per Unit	Proceeds	Realized Gain or (Loss)
	TOTAL ALL LONG-TERM GAIN							1,741.94
	TOTAL ALL LONG-TERM LOSS							(6,415.24)
	TOTAL ALL LONG-TERM			19,356.08			14,682.28	(4,673.80)
	TOTAL ACCOUNT GAIN/(LOSS)			90,765.89			82,807.04	(7,958.85)

This Capital Gains Schedule is not an official tax document. It is provided for informational purposes only.

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ormation contained herein is based on data obtained from sources believed to be reliable, however we cannot make any representation as to its accuracy or completeness. Any market/financial statements constitute only current opinions that are subject to change without notice.

MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2000

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Account Number 642 115917 FA 022

Date of Birth May 20, 1949

THE HONORABLE NICK JOE RAHALL II
2307 RAYBURN HOB
WASHINGTON DC 20515 0001

IRA Standard
Dated 03/22/94

Asset Summary

	Value	% of Asset
Money Market Funds	$0.00	0.0%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	47,739.25	100.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$47,739.55	100.0%
Cash	0.00	
Total Asset Value	$47,739.55	

Income Summary

	This Month	Year-To-Date
Money Market Funds	$0.00	$0.02
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	30.34	198.25
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$30.34	$198.27

Activity Summary

Total Asset Value September 30 2000		$49,754.74
Cash/Money Market Activity		
Closing Balance 9/30	$0.00	
Credits To Your Account		
Dividends and Interest	$0.34	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	4,601.12	
Total Credits	4,631.46	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	-4,631.46	
Other Debits	0.00	
Total Debits	-4,631.46	
Closing Balance 12/31	0.00	
Net Change Cash/Money Market Activity		0.00
Changes in Asset Value		
Value of Priced Assets 9/30	$49,754.74	
Securities Bought	4,631.46	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	-6,646.65	
Value of Priced Assets 12/31	47,739.55	
Net Change In Asset Value		-2,015.19
Total Asset Value as of December 31 2000		$47,739.55

MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
2307 RAYBURN HOB

Account Number 642 115917 FA 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
Total Cash and Money Market Funds	$.00		0
Net Change Since Last Statement	$.00		

Annualized 30 Day Yields

MSDW Liquid Asset Fund	6.07%
MSDW US Gvt Mymkt	5.85%

Stocks	Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks							
100 ARM FINCL GP INC CLASS A	.00300	.30	N/A	0	0.00%	ARMGQ	
Total Stocks		$.30	N/A	0	0.00%		
Net Change Since Last Statement		-.10					

Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Morgan Stanley Dean Witter Mutual Funds								
787.041 MSDW AMERICAN OPPORTUNITIES B	32.9400	25,925.13	54.3%	N/A	N/A	066911846	AMOBX	Reinv/Reinv
405.241 MSDW DIVIDEND GROWTH B	53.8300	21,814.12	45.7%	N/A	N/A	069701214	DIVBX	Reinv/Reinv
Total Mutual Funds		$47,739.25	100.0%	N/A	N/A			
Net Change Since Last Statement		-2,015.09						

Asset Summary	Value	Est Yrly Income
Total Asset Value	$47,739.55	0
Total Net Change In Priced Assets Since Last Statement	-2,015.19	

MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS ACCOUNT®

FOR YEAR ENDING DECEMBER 31, 2000

Your Financial Advisor
MR PEAR/MR HANDO
MORGAN STANLEY DEAN WITTER
1775 EYE STREET, NW SUITE 200
WASHINGTON, DC 20006
(202) 862-9000

Account Number FA
842 115916 022

AAA Customer Service
1-800-869-3326

THE HONORABLE NICK JOE RAHALL II 002231
PRIVATE & CONFIDENTIAL
2307 RAYBURN HOB 642
WASHINGTON DC 20515 0001

Asset Summary

	Value	% of Assets
Money Market Funds	$11,488.90	9.2%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	0.00	0.0
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	113,121.74	90.8
Asset Value	$124,610.64	100.0%
Cash	0.00	
Total Asset Value	$124,610.64	

Income Summary

	Year To Date
Money Market Funds	$395.82
Stocks	0.00
Municipal Bonds	0.00
Corporate Fixed Income	0.00
Government Securities	0.00
Mutual Funds	0.00
Unit Investment Trusts	0.00
Certificates of Deposit	0.00
Other	0.00
Total Income	$395.82
Taxable Income	$0.00
Tax Exempt Income	$395.82

Activity Summary

Total Asset Value January 01, 2000		$114,227.16
Cash/Money Market Activity for 2000		
Credits To Your Account		
Dividend and Interest	395.82	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	0.00	
Total Credits	395.82	
Debits To Your Account		
Checking	0.00	
Debit Card	0.00	
Withdrawals	0.00	
Funds to Purchase Securities	0.00	
Other Debits	-80.00	
Total Debits	-80.00	
Changes in Asset Value for 2000		
Value of Priced Assets 1/01/00	$105,054.08	
Securities Bought	0.00	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	8,067.66	
Value of Priced Assets 12/31/00	113,121.74	
Net Change in Asset Value		8,067.66
Total Asset Value as of December 31 2000		$124,610.64

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
2307 RAYBURN HOB

Account Number FA
642 115917 022

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
12-15	Dividend	MSDW DIVIDEND GROWTH B	30.34	Mutual funds
		Total Dividends and Interest	30.34	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category.

Other Credits

Date	Activity	Description	Amount	Additional Information	
12-15	S/T Capital Gain	MSDW AMERICAN OPPORTUNITIES B	1,650.33		
12-15	L/T Capital Gain	MSDW AMERICAN OPPORTUNITIES B	1,665.95		
12-15	L/T Capital Gain	MSDW DIVIDEND GROWTH B	1,284.84		
		Total Other Credits	$4,601.12	Year-To-Date	$5,026.02
Total Credits To Your Account			$4,631.46	Year-To-Date	$6,024.29

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information	
12-15	S/T Capital Gain	51.492	MSDW AMERICAN OPPORTUNITIES B	32.0500	1,650.33	REINVESTMENT	
12-15	L/T Capital Gain	51.980	MSDW AMERICAN OPPORTUNITIES B	32.0500	1,665.95	REINVESTMENT	
12-15	Direct Purchase	.599	MSDW DIVIDEND GROWTH B	50.6400	30.34	DIVIDEND REINVESTMENT	
12-15	L/T Capital Gain	25.372	MSDW DIVIDEND GROWTH B	50.6400	1,284.84	REINVESTMENT	
			Total Funds to Purchase Securities		-4,631.46	Year-To-Date	-6,014.20
Total Debits To Your Account					-4,631.46	Year-To-Date	-6,044.20

MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS ACCOUNT®
FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
PRIVATE & CONFIDENTIAL

Account Number 642 115918 FA 022

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
ACTIVE ASSETS TAX FREE TRUST	11,488.90	9.2%	422
Total Cash and Money Market Funds	$11,488.90	9.2%	$422
Net Change Since January 1, 2000	$315.82		

Annualized 30 Day Yields

Active Assets Money Trust	6.19%
Active Assets Govt Sec Tr	6.03%
Active Assets California Tax Free	3.02%
Active Assets Tax Free Trust	3.68%
Active Assets Insured Account	5.86%
Active Assets Deposit Account	5.86%

Other	Est NAV	Valuation Est.	Pct of Assets	Fund Number	Date	Additional Information
Managed Futures						
45.609 PRT STRATEG FUND (EST.VAL)	2,594.00	113,121.74	90.8%	0	12/28	
Total Other		$113,121.74	90.8%			
Net Change Since January 1, 2000		$8,067.66				

Asset Summary	Value	Est Yrly Income
Total Asset Value	$124,610.64	$422
Total Net Change In Priced Assets Since January 1, 2000	$8,067.66	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Activity	Description	Amount	Income Category
Dividend	ACTIVE ASSETS TAX FREE TRUST	395.82	Money Market Funds
	Total Dividends and Interest	$395.82	
Total Credits To Your Account		$395.82	

MORGAN STANLEY DEAN WITTER

1099-INT 1099-OID
STATEMENT FOR TAX YEAR 2000

OMB NO
1545-0112
1545-0117

PAYER:
DEAN WITTER REYNOLDS INC
5 WORLD TRADE CENTER
NEW YORK, NY 10048
TAX ID NUMBER 94-1671384

THE HONORABLE NICK JOE RAHALL II
PRIVATE & CONFIDENTIAL 014065
2307 RAYBURN HOB
WASHINGTON DC 20515 0001

Account Number FA
642 115916 022

Social Security Number
236-78-8645

FA Phone Number
(202) 862-9000

INTEREST INCOME, ORIGINAL ISSUE DISCOUNT AND INCOME NOT REPORTED TO IRS

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Interest Income (See 1099-INT Detail)

From Other Than U. S. Treasury Obligations	
Interest Credited and Allocated to Your Account	0.00
Accrued Interest Sold (See 1099-B, Gross Proceeds)	0.00
From U. S. Treasury Obligations	
U. S. Treasury Bill Interest	0.00
U. S. Treasury Coupon	0.00
Accrued Interest Sold (See 1099-B, Gross Proceeds)	0.00
Total Interest Income	0.00
Early Withdrawal Penalty	0.00
Federal Income Tax Withheld	0.00
Foreign Tax Paid	0.00

Original Issue Discount - OID (See 1099-OID Detail)

Original Issue Discount	0.00
Other Periodic Interest	0.00
Amount of Forfeiture	0.00
Federal Income Tax Withheld	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Investment Expenses	0.00

Income Not Reported To IRS*

Municipal Interest	0.00
Municipal Original Issue Discount	0.00
Tax Free Income	395.82
Municipal Accrued Interest (See Gross Proceeds Detail)	0.00

* This information is not reported to the IRS by Morgan Stanley Dean Witter, but is provided for your use in preparing your income tax return.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Attachment 12 - p.1

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FIRST UNION Securities

December 2000

Capital Resource Account for NICK JOE RAHALL II AS CUST FOR SUZANNE NICOLE RAHALL UNDER THE WV GIFTS TO MINORS ACT

December 1 - December 31, 2000

Current Investment Objective: Growth & Income + Conservative

Message from First Union Securities

IN THE FABLE THE TORTOISE ENDED UP BEATING THE HARE. AND THAT'S WHAT WE'RE HAPPENING IN THE STOCK MARKET IN 2001, MAKING "OLD ECONOMY" STOCKS AND LONG-TERM BONDS ATTRACTIVE TODAY. READ INVESTOR'S SPECTRUM TO LEARN MORE. THEN TALK WITH YOUR FINANCIAL ADVISOR.

Portfolio Summary

	Value on Nov. 30	Value on Dec. 31
Total portfolio value	$97,395.56	$98,500.35
		This period
Change in value		$1,104.79

Portfolio assets

	Value on Nov. 30	Value on Dec. 31	Percent of total assets
Cash and money market funds	$3,311.01	$636.61	0.65
Stocks and options	$18,368.75	$17,406.25	17.67
Bonds	$24,123.28	$24,141.79	24.51
Mutual funds	$48,842.52	$50,382.90	51.15
Unit investment trusts	$2,750.00	$5,932.80	6.02
Total portfolio value	$97,395.56	$98,500.35	100%

FIRST UNION Securities

Account Statement for NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
Account No. 1808 6540-1050

December 2000

Portfolio Assets

Cash and money market funds

Description	Current market value	Est. ann. income	Est. current yield (%)
Cash	$191.83		
EVERGREEN US GOVT SECS PORTFOLIO Interest Period 11/16/00 - 01/01/01	$444.78	$24.77	5.57
Total cash and money market funds	**$636.61**		

Stocks and options

Stocks

Description	Symbol	# of shares	Current share price	Current market value	Est. ann. income	Est. ann. yield (%)
ARGUSS COMMUNICATIONS INC	ACX	300	$9.1250	$2,737.50	N/A	N/A
DISNEY WALT COMPANY	DIS	200	$28.9375	$5,787.50	$42.00	0.72
FRANCHISE FINANCE CORP AMERICA	FFA	200	$23.3125	$4,662.50	$424.00	9.09
WORLDCOM INC	WCOM	300	$14.0625	$4,218.75	N/A	N/A
Total Stocks				**$17,406.25**	**$466.00**	**2.68**
Total Stocks and options				**$17,406.25**	**$466.00**	**2.68**

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Bonds

Corporate bonds

Description	Fed bonds	Current price	Current market value	Accrued interest	Est. ann. income	Est. ann. yield (%)
FICO STRIP SR 1 05/11/01 INTEREST PMT CPN 0.000% DUE 05/11/01 DTD 05/24/88	5.000	97.8220	$4,891.10	N/A	N/A	N/A
FICO STRIP SR 16 10/5/02 INTEREST PMT CPN 0.000% DUE 10/05/02	10.000	90.6990	$9,069.90	N/A	N/A	N/A
CERT ACCRUAL SER M 11/02 TREAS SECS INT PMT CPN 0.000% DUE 11/15/02	5.000	90.7430	$4,537.15	N/A	N/A	N/A
Total Corporate bonds			$18,498.15			

Mortgage-backed securities

Description	Fed bonds	Current price	Current market value	Accrued interest	Est. ann. income	Est. ann. yield (%)
MORGAN STANLEY 87 K3 MULTICLASS CMO CPN 8.425% DUE 09/20/18 DTD 05/20/87 FC 09/20/87 CALL 06/20/99 @ 100.000 Moody NR , S&P AAA REMAIN BAL 728.91 DEC FACTOR .24297033	3.000	99.7811	$727.31	$1.53	$61.41	8.44
FNMA 91 6ZD PAC 9% COMPOUNDED RATE MULTICLASS CMO CPN 9.000% DUE 01/25/21 DTD 01/01/91 FC 02/25/91 Moody NR , S&P NR REMAIN BAL 3,484.06 DEC FACTOR 1.16135580	3.000	104.0773	$3,626.12	N/A	$313.56	8.64

FIRST UNION Securities

Account Statement for NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
Account No 0K08 6540-1050

December 2000

Bonds

Mortgage-backed securities (continued)

Description	# of bonds	Current price	Current market value	Accrued interest	Est. annual income	Est. annual yield (%)
FNMA 92 11E MULTICLASS CMO CPN 8.000% DUE 12/25/21 DTD 01/01/92 FC 02/25/92 REMAIN BAL 1,272.42 DEC FACTOR .42414259	3,000	101.3976	$1,290.21	$7.92	$101.79	7.88
Total Mortgage-backed securities			**$5,643.64**	**$9.45**	**$476.76**	**8.45**
Total Remaining Balance on all Mortgage-backed securities $5,485.39						
Total Bonds			**$24,141.79**	**$9.45**	**$476.76**	**1.97**

Mutual funds

Estimated annual income and yield refer to dividends and interest income only, and typically do not reflect total return

Description	Symbol	# of shares	Current share price	Current market value	Est. annual income	Est. annual yield (%)
LIBERTY FUNDS TRUST VI US GROWTH & INCOME FD CLASS B	CFGBX	713.70800	$18.6800	$13,332.06	N/A	N/A
MFS RESEARCH GROWTH & INCOME FD CL B	MRGBX	615.38500	$17.3600	$10,683.08	N/A	N/A
MASSACHUSETTS INVS TR SH BEN INT CL B MASS FINANCIAL	MITBX	528.59200	$19.6600	$10,392.11	N/A	N/A
VAN KAMPEN SER FD INC VALUE FD CL B	MVUBX	514.57300	$11.2400	$5,783.80	N/A	N/A
VK PACE FUND CL B	ACPBX	909.17500	$11.2100	$10,191.85	N/A	N/A
Total Mutual funds				**$50,382.90**		

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Unit investment trusts

Equity Trusts

Description	Quantity	Current price	Current market value	Est ann income	Est ann yield (%)
FIRST TRUST UNIT 406 GE U S EQUITY SELECT PORT SEMI-ANNL REINVEST	320	$9.7900	$3,132.80	N/A	N/A
Total Equity Trusts			$3,132.80		

Corporate Trusts

Description	Quantity	Current price	Current market value	Est ann income	Est ann yield (%)
MORTGAGE SECURITIES TR CMO SER 15 INTERMEDIATE PORTFOLIO UNIT MONTHLY	5,000	$0.5600	$2,800.00	$180.00	6.42
Total Corporate Trusts			$2,800.00	$180.00	6.43
Total Unit investment trusts			$5,932.80	$180.00	3.03

Activity Detail

Date	Account type	Transaction	Quantity	Description	Price	Amount
12/07	Cash	LT CAP GAIN		MASSACHUSETTS INVS TR SH BEN INT CL B MASS FINANCIAL 12/04/00 1/28 59200 AS OF 12/04/00		$346.60
12/07	Cash	REINVEST DIV	17.80200	MASSACHUSETTS INVS TR SH BEN INT CL B MASS FINANCIAL	19.4700	-$346.60

FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

REP	ACCOUNT	PAGE
BK08	6540-1050	1 OF 5
TAXPAYER ID: 233-25-9977		

2284 341511 2001-2284 1A42226 6

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
2307 RAYBURN BLDG
WASHINGTON DC 20515-4611

FOR QUESTIONS CALL:
(304)252-6221
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

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IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON
YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.
CORRECTION: 03/09/01

DIVIDENDS AND DISTRIBUTIONS

DESCRIPTION		ACTIVITY	AMOUNT	IRS BOX	COUNTRY
BRUNSWICK CORP		DIVIDEND	$23.00	1	
CBRL GROUP INC		DIVIDEND	$1.50	1	
CARNIVAL CORP		DIVIDEND	$42.00	1	
EVERGREEN US GOVT *	(1)	DIVIDEND	$220.53	1	
DISNEY WALT COMPANY		DIVIDEND	$42.00	1	
FIRST TRUST UNIT 406 S/A		DIVIDEND	$0.77	1	
FRANCHISE FIN CORP AMER		DIVIDEND	$106.00	1	
LIBERTY VI US G & I CL B		SHORT TERM CAP	$253.77	1	
LONE STAR STEAKHOUSE		DIVIDEND	$62.50	1	
TOTAL DIVIDENDS			$754.07		
LIBERTY VI US G & I CL B		20% RATE GAIN	$2,234.71	2A	
MASSACHUSETTS INVS CL B		20% RATE GAIN	$417.61	2A	
VK PACE FUND CL B *		20% RATE GAIN	$547.55	2A	
TOTAL CAPITAL GAINS			$3,199.87		

IRS BOX	1099-DIV -- TOTALS FOR TAX YEAR 2000 SUBSTITUTE FOR IRS FORM 1099-DIV, OMB NO. 1545-0110	
1.	ORDINARY DIVIDENDS	$754.07
2A.	TOTAL CAPITAL GAINS DISTRIBUTIONS	$3,199.87
2B.	28 % RATE GAIN	$0.00
2C.	UNRECAP 1250 GAIN	$0.00
2D.	SECTION 1202 GAIN	$0.00
3.	NONTAXABLE DISTRIBUTIONS	$0.00
4.	FEDERAL INCOME TAX WITHHELD	$0.00
5.	INVESTMENT EXPENSES	$0.00
6.	FOREIGN TAX PAID	$0.00
8.	LIQUIDATION DISTRIBUTIONS	$0.00

(1) INCLUDES A SERVICE FEE OF $8.06 THAT MAY BE DEDUCTIBLE

INTEREST INCOME

DESCRIPTION	ACTIVITY	AMOUNT	IRS BOX	COUNTRY
MST CMO SER 15 M 3/20/24	INTEREST	$183.00	1	

<<<< CONTINUED ON THE NEXT PAGE >>>>

FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II AS CUST FOR SUZANNE NICOLE RAHALL UNDER THE WV GIFTS TO MINORS ACT	REP ACCOUNT BK08 6540-1050 TAXPAYER ID: 233-25-9977	PAGE 2 OF 5

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE.
IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.
CORRECTION: 03/09/01

INTEREST INCOME

DESCRIPTION	ACTIVITY	AMOUNT	IRS BOX	COUNTRY

IRS BOX 1099-INT -- TOTALS FOR TAX YEAR 2000
SUBSTITUTE FOR IRS FORM 1099-INT, OMB NO. 1545-0112

1. INTEREST INCOME NOT INCLUDED IN IRS BOX 3.....	$183.00
3. INTEREST INCOME ON U.S. TREASURY OBLIGATIONS.....	$0.00
4. FEDERAL INCOME TAX WITHHELD	$0.00
6. FOREIGN TAX PAID	$0.00

ORIGINAL ISSUE DISCOUNT

DESCRIPTION	ACTIVITY	AMOUNT	IRS BOX
FICO 1 0%05/11/01 INT	OID LONG TERM	$285.30	1
FICO 16 0%10/05/02 INT	OID LONG TERM	$531.30	1
TOTAL ORIGINAL ISSUE DISCOUNT		$816.30	
CATS SER M 0%11/02 INT	OID LONG TERM	$263.65	6

IRS BOX 1099-OID -- TOTALS FOR TAX YEAR 2000
SUBSTITUTE FOR IRS FORM 1099-OID, OMB NO. 1545-0117

1. ORIGINAL ISSUE DISCOUNT	$816.30
2. OTHER PERIODIC INTEREST	$0.00
4. FEDERAL INCOME TAX WITHHELD	$0.00
6. OID ON U.S. TREASURY OBLIGATIONS	$263.65

FIRST CLEARING CORPORATION

2000 1099-OID, REMIC

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL IDENTIFYING NUMBER: 23-2384840

ACCOUNT NUMBER :	BK08 6540-1050
TAX ID NUMBER :	233-25-9977

14701 361174 0067-0701 9934427 5

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
2307 RAYBURN BLDG
WASHINGTON DC 20515-4611

FOR QUESTIONS CALL
(304)252-6221
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

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BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS
NOT BEEN REPORTED

ORIGINAL ISSUE DISCOUNT (SUBSTITUTE FOR IRS FORM 1099-OID,REMIC OMB NO. 1545-0117)

IRS BOX	1099-OID -- TOTALS FOR TAX YEAR 2000	
1.	ORIGINAL ISSUE DISCOUNT	$460 33
2.	OTHER PERIODIC INTEREST	$111 77
7.	INVESTMENT EXPENSE ..	$0 00

DATE QUANTITY ACTION
01/01 3,000 00 POSITION

CUSIP: 31358FZB8 --ACCRUED OID--
FNMA 91 6ZD PAC $388 82
9% COMPOUNDED RATE
CPN 9 000% DUE 01/25/21

NOTE: ACCRUED INTEREST AND/OR ACCRUED OID WAS CALCULATED USING THE FOLLOWING TABLE

BEG DATE	END DATE	DAYS	QUANTITY	ACCR INT	ACCR OID	ACCR EXP	INT ACCRUED	OID ACCRUED	ADJ PRICE	DISC FRAC
01/01	01/24	24	3000 00		$29 47		00000000	42934160	1466 2689	.01270630
01/25	02/24	30	3000 00		$35 17		00000000	39079950	1426 8598	.01246840
02/25	03/24	30	3000 00		$34 53		00000000	38365090	1400 8196	.01249750
03/25	04/24	30	3000 00		$34 05		00000000	37836700	1375 1302	.01258390
04/25	05/24	30	3000 00		$32 73		00000000	36363630	1347 9812	.01236710
05/25	06/24	30	3000 00		$33 42		00000000	37127950	1329.6094	.01253260
06/25	07/24	30	3000 00		$32 83		00000000	36483110	1297.1936	.01295770
07/25	08/24	30	3000 00		$31 37		00000000	34854200	1262 5870	.01275170
08/25	09/24	30	3000 00		$30 98		00000000	34424350	1236 2505	.01259510
09/25	10/24	30	3000 00		$29 41		00000000	32673830	1206 9840	.01256680
10/25	11/24	30	3000 00		$28 70		00000000	31892880	1189 1299	.01248210
11/25	12/24	30	3000 00		$30 59		00000000	33990960	1174 3357	.01349640
12/25	12/31	6	3000 00		$5 57		00000000	30917590	1131.7962	.00255460

<<<<<<CONTINUED ON NEXT PAGE>>>>>>

FIRST CLEARING CORPORATION 2000 1099-OID, REMIC

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL IDENTIFYING NUMBER 23-2384840

ACCOUNT NUMBER	6K08 6540-105C
TAX ID NUMBER	233-25-9977

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
2307 RAYBURN BLDG
WASHINGTON DC 20515-4611

FOR QUESTIONS CALL:
(304)252-6221
EDWARD G RAHALL
122 MAIN STREET
POST OFFICE BOX 1328
BECKLEY, WEST VIRGINIA 25802

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED

ORIGINAL ISSUE DISCOUNT (SUBSTITUTE FOR IRS FORM 1099-OID,REMIC OMB NO. 1545-0117)

CUSIP: 31358FZ98
FNMA 91 6ZD PAC
9% COMPOUNDED RATE
CPN 9 000% DUE 01/25/21

ASSET COMPOSITION	1ST QRTR	2ND QRTR	3RD QRTR	4TH QRTR
IRC SECTION 593	95%	95%	95%	95%
IRC SECTION 7701	95%	95%	95%	95%
IRC SECTION 856	95%	95%	95%	95%

OID CALCULATION INCLUDES OID AND INTEREST

THE INFORMATION PROVIDED TO YOU IN THIS STATEMENT WAS OBTAINED FROM THE ISSUER, ITS TRUSTEE OR AN AGENT OF THE ISSUER WE ARE UNABLE TO VERIFY THE ACCURACY OF THE INFORMATION SUPPLIED TO US THEREFORE WE CANNOT GIVE ASSURANCE OF THE CORRECTNESS OF THIS INFORMATION, NOR CAN WE GIVE ASSURANCE THAT THIS INFORMATION WILL NOT SUBSEQUENTLY CHANGE

FIRST CLEARING CORPORATION

2000 1099-OID, REMIC

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL IDENTIFYING NUMBER: 23-2384840

ACCOUNT NUMBER : 8K08 6540-1050
TAX ID NUMBER : 233-25-9877

NICK JOE RAHALL II AS CUST FOR
SUZANNE NICOLE RAHALL UNDER
THE WV GIFTS TO MINORS ACT
2307 RAYBURN BLDG
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ORIGINAL ISSUE DISCOUNT (SUBSTITUTE FOR IRS FORM 1099-OID,REMIC OMB NO. 1545-0117)

DATE	QUANTITY ACTION
01/01	3,000 00 POSITION

CUSIP: 31358LBZ8
FNMA 92 11E
MULTICLASS CMO
DTD 01/01/92 FC 02/25/92

--ACCRUED INT--
$111 77

NOTE: ACCRUED INTEREST AND/OR ACCRUED OID WAS CALCULATED USING THE FOLLOWING TABLE

BEG DATE	END DATE	DAYS	QUANTITY	ACCR INT	ACCR OID	ACCR EXP	INT ACCRUED	OID ACCRUED	ADJ PRICE	DISC FRAC
01/01	01/24	24	3000 00	$6 06			.11198940	.00000000	479 0397	.01352950
01/25	02/24	30	3000 00	$9 86			.10958140	.00000000	468 2035	.01343440
02/25	03/24	30	3000 00	$9 75			.10837850	.00000000	462 7904	.01342910
03/25	04/24	30	3000 00	$9 68			.10754050	.00000000	459 0214	.01361550
04/25	05/24	30	3000 00	$9 51			.10568220	.00000000	450 6570	.01359310
05/25	06/24	30	3000 00	$9 40			.10441180	.00000000	444 9539	.01367090
06/25	07/24	30	3000 00	$9 27			.10297210	.00000000	438.4618	.01368320
07/25	08/24	30	3000 00	$9.17			.10183380	.00000000	433 3394	.01375060
08/25	09/24	30	3000 00	$9 05			.10059650	.00000000	427.7716	.01391690
09/25	10/24	30	3000 00	$8 88			.09863680	.00000000	418.9530	.01382450
10/25	11/24	30	3000 00	$8 79			.09769790	.00000000	414.7277	.01398070
11/25	12/24	30	3000 00	$8 65			.09610350	.00000000	407.5529	.01408310
12/25	12/31	6	3000.00	$1 70			.09425390	.00000000	399 2299	.00282910

ASSET COMPOSITION	1ST QRTR	2ND QRTR	3RD QRTR	4TH QRTR
IRC SECTION 593	95%	95%	95%	95%
IRC SECTION 7701	95%	95%	95%	95%
IRC SECTION 856	95%	95%	95%	95%

THE AMOUNT OF OID ATTRIBUTABLE TO YOUR POSITION IN THE ABOVE REMIC/CMO IS CONSIDERED "DE MINIMIS" AND THEREFORE SHOULD BE TREATED AS ZERO

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01200

FIRST CLEARING CORPORATION 2000 1099-OID, REMIC

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL IDENTIFYING NUMBER: 23-2384840

ACCOUNT NUMBER : BK08 6540-1050
TAX ID NUMBER : 233-25-9977

NICK JOE RAHALL II AS CUST FOR
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PAGE 4 OF 4

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ORIGINAL ISSUE DISCOUNT (SUBSTITUTE FOR IRS FORM 1099-OID,REMIC OMB NO. 1545-0117)

DATE	QUANTITY ACTION
01/01	3,000 00 POSITION

CUSIP: 617909AD8 --ACCRUED OID--
MORGAN STANLEY 87 K3 $71 51
MULTICLASS CMO
DTD 05/20/87 FC 09/20/87

NOTE: ACCRUED INTEREST AND/OR ACCRUED OID WAS CALCULATED USING THE FOLLOWING TABLE

BEG DATE	END DATE	DAYS	QUANTITY	ACCR INT	ACCR OID	ACCR EXP	INT ACCRUED	OID ACCRUED	ADJ PRICE	DISC FRAC
01/01	03/19	79	3000 00		$17 71		00000000	07473790	317 3929	05071930
03/20	06/19	90	3000 00		$18 49		00000000	06847420	290 8449	05787440
06/20	09/19	90	3000 00		$17 28		00000000	06400460	271 8576	05848430
09/20	12/19	90	3000 00		$16 16		00000000	05986690	254 5804	05919880
12/20	12/31	11	3000 00		$1 87		00000000	05654230	242 6482	00725270

ASSET COMPOSITION	1ST QRTR	2ND QRTR	3RD QRTR	4TH QRTR
IRC SECTION 593 :	95%	95%	95%	95%
IRC SECTION 7701 :	95%	95%	95%	95%
IRC SECTION 856 :	95%	95%	95%	95%

OID CALCULATION INCLUDES OID AND INTEREST

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First Clearing Corporation

10700 WHEAT FIRST DRIVE, GLEN ALLEN, VA 23060

PAYER'S FEDERAL IDENTIFICATION NUMBER
23-2384840

001 VL000301163
NICK JOE RAHALL II SEP IRA
FCC AS CUSTODIAN
U/A DTD 12/17/86
216 1/2 MAIN ST
BECKLEY WV 25801-4613

FIRST UNION SECURITIES

YOUR FINANCIAL CONSULTANT:
EDWARD G RAHALL
(304) 252-6221

Account No.	Recipient's ID No.	Page
BK08 6539-9300	236-78-8645	1

ISSUE DATE: 01/19/2001

IRA PORTFOLIO HOLDING VALUATION
AS OF DECEMBER 31, 2000

SECURITY DESCRIPTION	QUANTITY	MARKET PRICE	FACTOR	MARKET VALUE
EVERGREEN US GOVT SECS PORTFOLIO	28.45000	1.0000		28.45
FICO STRIP SR 1 11/11/11 INTEREST PMT CPN 0.000% DUE 11/11/11 DTD 05/18/88	20,000.00000	51.7590	1.00000	10,351.80
FICO STRIP SR 5 02/08/10 INTEREST PMT CPN 0.000% DUE 02/08/10 DTD 08/01/88	15,000.00000	58.1520	1.00000	8,722.80
FICO STRIP 1 5/11/12 INTEREST PMT CPN 0.000% DUE 05/11/12 DTD 05/18/88	20,000.00000	49.8100	1.00000	9,962.00
LASALLE BANK NA CD CHICAGO IL ACT/365 FDIC INSURED TO 100M CPN 0.000% DUE 12/14/18 DTD 12/14/98	30,000.00000	24.5350	1.00000	7,360.50
LIBERTY FDS TR III COLONIAL SELECT VALUE FD CL B	541.05500	19.7300		10,675.01
MFS SER TR I NEW DISCOVERY FD-CL B	521.41100	17.9500		9,359.32
US TREAS STRIPS 08/13 INTEREST PMT CPN 0.000% DUE 08/15/13	35,000.00000	50.1770	1.00000	17,561.95
PORTFOLIO HELD ON FCC PREMISES :				$74,021.83
TOTAL IRA PORTFOLIO VALUATION :				$74,021.83

YOUR YEAR-END VALUE IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE.
(PLEASE SEE THE REVERSE SIDE OF THIS DOCUMENT FOR ADDITIONAL INFORMATION.)
New York Stock Exchange, Inc. and SIPC

Attachment 14 - p.1

FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II	REP ACCOUNT	PAGE
216 1/2 MAIN ST	BK08 6539-9650	2 OF 8
BECKLEY WV 25801-4613	TAXPAYER ID: 236-78-8645	

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ISSUE DATE: 01/18/01

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
OPEN TV CORP CUSIP: G67543101	04/05/00	SALE	100.00000	80.0000	$7,905.23
OPEN TV CORP CUSIP: G67543101	12/21/00	SALE	1,000.00000	9.3125	$9,177.68
AMGEN INC CUSIP: 031162100	03/28/00	SALE	200.00000	64.3125	$12,677.57
AMGEN INC CUSIP: 031162100	04/06/00	SALE	200.00000	62.9375	$12,407.58
ASK JEEVES INC CUSIP: 045174109	01/20/00	SALE	50.00000	109.0000	$5,363.31
ASK JEEVES INC CUSIP: 045174109	03/06/00	SALE	100.00000	93.5000	$9,254.18
ASK JEEVES INC CUSIP: 045174109	03/23/00	SALE	100.00000	78.8750	$7,811.33
ASK JEEVES INC CUSIP: 045174109	09/01/00	SALE	1,000.00000	33.6250	$33,159.24
CHECKFREE HLDGS CORP CUSIP: 162816102	02/08/00	SALE	200.00000	68.3750	$13,508.54
CHECKFREE HLDGS CORP CUSIP: 162816102	04/27/00	SALE	500.00000	48.5000	$24,054.69
INKTOMI CORP CUSIP: 457277101	02/18/00	SALE	50.00000	121.0625	$5,977.02
INKTOMI CORP CUSIP: 457277101	04/04/00	SALE	50.00000	150.9375	$7,460.12
INKTOMI CORP CUSIP: 457277101	04/07/00	SALE	50.00000	178.0000	$8,807.90
INKTOMI CORP CUSIP: 457277101	04/19/00	SALE	200.00000	129.0000	$25,664.94
INKTOMI CORP CUSIP: 457277101	06/27/00	SALE	50.00000	121.8750	$6,028.24
INKTOMI CORP CUSIP: 457277101	11/03/00	SALE	100.00000	72.5000	$7,165.25
INKTOMI CORP CUSIP: 457277101	12/05/00	SALE	200.00000	29.8750	$5,880.30
LIBERATE TECHNOLOGIES CUSIP: 530129105	03/29/00	SALE	100.00000	74.6250	$7,381.05
LIBERATE TECHNOLOGIES CUSIP: 530129105	04/04/00	SALE	200.00000	49.9375	$9,799.96
LIBERATE TECHNOLOGIES CUSIP: 530129105	12/22/00	SALE	500.00000	14.1250	$6,977.76
MICROSOFT CORP CUSIP: 594918104	10/19/00	SALE	200.00000	60.3750	$12,010.09
NC ED DUKE UNIV CAB 0200 CUSIP: 657903AL4	10/02/00	REDEMPTION	30,000.00000		$30,000.00
ONI SYSTEMS CORP CUSIP: 68273F103	06/27/00	SALE	50.00000	111.0625	$5,471.74
ONI SYSTEMS CORP CUSIP: 68273F103	08/03/00	SALE	100.00000	90.7500	$9,013.19
PLUG POWER INC CUSIP: 72919P103	01/12/00	SALE	100.00000	60.1250	$5,906.71
RADIANT SYS INC CUSIP: 75025N102	03/08/00	SALE	100.00000	70.4375	$6,949.01
REDBACK NETWORKS INC CUSIP: 757209101	04/12/00	SALE	100.00000	104.0000	$10,295.13
REDBACK NETWORKS INC CUSIP: 757209101	04/18/00	SALE	100.00000	69.0625	$6,849.01

<<<< CONTINUED ON THE NEXT PAGE >>>>

FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II	REP ACCOUNT	PAGE
216 1/2 MAIN ST	BK08 6539-9650	3 OF 8
BECKLEY WV 25801-4613	TAXPAYER ID: 236-78-8645	

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ISSUE DATE: 01/18/01

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
<<<< CONTINUED FROM PREVIOUS PAGE >>>>					
REDBACK NETWORKS INC CUSIP: 757209101	05/10/00	SALE	100.00000	60.0000	$5,915.30
S C I SYSTEMS INC CUSIP: 783890106	09/13/00	SALE	200.00000	43.2500	$8,555.21
VA LINUX SYSTEMS INC CUSIP: 91819B105	01/05/00	SALE	75.00000	185.0000	$13,782.73
VERTICALNET INC CUSIP: 92532L107	04/10/00	SALE	100.00000	60.0625	$5,949.04
XM SATELLITE RADIO CUSIP: 983759101	01/14/00	SALE	200.00000	36.5000	$7,120.25
XM SATELLITE RADIO CUSIP: 983759101	02/16/00	SALE	300.00000	36.1250	$10.618.12
TOTAL PROCEEDS					$364,892.42

IRS BOX 1099-B -- TOTALS FOR TAX YEAR 2000 SUBSTITUTE FOR IRS FORM 1099-B, OMB NO. 1545-0715	
2. GROSS PROCEEDS LESS COMMISSION ON STOCK, BONDS, ETC	$364.892.42
4. FEDERAL INCOME TAX WITHHELD	$0.00

49200

FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II	REP ACCOUNT	PAGE
216 1/2 MAIN ST	BK08 6539-9650	4 OF 8
BECKLEY WV 25801-4613	TAXPAYER ID: 236-78-8645	

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

ISSUE DATE: 01/18/01

THIS SECTION LISTS MISCELLANEOUS INFORMATION WHICH CAN BE HELPFUL IN THE PREPARATION OF YOUR TAX RETURN. IT LISTS NON FEDERALLY REPORTABLE INCOME WHICH MAY OR MAY NOT BE TAXABLE AT THE STATE OR LOCAL LEVEL. PLEASE REVIEW THIS INFORMATION WITH YOUR TAX ADVISOR.

DESCRIPTION	ACTIVITY	AMOUNT	REF #
BERKELEY CO WV BLDG 6%01	INTEREST	$1,500.00	2
KANAWHA PUTNAM CO 0%16	OID LONG TERM	$1,339.04	2
NC ED DUKE UNIV CAB 0%00	OID LONG TERM	$1,483.93	2
PRINCETON WV HSP 6%18	INTEREST	$1,200.00	2
PR ELEC PWR 0.00%17	OID LONG TERM	$640.20	2
TAMPA FL 0.00%05	OID LONG TERM	$1,172.05	2
WV ECON EDGEWOOD 6.50%13	INTEREST	$1,625.00	2
WEST VIRGINIA ST 4.20%03	INTEREST	$1,050.00	2
WVA PKWYS AUTH 0.00%04	OID LONG TERM	$795.73	2
TOTAL TAX EXEMPT MUNI BOND INTEREST		$10,805.95	
PRINCETON WV HSP 6%18	PURCH INT-EXEMPT	$283.33DR	9

REF #	-- TOTALS FOR TAX YEAR 2000 MISCELLANEOUS INFORMATION TOTALS	
1.	MARGIN DEBIT INTEREST	$0.00DR
2.	FEDERALLY TAX EXEMPT MUNICIPAL BONDS	$10,805.95
3.	FEDERALLY TAX EXEMPT DIVIDENDS	$0.00
4.	NOT USED AT THIS TIME	$0.00
5.	INCOME SUBJECT TO ALTERNATIVE MINIMUM TAX	$0.00
6.	FEDERALLY TAX EXEMPT INTEREST	$0.00
7.	FEDERALLY TAX EXEMPT ACCRUED INTEREST	$0.00
8.	MASTER LIMITED PARTNERSHIP DISTRIBUTIONS	$0.00
9.	ACCRUED INTEREST ON PURCHASES	$283.33DR

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
IT IS PROVIDED FOR YOUR INFORMATION ONLY.

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
OPEN TV CORP CUSIP: G67543101	03/28/00	PURCHASE	50.00000	128.7500	$6,522.00
OPEN TV CORP CUSIP: G67543101	03/29/00	PURCHASE	50.00000	119.0000	$6,034.50
OPEN TV CORP CUSIP: G67543101	04/03/00	PURCHASE	100.00000	98.3750	$9,939.90
OPEN TV CORP CUSIP: G67543101	04/05/00	PURCHASE	100.00000	75.0000	$7,594.50
OPEN TV CORP CUSIP: G67543101	09/21/00	PURCHASE	100.00000	39.6250	$4,056.58
OPEN TV CORP CUSIP: G67543101	10/04/00	PURCHASE	200.00000	32.6250	$6,659.50
OPEN TV CORP CUSIP: G67543101	12/18/00	PURCHASE	500.00000	12.8750	$6,582.00
QXL COM PLC ADS CUSIP: 03064066	F 04/06/00	PURCHASE	100.00000	58.7500	$5,969.50
QXL COM PLC ADS CUSIP: 03064066	F 04/10/00	PURCHASE	100.00000	40.8750	$4,169.79
QXL COM PLC ADS CUSIP: 03064066	F 04/10/00	PURCHASE	100.00000	37.6250	$3,831.81
QXL COM PLC ADS CUSIP: 03064066	F 04/11/00	PURCHASE	100.00000	32.0625	$3,290.68

<<<< CONTINUED ON THE NEXT PAGE >>>>

FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
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FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II	REP ACCOUNT PAGE
216 1/2 MAIN ST	BK08 6539-9650 5 OF 8
BECKLEY WV 25801-4613	TAXPAYER ID: 236-78-8645

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DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
<<<< CONTINUED FROM PREVIOUS PAGE >>>>					
QXL COM PLC ADS CUSIP: 03064066	F 04/12/00	PURCHASE	100.00000	27.2500	$2,794.91
QXL COM PLC ADS CUSIP: 03064066	F 07/31/00	PURCHASE	2,500.00000	5.5625	$14,227.62
QXL COM PLC ADS CUSIP: 03064066	F 11/30/00	PURCHASE	2,000.00000	1.2500	$2,584.50
AMGEN INC CUSIP: 031162100	03/20/00	PURCHASE	100.00000	58.0625	$5,919.25
AMGEN INC CUSIP: 031162100	03/23/00	PURCHASE	100.00000	57.4375	$5,835.55
AMGEN INC CUSIP: 031162100	03/30/00	PURCHASE	100.00000	59.7500	$6,066.80
AMGEN INC CUSIP: 031162100	04/04/00	PURCHASE	100.00000	56.5000	$5,744.50
ASK JEEVES INC CUSIP: 045174109	01/18/00	PURCHASE	50.00000	98.5000	$5,016.80
ASK JEEVES INC CUSIP: 045174109	01/31/00	PURCHASE	50.00000	92.3750	$4,710.55
ASK JEEVES INC CUSIP: 045174109	02/08/00	PURCHASE	50.00000	82.4375	$4,212.75
ASK JEEVES INC CUSIP: 045174109	03/15/00	PURCHASE	100.00000	76.7500	$7,769.50
ASK JEEVES INC CUSIP: 045174109	03/31/00	PURCHASE	100.00000	56.5000	$5,745.50
ASK JEEVES INC CUSIP: 045174109	04/12/00	PURCHASE	100.00000	43.1250	$4,404.30
ASK JEEVES INC CUSIP: 045174109	04/14/00	PURCHASE	100.00000	32.0000	$3,275.25
ASK JEEVES INC CUSIP: 045174109	05/11/00	PURCHASE	200.00000	25.5000	$5,218.60
ASK JEEVES INC CUSIP: 045174109	07/31/00	PURCHASE	500.00000	17.8750	$9,103.45
AT HOME CORP CUSIP: 045919107	02/14/00	PURCHASE	100.00000	33.2500	$3,410.95
AT HOME CORP CUSIP: 045919107	03/15/00	PURCHASE	200.00000	28.8750	$5,909.19
AT HOME CORP CUSIP: 045919107	07/31/00	PURCHASE	500.00000	14.0000	$7,145.60
AT HOME CORP CUSIP: 045919107	12/06/00	PURCHASE	1,000.00000	5.7500	$5,904.50
CHECKFREE HLDGS CORP CUSIP: 162816102	01/25/00	PURCHASE	50.00000	76.1250	$3,888.32
CHECKFREE HLDGS CORP CUSIP: 162816102	01/26/00	PURCHASE	50.00000	67.1250	$3,433.26
CHECKFREE HLDGS CORP CUSIP: 162816102	01/31/00	PURCHASE	100.00000	56.8750	$5,779.30
CHECKFREE HLDGS CORP CUSIP: 162816102	03/17/00	PURCHASE	100.00000	74.1250	$7,504.30
CHECKFREE HLDGS CORP CUSIP: 162816102	04/10/00	PURCHASE	100.00000	51.3750	$5,234.60
CHECKFREE HLDGS CORP CUSIP: 162816102	04/14/00	PURCHASE	300.00000	37.5000	$11,431.50
DIGITAL IS INC DEL CUSIP: 25385N101	06/21/00	PURCHASE	100.00000	43.1250	$4,397.00
DIGITAL IS INC DEL CUSIP: 25385N101	07/31/00	PURCHASE	200.00000	27.5625	$5,614.20

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FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II	REP ACCOUNT PAGE
216 1/2 MAIN ST	BK08 6539-9650 6 OF 8
BECKLEY WV 25801-4613	TAXPAYER ID: 236-78-8645

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

ISSUE DATE: 01/18/01

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
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DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
<<<< CONTINUED FROM PREVIOUS PAGE >>>>					
DIGITAL IS INC DEL CUSIP: 25385N101	11/30/00	PURCHASE	700.00000	4.1875	$3,026.19
INKTOMI CORP CUSIP: 457277101	02/08/00	PURCHASE	50.00000	117.0000	$5,934.50
INKTOMI CORP CUSIP: 457277101	04/03/00	PURCHASE	50.00000	173.5000	$8,761.50
INKTOMI CORP CUSIP: 457277101	04/04/00	PURCHASE	50.00000	141.6875	$7,176.18
INKTOMI CORP CUSIP: 457277101	04/11/00	PURCHASE	50.00000	138.8750	$6,986.17
INKTOMI CORP CUSIP: 457277101	04/11/00	PURCHASE	50.00000	152.0625	$7,641.91
INKTOMI CORP CUSIP: 457277101	04/14/00	PURCHASE	100.00000	105.0000	$10,594.50
INKTOMI CORP CUSIP: 457277101	06/26/00	PURCHASE	50.00000	116.0625	$5,884.33
INKTOMI CORP CUSIP: 457277101	10/27/00	PURCHASE	100.00000	67.6875	$6,860.55
INKTOMI CORP CUSIP: 457277101	11/22/00	PURCHASE	200.00000	28.5000	$5,825.80
INKTOMI CORP CUSIP: 457277101	12/19/00	PURCHASE	100.00000	32.4375	$3,328.67
INKTOMI CORP CUSIP: 457277101	12/20/00	PURCHASE	100.00000	26.9375	$2,767.48
INKTOMI CORP CUSIP: 457277101	12/21/00	PURCHASE	100.00000	20.6250	$2,122.99
INKTOMI CORP CUSIP: 457277101	12/28/00	PURCHASE	100.00000	21.0000	$2,164.68
LIBERATE TECHNOLOGIES CUSIP: 530129105	03/28/00	PURCHASE	100.00000	70.1250	$7,104.30
LIBERATE TECHNOLOGIES CUSIP: 530129105	04/04/00	PURCHASE	100.00000	48.0000	$4,894.50
LIBERATE TECHNOLOGIES CUSIP: 530129105	04/04/00	PURCHASE	100.00000	42.0000	$4,277.50
LIBERATE TECHNOLOGIES CUSIP: 530129105	12/21/00	PURCHASE	400.00000	12.5625	$5,126.00
LIBERATE TECHNOLOGIES CUSIP: 530129105	12/21/00	PURCHASE	100.00000	12.6250	$1,286.00
LIBERATE TECHNOLOGIES CUSIP: 530129105	12/26/00	PURCHASE	500.00000	12.5625	$6,435.75
MICROSOFT CORP CUSIP: 594918104	09/13/00	PURCHASE	100.00000	67.7500	$6,859.50
MICROSOFT CORP CUSIP: 594918104	10/16/00	PURCHASE	100.00000	49.7500	$5,066.80
MICROSOFT CORP CUSIP: 594918104	12/19/00	PURCHASE	100.00000	45.0625	$4,598.05
ONI SYSTEMS CORP CUSIP: 68273F103	06/26/00	PURCHASE	50.00000	103.3750	$5,249.95
ONI SYSTEMS CORP CUSIP: 68273F103	07/28/00	PURCHASE	50.00000	91.8750	$4,674.95
ONI SYSTEMS CORP CUSIP: 68273F103	08/01/00	PURCHASE	50.00000	83.6250	$4,265.75
PLUG POWER INC CUSIP: 72919P103	01/11/00	PURCHASE	100.00000	52.7500	$5,370.50
PRINCETON WV HSP 6218 CUSIP: 742501A58	01/21/00	PURCHASE	20,000.00000	94.2500	$18,856.00
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FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II 216 1/2 MAIN ST BECKLEY WV 25801-4613	REP ACCOUNT PAGE BK08 6539-9650 7 OF 8 TAXPAYER ID: 236-78-8645

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ISSUE DATE: 01/18/01

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DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
<<<< CONTINUED FROM PREVIOUS PAGE >>>>					
RADIANT SYS INC CUSIP: 75025N102	03/07/00	PURCHASE	100.00000	64.6875	$6,563.25
RED HAT INC CUSIP: 756577102	01/18/00	PURCHASE	50.00000	118.1250	$5,998.05
RED HAT INC CUSIP: 756577102	01/31/00	PURCHASE	50.00000	92.0000	$4,681.20
RED HAT INC CUSIP: 756577102	02/16/00	PURCHASE	100.00000	70.3750	$7,129.30
RED HAT INC CUSIP: 756577102	03/29/00	PURCHASE	100.00000	45.1250	$4,604.30
RED HAT INC CUSIP: 756577102	04/10/00	PURCHASE	200.00000	35.5000	$7,242.60
RED HAT INC CUSIP: 756577102	07/31/00	PURCHASE	500.00000	18.6875	$9,513.97
RED HAT INC CUSIP: 756577102	11/30/00	PURCHASE	1,000.00000	6.0000	$6,164.50
REDBACK NETWORKS INC CUSIP: 757209101	04/12/00	PURCHASE	100.00000	97.5625	$9,850.75
REDBACK NETWORKS INC CUSIP: 757209101	04/14/00	PURCHASE	100.00000	75.5625	$7,650.75
REDBACK NETWORKS INC CUSIP: 757209101	05/10/00	PURCHASE	100.00000	56.5000	$5,741.80
S C I SYSTEMS INC CUSIP: 783890106	09/13/00	PURCHASE	200.00000	40.4375	$8,223.52
SYCAMORE NETWORKS INC CUSIP: 871206108	12/19/00	PURCHASE	100.00000	47.5625	$4,848.05
SYCAMORE NETWORKS INC CUSIP: 871206108	12/20/00	PURCHASE	100.00000	34.5625	$3,539.13
VA LINUX SYSTEMS INC CUSIP: 91819B105	01/05/00	PURCHASE	75.00000	176.3750	$13,319.93
VERTICALNET INC CUSIP: 92532L107	04/10/00	PURCHASE	100.00000	61.7500	$6,266.80
XM SATELLITE RADIO CUSIP: 983759101	01/13/00	PURCHASE	200.00000	31.5000	$6,453.48
XM SATELLITE RADIO CUSIP: 983759101	01/25/00	PURCHASE	300.00000	32.0625	$9,810.44
					$17,676.13

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DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
CALL AT HOME CORP $30 EXP 01/20/01 SYMBOL: AHQAF	09/05/00	SALE	10.00000	.8125	$737.97CR
CALL AT HOME CORP $40 EXP 04/22/00 SYMBOL: AHQDH	03/29/00	SALE	5.00000	1.8125	$849.21CR
CALL AT HOME CORP $40 EXP 07/22/00 SYMBOL: AHQGH	04/18/00	SALE	5.00000	1.0625	$459.94CR
CALL ASK JEEVES,INC $30 EXP 10/21/00 SYMBOL: AKUJF	08/21/00	SALE	10.00000	1.7500	$1,623.94CR
CALL ASK JEEVES,INC $30 EXP 10/21/00 SYMBOL: AKUJF	09/05/00	PURCHASE	10.00000	6.5000	$6,582.00
PUT CISCO SYSTEMS $65 EXP 08/19/00 SYMBOL: CWYTM	08/02/00	SALE	5.00000	5.3750	$2,592.18CR
PUT CISCO SYSTEMS $65 EXP 08/19/00 SYMBOL: CWYTM	08/02/00	PURCHASE	5.00000	4.2500	$2,209.50

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FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II	REP ACCOUNT	PAGE
216 1/2 MAIN ST	BK08 6539-9650	8 OF 8
BECKLEY WV 25801-4613	TAXPAYER ID: 236-78-8645	

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DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
<<<< CONTINUED FROM PREVIOUS PAGE >>>>					
CALL RED HAT	09/01/00	SALE	10.00000	2.0000	$1,876.93CR
$40 EXP 12/16/00 SYMBOL: RCVLH					
CALL DIGITAL ISLAND,INC	08/21/00	SALE	3.00000	3.0000	$836.02CR
$40 EXP 11/18/00 SYMBOL: SUHKH					

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-07	Sold	39	ABITIBI CONSOLIDATED INC	10.2500	399.73	
11-17	Sold	83	ABITIBI CONSOLIDATED INC	8.8053	730.81	
10-04	Sold	34	ACCOR SA LTD SP ADR	19.0520	647.74	
07-24	Sold	7	ALCATEL ADS	70.5000	493.48	
11-07	Sold	17	ALCATEL ADS	62.8750	1,068.84	
07-17	Sold	24	ALLIED IRISH BK SPON ADR ORD	17.8143	427.52	
01-11	Sold	27	AMAZON COM INC	67.9617	1,834.90	
07-25	Sold	8	AMDOCS LIMITED ORD	75.8750	606.97	
08-16	Sold	8	AMDOCS LIMITED ORD	65	519.98	
01-11	Sold	8	AMGEN INC	61	487.98	
04-18	Sold	16	AMGEN INC	58.7500	939.96	
08-10	Sold	29	AMGEN INC	68.6200	1,989.91	
11-28	Sold	25	AMVESCAP PLC ADR	37.4000	934.96	
03-30	Sold	10	APPLIED MATERIALS INC	107.2690	1,072.65	
04-18	Sold	4	APPLIED MATERIALS INC	96	383.98	
05-25	Sold	7	APPLIED MATERIALS INC	75.3132	513.17	
10-06	Sold	11	APPLIED MATERIALS INC	52.3844	576.21	
02-23	Sold	21	ARACRUZ CELULOSE SA AMER NEW	22	461.98	
12-28	Sold	9	ARIBA INC	51.8125	466.29	
01-19	Sold	3	ARM HOLDINGS PLC ADS	170.5000	511.48	

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THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
05-18	Sold	20	ARM HOLDINGS PLC ADS	28.8750	577.48	
08-11	Sold	20	ARM HOLDINGS PLC ADS	29.4909	589.80	
07-25	Sold	18	ASM LITHOGRAPHY HLDG NV	43.8446	789.17	
11-15	Sold	17	ASM LITHOGRAPHY HLDG NV	23.5990	401.16	
07-25	Sold	13	ASTRAZENECA PLC ADS	43.3533	563.57	
04-18	Sold	8	AT&T CORPORATION	54.8750	438.98	
05-10	Sold	22	AT&T CORPORATION	39.3440	865.54	
04-18	Sold	9	AT&T LIBERTY MEDIA GROUP A	51.5625	464.04	
09-20	Sold	74	AT&T LIBERTY MEDIA GROUP A	20.4721	1,514.88	
07-24	Sold	8	AVENTIS ADS	67.4820	539.84	
12-15	Sold	17	AVENTIS ADS	73.9640	1,257.34	
12-21	Sold	11	AVENTIS ADS	74.7500	822.22	
04-18	Sold	5	AXA ADS	78.3750	391.86	
06-27	Sold	5	AXA ADS	75.1400	375.68	
07-20	Sold	8	AXA ADS	73.9519	591.60	
08-01	Sold	7	BALTIMORE TECH PLC ADS	18.5878	130.10	
11-01	Sold	13	BALTIMORE TECH PLC ADS	15.1140	196.47	
11-09	Sold	108	BANCO BRADESCO SA PFD ADR	6.0938	658.10	
09-12	Sold	61	BANCO SANTANDER CENT HISPANO	10.2300	624.00	
02-07	Sold	52	BANK OF TOKYO-MITSUBISHI LTD	13.1250	682.47	
03-03	Sold	88	BILLITON PLC ADR	4.0625	357.48	
04-18	Sold	52	BILLITON PLC ADR	4.2500	220.99	
06-07	Sold	54	BILLITON PLC ADR	3.5000	188.99	
11-30	Sold	146	BILLITON PLC ADR	3.2546	475.15	
03-15	Sold	19	BIOGEN INC MASS	81.6101	1,550.53	
03-14	Sold	12	BIOVAIL CORP INTERNATIONAL	53.7500	644.97	
04-18	Sold	10	BIOVAIL CORP	47.7500	477.48	
12-06	Sold	38	BIOVAIL CORP	33.2653	1,264.03	
04-18	Sold	3	BRITISH AIRWAYS FINL INST ADR	52.9375	158.80	
08-02	Sold	10	BRITISH AIRWAYS FINL INST ADR	57.0856	570.84	
08-23	Sold	12	BRITISH AIRWAYS FINL INST ADR	52.7950	633.51	
02-07	Sold	4	BRITISH TELECOMMUNIC PLC ADR	156.5000	625.97	
04-25	Sold	3	BRITISH TELECOMMUNIC PLC ADR	173.4167	520.23	
11-03	Sold	9	C-MAC INDUSTRIES INC	55.0048	495.02	
08-18	Sold	11	CABLE&WIRELESS PLC SPONS ADR	52.4190	576.59	
03-14	Sold	20	CANON INC ADR NEW	40.1140	802.28	
08-11	Sold	18	CANON INC ADR NEW	41.6000	748.77	
02-16	Sold	95	CATHAY PCFC ARHYS LTD NM S ADR	8	759.97	
04-18	Sold	12	CBS CORPORATION	56	671.97	
05-05	Tender	29	CBS CORPORATION			EXCHANGE
11-01	Sold	9	CELESTICA INC SUB VTG SHS	71.5000	643.47	
12-15	Sold	15	CELESTICA INC SUB VTG SHS	65.0143	975.17	
09-21	Sold	21	CELLTECH GP PLC ADS	37.1100	779.28	
04-18	Sold	8	CHASE MANHATTAN CORP NEW	84.6250	676.97	

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THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
05-10	Sold	18	CHASE MANHATTAN CORP NEW	70.9068	1,276.27	
09-08	Sold	9	CIENA CORPORATION	217.9183	1,961.19	TRADE CARRIES STOCK DISTRIB.
02-23	Sold	4	CISCO SYS INC	128.9922	515.95	TRADE CARRIES STOCK DISTRIB.
03-21	Sold	6	CISCO SYS INC	131.6250	789.72	TRADE CARRIES STOCK DISTRIB.
04-18	Sold	6	CISCO SYS INC	63.2500	379.48	
04-10	Sold	5	COGNOS INC	53.7305	268.64	
04-18	Sold	2	COGNOS INC	60.8125	121.62	
12-28	Sold	15	COGNOS INC	19.1792	287.68	
06-27	Sold	20	COLGATE PALMOLIVE CO	55.8544	1,117.05	
04-18	Sold	1	COLT TELECOM GROUP PLC ADR	175	174.99	
05-16	Sold	5	COLT TELECOM GROUP PLC ADR	136.0055	680.00	
07-21	Sold	2	COLT TELECOM GROUP PLC ADR	149.6934	299.38	
02-11	Sold	58	COMCAST SPL A	50.8750	2,950.65	
02-25	Sold	22	COOKSON GROUP PLC SP ADR	17.6490	388.26	
09-21	Sold	42	COOKSON GROUP PLC SP ADR	15.6317	656.50	
02-24	Sold	6	CORNING INC	193.5000	1,160.96	
03-30	Sold	4	CORNING INC	210.6875	842.72	
09-08	Sold	6	CORNING INC	331.0154	1,986.02	TRADE CARRIES STOCK DISTRIB.
01-31	Sold	45	CORUS GROUP PLC ADS	20.6563	929.49	
11-24	Sold	11	CORVIS CORPORATION	28.7962	316.74	
01-26	Sold	4	DAI ICHI KANGYO BK LTD	89.2654	357.04	
03-03	Sold	32	DE BEER CONS MINES ADR DEFD	22.1422	708.52	
04-18	Sold	10	DELL COMPUTER CORP	52.8125	528.11	
10-11	Sold	37	DELL COMPUTER CORP	25.5000	943.46	
12-08	Sold	32	DESCARTES SYSTEMS GROUP INC	26.7377	855.58	
02-24	Sold	12	DEUTSCHE BANK AG SP ADR	79.3750	952.46	
03-01	Sold	7	DEUTSCHE TELEKOM AG 1 ORD 1ADS	88.0944	616.63	
04-05	Sold	5	DEUTSCHE TELEKOM AG 1 ORD 1ADS	80.8125	404.04	
04-20	Sold	5	DEUTSCHE TELEKOM AG 1 ORD 1ADS	66.0325	330.14	
09-12	Sold	25	DEUTSCHE LUFTHANSA ADR	20.1700	504.23	
03-27	Sold	19	DIAGEO PLC SPON ADR NEW	28	531.98	
11-30	Sold	21	DRESDNER BANK A G SPON ADR	39.0500	820.02	
01-20	Sold	14	EIDOS PLC ADR	60.5000	846.97	
04-18	Sold	5	EMC CORP MASS	121	604.97	
05-15	Sold	3	EMC CORP MASS	119.1153	357.33	
04-18	Sold	4	ENDESA SA ADS	22.5000	89.99	
06-27	Sold	32	ENDESA SA ADS	19.9900	639.65	
01-18	Sold	7	ENI SPA AMER DEP RCPT	53.6271	375.37	
09-12	Sold	10	ENI SPA AMER DEP RCPT	58.3900	583.88	
04-18	Sold	3	ENRON CORP	73.2500	219.74	
04-07	Sold	8	EPCOS A G	116.2416	929.89	
04-20	Sold	5	EPCOS A G	114.7500	573.73	
04-05	Sold	4	EQUANT N.V.	84.5000	337.98	
04-20	Sold	8	EQUANT N.V.	73.5772	588.60	

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 842 108600 FA 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
05-04	Sold	4	ERICSSON LM TEL ADR B SEK 10	91.1633	364.63	
07-25	Sold	24	ERICSSON LM TEL ADR B SEK 10	23.0114	552.25	
11-01	Sold	58	ERICSSON LM TEL ADR B SEK 10	12.5887	730.11	
11-15	Sold	30	EXODUS COMMUNICATIONS INC	30.2941	908.78	
02-24	Sold	12	FLEXTRONICS INTL LTD	54.8223	657.84	
08-28	Sold	5	FLEXTRONICS INTL LTD	78.6220	393.09	
11-30	Sold	22	FLEXTRONICS INTL LTD	30.5021	671.02	
04-18	Sold	4	FORTIS AMEV NV SPS ADR	29	115.99	
06-16	Sold	12	FORTIS AMEV NV SPS ADR	28	335.98	
02-23	Sold	18	FRESENIUS MEDICAL CARE AG	28.1760	507.15	
03-14	Sold	27	FRESENIUS MEDICAL CARE AG	24.5431	662.63	
03-13	Sold	3	FUJITSU LTD ADR NEW	162.3066	486.90	
10-13	Sold	3	FUJITSU LTD ADR NEW	106.9545	320.84	
12-08	Sold	22	GEMSTAR-TV GUIDE INTERNATIONAL	43.0370	946.77	
01-11	Sold	2	GENENTECH INC	123.0703	246.15	
03-07	Sold	4	GENENTECH INC	219	875.97	
03-15	Sold	3	GENENTECH INC	195.1875	585.54	
05-09	Sold	15	GENENTECH INC	118.5000	1,777.44	
07-18	Sold	32	GENERAL ELECTRIC CO	52.2500	1,671.94	
04-18	Sold	4	GENERAL MOTORS CORP H (NEW)	104.5625	418.23	
07-05	Sold	17	GENERAL MOTORS CORP H (NEW)	84.9073	1,443.37	TRADE CARRIES STOCK DISTRIB.
04-18	Sold	6	GENSET ADR	25.8750	155.24	
01-27	Sold	35	GKN PUB LTD CO ADR	13.5613	474.63	
11-06	Sold	22	GOLDMAN SACHS GRP INC	97.1702	2,137.66	
04-18	Sold	1	GROUPE DANONE SPONSORED ADR	48.7500	48.74	
08-08	Sold	16	HANSON PLC SPON ADR NEW	28.2293	451.65	
09-12	Sold	10	HEINEKEN NV ADR	50.0200	500.18	
04-18	Sold	15	HOME DEPOT INC	62.3750	935.59	
05-10	Sold	22	HOME DEPOT INC	53.1257	1,168.73	
01-31	Sold	18	HSBC HOLDINGS PLC SPON ADR NEW	59.1960	1,065.49	
04-18	Sold	1	HUTCHISON MHAMPOA ADR	80	79.99	
02-25	Sold	9	INDEPNDT ENRGY HLDGS PLC ADS	39.5677	356.09	
03-09	Sold	6	INDEPNDT ENRGY HLDGS PLC ADS	50.8129	304.86	
06-21	Sold	16	INDEPNDT ENRGY HLDGS PLC ADS	7.8362	125.37	
05-31	Sold	8	INFINEON TECHNOLOGIES AG	60.0119	480.08	
02-07	Sold	68	INFINITY BROADCASTING CP A NEW	33.3495	2,269.05	
08-04	Sold	2	INFOSYS TECH LIMITED	123.9539	247.90	
10-16	Sold	19	INNOGY HLDGS PLC ADR	27.6800	525.90	
04-18	Sold	5	INTEL CORP	124.5000	622.47	
10-06	Sold	26	INTEL CORP	42.0312	1,092.77	
02-23	Sold	17	INTERNET INITIATIVE JAPAN INC	102.1375	1,736.27	
03-03	Sold	51	INVENSYS PLC SPONS ADR	9.1250	465.36	
04-18	Sold	17	INVENSYS PLC SPONS ADR	9.1250	155.12	
06-19	Sold	65	INVENSYS PLC SPONS ADR	6.9000	448.48	

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
04-06	Sold	8	IXOS SOFTWARE AKTIENGESELLSHAF	33.4200	267.35	
09-13	Sold	142	JAPAN AIRLINE ADR NEW	6.9266	983.64	
11-01	Sold	18	JAPAN TELECOM LTD ADR	21.2800	383.02	
03-30	Sold	7	JDS UNIPHASE CORP	133.6875	935.77	
07-24	Sold	17	JDS UNIPHASE CORP	108.5650	1,845.54	
12-26	Sold	12	JUNIPER NETWORKS	98.4465	1,181.32	
11-09	Sold	46	KOMATSU LTD SPON ADR NEW	18.6100	856.03	
05-02	Sold	14	KONIN PHILIPS ELEC NV NEW	43	687.97	
05-30	Sold	5	KONIN PHILIPS ELEC NV NEW	37.8750	189.37	
08-02	Tender	11	KONIN PHILIPS ELEC NV OLD			EXCHANGE
08-02	Sold		KONINKLIJKE PHIL EL SP ADR NEW		26.97	CASH IN LIEU OF FRACTIONS
09-20	Sold	10	KONINKLIJKE PHIL EL SP ADR NEW	46.2549	462.53	
12-14	Sold	31	KONINKLIJKE AHOLD NV ADS	29.7100	920.97	
04-18	Sold	9	KOREA ELECTRIC POWER CORP ADS	15.5625	140.05	
09-26	Sold	31	KOREA ELECTRIC POWER CORP ADS	13.0039	403.10	
02-04	Sold	6	KUBOTA CP ADR	71.3334	427.98	
01-24	Sold	5	KYOCERA CP ADR	176.0532	880.14	
04-18	Sold	1	KYOCERA CP ADR	142.5000	142.49	
06-27	Sold	1	KYOCERA CP ADR	176.1691	176.16	
08-30	Sold	3	KYOCERA CP ADR	169.1717	507.50	
07-25	Sold	8	LAGARDERE GROUPE SCA SP ADR	70.3989	563.17	
12-22	Sold	40	LOGITECH INTL SA ADR	21.8762	875.02	
01-13	Sold	31	LUCENT TECHNOLOGIES	56.9375	1,765.00	
03-27	Sold	34	LUCENT TECHNOLOGIES	61.8436	2,102.61	
04-18	Sold	2	MACRONIX INT'L CO LTD ADR	30.1250	60.24	
08-07	Sold	12	MACRONIX INT'L CO LTD ADR	19.7500	236.99	
10-03	Sold	19	MAGNA INTL A COM	41.9514	797.05	
04-18	Sold	3	MAGYAR TAVKOZLESIRT	39.5000	118.49	
08-11	Sold	11	MAGYAR TAVKOZLESIRT	30.2500	332.73	
02-14	Tender	5	MANNESMANN AG SP ADR			TENDER
04-13	Tender	5	MANNESMANN ADR 2B EXCHNGD			EXCHANGE
04-18	Sold	5	MARCONI PLC SP ADR	11.7500	58.74	
05-12	Sold	41	MARCONI PLC SP ADR	12.8600	527.24	
09-12	Tender	70	MARCONI PLC SP ADR			EXCHANGE
09-21	Sold	15	MARCONI PLC SP ADR	28.7823	431.71	
09-25	Sold	20	MARCONI PLC SP ADR	29.5208	590.40	
04-18	Sold	5	MARUBENI CORP ADR	36	179.99	
05-19	Sold	16	MARUBENI CORP ADR	29.6020	473.61	
04-18	Sold	1	MARUICO LTD ADR NEW	32	31.99	
09-11	Sold	26	MARUICO LTD ADR NEW	27.5800	717.05	
01-28	Sold	39	MCI WORLDCOM INC	42.2500	1,647.69	
04-17	Sold	22	MICROSOFT CORP	79.9427	1,758.68	
11-17	Sold	15	MITSUBISHI ELECTRIC ADR	69.6689	1,044.99	
03-27	Sold	3	MODERN TIMES GP MTG AB ADR	287.0200	861.03	

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THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-02	Sold	2	MODERN TIMES GP MTG AB ADR	216.2000	432.38	
08-18	Sold	2	MODERN TIMES GP MTG AB ADR	185.4490	370.88	
04-11	Sold	6	MOTOROLA INC	146.8312	880.96	
05-16	Sold	14	MOTOROLA INC	88.9375	1,245.08	
11-28	Sold	7	NEC CORP ADR	88.1300	616.88	
11-13	Sold	190	NEW WORLD DEV CO LTD SPON ADR	2.2787	432.93	
05-18	Sold	8	NEWS CP LTD ADR NEW	48.4666	387.71	
04-18	Sold	1	NEXTEL COMMUNICATIONS INC CL A	116	115.99	
05-26	Sold	14	NEXTEL COMMUNICATIONS INC CL A	89.2210	1,249.04	
04-18	Sold	5	NINTENDO CO LTD ADR NEW	19.5000	97.49	
08-30	Sold	25	NINTENDO CO LTD ADR NEW	20.7300	476.77	
02-28	Sold	19	NIPPON TELEGRAPH&TELEPHONE ADS	67.1881	1,276.52	
04-18	Sold	12	NOKIA CP ADR	49.9375	599.23	
04-27	Sold	12	NOKIA CP ADR	47	563.98	
05-04	Sold	11	NOKIA CP ADR	58.7671	646.41	
07-21	Sold	9	NOKIA CP ADR	55.1875	496.67	
08-10	Sold	10	NOKIA CP ADR	38	379.98	
08-21	Sold	12	NOKIA CP ADR	39	467.98	
04-18	Sold	1	NOMURA SEC LTD ADR JAPAN 10COM	287	286.99	
06-07	Sold	1	NOMURA SEC LTD ADR JAPAN 10COM	233.4000	233.39	
08-04	Sold	2	NOMURA SEC LTD ADR JAPAN 10COM	190.2100	380.40	
04-10	Sold	4	NORTEL NETWORKS CORP ADR	116.1250	464.48	
04-18	Sold	1	NORTEL NETWORKS CORP ADR	101.8125	101.80	
04-19	Sold	6	NTL INCORPORATED	72.0919	432.53	
04-18	Sold	1	NTT DOCOMO INC SP ADR	173	172.99	
05-18	Sold	1	NTT DOCOMO INC SP ADR	150	149.99	
08-30	Sold	3	NTT DOCOMO INC SP ADR	127.8300	383.47	
02-25	Sold	4	NTT MOBILE COMM NETWK ADR NEW	159.5465	638.16	
04-06	Sold	8	NYCOMED AMERSHAM PLC ADR	40.0087	320.05	
04-18	Sold	1	OIL CO LUKOIL SPN ADR	58.2500	58.24	
11-15	Sold	13	OIL CO LUKOIL SPN ADR	45	584.98	
02-28	Sold	30	OMNICOM GROUP	89.8750	2,696.16	
01-11	Sold	9	ORACLE CORP	99.3750	894.35	TRADE CARRIES STOCK DISTRIB.
03-15	Sold	19	ORACLE CORP	80.7500	1,534.19	
04-18	Sold	7	ORACLE CORP	74.5625	521.92	
10-12	Sold	26	ORACLE CORP	66.0088	1,716.17	TRADE CARRIES STOCK DISTRIB.
03-07	Sold	9	ORIX CORP	87	782.97	
04-18	Sold	2	ORIX CORP	85.2500	170.49	TRADE CARRIES STOCK DISTRIB.
11-09	Sold	8	ORIX CORP	49.2400	394.06	
12-28	Sold	33	PALM INC	29.5959	974.62	
07-13	Sold	33	PEARSON PLC SP ADR	30.0737	992.39	
05-02	Sold	30	PECHINEY	21.5515	646.52	
10-11	Sold	26	PECHINEY	20.5600	534.54	
12-14	Sold	31	PETROCHINA CO LTD ADR	17.3334	537.32	

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THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108000 FA 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-31	Sold	20	PETROLEO BRAS SA ADS	26.8824	537.63	
05-23	Sold	59	PORTUGAL TELECOM S.A. ADS	10.0068	590.36	
09-11	Sold	16	PRECISION DRILLING CORP	36.6442	586.29	
04-18	Sold	1	PRIMACOM AG SPON ADR	33.8750	33.87	
06-12	Sold	20	PRIMACOM AG SPON ADR	25.7660	515.30	
09-11	Sold	12	QIAGEN NV	44.0686	528.56	
04-18	Sold	2	QLT PHOTOTHERAPEUTICS INC	58	115.99	
07-19	Sold	12	QLT PHOTOTHERAPEUTICS INC	62.2757	747.28	
03-15	Sold	15	QUALCOMM INC	124.8161	1,872.17	
08-29	Sold	25	QWEST COMMUNICATIONS INTL INC	47.8125	1,195.27	
03-13	Sold	11	REED INT'L PLC SP ADR	24.6600	271.25	
03-02	Sold	54	REPSOL S A SPONSORED ADR	19.0005	1,025.99	
08-30	Sold	5	REUTERS GROUP PLC ADR	112.5300	562.65	
04-18	Sold	7	RHODIA SPONSORED ADR	18.5625	129.93	
06-05	Sold	20	RHODIA SPONSORED ADR	16.8340	336.66	
07-31	Sold	33	RHODIA SPONSORED ADR	15.7100	518.41	
08-30	Sold	61	ROLLS ROYCE PLC SPONS ADR	12.4700	760.64	
05-17	Sold	5	ROYAL PTT NEDERLAND N.V. ADS	98.7500	493.75	
07-21	Sold	14	ROYAL PTT NEDERLAND N.V. ADS	43.8621	614.04	
09-08	Sold	7	S D L INC	391.7265	2,741.99	
05-25	Sold	21	SAATCHI & SAATCHI PLC ADR	20.4900	430.27	
12-05	Sold	40	SANPAOLO IMI SPA ADS	30.7631	1,230.67	
01-31	Sold	8	SANWA BANK LTD(JAPAN)ADR	96	767.97	
04-10	Sold	9	SAP AKTIENGESELLSCHAFT ADS	54.6618	491.94	
04-18	Sold	1	SAP AKTIENGESELLSCHAFT ADS	50	49.99	
04-20	Sold	12	SAP AKTIENGESELLSCHAFT ADS	50.1039	601.23	
04-05	Sold	4	SATYAM INFOWAY LTD ADS	51.0662	204.25	
01-06	Sold	32	SBC COMMUNICATIONS	47.7781	1,528.84	
03-22	Sold	21	SCHLUMBERGER LTD	74.7500	1,569.69	
04-18	Sold	6	SCHLUMBERGER LTD	72.8125	436.86	
07-13	Sold	24	SCHLUMBERGER LTD	69.5261	1,668.57	
11-01	Sold	43	SERONO S A	22.4000	963.16	
02-24	Sold	4	SEVEN ELEVEN JAPAN CO LTD ADR	113	451.98	
04-10	Sold	6	SEVEN ELEVEN JAPAN CO LTD ADR	114	683.97	
02-08	Sold	10	SHIRE PHARM GROUP PLC ADS	38.0964	380.94	
03-13	Sold	9	SHIRE PHARM GROUP PLC ADS	59.8122	538.29	
05-02	Sold	8	SHIRE PHARM GROUP PLC ADS	40	319.98	
01-31	Sold	20	SHL TRNS&TRAD PLC NY SH NEW	45.8750	917.46	
10-06	Sold	9	SIEBEL SYSTEMS INC	101.7144	915.39	
05-09	Sold	4	SIEMENS AG ADR NEW	145.9480	583.77	
02-23	Sold	7	SONERA CORP ADS	77.2548	540.76	
08-02	Sold	9	SONERA CORP ADS	40.3192	362.85	
01-27	Sold	4	SONY CORP ADR 1974 NEW	241.1803	964.68	
02-24	Sold	4	SONY CORP ADR 1974 NEW	273.0161	1,092.02	

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108600 FA 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
03-22	Sold	15	SPRINT PCS SERIES 1	57	854.97	
04-18	Sold	6	SPRINT PCS SERIES 1	47.7500	286.49	
08-28	Sold	35	SPRINT PCS SERIES 1	47.0237	1,646.77	
04-10	Sold	3	STMICROELECTRONICS NV	163.5000	490.48	
04-18	Sold	1	STMICROELECTRONICS NV	166.5625	166.55	
05-31	Sold	6	STMICROELECTRONICS NV	56.6119	339.65	
10-10	Sold	6	STMICROELECTRONICS NV	48.6733	292.03	
06-16	Sold	4	SUMITUMO BK LTD ADR	115.7370	462.93	
03-15	Sold	12	SUN MICROSYSTEMS INC	98.1875	1,178.21	
04-18	Sold	5	SUN MICROSYSTEMS INC	81.2500	406.23	
12-13	Sold	38	SUN MICROSYSTEMS INC	39.0283	1,483.03	
04-18	Sold	6	SUNGARD DATA SYSTEMS INC	35.5625	213.37	
06-28	Sold	54	SUNGARD DATA SYSTEMS INC	32.4308	1,751.20	
03-24	Sold	18	TAIWAN SMCNDCTR MFG CO LTD ADR	55.8966	1,006.10	
04-18	Sold	2	TAIYO YUDEN CO LTD UNSP ADR	230	459.98	
05-31	Sold	2	TAIYO YUDEN CO LTD UNSP ADR	291.4350	582.85	
04-18	Sold	1	TDK CP ADR NEW	122.5000	122.49	
06-27	Sold	1	TDK CP ADR NEW	137.9243	137.91	
08-15	Sold	3	TDK CP ADR NEW	126.6174	379.83	
07-21	Sold	12	TELE DANMARK A/S ADS	34.1815	410.16	
08-02	Sold	7	TELE DANMARK A/S ADS	29.4510	206.15	
09-29	Sold	24	TELE NORTE LESTE PART SA ADR	22.6900	544.54	
05-17	Sold	4	TELECOM ITALIA S P A	136.6756	546.68	
03-01	Sold	8	TELEFONICA DE ESPANA SA ADR	87.0625	696.47	
05-17	Sold	3	TELEFONICA DE ESPANA SA ADR	65.3125	195.93	
05-18	Sold	5	TELEFONICA DE ESPANA SA ADR	65	324.98	
04-18	Sold	1	TELEFONOS DE MX SA DE CV ADR	61.6250	61.62	
06-14	Sold	15	TELEFONOS DE MX SA DE CV ADR	52.5729	788.56	
09-07	Sold	6	TELEFONOS DE MX SA DE CV ADR	55	329.98	
05-18	Sold	10	TELESP CELULAR PART SA ADR	34.5000	344.98	
04-18	Sold	3	TERADYNE INC	88.5000	265.49	
07-24	Sold	21	TERADYNE INC	68.3750	1,435.83	
12-15	Sold	51	TESCO PLC SPONSORED ADR	11.2800	575.26	
08-25	Sold	6	TEVA PHARMACEUTICALS IND ADR	64.4375	386.61	
03-15	Sold	6	TEXAS INSTRUMENTS	181.2978	1,087.75	
06-26	Sold	12	TEXAS INSTRUMENTS	85.5215	1,026.22	
08-08	Sold	14	TEXAS INSTRUMENTS	54.6566	765.16	
06-14	Sold	23	TIME WARNER INC	80.5000	1,851.43	
02-16	Sold	23	TNT POST GRP N. V ADR	24.1200	554.74	
06-06	Sold	11	TOTAL FINA ELF SA	78.1236	859.33	
08-01	Sold	3	TOTAL FINA ELF SA	74	221.99	
12-26	Sold	8	TOTAL FINA ELF SA	67.2500	537.98	
08-03	Sold	5	TOYOTA MOTOR CP ADR NEW	83.5100	417.53	
03-21	Sold	20	TRANSOCEAN SEDCO FOREX	42.0286	840.54	

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
07-21	Sold	4	UBS AG	139.6019	558.39	
02-15	Sold	20	UPM KYMMENE CORP ADR	31.9570	639.11	
04-03	Sold	11	UTD PAN-EUROPE COMM NV SP AD A	50.5401	555.92	
05-23	Sold	13	UTD PAN-EUROPE COMM NV SP AD A	24.2554	315.30	
11-27	Sold	14	VERISIGN INC	96.7512	1,354.47	
03-30	Sold	7	VERITAS SOFTWARE DE	169.4718	1,186.26	
08-07	Sold	8	VERSATEL TELECOM INTL NV ADS	30.8800	247.03	
05-05	Sold		VIACOM INC B		25.40	CASH IN LIEU OF FRACTIONS
09-25	Sold	31	VIACOM INC B	59.7021	1,850.70	
02-10	Sold	52	VITESSE SEMICONDUCTORS	46.6370	2,425.03	
11-29	Sold	34	VITRIA TECH INC	15.7787	536.46	
04-20	Sold	34	VIVENDI SPONSORED ADR	20	679.97	
07-19	Sold	27	VIVENDI SPONSORED ADR	16.5000	445.48	
04-13	Sold		VODAFONE AIRTOUCH PLC USD.10		3.91	CASH IN LIEU OF FRACTIONS
04-18	Sold	1	VODAFONE AIR TOUCH PUB LTD	51.5000	51.49	
05-02	Tender		VODAFONE AIRTOUCH 2B CNVRTD			CASH IN LIEU OF FRACTIONS
05-02	Tender	294	VODAFONE AIRTOUCH PLC USD.10			CONVERSION
05-12	Tender	29	VODAFONE AIRTOUCH 2B CNVRTD			CONVERSION
06-28	Sold	17	VODAFONE AIR TOUCH PUB LTD	43.6935	742.76	
08-10	Sold	10	VODAFONE GP PLC ADS	41.0355	410.34	
08-28	Sold	14	VODAFONE GP PLC ADS	37.4945	524.90	
03-15	Sold	3	VOICE STREAM WIRELESS CORP	127.8948	383.66	
07-31	Sold	12	VOICE STREAM WIRELESS CORP	137.8064	1,653.62	
04-18	Sold	21	WAL MART STORES INC	60.1250	1,262.58	
08-15	Sold	21	WAL MART STORES INC	51.0236	1,071.46	
11-27	Sold	18	XILINX INC	55	989.96	
09-01	Sold	4	YAHOO INC	121.6875	486.73	
09-12	Sold	10	YAHOO INC	109.4375	1,094.34	
10-20	Tender	12	ZURICH ALLIED AG SP ADR			EXCHANGE
			Total Sales Proceeds/Redemptions		**$236,523.97**	

Other Credits

Date	Activity	Description	Amount	Additional Information
12-30	Stock Dividend	TRANSOCEAN SEDCO FOREX	4.32	CASH IN LIEU FRACTIONAL SHARE
12-30	Stock Dividend	HOME DEPOT INC	31.09	CASH IN LIEU FRACTIONAL SHARE
02-03	Stock Dividend	NTL INCORPORATED	23.89	CASH IN LIEU FRACTIONAL SHARE
06-01	Stock Dividend	HUTCHISON WHAMPOA ADR	42.00	CASH IN LIEU FRACTIONAL SHARE
06-05	Stock Dividend	ORIX CORP	29.17	CASH IN LIEU FRACTIONAL SHARE
06-06	Distribution	AXA ADS	11.76	PROCEEDS SALE FOREIGN RIGHTS
07-14	Stock Dividend	MACRONIX INT'L CO LTD ADR	10.31	CASH IN LIEU FRACTIONAL SHARE
09-19	Journal In	BANCO BRADESCO SA PFD ADR	44.65	PROCEEDS SALE OF STOCK
11-21	Journal In	BG GROUP PLC	487.83	PROCEEDS SALE OF STOCK

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THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108600 FA 022

Date	Activity	Description	Amount	Additional Information
		Total Other Credits	$605.01	
Total Credits To Your Account			$238,524.31	

DEBITS TO YOUR ACCOUNT

Withdrawal

Date	Activity	Description	Amount	Additional Information
04-13	Check	FUNDS PAID	11,800.00	PICK-UP
		Total Withdrawals	-11,800.00	

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-20	Bought	25	ABBOTT LABORATORIES	53.3125	1,332.81	
02-08	Bought	46	ABITIBI CONSOLIDATED INC	11.9696	550.60	
04-18	Bought	32	ABITIBI CONSOLIDATED INC	10.5000	336.00	
05-03	Bought	18	ABITIBI CONSOLIDATED INC	11.2298	202.14	
09-05	Bought	26	ABITIBI CONSOLIDATED INC	11.0696	287.81	
08-04	Bought	34	ACCOR SA LTD SP ADR	21.9700	746.98	
12-18	Bought	25	AGE LIMITED ORD	39.8125	995.31	
12-27	Bought	15	AKZO NOBEL NV ADR	51.7600	776.40	
12-08	Bought	29	ALBERTA ENERGY COMPANY LTD	42.1850	1,223.37	
05-16	Bought	9	ALCATEL ADS	50.2064	451.86	
06-08	Bought	3	ALCATEL ADS	61.6250	184.88	
06-26	Bought	3	ALCATEL ADS	68.3770	205.13	
09-08	Bought	9	ALCATEL ADS	84.8371	763.53	
06-07	Bought	24	ALLIED IRISH BK SPON ADR ORD	19.9328	478.39	
02-09	Bought	7	AMDOCS LIMITED ORD	57.2679	400.88	
02-24	Bought	5	AMDOCS LIMITED ORD	69.4295	347.15	
07-06	Bought	4	AMDOCS LIMITED ORD	76.2500	305.00	
08-11	Bought	7	AMVESCAP PLC ADR	88.9590	622.71	
09-19	Bought	3	AMVESCAP PLC ADR	102.4006	307.20	
05-15	Bought	25	ANADARKO PETE	47.8125	1,195.31	
01-13	Bought	16	APPLIED MATERIALS INC	124.8468	1,997.55	
09-12	Bought	9	ARIBA INC	164.9748	1,484.77	
06-08	Bought	5	ASM LITHOGRAPHY HLDG NV	41.1691	205.85	
07-06	Bought	6	ASM LITHOGRAPHY HLDG NV	43.7468	262.48	
05-31	Bought	13	ASTRAZENECA PLC ADS	40.9847	532.80	

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-20	Bought	22	ASTRAZENECA PLC ADS	46.9845	1,033.66	
05-19	Bought	15	AVENTIS ADS	58.7247	880.87	
09-20	Bought	4	AVENTIS ADS	73.8281	295.31	
11-03	Bought	8	AVENTIS ADS	71.8534	574.83	
11-06	Bought	1	AVENTIS ADS	70.8326	70.83	
11-13	Bought	8	AVENTIS ADS	74.1870	593.50	
04-27	Bought	6	AXA ADS	74.7968	448.78	
07-19	Bought	49	BAA PLC ADR	8.1638	400.03	
07-26	Bought	33	BAA PLC ADR	8.1753	269.78	
11-06	Bought	69	BAA PLC ADR	8.4654	584.11	
08-31	Bought	17	BAE SYS PLC SPON ADR	25.6900	436.73	
07-26	Bought	12	BAE SYS PLC SPON ADR	26.8717	322.46	
08-11	Bought	14	BAE SYS PLC SPON ADR	26.3170	368.44	
02-24	Bought	4	BALLARD POWER SYSTEMS INC	103.5000	414.00	
11-01	Bought	7	BALLARD POWER SYSTEMS INC	102.4822	717.38	
01-31	Bought	3	BALTIMORE TECH PLC ADS	114.4115	343.23	
02-25	Bought	1	BALTIMORE TECH PLC ADS	218.0565	218.06	
08-11	Bought	69	BANCO BRADESCO SA PFD ADR	9.0625	625.31	
09-11	Bought	39	BANCO BRADESCO SA PFD ADR	8.9375	348.56	
07-18	Bought	61	BANCO SANTANDER CENT HISPANO	10.4238	635.85	
12-18	Bought	26	BASF AG SP ADR	40.2590	1,046.73	
08-04	Bought	21	BG GROUP PLC	33.1200	695.52	
09-12	Bought	11	BG GROUP PLC	32.1600	353.76	
10-19	Bought	16	BG GROUP PLC	32.4672	519.48	
08-28	Bought	146	BILLITON PLC ADR	4.7430	692.48	
02-24	Bought	19	BIOGEN INC MASS	119.0270	2,261.51	
05-18	Bought	13	BIOVAIL CORP	43	559.00	
07-27	Bought	6	BIOVAIL CORP	61.2320	367.39	
03-29	Bought	11	BRITISH AIRWAYS FINL INST ADR	55.7768	613.54	
04-04	Bought	5	BRITISH AIRWAYS FINL INST ADR	54.4375	272.19	
05-08	Bought	7	BRITISH AIRWAYS FINL INST ADR	51.9781	363.85	
05-09	Bought	2	BRITISH AIRWAYS FINL INST ADR	49.2450	98.49	
11-29	Bought	66	BRITISH AMER TOB SPON ADR	14.7605	974.19	
03-10	Bought	3	BRITISH TELECOMMUNIC PLC ADR	192.9091	578.73	
02-24	Bought	7	CABLE&WIRELESS PLC SPONS ADR	62	434.00	
03-09	Bought	4	CABLE&WIRELESS PLC SPONS ADR	73.2939	293.18	
12-01	Bought	36	CADBURY SCHW PLC ADR 10 ORD NH	28.4230	1,023.23	
05-11	Bought	12	CANON INC ADR NEW	47.2426	566.91	
07-26	Bought	6	CANON INC ADR NEW	47.9715	287.83	
07-21	Bought	10	CELESTICA INC SUB VTG SHS	60.9829	609.83	
07-25	Bought	9	CELESTICA INC SUB VTG SHS	68.0568	612.51	
08-16	Bought	5	CELESTICA INC SUB VTG SHS	65.9927	329.96	
02-28	Bought	17	CELLTECH GP PLC ADS	34.1497	580.54	
06-16	Bought	4	CELLTECH GP PLC ADS	36.5600	146.24	

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THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-14	Bought	26	CHASE MANHATTAN CORP NEW	84.7500	2,203.50	
12-11	Bought	6	CHECK POINT SOFTWARE TECH LTD	141.7500	850.50	
07-31	Bought	51	CHEUNG KONG HOLDINGS ADR	12.6443	644.86	
08-22	Bought	47	CHEUNG KONG HOLDINGS ADR	13.2644	623.52	
12-27	Bought	10	CHUBB CP	83.7897	837.90	
03-18	Bought	14	CIENA CORPORATION	166.0688	2,324.96	
07-26	Bought	22	CISCO SYS INC	68.8422	1,514.53	
09-20	Bought	45	CITIGROUP INC	55.1976	2,483.89	
01-25	Bought	9	COGNOS INC	58.7592	528.83	
02-14	Bought	3	COGNOS INC	67.6656	203.00	
06-26	Bought	5	COGNOS INC	40.9384	204.69	
05-16	Bought	20	COLGATE PALMOLIVE CO	60.2500	1,205.00	
10-12	Bought	27	COMCAST SPL A	42.0625	1,135.69	
11-02	Bought	15	COMCAST SPL A	41.3636	620.45	
07-10	Bought	29	COOKSON GROUP PLC SP ADR	17.1858	498.33	
08-04	Bought	13	COOKSON GROUP PLC SP ADR	17.7300	230.49	
08-10	Bought	11	CORVIS CORPORATION	104.4918	1,149.41	
03-15	Bought	47	DELL COMPUTER CORP	53.8750	2,532.13	
09-19	Bought	18	DESCARTES SYSTEMS GROUP INC	50.0105	900.19	
09-29	Bought	14	DESCARTES SYSTEMS GROUP INC	52.5653	735.91	
07-25	Bought	25	DEUTSCHE LUFTHANSA ADR	25.2800	632.00	
12-27	Bought	37	DEUTSCHE LUFTHANSA ADR	24.3000	899.10	
01-19	Bought	19	DIAGEO PLC SPON ADR NEW	36.0021	684.04	
11-01	Bought	30	DIAGEO PLC SPON ADR NEW	37.7000	1,131.00	
11-30	Bought	15	DIAGEO PLC SPON ADR NEW	38.9900	584.85	
07-20	Bought	9	DRESDNER BANK A G SPON ADR	43.7070	393.36	
08-18	Bought	12	DRESDNER BANK A G SPON ADR	48.8850	586.62	
09-25	Bought	35	DYNEGY INC (HLDG CO) CL A	54.7760	1,917.16	
11-09	Bought	20	E.ON AG	54.7720	1,095.44	
08-09	Bought	11	ELAN PLC ADR	55.1999	607.20	
11-02	Bought	9	ELAN PLC ADR	52.9886	476.90	
10-02	Bought	4	EMC CORP MASS	99.6634	398.65	
11-30	Bought	5	EMC CORP MASS	85.4375	256.31	
03-02	Bought	28	ENDESA SA ADS	20.9936	587.82	
04-07	Bought	8	ENDESA SA ADS	23.0569	184.45	
07-10	Bought	10	ENI SPA AMER DEP RCPT	57.0627	570.63	
04-17	Bought	20	ENRON CORP	73.9956	1,479.91	
02-25	Bought	1	EQUANT N.V.	116.1046	116.10	
06-08	Bought	9	ERICSSON LM TEL ADR B SEK 10	22.2500	200.25	
08-29	Bought	17	ERICSSON LM TEL ADR B SEK 10	20.2223	343.78	
12-26	Bought	13	EXELON CORP	68.7500	893.75	
08-28	Bought	30	EXODUS COMMUNICATIONS INC	63.4885	1,904.66	
08-29	Bought	19	FOREST LABORATORIES	99.1250	1,883.38	
07-06	Bought	3	FUJITSU LTD ADR NEW	173.6254	520.88	

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108600 FA 022

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
07-17	Bought	1	FURUKAWA ELECT ADR	241.4800	241.48	
07-28	Bought	1	FURUKAWA ELECT ADR	279	279.00	
07-18	Bought	22	GEMSTAR-TV GUIDE INTERNATIONAL	72.7263	1,599.98	
06-09	Bought	9	GENENTECH INC	138.1130	1,243.02	
06-14	Bought	7	GENENTECH INC	133.9725	937.81	
05-12	Bought	80	GENERAL ELECTRIC CO	51.3918	4,111.34	
03-09	Bought	6	GENSET ADR	78.3589	470.15	
08-09	Bought	17	GOLDMAN SACHS GRP INC	110.2739	1,874.66	
10-02	Bought	5	GOLDMAN SACHS GRP INC	110.1824	550.91	
04-11	Bought	11	GROUPE DANONE SPONSORED ADR	46.3300	509.63	
04-20	Bought	8	GROUPE DANONE SPONSORED ADR	47.9213	383.37	
04-24	Bought	1	GROUPE DANONE SPONSORED ADR	47.3635	47.36	
06-12	Bought	16	HANSON PLC SPON ADR NEW	37.4638	599.42	
05-23	Bought	10	HEINEKEN NV ADR	55.5000	555.00	
08-01	Bought	3	HSBC HOLDINGS PLC SPON ADR NEW	68.5300	205.59	
08-11	Bought	7	HSBC HOLDINGS PLC SPON ADR NEW	69.7400	488.18	
08-15	Bought	5	HSBC HOLDINGS PLC SPON ADR NEW	71.2260	356.13	
12-01	Bought	13	HUMAN GENOME SCIENCES INC	70.1273	911.65	
04-05	Bought	8	HUTCHISON WHAMPOA ADR	90.8548	726.84	
04-20	Bought	8	INFINEON TECHNOLOGIES AG	56.8750	455.00	
03-08	Bought	2	INFOSYS TECH LIMITED	329.1682	658.34	
06-15	Bought	7	ING GROEP NV ADR	64.1158	448.81	
07-07	Bought	4	ING GROEP NV ADR	67.3677	269.47	
11-16	Bought	7	ING GROEP NV ADR	70.9865	496.91	
10-16	Bought	14	INTL PWR PLC ADS	43.2400	605.36	
03-27	Bought	33	INVENSYS PLC SPONS ADR	9.8755	325.89	
05-15	Bought	8	IXOS SOFTWARE AKTIENGESELLSHAF	98.4000	787.20	
05-12	Bought	142	JAPAN AIRLINE ADR NEW	6.7978	965.29	
01-11	Bought	12	JDS UNIPHASE CORP	161.2621	1,935.15	
07-05	Bought	9	JUNIPER NETWORKS	141.2365	1,271.13	
07-26	Bought	3	JUNIPER NETWORKS	163.3612	490.08	
06-27	Bought	20	KOMATSU LTD SPON ADR NEW	25.0939	501.88	
08-30	Bought	10	KOMATSU LTD SPON ADR NEW	26.3642	263.44	
09-26	Bought	16	KOMATSU LTD SPON ADR NEW	23.1836	370.94	
05-17	Bought	23	KONINKLIJKE AHOLD NV ADS	24.9767	574.46	
08-02	Received	10	KONINKLIJKE PHIL EL SP ADR NEW			EXCHANGE
08-30	Bought	16	KONINKLIJKE AHOLD NV ADS	29.4600	471.36	
11-30	Bought	16	KONINKLIJKE AHOLD NV ADS	29.7588	476.14	
03-02	Bought	40	KOREA ELECTRIC POWER CORP ADS	14.3750	575.00	
05-02	Bought	1	KYOCERA CP ADR	172.4088	172.41	
03-27	Bought	8	LAGARDERE GROUPE SCA SP ADR	88.9000	711.20	
09-05	Bought	6	LION BIOSCIENCES AG ADS	83.5000	501.00	
10-12	Bought	24	LLOYDS TSB GROUP PLC	39.1800	940.32	
07-11	Bought	16	LOGITECH INTL SA ADR	38.1150	609.84	

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FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108600 FA 022

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-04	Bought	24	LOGITECH INTL SA ADR	31.4000	753.60	
03-07	Bought	34	LUCENT TECHNOLOGIES	67.6313	2,299.46	
08-21	Bought	9	MAC INDUSTRIES INC	71.2475	641.23	
04-11	Bought	13	MACRONIX INT'L CO LTD ADR	32	416.00	
05-18	Bought	19	MAGNA INTL A COM	50.4900	959.31	
02-16	Received	5	MANNESMANN ADR 2B EXCHNGD			TENDER
10-02	Bought	60	MANULIFE FINANCIAL CORP	20.6484	1,238.90	
11-03	Bought	18	MANULIFE FINANCIAL CORP	25.2401	454.32	
02-02	Bought	46	MARCONI PLC SP ADR	15.5942	717.33	
08-02	Bought	47	MARCONI PLC SP ADR	15.9418	749.26	
08-03	Bought	23	MARCONI PLC SP ADR	16.2000	372.60	
09-12	Received	35	MARCONI PLC SP ADR			EXCHANGE
04-10	Bought	19	MARUICO LTD ADR NEW	36.4777	693.08	
05-15	Bought	8	MARUICO LTD ADR NEW	37.3309	298.65	
08-08	Bought	18	MEDIMMUNE INC	66.1066	1,189.92	
09-06	Bought	6	MEDIMMUNE INC	83.7500	502.50	
09-20	Bought	9	MEDIMMUNE INC	76.8750	691.88	
06-27	Bought	27	MICROSOFT CORP	81.1323	2,190.57	
11-20	Bought	7	MICROSOFT CORP	70.6250	494.38	
09-11	Bought	6	MITSUBISHI ELECTRIC ADR	93.4499	560.70	
10-03	Bought	9	MITSUBISHI ELECTRIC ADR	83.6100	752.49	
10-04	Bought	122	NATL BK GREECE SA	8.2963	1,012.15	
11-21	Bought	22	NATL GRID GROUP PLC ADR	46.4600	1,021.68	
09-22	Bought	19	NATL PWR PLC ADS NEW	63.9767	1,215.56	
04-20	Bought	3	NEC CORP ADR	123.7778	371.33	
05-05	Bought	2	NEC CORP ADR	141.3034	282.61	
06-27	Bought	2	NEC CORP ADR	154.6546	309.31	
05-31	Bought	5	NESTLE SPON ADR REP REG SHR	97.5997	487.00	
08-15	Bought	3	NESTLE SPON ADR REP REG SHR	101.8155	305.45	
08-28	Bought	190	NEW WORLD DEV CO LTD SPON ADR	3.4790	661.01	
02-03	Bought	8	NEWS CP LTD ADR NEW	47.3125	378.50	
03-15	Bought	15	NEXTEL COMMUNICATIONS INC CL A	161.6921	2,425.38	
11-06	Bought	81	NISSAN MTR CO LTD SPND ADR	13.5000	1,093.50	
06-26	Bought	4	NOKIA CP ADR	60	240.00	
08-01	Bought	6	NOKIA CP ADR	42.4375	254.63	
12-08	Bought	20	NOKIA CP ADR	49.5477	990.95	
04-05	Bought	1	NOMURA SEC LTD ADR JAPAN 10COM	333	333.00	
12-27	Bought	3	NOMURA SEC LTD ADR JAPAN 10COM	180.2920	540.88	
12-07	Bought	10	NOVO NORDISK A/S ADR	101.4980	1,014.98	
02-03	Bought	5	NTL INCORPORATED	115.8135	579.07	TRADE CARRIES STOCK DISTRIB.
03-13	Bought	8	NYCOMED AMERSHAM PLC ADR	55.4463	443.57	
04-07	Bought	9	OIL CO LUKOIL SPN ADR	62.5000	562.50	
05-08	Bought	5	OIL CO LUKOIL SPN ADR	62	310.00	
10-10	Bought	33	PALM INC	45.5846	1,504.29	

MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number 642 108600 FA 022

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-02	Bought	19	PEARSON PLC SP ADR	36	684.00	
03-24	Bought	14	PEARSON PLC SP ADR	37.9277	530.99	
07-25	Bought	26	PECHINEY	22.9358	596.33	
08-15	Bought	21	PETROCHINA CO LTD ADR	24.0009	504.02	
09-12	Bought	10	PETROCHINA CO LTD ADR	24.4396	244.40	
08-23	Bought	20	PETROLEO BRAS SA ADS	29.9688	599.38	
03-06	Bought	19	PIONEER CP SPON ADR	32.5400	618.26	
06-27	Bought	5	PIONEER CP SPON ADR	36.9040	184.52	
09-25	Bought	10	PIONEER CP SPON ADR	43.5700	435.70	
01-26	Bought	59	PORTUGAL TELECOM S.A. ADS	11.6297	686.15	
05-11	Bought	16	PRECISION DRILLING CORP	37.3750	598.00	
03-14	Bought	3	QIAGEN NV	190.5629	571.69	
01-31	Bought	8	QLT PHOTOTHERAPEUTICS INC	73.1185	584.95	
05-05	Bought	6	QLT PHOTOTHERAPEUTICS INC	61	366.00	
02-07	Bought	15	QUALCOMM INC	136.6714	2,050.07	
11-30	Bought	12	QUALCOMM INC	89.6519	1,075.82	
02-23	Bought	25	QWEST COMMUNICATIONS INTL INC	48.9101	1,222.75	
02-28	Bought	11	REED INT'L PLC SP ADR	38.9492	428.44	
11-16	Bought	50	REGUS PLC ADS	24.5800	1,229.00	
01-31	Bought	33	REPSOL S A SPONSORED ADR	20.5469	678.05	
02-01	Bought	21	REPSOL S A SPONSORED ADR	20.0151	420.32	
02-25	Bought	5	REUTERS GROUP PLC ADR	122.2406	611.20	
04-12	Bought	11	RHODIA SPONSORED ADR	18.6460	205.11	
05-22	Bought	33	ROLLS ROYCE PLC SPONS ADR	19.0054	627.18	
06-16	Bought	13	ROLLS ROYCE PLC SPONS ADR	19.2050	249.67	
08-15	Bought	15	ROLLS ROYCE PLC SPONS ADR	18.9392	284.09	
06-16	Bought	2	ROYAL PTT NEDERLAND N.V. ADS	50.2300	100.46	
11-28	Bought	24	RYANAIR HLDGS PLC ADR	45.6545	1,095.71	
03-21	Bought	12	S D L INC	200.3464	2,404.16	
02-04	Bought	21	SAATCHI & SAATCHI PLC ADR	30.2403	635.05	
08-21	Bought	21	SANPAOLO IMI SPA ADS	35.8670	753.21	
09-12	Bought	8	SANPAOLO IMI SPA ADS	34.7100	277.68	
09-20	Bought	11	SANPAOLO IMI SPA ADS	33.9000	372.90	
06-08	Bought	17	SANTA FE INTERNATIONAL	36.0767	613.27	
09-11	Bought	8	SANTA FE INTERNATIONAL	41.6250	333.00	
12-13	Bought	29	SANTA FE INTERNATIONAL	28.9309	839.00	
01-19	Bought	9	SAP AKTIENGESELLSCHAFT ADS	63.1319	568.19	
02-29	Bought	4	SATYAM INFOWAY LTD ADS	110.6312	442.52	
01-06	Bought	40	SCHLUMBERGER LTD	54.3867	2,175.47	
12-06	Bought	12	SCHLUMBERGER LTD	66.1250	793.50	
08-08	Bought	21	SERONO S A	27.5486	578.52	
10-04	Bought	22	SERONO S A	29.8445	656.58	
02-02	Bought	6	SHIRE PHARM GROUP PLC ADS	41.9747	251.85	
06-09	Bought	9	SIEBEL SYSTEMS INC	140.1953	1,261.76	

MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
06-27	Bought	3	SIEBEL SYSTEMS INC	163.6047	490.81	
02-01	Bought	4	SIEMENS AG ADR NEW	148.7500	595.00	
09-05	Bought	3	SONY CORP ADR 1974 NEW	110.0306	330.09	
04-26	Bought	4	SUMITUMO BK LTD ADR	148.5285	594.11	
03-29	Bought	60	SUNGARD DATA SYSTEMS INC	39.4545	2,367.27	
12-06	Bought	11	SWISS REINSURANCE CO SPON ADR	113.6800	1,250.48	
04-04	Bought	5	TDK CP ADR NEW	131.8294	659.15	
07-25	Bought	24	TELE NORTE LESTE PART SA ADR	26.2528	630.07	
03-03	Bought	4	TELECOM ITALIA S P A	181.9771	727.91	
03-09	Bought	11	TELEFONOS DE MX SA DE CV ADR	72.4789	797.27	
04-04	Bought	5	TELEFONOS DE MX SA DE CV ADR	69.5000	347.50	
07-13	Bought	6	TELEFONOS DE MX SA DE CV ADR	62.5000	375.00	
04-05	Bought	10	TELESP CELULAR PART SA ADR	58.4540	584.54	
04-11	Bought	24	TERADYNE INC	95.1022	2,282.45	
10-03	Bought	114	TESCO PLC SPONSORED ADR	11.0931	1,264.61	
12-04	Bought	48	TESCO PLC SPONSORED ADR	12	576.00	
05-01	Bought	22	TEVA PHARMACEUTICALS IND ADR	42.9479	944.85	
12-08	Bought	23	TEXAS INSTRUMENTS	43.9927	1,011.83	
03-30	Bought	23	TIME WARNER INC	104.6250	2,406.38	
05-19	Bought	20	TOMRA SYS A/S ADR NEW	22.2500	445.00	
06-19	Bought	11	TOMRA SYS A/S ADR NEW	25.4200	279.62	
11-03	Bought	11	TOMRA SYS A/S ADR NEW	40.0800	440.88	
02-01	Bought	5	TOTAL FINA SA ADS	65.4615	327.31	
03-13	Bought	5	TOTAL FINA SA ADS	72.9073	364.54	
04-03	Bought	2	TOTAL FINA SA ADS	70.9715	141.94	
05-03	Bought	5	TOYOTA MOTOR CP ADR NEW	100.3442	501.72	
02-01	Bought	18	TRANSOCEAN SEDCO FOREX	33.6216	605.19	
07-24	Bought	14	TRANSOCEAN SEDCO FOREX	52.1250	729.75	
08-29	Bought	5	TRANSOCEAN SEDCO FOREX	58.5813	292.91	
11-20	Bought	24	TYCO INTERNATIONAL LTD NEW	54.9447	1,318.67	
11-27	Bought	7	TYCO INTERNATIONAL LTD NEW	56.1875	393.31	
06-16	Bought	4	UBS AG	151.2130	604.85	
03-30	Bought	12	VERISIGN INC	196.1875	2,354.25	
09-20	Bought	2	VERISIGN INC	179.9658	359.93	
02-11	Bought	14	VERITAS SOFTWARE DE	161.4890	2,260.85	
10-02	Bought	3	VERITAS SOFTWARE DE	144.3750	433.13	
03-17	Bought	8	VERSATEL TELECOM INTL NV ADS	71.3694	570.96	
05-05	Received	31	VIACOM INC B			EXCHANGE
07-19	Bought	9	VITRIA TECH INC	67.3650	606.29	
08-15	Bought	13	VITRIA TECH INC	37.0277	481.36	
09-20	Bought	12	VITRIA TECH INC	49.8125	597.75	
02-09	Bought	31	VIVENDI SPONSORED ADR	23.7500	736.25	
02-28	Bought	30	VIVENDI SPONSORED ADR	24	720.00	
02-03	Bought	7	VODAFONE AIR TOUCH PUB LTD	54.2500	379.75	

MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #1-NICHOLAS APPLEGATE

Account Number FA
642 108600 022

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-08	Bought	6	VODAFONE AIR TOUCH PUB LTD	61.2785	367.67	
04-13	Received	294	VODAFONE AIRTOUCH PLC USD.10			EXCHANGE
05-02	Received	29	VODAFONE AIRTOUCH 2B CNVRTO			CONVERSION
05-11	Received		VODAFONE AIRTOUCH 2B CNVRTO		1.45	ISSUANCE FEE
05-11	Received		VODAFONE AIRTOUCH 2B CNVRTO		18.19	STAMP DUTY
05-12	Received	29	VODAFONE AIR TOUCH PUB LTD			CONVERSION
02-11	Bought	15	VOICE STREAM WIRELESS CORP	148.5757	2,228.64	
10-12	Bought	28	WASHINGTON MUTUAL INC	40.1875	1,125.25	
11-20	Bought	8	WASHINGTON MUTUAL INC	44.3125	354.50	
06-14	Bought	20	WATERS CORP	104.8154	2,096.31	
10-10	Bought	18	XILINX INC	89.1410	1,604.54	
12-15	Bought	21	XL CAPITAL LTD CL A	82.6875	1,736.44	
07-21	Bought	7	YAHOO INC	128.1250	896.88	
07-26	Bought	7	YAHOO INC	138.6694	970.69	
08-11	Bought	5	ZURICH ALLIED AG SP ADR	110.7836	553.92	
10-19	Bought	7	ZURICH ALLIED AG SP ADR	92.1310	644.92	
10-20	Received	12	ZURICH FINANCIAL SVC			EXCHANGE
11-13	Bought	12	ZURICH FINANCIAL SVC	51.4848	617.82	
			Total Funds to Purchase Securities		-220,955.08	

Other Debits

Date	Activity	Description	Amount	Additional Information
01-20	Charge	ACCESS 1Q00 FEE NIC	-991.87	
04-18	Charge	ACCESS 2Q00 FEE NIC	-1,014.90	
07-18	Charge	ACCESS 3Q00 FEE NIC	-864.88	
10-16	Charge	ACCESS 4Q00 FEE NIC	-846.53	
		Total Other Debits	-3,718.18	

Total Debits To Your Account -236,473.26

ADDITIONAL ACCOUNT INFORMATION

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
11-07	Stock Dividend	15	AMVESCAP PLC ADR			
03-15	Stock Dividend	16	APPLIED MATERIALS INC			

MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

Account Number FA
042 113487 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
07-26	Sold	60	ALCATEL ADS	71.8160	4,308.81	
10-20	Tender	95	ALLIED ZURICH PLC LEVEL I ADR			EXCHANGE

THE HONORABLE NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

Account Number FA
642 113487 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
02-17	Sold	23	BRITISH AEROSPACE SPN ADR	19.5400	449.40	
05-24	Sold	25	DAIMLER-CHRYSLER AG	53.7930	1,344.78	
02-01	Sold	15	MANNESMANN AG SP ADR	261.9333	3,928.86	
09-12	Tender	220	MARCONI PLC SP ADR			EXCHANGE
08-22	Tender	52	NATIONAL POWER PLC ADS OLD			EXCHANGE
08-22	Sold		NATL PWR PLC ADS NEW		58.90	CASH IN LIEU OF FRACTIONS
09-13	Sold	20	NATL PWR PLC ADS NEW	66.4673	1,329.30	
02-22	Sold	50	RICOH LTD ADR	85.4282	4,171.27	
02-28	Sold	5	SONY CORP ADR 1974 NEW	271.4492	1,357.20	
12-12	Tender	165	VIVENDI SPONSORED ADR OLD			EXCHANGE
10-20	Sold		ZURICH FINANCIAL SVC		12.39	CASH IN LIEU OF FRACTIONS
			Total Sales Proceeds/Redemptions		**$16,960.91**	

Other Credits

Date	Activity	Description	Amount	Additional Information
12-29	Stock Dividend	BRITISH AEROSPACE SPN ADR	6.20	CASH IN LIEU FRACTIONAL SHARE
12-29	Journal In	MARCONI PLC SP ADR	45.76	PROCEEDS SALE OF STOCK
08-25	Stock Dividend	CITIGROUP INC	18.21	CASH IN LIEU FRACTIONAL SHARE
11-13	Stock Dividend	SYNGENTA AG ADR	7.03	CASH IN LIEU FRACTIONAL SHARE
11-13	Stock Dividend	SYNGENTA AG ADR	5.47	CASH IN LIEU FRACTIONAL SHARE
		Total Other Credits	**$82.67**	

Total Credits To Your Account **$19,384.16**

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
10-06	Bought	60	AEGON NV ADR	37.8575	2,271.45	
04-05	Bought	25	ALLIED ZURICH PLC LEVEL I ADR	21.8470	546.18	
03-17	Bought	35	BELL ATLANTIC CORP	56.4830	1,976.91	
02-23	Bought	15	BRITISH TELECOMMUNIC PLC ADR	163.8416	2,457.62	
07-05	Bought	15	HEINEKEN NV ADR	60.5016	907.52	
01-18	Bought	40	ING GROEP NV ADR	58.7639	2,350.56	
09-12	Received	110	MARCONI PLC SP ADR			EXCHANGE
08-22	Received	20	NATL PWR PLC ADS NEW			EXCHANGE

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MORGAN STANLEY DEAN WITTER

ACTIVE ASSETS® ACCESS ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
ACCESS #2-LAZARD FRERES

Account Number 642 113487 FA 022

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
08-10	Bought	15	NOVARTIS AG ADR	38.6646	579.97	
02-11	Bought	15	SIEMENS AG ADR NEW	167.8937	2,518.41	
08-21	Bought	50	UNILEVER PLC (NEW) ADS	27.1633	1,358.17	
12-12	Received	33	VIVENDI UNIV ADS NEW			EXCHANGE
10-20	Received	44	ZURICH FINANCIAL SVC			EXCHANGE
			Total Funds to Purchase Securities		-14,966.79	

Other Debits

Date	Activity	Description	Amount	Additional Information
01-20	Charge	ACCESS 1Q00 FEE LZD	-783.20	
04-18	Charge	ACCESS 2Q00 FEE LZD	-765.77	
07-18	Charge	ACCESS 3Q00 FEE LZD	-786.78	
10-16	Charge	ACCESS 4Q00 FEE LZD	-792.54	
		Total Other Debits	-3,106.29	

Total Debits To Your Account -18,073.08

ADDITIONAL ACCOUNT INFORMATION

Securities Received

Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-29	Stock Dividend	23	BRITISH AEROSPACE SPN ADR			
08-25	Stock Dividend	18	CITIGROUP INC			
05-05	Stock Dividend	60	GENERAL ELECTRIC CO			
05-10	Stock Dividend	37	NOVARTIS AG ADR			
05-12	Stock Dividend	225	SOCIETE GENERALE SP ADR			
05-24	Stock Dividend	13	SONY CORP ADR 1974 NEW			
11-13	Stock Dividend	8	SYNGENTA AG ADR			DISTRIBUTION FROM NVS
11-13	Stock Dividend	9	SYNGENTA AG ADR			
			Total Securities Received		0.00	

Securities and Advisory services are offered through Dean Witter Reynolds Inc., member SIPC

MORGAN STANLEY DEAN WITTER ACTIVE ASSETS® PORTFOLIO ADVANTAGE ACCOUNT

FOR YEAR ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
AGENT: MSDW ADVISORS

Account Number FA
642 122048 022

Sales Proceeds/Redemptions

Date	Activity	Quantity	Description	Price	Amount	Additional Information
09-26	Sold	100	ALCOA INC	24.8844	2,488.35	
07-05	Sold	10	ANHEUSER BUSCH COS INC	77	769.97	
05-31	Sold	160	ASSOCIATES FIRST CAP CP A	26.3508	4,215.98	
12-14	Sold	72	AT&T CORPORATION	20	1,439.95	
01-20	Sold	30	ATLANTIC RICHFIELD CO DE	76.2693	2,288.00	
07-05	Sold	50	AVON PRODUCTS INC	41.0625	2,053.06	
08-30	Sold	45	AVON PRODUCTS INC	37.3554	1,680.93	
05-11	Sold	80	BAKER HUGHES INC	34.8359	2,786.77	
05-23	Sold	20	BAKER HUGHES INC	37.8750	757.47	
01-26	Sold	40	BANK OF AMERICA CORP	46.2834	1,851.27	
06-07	Sold	55	BURLINGTON RESOURCES INC	43	2,364.92	
12-18	Sold	120	COMPUTER ASSOC INTL INC	26.5307	3,183.57	
08-23	Sold	60	DU PONT EI DE NEMOURS & CO	48.9860	2,939.06	
07-06	Sold	10	ELI LILLY & CO	97.7500	977.46	
01-13	Sold	20	ENRON CORP	47.0316	940.59	
01-25	Sold	15	ENRON CORP	62.8587	942.84	
03-02	Sold	20	ENRON CORP	62.7760	1,255.47	
08-30	Sold	80	GOODYEAR TIRE & RUBBER	23.1799	1,854.32	
07-07	Tender	45	GTE CORP			EXCHANGE
07-19	Sold	100	HERCULES INC	12.7563	1,275.58	
03-15	Sold	10	HEWLETT PACKARD	149.5972	1,495.92	
09-12	Sold	95	INTERNATIONAL PAPER CO	32.1089	3,050.24	
06-30	Sold	10	INTL BUSINESS MACHINES CORP	109.2766	1,092.73	
04-12	Sold	40	KERR MCGEE CORP	54.7300	2,189.12	
03-06	Sold	45	KIMBERLY CLARK CORP	49.2500	2,216.17	
02-01	Sold	95	PEPSICO INC NC	34.1875	3,247.70	
07-20	Sold	65	PITNEY BOWES INC	36.4271	2,367.68	
11-03	Sold	28	PROCTER & GAMBLE	70.1886	1,965.21	
08-23	Sold	20	PROVIDIAN FINCL CORP	105.5000	2,109.92	
03-27	Sold	55	SBC COMMUNICATIONS	43.2693	2,379.73	
02-18	Sold	25	SEAGRAM CO LTD	60.9510	1,523.72	
03-13	Sold	45	SEAGRAM CO LTD	59	2,654.91	
07-14	Sold	65	SEAGRAM CO LTD	56.0866	3,645.50	
12-22	Sold	105	SPRINT CORP (FON GROUP)	24.8879	2,613.14	
10-18	Sold	210	SUPERVALU INC	14.1016	2,961.24	
06-09	Sold	15	TARGET CORPORATION	60.7500	911.21	
08-30	Sold	55	TRW INC	47.6695	2,621.73	
04-18	Sold	25	US WEST INC	68.5000	1,712.44	
08-29	Sold	60	USX MARATHON GP	27.1199	1,627.13	
07-07	Sold		VERIZON COMMUNICATIONS		49.44	CASH IN LIEU OF FRACTIONS
01-12	Sold	50	WEYERHAEUSER CO	72.0625	3,603.00	
03-06	Sold	15	WILLIAMS CO INC	42.8270	642.38	
			Total Sales Proceeds/Redemptions		**$82,745.82**	

THE HONORABLE NICK JOL RAHALL II
AGENT MSDW ADVISORS

Account Number 642 122048 FA 022

Other Credits

Date	Activity	Description	Amount	Additional Information
06-02	Stock Dividend	AGILENT TECHNOLOGIES	43.01	CASH IN LIEU FRACTIONAL SHARE
08-25	Stock Dividend	CITIGROUP INC	18.21	CASH IN LIEU FRACTIONAL SHARE
		Total Other Credits	$61.22	

Total Credits To Your Account $84,377.75

DEBITS TO YOUR ACCOUNT

Withdrawal

Date	Activity	Description	Amount	Additional Information
04-13	Check	FUNDS PAID	779.00	PICK-UP
		Total Withdrawals	-779.00	

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
01-13	Bought	25	ALCOA INC	87.1250	2,178.13	
02-07	Bought	10	ALCOA INC	74.5000	745.00	
04-14	Bought	10	ALCOA INC	70.5125	705.13	
07-13	Bought	10	ALCOA INC	29.9375	299.38	
12-18	Bought	50	AMERICAN HOME PRODUCTS	56.7664	2,838.32	
03-02	Bought	75	ASSOCIATES FIRST CAP CP A	20.0130	1,500.98	
03-20	Bought	85	ASSOCIATES FIRST CAP CP A	17.3750	1,476.88	
04-17	Bought	40	AVON PRODUCTS INC	33.6875	1,347.50	
04-18	Bought	25	COMPAQ COMPUTER CORP	27	675.00	
12-22	Bought	70	COMPAQ COMPUTER CORP	17.1000	1,197.00	
01-20	Bought	35	COMPUTER ASSOC INTL INC	65.9894	2,309.63	
03-27	Bought	10	COMPUTER ASSOC INTL INC	66.8125	668.13	
04-12	Bought	10	COMPUTER ASSOC INTL INC	62.2500	622.50	
05-11	Bought	15	COMPUTER ASSOC INTL INC	47.2500	708.75	
08-21	Bought	50	COMPUTER ASSOC INTL INC	26.4963	1,324.82	
01-31	Bought	30	DU PONT EI DE NEMOURS & CO	65.0625	1,951.88	
07-14	Bought	30	DU PONT EI DE NEMOURS & CO	46.9316	1,407.95	
07-17	Bought	40	EL PASO ENERGY CORP	52.2161	2,088.64	
09-07	Bought	20	EL PASO ENERGY CORP	59.8125	1,196.25	
03-27	Bought	25	ELECTR DATA SYSTEMS CORP	69.7500	1,743.75	
05-17	Bought	25	ELECTR DATA SYSTEMS CORP	61.5998	1,540.00	
07-19	Bought	25	ELECTR DATA SYSTEMS CORP	41.5000	1,037.50	

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THE HONORABLE NICK JOE RAHALL II
AGENT: MSDW ADVISORS

Account Number 642 122048 FA 022

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
08-23	Bought	35	EMERSON ELECTRIC CO	67.4736	2,361.58	
10-24	Bought	25	GILLETTE CO	32.2500	806.25	
06-07	Bought	80	GOODYEAR TIRE & RUBBER	27.2427	2,179.42	
10-18	Bought	15	HEWLETT PACKARD	88.4375	1,326.56	TRADE CARRIES STOCK DISTRIB.
08-24	Bought	30	HONEYWELL INTERNATIONAL INC	36.8125	1,104.38	
10-18	Bought	30	INTEL CORP	40.2304	1,206.91	
02-02	Bought	10	INTERNATIONAL PAPER CO	48.5625	485.63	
06-19	Bought	10	INTERNATIONAL PAPER CO	34.3750	343.75	
07-13	Bought	40	INTERNATIONAL PAPER CO	31.9375	1,277.50	
11-03	Bought	10	INTL BUSINESS MACHINES CORP	98.1875	981.88	
09-05	Bought	20	J P MORGAN & CO	152.2500	3,045.00	
03-07	Bought	40	KERR MCGEE CORP	47.3658	1,894.63	
09-26	Bought	35	MINNESOTA MINING & MFG CO	86.6250	3,031.88	
09-26	Bought	60	PFIZER INC	42.9367	2,576.20	
02-01	Bought	28	PROCTER & GAMBLE	102.6190	2,873.33	
02-18	Bought	15	PROVIDIAN FINCL CORP	64.7282	970.92	
06-02	Bought	65	SEAGRAM CO LTD	47.7993	3,106.95	
01-18	Bought	40	SPRINT CORP (FON GROUP)	62.5625	2,502.50	
08-30	Bought	55	SPRINT CORP (FON GROUP)	32.5588	1,790.73	
07-05	Bought	120	SUPERVALU INC	19.9975	2,399.70	
09-11	Bought	90	SUPERVALU INC	15.1625	1,364.63	
03-08	Bought	15	TARGET CORPORATION	60.6398	909.60	
09-07	Bought	80	TARGET CORPORATION	24.5992	1,967.94	
09-12	Bought	50	TARGET CORPORATION	24.6875	1,234.38	
03-06	Bought	15	TRW INC	52.7071	790.61	
06-05	Bought	40	TRW INC	49.6040	1,984.16	
03-14	Bought	25	US WEST INC	74	1,850.00	
06-08	Bought	30	USX MARATHON GP	26.7500	802.50	
06-09	Bought	30	USX MARATHON GP	26.8750	806.25	
07-07	Received	54	VERIZON COMMUNICATIONS			EXCHANGE
07-27	Bought	10	VERIZON COMMUNICATIONS	49.0625	490.63	
07-03	Bought	10	WILLIAMS CO INC	38.8125	388.13	
			Total Funds to Purchase Securities		**-78,415.65**	

Other Debits

Date	Activity	Description	Amount	Additional Information
01-20	Journal Out	1ST QTR MGMNT FEE	-687.34	
04-18	Journal Out	2ND QTR MGMNT FEE	-703.89	
07-18	Journal Out	3RD QTR MGMNT FEE	-672.34	
10-16	Journal Out	4TH QTR MGMNT FEE	-672.47	
		Total Other Debits	**-2,735.84**	

Morgan Stanley Dean Witter is a service mark of Morgan Stanley Dean Witter & Co. and services are offered through Dean Witter Reynolds Inc., member SIPC.

THE HONORABLE NICK JOE RAHALL II
2307 RAYBURN HOB

Account Number FA
642 115917 022

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
01-31	Dividend	MSDW LIQUID ASSET FUND	.01	Money Market Funds
02-29	Dividend	MSDW LIQUID ASSET FUND	.01	Money Market Funds
03-24	Dividend	MSDW DIVIDEND GROWTH B	57.39	Mutual funds
		Total Dividends and Interest	57.41	

See Income Summary on page 1 for the month to date and year to date amounts per income category

Total Credits To Your Account $57.41 Year To Date $57.41

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information	
03-24	Direct Purchase	1.064	MSDW DIVIDEND GROWTH B	53.9300	57.39	DIVIDEND REINVESTMENT	
			Total Funds to Purchase Securities		-57.39	Year To Date	-57.39

Other Debits

Date	Activity	Description	Amount	Additional Information	
03-20	Charge	ANNUAL MAINTENANCE FEE	-30.00		
		Total Other Debits	-30.00	Year To Date	-30.00

Total Debits To Your Account -87.39 Year To Date -87.39

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MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT
FOR MONTH ENDING JUNE 30, 2000

THE HONORABLE NICK JOE RAHALL II
2307 RAYBURN HOB

Account Number FA
642 115917 022

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
06-23	Dividend	MSDW DIVIDEND GROWTH B	57.56	Mutual funds
		Total Dividends and Interest	57.56	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category.

Other Credits

Date	Activity	Description	Amount	Additional Information	
06-23	S/T Capital Gain	MSDW AMERICAN OPPORTUNITIES B	331.53		
06-23	L/T Capital Gain	MSDW DIVIDEND GROWTH B	883.30		
		Total Other Credits	$1,214.83	Year-To-Date	$1,214.83
	Total Credits To Your Account		$1,272.39	Year-To-Date	$1,329.80

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information	
06-23	S/T Capital Gain	8.345	MSDW AMERICAN OPPORTUNITIES B	39.7300	331.53	REINVESTMENT	
06-23	Direct Purchase	1.132	MSDW DIVIDEND GROWTH B	50.8400	57.56	DIVIDEND REINVESTMENT	
06-23	L/T Capital Gain	17.374	MSDW DIVIDEND GROWTH B	50.8400	883.30	REINVESTMENT	
			Total Funds to Purchase Securities		-1,272.39	Year-To-Date	-1,329.78
	Total Debits To Your Account				-1,272.39	Year-To-Date	-1,359.78

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MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT

FOR MONTH ENDING JULY 31, 2000

THE HONORABLE NICK JOE RAHALL II
2307 RAYBURN HOB

Account Number FA
642 115917 022

CREDITS TO YOUR ACCOUNT

Other Credits

Date	Activity	Description	Amount	Additional Information
07-28	Adjustment	ADJUST BALANCE	10.07	YOU ARE OBLIGATED TO DHR
		Total Other Credits	$10.07	Year-To-Date $1,224.90
		Total Credits To Your Account	$10.07	Year-To-Date $1,339.87

ADDITIONAL ACCOUNT INFORMATION

Morgan Stanley Dean Witter Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
MSDW AMERICAN OPPORTUNITIES B	0.00	331.53	0.00	Reinvest Dividends and Capital Gains
MSDW LIQUID ASSET FUND	0.02	0.00	0.00	Reinvest Dividends
MSDW DIVIDEND GROWTH B	114.95	883.30	0.00	Reinvest Dividends and Capital Gains
Totals	$114.97	$1,214.83	$0.00	

For Morgan Stanley Dean Witter Mutual Fund Account Information, call 1-800-869-NEWS(6397).

Retirement Recap

	2000	1999
Account Valuation	45,819.49	49,515.80

Messages

Morgan Stanley Dean Witter offers a wide range of online services, including online investing.
Ask your Financial Advisor or visit www.msdw.com for details on the various online alternatives we offer.

MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT
FOR MONTH ENDING SEPTEMBER 30, 2000

THE HONORABLE NICK JOE RAHALL II
2307 RAYBURN HOB

Account Number 642 115917 FA 022

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
09-22	Dividend	MSDW DIVIDEND GROWTH B	52.96	Mutual funds
		Total Dividends and Interest	52.96	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category.

Total Credits To Your Account $52.96 Year-To-Date $1,392.83

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
09-22	Direct Purchase	1.004	MSDW DIVIDEND GROWTH B	52.7300	52.96	DIVIDEND REINVESTMENT
			Total Funds to Purchase Securities		-52.96	Year-To-Date -1,382.74

Total Debits To Your Account -52.96 Year-To-Date -1,412.74

ADDITIONAL ACCOUNT INFORMATION

Morgan Stanley Dean Witter Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
MSDW AMERICAN OPPORTUNITIES B	0.00	331.53	0.00	Reinvest Dividends and Capital Gains
MSDW LIQUID ASSET FUND	0.02	0.00	0.00	Reinvest Dividends
MSDW DIVIDEND GROWTH B	167.91	883.30	0.00	Reinvest Dividends and Capital Gains
Totals	$167.93	$1,214.83	$0.00	

For Morgan Stanley Dean Witter Mutual Fund Account Information, call 1-800-869-NEWS(6397).

MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2000

THE HONORABLE NICK JOE RAHALL II
2307 RAYBURN HOB

Account Number FA
642 115917 022

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
12-15	Dividend	MSDW DIVIDEND GROWTH B	30.34	Mutual funds
		Total Dividends and Interest	30.34	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category

Other Credits

Date	Activity	Description	Amount	Additional Information	
12-15	S/T Capital Gain	MSDW AMERICAN OPPORTUNITIES B	1,650.33		
12-15	L/T Capital Gain	MSDW AMERICAN OPPORTUNITIES B	1,665.95		
12-15	L/T Capital Gain	MSDW DIVIDEND GROWTH B	1,284.84		
		Total Other Credits	$4,601.12	Year-To-Date	$5,826.02
Total Credits To Your Account			$4,631.46	Year-To-Date	$6,024.29

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information	
12-15	S/T Capital Gain	51.492	MSDW AMERICAN OPPORTUNITIES B	32.0500	1,650.33	REINVESTMENT	
12-15	L/T Capital Gain	51.980	MSDW AMERICAN OPPORTUNITIES B	32.0500	1,665.95	REINVESTMENT	
12-15	Direct Purchase	.599	MSDW DIVIDEND GROWTH B	50.6400	30.34	DIVIDEND REINVESTMENT	
12-15	L/T Capital Gain	25.372	MSDW DIVIDEND GROWTH B	50.6400	1,284.84	REINVESTMENT	
			Total Funds to Purchase Securities		-4,631.46	Year-To-Date	-6,014.20
Total Debits To Your Account					-4,631.46	Year-To-Date	-6,044.20

FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II AS CUST FOR SUZANNE NICOLE RAHALL UNDER THE WV GIFTS TO MINORS ACT	REP ACCOUNT BK08 6540-1050 TAXPAYER ID: 233-25-9977	PAGE 3 OF 5

THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS INCOME IS TAXABLE AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.
CORRECTION: 03/09/01

PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
CONAGRA INCORPORATED CUSIP: 01588818	03/16/00	SALE	150.00000	17.1875	$2,496.54
BRUNSWICK CORP CUSIP: 117043109	07/28/00	SALE	200.00000	18.8125	$3,697.87
CBRL GROUP INC CUSIP: 12489V106	09/26/00	SALE	500.00000	14.2500	$7,060.26
CMGI INC CUSIP: 125750109	11/27/00	SALE	200.00000	13.7500	$2,685.40
CARNIVAL CORP CUSIP: 143658102	10/31/00	SALE	200.00000	24.9375	$4,930.33
CHARTER COMMUNICATIONS CUSIP: 16117M107	02/16/00	SALE	157.00000	19.8750	$3,041.89
CHARTER COMMUNICATIONS CUSIP: 16117M107	06/23/00	SALE	300.00000	16.0625	$4,702.62
CHECKFREE HLDGS CORP CUSIP: 162816102	02/08/00	SALE	50.00000	68.4375	$3,353.44
CIRCUIT CITY GROUP CUSIP: 172737108	08/14/00	SALE	200.00000	24.6250	$4,815.17
DISNEY WALT COMPANY CUSIP: 254687106	01/10/00	SALE	200.00000	35.1875	$6,903.84
FNMA 91 6ZD 9.01/25/21 CUSIP: 31358FZB8	12/25/00	PRINCIPAL	3,000.00000		$1,022.65
FNMA 92 11E 8 12/25/21 CUSIP: 31358LBZ8	12/25/00	PRINCIPAL	3,000.00000		$239.44
LONE STAR STEAKHOUSE CUSIP: 542307103	04/26/00	SALE	500.00000	12.3750	$6,130.29
MC DONALDS CORP CUSIP: 580135101	03/30/00	SALE	100.00000	37.7500	$3,717.87
MC DONALDS CORP CUSIP: 580135101	07/27/00	SALE	100.00000	33.3125	$3,274.13
MC DONALDS CORP CUSIP: 580135101	10/31/00	SALE	150.00000	31.4275	$4,655.97
MSC K3 8.425Z09/20/18 CUSIP: 617909AD8	12/20/00	PRINCIPAL	3,000.00000		$222.14
MST CMO SER 15 M 3/20/24 CUSIP: 618901375	12/20/00	PRINCIPAL	5,000.00000		$665.05
TRICON GLOBAL CUSIP: 895953107	03/16/00	SALE	100.00000	31.3750	$3,062.84
TRICON GLOBAL CUSIP: 895953107	08/02/00	SALE	200.00000	26.3750	$5,170.32
VERIZON COMMUNICATIONS CUSIP: 92343V104	09/28/00	SALE	100.00000	46.2500	$4,563.34
WORLDCOM INC CUSIP: 98157D106	06/28/00	SALE	100.00000	44.6875	$4,384.10
XM SATELLITE RADIO CUSIP: 98375910 1	02/16/00	SALE	100.00000	36.3125	$3,546.14
TOTAL PROCEEDS					$84,341.64

IRS BOX 1099-B -- TOTALS FOR TAX YEAR 2000 SUBSTITUTE FOR IRS FORM 1099-B, OMB NO. 1545-0715	
2. GROSS PROCEEDS LESS COMMISSION ON STOCK, BONDS, ETC	$84,341.64
4. FEDERAL INCOME TAX WITHHELD	$0.00

FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II AS CUST FOR SUZANNE NICOLE RAHALL UNDER THE WV GIFTS TO MINORS ACT	REP ACCOUNT BK08 6540-1050 TAXPAYER ID: 233-25-9977	PAGE 4 OF 5

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

CORRECTION: 03/09/01

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
IT IS PROVIDED FOR YOUR INFORMATION ONLY.

DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
CONAGRA INCORPORATED CUSIP: 01588818	03/15/00	PURCHASE	150.00000	15.4375	$2,372.63
ARGUSS COMMUNICATIONS CUSIP: 040282105	10/02/00	PURCHASE	200.00000	18.7500	$3,844.50
ARGUSS COMMUNICATIONS CUSIP: 040282105	11/01/00	PURCHASE	100.00000	12.7500	$1,332.00
BRUNSWICK CORP CUSIP: 117043109	04/07/00	PURCHASE	100.00000	18.3125	$1,888.25
BRUNSWICK CORP CUSIP: 117043109	04/28/00	PURCHASE	100.00000	18.6250	$1,919.50
CBRL GROUP INC CUSIP: 12489V106	02/16/00	PURCHASE	200.00000	9.6250	$1,998.33
CMGI INC CUSIP: 125750109	11/21/00	PURCHASE	200.00000	11.5000	$2,357.00
CARNIVAL CORP CUSIP: 143658102	02/24/00	PURCHASE	100.00000	27.7500	$2,849.71
CARNIVAL CORP CUSIP: 143658102	03/17/00	PURCHASE	100.00000	21.7500	$2,239.50
CHARTER COMMUNICATIONS CUSIP: 16117M107	02/02/00	PURCHASE	157.00000	17.5000	$2,832.66
CHARTER COMMUNICATIONS CUSIP: 16117M107	03/17/00	PURCHASE	250.00000	14.8750	$3,828.78
CHARTER COMMUNICATIONS CUSIP: 16117M107	04/28/00	PURCHASE	50.00000	14.5000	$732.00
CHECKFREE HLDGS CORP CUSIP: 162816102	01/26/00	PURCHASE	50.00000	61.3125	$3,143.82
CIRCUIT CITY GROUP CUSIP: 172737108	08/04/00	PURCHASE	200.00000	22.2500	$4,569.50
DISNEY WALT COMPANY CUSIP: 254687106	09/26/00	PURCHASE	100.00000	37.5625	$3,837.75
DISNEY WALT COMPANY CUSIP: 254687106	11/13/00	PURCHASE	100.00000	30.4375	$3,105.25
FT 406 GE US EQ SEL SA R CUSIP: 30265X674	12/20/00	PURCHASE	320.00000	9.3750	$3,000.00
FRANCHISE FIN CORP AMER CUSIP: 351807102	10/02/00	PURCHASE	200.00000	22.9375	$4,599.00
LIBERTY FUNDS TRUST VI * CUSIP: 530548502	12/20/00	PURCHASE	14.15300		$253.77
LIBERTY FUNDS TRUST VI * CUSIP: 530548502	12/20/00	PURCHASE	124.63500		$2,234.71
LONE STAR STEAKHOUSE CUSIP: 542307103	04/04/00	PURCHASE	200.00000	9.4375	$1,969.00
MASSACHUSETTS INVS TR * CUSIP: 575736202	03/31/00	PURCHASE	3.39800		$71.01
MASSACHUSETTS INVS TR * CUSIP: 575736202	12/07/00	PURCHASE	17.80200		$346.60
MC DONALDS CORP CUSIP: 580135101	01/26/00	PURCHASE	100.00000	35.2500	$3,609.50
MC DONALDS CORP CUSIP: 580135101	06/15/00	PURCHASE	100.00000	31.6250	$3,241.86
MC DONALDS CORP CUSIP: 580135101	08/24/00	PURCHASE	100.00000	30.4375	$3,115.25
MC DONALDS CORP CUSIP: 580135101	09/11/00	PURCHASE	50.00000	28.3750	$1,475.75
TRICON GLOBAL CUSIP: 895953107	02/24/00	PURCHASE	100.00000	26.2500	$2,697.91

<<<< CONTINUED ON THE NEXT PAGE >>>>

FIRST CLEARING CORPORATION 2000

FIRST CLEARING CORPORATION
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060

FEDERAL ID. NO: 23-2384840

NICK JOE RAHALL II AS CUST FOR SUZANNE NICOLE RAHALL UNDER THE WV GIFTS TO MINORS ACT	REP ACCOUNT BK08 6540-1050 TAXPAYER ID: 233-25-9977	PAGE 5 OF 5

THE FOLLOWING IS BEING PROVIDED FOR YOUR INFORMATION ONLY AND WILL NOT BE FURNISHED TO THE INTERNAL REVENUE SERVICE.

CORRECTION: 03/09/01

THIS SECTION CONTAINS PURCHASE ACTIVITY IN YOUR ACCOUNT.
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DESCRIPTION	DATE	ACTIVITY	QUANTITY	PRICE	AMOUNT
<<<< CONTINUED FROM PREVIOUS PAGE >>>>					
TRICON GLOBAL CUSIP: 895953107	07/27/00	PURCHASE	200.00000	24.5625	$5,034.00
VK PACE FUND CL B CUSIP: 92113N209	* 12/19/00	PURCHASE	49.46300		$547.55
VERIZON COMMUNICATIONS CUSIP: 92343V104	08/16/00	PURCHASE	100.00000	41.7500	$4,266.51
WORLDCOM INC CUSIP: 98157D106	06/23/00	PURCHASE	100.00000	37.5625	$3,847.69
WORLDCOM INC CUSIP: 98157D106	09/05/00	PURCHASE	100.00000	33.5625	$3,437.75
WORLDCOM INC CUSIP: 98157D106	09/15/00	PURCHASE	50.00000	29.6250	$1,538.25
WORLDCOM INC CUSIP: 98157D106	11/01/00	PURCHASE	150.00000	18.8750	$2,915.75
XM SATELLITE RADIO CUSIP: 983759101	01/27/00	PURCHASE	100.00000	31.7500	$3,259.04
					4,312.08

COLLATERALIZED DEBT OBLIGATIONS.
REAL ESTATE MORTGAGE INVESTMENT CONDUITS (REMICS) & COLL. MORTGAGE OBLIG (CMO).

DESCRIPTION	TYPE
FNMA 91 6ZD 9.01/25/21	REMIC
FNMA 92 11E 8 12/25/21	REMIC
MSC K3 8.425%09/20/18	CMO
FNMA 91 6ZD 9.01/25/21	REMIC
FNMA 92 11E 8 12/25/21	REMIC

IRS REGULATIONS REQUIRE US TO PROVIDE ADDITIONAL INFORMATION TO HOLDERS OF SECURITIES CLASSIFIED AS COLLATERALIZED DEBT OBLIGATIONS.
REMIC- INCOME DERIVED FROM REMICS MUST BE REPORTED USING THE ACCRUAL METHOD OF ACCOUNTING. THIS MEANS, THE INTEREST APPEARING ON YOUR FORM 1099-OID/REMIC IS REPORTED ON AN ACCRUAL METHOD RATHER THAN A CASH BASIS. PER IRS REGULATIONS INCOME GENERATED BY A REMIC WILL BE REPORTED ON FORM 1099-OID/REMIC WHICH WILL BE ISSUED BY MARCH 15.
CMO- A STATEMENT OF ADDITIONAL INFORMATION WHICH IS HELPFUL IN DETERMINING THE AMORTIZATION OF MARKET DISCOUNT, MARKET PREMIUM OR BOND PREMIUM WILL BE ISSUED BY MARCH 15.

RECEIVED
01 MAY 15 PM 4:30

(5)

HAND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Full Name: JAMES MARVIN RAMSTAD

Mailing Address: 2818 CROSBY ROAD
WAYZATA, MINNESOTA 55391

Daytime Telephone: (202) 225-2871

Filer Status: [X] Member of the U.S. House of Representatives — State: Minnesota, District: Third — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	JAMES M. RAMSTAD	5-15-01

4087

Name JAMES MARVIN RAMSTAD Page 2 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

		Block A	Block B											Block C						Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP							X								X																		P
DC	*Examples*	SP	Mega Corp Stock																														
			Simon & Schuster		Indefinite																Royalties												
JT			1st Bank of Paducah, KY accounts					X									X									X							
	Woodworth Farmers Group				X										X								X										
	Legislative Retirement Plan			*																													

* No Income Derived

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JAMES MARVIN RAMSTAD

Page 3 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Farm Property - No.Dakota, Total of 960 acres (no improvements) and undivided one-half interest in parcels totaling 800 acres (one parcel with farm bldgs.)								X						X												X	(Gross & Net)				

This page may be copied if more space is required.

Name JAMES MARVIN RAMSTAD | Page 4 of 5

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Wells Fargo Bank, Minneapolis, MN	Personal Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Advisory Board Member	Lake Country Food Bank
Board Member	Minnesota D.A.R.E.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(5)

LEGISLATIVE RESOURCE CENTER

2001 MAY 16 AM 9:02

RECEIVED

(Office Use Only)

Charles Bernard Rangel
(Full Name)

2354 Rayburn House Office Building
(Mailing Address)

Daytime Telephone

Washington, D.C. 20515

Filer Status	[XX] Member of the U.S. House of Representatives	State: ____ District ____	[ ] Officer or Employee	Employing Office:
Report Type	[XX] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [XX]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [XX]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [XX]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [XX]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [XX]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [XX]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [XX]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [XX]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [XX]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [XX]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [XX]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and	C. B. Rangel	

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Charles Bernard Rangel

Page 2 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.

SP	Examples	SP	Mega Corp. Stock
DC			Simon & Schuster
JT			1st Bank of Paducah K1 accounts

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Asset and/or Income Source	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	M Spouse/DC Asset	N Qualified Blind Trust	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	Transaction
Indefinite				X											Royalties				X				X				P, S, E
74 West 132nd St., NY, NY					X																						
Punta Cana Beach Villa, Dom Rep					X																						
New England Mutual Life Boston, MA																											

Name	Page 3 of 5
Charles Bernard Rangel	

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Citibank, New York, NY	mortgage on 74 West 132nd St New York, NY			XX							
	Punta Cana Beach Villa, Dom Rep	mortgate on Punta Cana condo		XX								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act; 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
New York Carib News	Nov. 9 - 12	New York - Jamaica - New York	Y	Y	Y	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Apollo Theatre Foundation

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

CHARLES B. RANGEL
15TH CONGRESSIONAL DISTRICT
NEW YORK
DEPUTY WHIP
COMMITTEE:
WAYS AND MEANS
RANKING MEMBER
SUBCOMMITTEE ON TRADE
JOINT COMMITTEE ON TAXATION

INSIDE MAIL

Congress of the United States
House of Representatives
Washington, DC 20515–3215

2354 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3215
TELEPHONE: (202) 225-4365

DISTRICT OFFICES:
MS. VIVIANE JONES
DISTRICT ADMINISTRATOR
163 WEST 125TH STREET
NEW YORK, NY 10027
TELEPHONE: (212) 663-3900

2310 FIRST AVENUE
NEW YORK, NY 10035
TELEPHONE: (212) 348-9630

PLEASE RESPOND TO:
☐ DC ☐ NY

LEGISLATIVE RESOURCE CENTER
2001 JUN -8 PM 3:59
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

June 5, 2001

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✓
MC

Clerk of the House
Att. Rob Walker, Counsel
Committee on Standards of Official Conduct
Room HT 2
The Capitol
Washington, DC 20515

Dear Mr. Walker:

Thank you for calling to inform me of the omission in my recent Financial Disclosure Statement of information concerning the income derived during the year 2000 from the two properties in New York City and the Dominican Republic jointly owned by my wife and me and the New England Mutual Life Insurance policy listed by me as assets in the report.

There was no income derived by us from these assets during the year 2000 and that fact should have been noted in my Financial Disclosure Statement.

I appreciate this opportunity to clarify my filing.

Sincerely,

Charles B. Rangel
Member of Congress

CBR/gad

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
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HOUSE OF REPRESENTATIVES
INSIDE MAIL
(Office Use Only)

9

Ralph Regula
(Full Name)

2306 Rayburn House Office Building
(Mailing Address)

(202) 225-3876
Daytime Telephone

Washington, DC 20515

Filer Status	[x] Member of the U.S. House of Representatives	State Ohio District 16th	[ ] Officer or Employee	Employing Office:
Report Type	[x] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [x] No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [x]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [x]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [x] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [x] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 9, 2001

4098

Name	Ralph Requla	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ohio Public Employees Retirement System	Employer EmployeeFunded Retirement Pension	$5,898.00

For payments to charity in lieu of honoraria, use Schedule II.

Name	Ralph Regula	Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
American Council on Education Fellows Program	Participation in Fed. Budget Exercises	June 6, 2000	$1,000.00

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4100

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Ralph Segula

Page 2 of 2

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P S E
	Industrial S [illegible] Inc. in [illegible]																														
	[illegible]ines, Bethlehem Twp., Clark County, Ohio																														
						X									Royalty Rent							X									
	[illegible] Gas [illegible], Bethlehem Twp. Clark County, Ohio																														
	Exclusive of residence [illegible]						X								Royalty Rent								X								
	Sample Mines, Sample City, Ohio - Partnership - Lever Work, Farm Equipment,																														
	Transportation Equipment						X								Partnership Distributio							X									

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Ralph Regula

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A		Block B											Block C							Block D											Block E
		A None	B $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP	SP	Mega Corp. Stock				X								X										X							P
DC	*Examples*	Simon & Schuster	Indefinite																	Royalties									X		
JT		1st Bank of Paducah, KY accounts					X									X										X					
United Bank--Stocks and Savings								X						X												X					
J.M. Smucker - Stock					X									X									X								
Crown Cork & Seal, Inc.					X									X									X								
Sky Financial Group, Formerly CBC Bank -Stock						X								X		X					X										
Sale of Land Easement																	X										X				

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name Ralph Requla | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction										
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example*	Mega Corporation Common Stock	X			10–12–00		X								
	None															

This page may be copied if more space is required.

4103

Name	Ralph Regula	Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						
	United Bank	Business Loan			x							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
		None	

Name	Ralph Regula	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I

Position	Name of Organization
Partner	Regula Brothers Partnership
Trustee	Mount Union College
Trustee	Stark Wilderness Center
Trustee	McKinley Museum

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	None						

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers and employees

RECEIVED
01 JUN 14 PM 12:16

Dennis R. Rehberg
(Full Name)

516 Cannon H.O.B.
Washington DC 20515
(Mailing Address)

202-225-3211
Daytime Telephone

HAND DELIVERED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State MT District At Large	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS– Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dennis R. Rehberg	6/14/01

Name Dennis Rehberg | Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Rehberg Ranch, owner/operator	Income	$19,538.88
Crowley Law firm/partner	Spouse/income	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Dennis Rehberg | Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranum. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash. D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
NONE			

This page may be copied if more space is required.

Name Dennis Rehberg

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP						X								X																			
DC	Examples	SP	Mega Corp Stock																	Royalties													P
JT			Simon & Schuster	Indefinite																													
			1st Bank of Paducah KY accounts				X									X																	
JT	Western Security, Billings, Stock				X									X								X											
JT	1737 Ave D - Rental					X									X											X							
SP	Retirement Plan 1st Interstate Bank, Blgs for Crowley law					X										X					NA												

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Dennis Rehberg

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	Rehberg Ranch Sole Proprietor			X	Listed in Sch I	X	
SP	Teigen Land & Live Teigen, Montana		X		X		
	Livestock 1000 head		X		Breeding Stock	X - Culls	retained

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SCHEDULE IV — TRANSACTIONS

Name Dennis Rehberg

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,000 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10–12–00		X								
	NONE														

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

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Name Dennis Rehberg | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,000–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	*First Bank of Wilmington, Delaware*	*Mortgage on 123 Main St., Dover, Del.*				X						
JT	CYD / Dorothy Martin	1737 Ave D / Contract		X								
JT	1st Citizens Bank, Billings, MT	loan on Livestock		X								
JT	1st Citizens Bank, Blgs, MT	Ranch Operating		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	*Silver Platter (determination on personal friendship received from Committee on Standards)*	*$270*
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
NONE							

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
member	Rehberg Ranch Estates, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

...AND DELIVERED

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 ~~PM 4:01~~ AM 10:56

CLERK
HOUSE OF REPRESENTATIVES
(Office Use Only)

SILVESTRE REYES
(Full Name)

732 ADALEA
EL PASO, TEXAS 79922
(Mailing Address)

Daytime Telephone (202)225-48_1

Filer Status	X	Member of the U.S. House of Representatives	State TEXAS Distnct 16	Officer or Employee	Employing Office
Report Type	X	Annual (May 15)	Amendment	Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes	No X	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No X
II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No X	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes	No X
III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes	No X	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes X	No
IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes	No X	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No X
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes X	No	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No X
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No X

CERTIFICATION — *THIS* DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/10/01

4116

Name SILVESTRE REYES | Page 2 of 3

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	BUENOS STATE BANK, NM	MORTGAGE ON LAND			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
MEMBER	WOMEN'S ADVISORY BOARD LAS PALMAS MEDICAL CENTER, EL PASO, TEXAS
DIRECTOR	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
01 MAY 15 PM 6:

HAND DELIVER

MC
(Office Use Only)

Thomas M Reynolds
8261 Old Post Rd E, East Aurora, N.Y 14051 (716) 634-2324

Filer Status: [X] Member of the U.S. House of Representatives — State/District NY 27 — [ ] Officer or Employee — Employing Office

Report Type: [ ] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries) of "less" of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to a charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII**	Yes [X] No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability in more than $10,000 during the reporting period? **If yes, complete and attach Schedule V**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS — Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of assets held solely by a spouse or dependent child?	Yes [ ]	No [X]
EXEMPTION — Have you excluded from this report any other assets, unearned income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Thomas M Reynolds	5-15-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	M J Peterson Real Estate	Spouse Commissions	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
SP	Mega Corp. Stock	X (F: $100,001 – $250,000)	DIVIDENDS: X	IV ($1,001 – $2,500)	P
DC	Examples: Simon & Schuster	Indefinite (B: $1 – $1,000)	RENT:		
JT	1st Bank of Paducah, KY accounts	X (F: $100,001 – $250,000)	INTEREST: X		
	T.M. Reynolds & Co. Inc Hamburg, N.Y.	X (C: $15,001 – $50,000)		X (None)	
SP	Agraland Associates, Inc Hamburg, N.Y.	X (B: $1 – $1,000)		X (None)	
	6200 S. Park Ave, Hamburg, N.Y. (1/2 partnership)	X (F: $100,001 – $250,000)	X (RENT)	X (None)	
	4102 Snowpine Village, Ellicottville, N.Y. (1/4 partnership)	X (D: $50,001 – $100,000)			
	East Hill Estates Subdivision Springville, N.Y. (1/3 partnership)	X (B: $1 – $1,000)	Other Type of Income (Specify. For Example: Partnership Income of Farm Income): Sale of lots	X (VI: $5,001 – $15,000)	S

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Thomas M Reynolds

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income					BLOCK D Amount of Income											BLOCK E Transaction
		A None / B $1 – $1,000 / C $1,001 – $15,000 / D $15,001 – $50,000 / E $50,001 – $100,000 / F $100,001 – $250,000 / G $250,001 – $500,000 / H $500,001 – $1,000,000 / I $1,000,001 – $5,000,000 / J $5,000,001 – $25,000,000 / K $25,000,001 – $50,000,000 / L Over $50,000,000	DIVIDENDS / RENT / INTEREST / CAPITAL GAINS / EXCEPTED TRUST / QUALIFIED BLIND TRUST / Other Type of Income (Specify)					I None / II $1 – $200 / III $201 – $1,000 / IV $1,001 – $2,500 / V $2,501 – $5,000 / VI $5,001 – $15,000 / VII $15,001 – $50,000 / VIII $50,001 – $100,000 / IX $100,001 – $1,000,000 / X $1,000,001 – $5,000,000 / XI Over $5,000,000									P, S, E
	Key Bank Money Market Acct	X		X															
	Erie Co. Employees Credit Union	X		X															
	New York State Retirement System	Unknown						Future pension	N/A										

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SCHEDULE IV — TRANSACTIONS

Name Thomas M Reynolds

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	East Hill Estates Subdivision Springville, N.Y. (1/3 partnership)		X		7-00			X							

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Manufacturers & Traders Trust Co Springville, N.Y	mortgage 6200 S. Park Ave Hamburg, NY		X								
	Seth Abbott Hamburg, NY	mortgage 4102 Shoupine Village Ellicottville, NY		X								
	Lloyd Rumfola Springville, NY	mortgage East Hill Estates Springville, N.Y.	X									
	Marine Midland Bank, Buffalo, NY	mortgage East Hill Estates Springville, NY		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE			

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
RIPON EDUCATIONAL FUND	Nov 24-Dec 2	Buffalo, NY - Rome, Italy - Albany	Y	Y	Y	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	T.M. Reynolds & Co Inc
Real Estate Broker	Thomas M Reynolds Real Estate Broker

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to	Terms of Agreement
	NONE	

GPO :2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(3b)

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 5:01
MC
(Office Use Only)

Robert R. Riley
First Name
700 County Road 5
Mailing Address
Daytime Telephone
Ashland, AL 36251

Filer Status	[X] Member of the U.S. House of Representatives — State District: Alabama 3rd	[ ] Officer or Employee — Employing Office	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual May 15	[ ] Amendment	[ ] Termination — Termination Date

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g. salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child participate in an exchange of a reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV**	Yes [X]	No [ ]
V. Did you, your spouse, and dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII**	Yes [X]	No [ ]
VIII. Did you hold any reportable position on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS— Details regarding qualified blind trusts, approved by the committee on standards of official conduct, and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION— Have you excluded from this report any other assets, income, liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert R. Riley	5-11-01

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Name Robert R Riley | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Midway Transit	Salary	20,500.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Robert R Riley

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) unless there is rental income; any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held (JT), in the optional column on the far left.

SP	SP	Mega Corp. Stock
DC	Examples:	Simson & Nutbuster
JT		1st Bank of Paducah, KY accounts

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A: Asset and/or Income Source	Block B: Value of Asset											Block C: Type of Income							Block D: Amount of Income											Block E: Transaction
	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify, for Example: Partnership, Income of Farm, Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP Mega Corp. Stock					X									X					Royalties					X							P
Indefinite															X													X			
1st Bank of Paducah, KY accounts						X																		X							
Compaq Computer Corp	X															X						X									S
Compaq Computer Corp	X															X								X							S
Ft Target 15 Port #370	X															X								X							S
Voyager Mutual Funds	X															X							X								S
Voyager Mutual Funds	X															X							X								S
Birmingham Al. 7.25% Revenue	X															X						X									S

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R Riley

Page 2 of 13

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Dothan-Houston City AL 7.29%	X															X					X										S
	1st TR SPL Situation Tr #1	X															X						X									S
	Monroeville AL 7.625% LOAN WORKS	X															X					X										S
	Pfizer Inc	X															X							X								S
	Pfizer Inc	X															X							X								S
	ADC Telecommunications Inc	X															X								X							S
	Adobe Systems Inc	X															X						X									S
	Adobe Systems Inc	X															X						X									S
	Amgen	X															X							X								S
	Amgen	X															X							X								S
	BellSouth Corporation	X															X					X										P,S
	Boeing Company	X															X						X									P,S
	Citigroup Inc	X															X					X										P,S
	Emerson Electric Co	X															X					X										P,S
	Computer Sciences Corp	X															X						X									S
	Enron Corp	X															X						X									S
	HCA- Healthcare Co	X															X						X									S
	HCA- Healthcare Co	X															X						X									P,S
	Harley Davidson Inc	X															X						X									S
	Harley Davidson Inc	X															X					X										S
	Harley Davidson Inc	X															X					X										P,S
	MCI Worldcom Inc	X															X					X										S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Marsh & McLennan Co	X															X						X									S
	Palm Inc	X															X					X											S
	Palm Inc	X															X						X									S
	Palm Inc	X															X					X											P
	Palm Inc	X															X					X											S
	Palm Inc	X															X					X											S
	Pharmacia Corp	X															X					X											S
	Pharmacia Corp	X															X						X										S
	Sun Microsystems Inc	X															X						X										S
	Texaco Incorporated	X															X					X											S
	3Com Corp	X															X								X								S
	Time Warner Inc	X															X					X											S
	US West Inc New	X															X					X											S
	Viacom Inc Cl B	X															X						X										S
	ADC Telecommunications Inc	X															X						X										S
	Adobe Systems Inc	X															X							X									S
	Adobe Systems Inc	X															X						X										S
	Adobe Systems Inc	X															X								X								S
	Adobe Systems Inc	X															X						X										S
	Agilent Technologies Inc	X															X					X											S
	Agilent Technologies Inc	X															X					X											S
	American Intl Group Inc	X															X						X										S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Computer Sciences Corp	X															X						X									S
	Enron Corp	X															X							X								S
	Enron Corp	X															X						X									S
	HCA - Healthcare Co	X															X						X									S
	Harley Davidson Inc	X															X							X								S
	Hewlett - Packard Co	X															X						X									S
	Hewlett Packard Co	X															X					X										S
	Intel Corp	X															X						X									S
	MBNA Corp	X															X						X									S
	Marsh & McLennan Co	X															X						X									S
	Pepsico Inc	X															X					X										S
	Southwest Airlines Co	X															X					X										S
	Southwest Airlines Co	X															X						X									S
	Southwest Airlines Co	X															X						X									S
	Sun Microsystems Inc	X															X						X									S
	Time Warner Inc	X															X						X									S
	US West Inc New	X															X					X										S
	Viacom Inc Cl B	X															X						X									S
	Viacom Inc Cl B	X															X					X										S
	GAP Inc	X												X			X					X										S
	GAP Inc	X															X					X										S
	Guidant Corp	X															X					X										S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley

SP. DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other: Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Microsoft Corp	L	INTEREST	III	S
	Microsoft Corp	L	INTEREST	III	S
	Starbucks Corp	L	INTEREST	II	S
	Viacom Inc Cl B	L	INTEREST	II	S
	Viacom Inc Cl B	L	INTEREST	IV	S
	Viacom Inc Cl B	L	INTEREST	V	S
	AT&T-Liberty Media Group	L	INTEREST	IV	S
	AT&T-Liberty Media Group	L	INTEREST	II	S
	Citigroup Inc	L	INTEREST	IV	S
	Medtronic Inc	L	INTEREST	IV	S
	Viacom Inc Cl B	L	INTEREST	II	S
	Viacom Inc Cl B	L	INTEREST	V	S
	Medimmune Inc	L	INTEREST	III	S
	Adtran Inc	L	INTEREST	II	P
	Apache Corp	L	INTEREST	IV	P
	Apache Corp	L	INTEREST	IV	P
	Burr Brown Corp	L	INTEREST	IV	P
	Digital lightwave Inc	L	INTEREST	II	P
	American Express Co	DIVIDENDS		II	
	American Intl Group Inc	DIVIDENDS		II	
	Amgen	DIVIDENDS		I	
	Applied Micro Circuits	DIVIDENDS		I	P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R Riley

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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001* – $5,000,000	J $5,000,001 – $25,000,000*	K $25,000,001* – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Cisco Systems Inc			X																												
	Citigroup Inc			X										X								X										
	Costco Whsl Corp New			X										X							X											P
	EMC Corporation			X										X							X											P
	Echostar Communications Corp			X																												
	General Electric Co			X										X								X										P
	General Motors Corp New			X										X							X											P
	Bristol-Myers Squibb	X												X								X										S
	Capital One Financial Corp	X												X								X										S
	Centennial Money Mkt			X										X									X									
	Goldman Sachs Group			X										X								X										P
	Home Depot Inc			X										X								X										P
	Intel Corp			X										X								X										
	Medtronic Inc			X										X								X										
	Guidant Corp			X										X							X											
	IDEC Pharmaceuticals Corp			X										X							X											P
	Morgan Stanley Dean Witter			X										X							X											
	Nokia Corp			X										X							X											
	Nortel Networks			X										X							X											
	Oracle Corp			X										X							X											
	Pfizer Inc			X										X							X											P
	Pharmacia Corp			X										X							X											P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley

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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000*	K $25,000,001 – $50,000,000*	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Qwest Communications			X										X							X											
	Charles Schwab Corp			X										X							X											P
	Sun Microsystems			X										X							X											
	Texas Instruments			X										X							X											
	Verisign Inc			X										X							X											P
	Viacom Inc Cl B			X										X							X											
	Vodafone Group			X										X							X											
	Voicestream Wireless Corp			X										X							X											
	CTD Technologies Inc		X											X							X											P
	Celgene Corp		X											X		X					X											
	Cerner Corp		X											X		X					X											P
	Emulex Corp			X										X		X					X											P
	Intl Rectifier Corp		X											X		X					X											P
	Technologies Inc			X										X		X					X											P
	Ivax Corp			X										X		X					X											P
	Laboratory Corp of America			X										X		X					X											P
	Macrovision Corp			X										X		X					X											P
	Mentor Graphics Corp	X												X		X					X											P
	Mercury Interactive Corp		X											X		X					X											P
	Nabors Industries Inc			X										X		X					X											P
	Newport Corp			X										X		X					X											P
	Nvidia Corp	X												X		X					X											P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley

Page 8 of 13

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Oak Technology Inc		X											X		X					X											P
	Perkinelmer Inc			X										X								X										P
	Plexus Corp		X											X		X					X											P
	Power-One Inc			X										X		X					X											P
	Powerwave Technologies			X										X		X					X											P
	Professional Detailing Inc		X											X		X					X											P
	Protein Design Labs Inc			X										X		X					X											P
	Quest Diagnostics Inc			X										X		X					X											P
	Respironics Inc			X										X		X					X											P
	Scientific-Atlanta Inc			X										X								X										P
	Sungard Data Systems			X										X		X					X											P
	Techne Corp			X										X		X					X											P
	Technitrol Corp		X											X							X											P
	Tollgrade Communications		X											X		X					X											P
	Trigon Healthcare Inc			X										X		X					X											P
	Universal Health Svcs			X										X		X					X											P
	Vertex Pharmaceuticals			X										X		X					X											P
	Wellpoint Health Networks			X										X		X					X											P
	Abgenix Inc			X										X		X					X											P
	Adobe Systems Inc			X										X								X										P
	Applied Micro Circuits			X										X		X					X											P
	Aurora Biosciences Corp	X												X		X					X											P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley

Page 9 of 1

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other (Type of Income Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	BJ Services Co			X										X		X					X												P
	AIX Corp	X												X								X											S
	Centennial Money Mkt			X										X									X										
	Helix Technology Cp	X												X								X											S
	ADC Telecommunications			X																													
	Adobe Systems Inc			X										X								X											
	American Intl Group			X										X								X											
	BellSouth Corporation			X										X								X											
	Boeing Company			X										X								X											
	Bristol Myers Squibb Co			X										X								X											
	Citigroup Inc			X										X								X											
	Computer Sciences Corp			X																		/											
	Walt Disney Co			X										X								X											
	Emerson Electric			X										X								X											
	Enron Corp			X										X								X											
	Exxon Mobil Corp			X										X								X											
	Fannie Mae			X										X								X											
	General Dynamics Corp			X										X		X					X												P
	Guidant Corp			X										X		X					X												P
	HCA - Healthcare Co			X										X								X											P
	Johnson & Johnson			X										X		X					X												P
	Lowe's Companies Inc			X										X		X					X												P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	MBNA Corp			X										X		X					X											
	Marsh + McLennan Co			X										X								X										
	Merck + Company Inc			X										X								X										
	Halm Inc			X										X		X					X											
	Pepsico Inc			X										X		X					X											
	Qwest Communications			X										X		X					X											
	Southwest Airlines Co			X										X		X					X											
	Sun Microsystems Inc			X										X		X					X											
	Tellabs Inc			X										X		X					X											P
	Texaco Incorporated			X										X		X					X											
	Time Warner Inc			X										X		X					X											
	United Technologies Corp			X										X		X					X											
	Viacom Inc C/B			X										X		X					X											
	Williams Companies Inc			X										X		X					X											P
	Bell Atlantic Corp	X												X								X										
	Centennial Money Market				X									X		X							X									
	Chase Manhattan Corp	X												X								X										S
	Chevron Corp	X												X								X										S
	Freddie Mac	X												X								X										S
	First Union Corp	X												X								X										S
	Gannett Co Inc	X												X								X										S
	AT&T Corp			X										X		X							X									

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Robert R. Riley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Compaq Computer Corp	X												X								X										S
	Intel Corp			X										X								X										
	Pfizer Inc			X										X								X										
	Centennial Money Mkt			X										X									X									
	1st TR SPL Situation #1	X												X								X										S
	Birmingham AL 8.3% Med Clinic															X										X						S
	Birmingham AL 8% Med Clinic			X												X								X								
	S Courtland AL 7.2% Ind Dev				X											X								X								
	Huntsville AL 6% Gen OBL				X											X								X								
	Jefferson Cnty AL 7.5%				X											X								X								
	Birmingham AL 7.5% Med Clinic	X														X									X							S
	Clay County AL 7% Health care				X											X									X							
	Gadsden East AL 7% Med Clinic				X											X								X								
	Indiana 7.25% Dev Fin Auth		X													X								X								
	Monroeville AL 7.625%	X														X						X										S
	Birmingham AL 7.25%	X														X								X								S
	Courtland AL 7% Indl Dev BRD			X												X									X							S
	Denver Co 8.5% City & Cnty	X														X								X								S
	Denver Co 8.5% City & Cnty	X														X								X								S
	Courtland AL 7.5% Solid waste															X									X							S
	Cullman Cnty AL 7% Solid waste			X												X								X								S
	Denver Co 8% Cntrl Cnty	X														X								X								S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Dothan-Houston County AL	X														X						X										S
	600 C. Rd 5 House + Lot				X										X									X								
	Ashland Hwy 77 S. House + Lot					X									X									X								
	Ashland 405 E. Orange House + Lot						X								X									X								
	Tuscaloosa Liberty Co. Rd House					X									X										X							
	Ashland 50 OSS Gulf Condo					X									X										X							
	Sarasota - Hwy 9N 10 Acre Land					X									X										X							
	80 Acres Land - Clay Co. AL					X									X										X							
	Southland Mfg - Ashland						X							X	X	X	X										X					
	50% Midway Transit Ashland							X						X	X	X	X										X					
	50% Riley Investments Ptrship							X						X	X	X	X										X					
	50% Woodland Hills - Ptrship							X						X	X	X	X										X					
	ENC Ltd Partnership				X									X	X	X	X										X					
	50% Ashland Plaza							X						X	X	X	X										X					
	50% Reintree Development					X								X	X	X	X										X					
	5% ED Riley Jr Fmly Ltd Ptrship	X												X		X								X								
	Exten Industries		X											X		X						X										
	Merry Go Round Enterprises		X											X		X						X										
	Centenial Money Market		X											X		X						X										
	150 Acres Hwy 31 N Ashland						X							X	X	X							X									
	700 Co. Rd 5 600 Acres Farm								X					X	X	X							X									
	4051 1st St. Washington DC							X							X										X							

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Robert R. Riley

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	U.S. House Pension Plan				X											X														
	Life Insurance - Cash Value						X							X																

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SCHEDULE IV — TRANSACTIONS

Name Robert R. Riley

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Celgene Corp	X			9-22-00	X									
	Cognex Corp	X			5-24-00	X									
	Conexant Systems Inc	X			4-10-00	X									
	Conexant Systems Inc		X		5-30-00	X									
	Curagen Corp	X			4-10-00	X									
	Curagen Corp		X		12-12-00	X									
	Cytyc Corp	X			6-13-00	X									
	DSP Group Inc	X			4-18-00	X									
	DSP Group Inc		X		8-24-00	X									
	Diamond Tech Partners Inc	X			4-10-00	X									
	Diamond Tech Partners Inc		X		10-11-00	X									
	Digital Lightwave Inc	X			6-12-00	X									
	Digital Lightwave Inc	X			11-9-00	X									
	Digital Lightwave Inc		X		12-11-00	X									
	Harmonic Inc	X			4-10-00	X									
	Harmonic Inc		X		5-25-00	X									

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SCHEDULE IV — TRANSACTIONS

Name Robert R Riley

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SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Helix Technology CP	X			4-10-00	X									
	Helix Technology CP		X		6-14-00	X									
	Jones Pharma Inc	X			4-10-00	X									
	Jones Pharma Inc		X		5-2-00	X									
	Kemet Corp	X			4-10-00	X									
	Kemet Corp		X		10-9-00	X									
	Kopin Corp	X			4-19-00	X									
	Kopin Corp		X		11-6-00	X									
	Medimmune Inc	X			4-10-00	X									
	Medimmune Inc		X		6-19-00	X									
	Medimmune Inc	X			4-10-00	X									
	Medimmune Inc		X		10-24-00	X									
	Natural Microsystems Corp	X			10-12-00	X									
	Powertel Inc	X			4-10-00	X									
	Powertel Inc		X		9-22-00	X									
	Qlogic Corp	X			4-10-00	X									

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SCHEDULE IV — TRANSACTIONS

Name Robert R Riley

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SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Apache Corp		X		8-11-00	X									
	Art Technology Group Inc	X			8-31-00										
	Arthrocare Corp	X			4-10-00	X									
	Arthrocare Corp		X		7-25-00	X									
	Aspect Communications Inc	X			6-9-00	X									
	Biovail Corp	X			4-10-00	X									
	Biovail Corp		X		6-13-00	X									
	Broadvision Inc	X			4-10-00	X									
	Broadvision Inc		X		8-22-00	X									
	Burr Brown Corp	X			5-12-00	X									
	Burr Brown Corp		X		6-27-00	X									
	CDW Computer Centers Inc	X			5-26-00	X									
	CDW Computer Centers Inc		X		11-21-00	X									
	CDW Computer Centers Inc	X			7-11-00	X									
	Hewlett-Packard Co		X		11-14-00	X									
	Hewlett-Packard Co		X		11-14-00	X									

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SCHEDULE IV — TRANSACTIONS

Name Robert R Riley

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SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Intel Corp		X		10-18-00	X									
	MBNA Corp		X		11-13-00	X									
	Southwest Airlines Co		X		7-24-00	X									
	Viacom Inc Cl B		X		3-15-00	X									
	Worldcom Inc New		X		9-26-00	X									
	AT&T Liberty Media Group Cl A		X		10-31-00	X									
	America Online Inc		X		3-8-00	X									
	America Online Inc		X		3-8-00	X									
	America Online Inc		X		3-8-00	X									
	Bristol-Myers Squibb Co		X		3-13-00	X									
	Bristol-Myers Squibb Co		X		3-13-00	X									
	Capital One Financial Corp		X		2-11-00	X									
	Capital One Financial Corp		X		2-11-00	X									
	Dell Computer Corp		X		2-9-00	X									
	Dell Computer Corp		X		2-9-00	X									
	LM Ericsson Tel Co Adr New	X			4-19-00	X									

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SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	LM Ericsson Tel Co Adr New		X		10-11-00	X									
	Freddie Mac		X		2-25-00	X									
	LM Ericsson Tel Co Adr New	X			6-13-00	X									
	LM Ericsson Tel Co Adr New		X		10-11-00	X									
	Freddie Mac		X		2-25-00	X									
	Gap Inc		X		5-15-00	X									
	Gap Inc		X		5-15-00	X									
	Guidant Corp		X		1-25-00	X									
	Guidant Corp		X		1-25-00	X									
	Infinity Broadcasting Corp New		X		4-19-00	X									
	Microsoft Corp		X		3-6-00	X									
	Microsoft Corp		X		3-6-00	X									
	NTL Corp	X			3-14-00	X									
	NTL Corp		X		8-3-00	X									
	Starbucks Corp		X		2-3-00	X									
	Viacom Inc C/B		X		8-7-00	X									

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SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Yahoo Inc	X			2-8-00	X									
	Yahoo Inc		X		10-26-00	X									
	AT&T Liberty Media Group Cl A		X		10-26-00	X									
	Citigroup Inc		X		4-28-00	X									
	Medtronic Inc		X		4-28-00	X									
	Viacom Inc Cl B		X		7-12-00	X									
	Yahoo Inc		X		10-26-00	X									
	Avx Corp	X			4-19-00	X									
	Avx Corp		X		7-14-00	X									
	Adaptec Inc	X			4-10-00	X									
	Adtran Inc		X		7-13-00	X									
	Advanced Digital Information	X			4-10-00	X									
	Advanced Fibre Communications		X		7-12-00	X									
	Advanced Fibre Communications	X			4-10-00	X									
	Alpha Industries Inc	X			4-10-00	X									
	Alpha Industries Inc		X		7-24-00	X									

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SP	Example: Mega Corporation Common Stock	X			10-12-00		X								
	Apache Corp	X			4-10-00	X									
	Apache Corp		X		6-30-00	X									
	Apache Corp	X			4-10-00	X									
	Adobe Systems		X		6-6-00	X									
	Adobe Systems		X		6-6-00	X									
	Adobe Systems		X		10-12-00	X									
	Computer Sciences Corp		X		3-15-00	X									
	Enron Corp		X		2-24-00	X									
	Enron Corp		X		3-15-00	X									
	Fannie Mae		X		3-15-00	X									
	HCA-Healthcare Co		X		12-13-00	X									
	Harley Davidson Inc		X		2-10-00	X									
	Amgen		X		1-7-00	X									
	Amgen		X		1-7-00	X									
	Chase Manhattan Corp New		X		1-20-00	X									
	Chase Manhattan Corp New		X		1-20-00	X									

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SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Chevron Corp		X		2-25-00	X									
	Chevron Corp		X		2-25-00	X									
	Comcast Corp CIA		X		5-25-00	X									
	Comcast Corp CIA	X			3-8-00	X									
	Comcast Corp CIA		X		5-25-00	X									
	Computer Sciences Corp		X		1-3-00	X									
	Palm Inc		X		11-13-00	X									
	Pharmacia Corp		X		4-19-00	X									
	Procter & Gamble Co		X		3-8-00	X									
	Procter & Gamble Co		X		3-8-00	X									
	SBC Communications Inc		X		1-24-00	X									
	SBC Communications Inc		X		1-24-00	X									
	Southwest Airlines Co		X		1-3-00	X									
	Sun Microsystems Inc		X		1-3-00	X									
	3Com Corp		X		3-1-00	X									
	3Com Corp	X			1-11-00	X									

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SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
	3Com Corp		X		12-22-00	X									
	3 Com Corp	X			8-2-00	X									
	Time Warner Inc		X		1-3-00	X									
	US West Inc New		X		1-3-00	X									
	Verizon Communications	X			3-15-00	X									
	Verizon Communications		X		7-26-00	X									
	Verizon Communications	X			6-21-00	X									
	Verizon Communications		X		7-26-00	X									
	Viacom Inc Cl B		X		1-3-00	X									
	Gannett Co Inc	X			1-5-00	X									
	Gannett Co Inc		X		5-5-00	X									
	HCA- Healthcare Co		X		11-13-00	X									
	HCA- Healthcare Co	X			2-9-00	X									
	HCA- Healthcare Co		X		12-13-00	X									
	Harley Davidson Inc	X			1-6-00	X									
	Honeywell International Inc	X			2-11-00	X									

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SCHEDULE IV — TRANSACTIONS

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SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Honeywell International Inc		X		6-27-00	X									
	Kimberly Clark Corp		X		3-15-00	X									
	Kimberly Clark Corp		X		3-15-00	X									
	MCI Worldcom Inc		X		1-3-00	X									
	Marsh & McLennan Co		X		1-3-00	X									
	Merck & Company Inc		X		1-3-00	X									
	Enron Corp		X		1-3-00	X									
	Freddie Mac	X			1-3-00	X									
	Freddie Mac		X		7-20-00	X									
	Freddie Mac	X			2-25-00	X									
	Freddie Mac		X		7-20-00	X									
	Fannie Mae		X		1-3-00	X									
	First Union Corp		X		7-6-00	X									
	First Union Corp	X			1-10-00	X									
	First Union Corp		X		7-6-00	X									
	First Union Corp	X			3-17-00	X									

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SCHEDULE IV — TRANSACTIONS

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SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	First Union Corp		X		7-6-00	X									
	Gannett Co Inc		X		5-5-00	X									
	Qlogic Corp		X		6-6-00	X									
	SDL Inc	X			4-19-00	X									
	SDL Inc		X		7-24-00	X									
	Sandisk Corp	X			4-10-00	X									
	Sandisk Corp		X		8-17-00	X									
	Silicon Storage Technology Inc	X			4-11-00	X									
	Tiffany & Company New	X			4-10-00	X									
	Tiffany & Company New		X		6-1-00	X									
	Triquint Semiconductor Inc	X			4-10-00	X									
	Triquint Semiconducter Inc		X		10-4-00	X									
	Varian Inc	X			7-13-00	X									
	Vishay Intertechnology Inc	X			4-19-00	X									
	Vishay Intertechnology Inc		X		10-16-00	X									
	Gemstar Intl Group Ltd	X			4-10-00	X									

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SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Denver Co 8% City + Cnty Airport Revenue		X		8-14-00	X									
	Dothan-Houston Cnty Al 8.25% Airport Authority		X		10-2-00	X									
	1st TR SPL Situation TR#1 Ser 99		X		3-14-00	X									
	Monroeville Al 7.625% Waterworks Board		X		1-3-00	X									
	Pfizer Inc		X		10-11-00	X									
	Pfizer Inc		X		10-11-00	X									
	Birmingham Al 8.3% Med clinic Purchase date unknown		X		3-14-00			X							
	Gemstar Intl Group LTD		X		5-15-06	X									
	Triton Energy LTD	X			9-26-00	X									
	ADC Telecommunications Inc		X		6-22-00	X									
	Adobe Systems Inc		X		1-3-00	X									
	Adobe Systems Inc		X		3-13-00	X									
	Agilent Technologies Inc	X			6-9-00	X									
	Agilent Technologies Inc		X		7-27-00	X									
	Agilent Technologies Inc	X			6-21-00	X									
	Agilent Technologies Inc		X		7-27-00	X									

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SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Compaq Computer Corp		X		3-15-00	X									
	Compaq Computer Corp		X		3-15-00		X								
	Ft DJIA Target 5 Port series #370		X		3-14-00	X									
	Ft DJIA Target 15 Nasdaq Port Series #370		X		6-27-00		X								
	Voyageur Mutual Funds III Inc		X		10-1-00	X									
	Voyageur Mutual Funds III Inc		X		10-1-00		X								
	Voyageur Mutual Funds III Inc		X		10-11-00	X									
	Voyageur Mutual Funds III Inc	X			1-4-00	X									
	Birmingham Al 7.25% ARPT Revenue		X		1-3-00		X								
	Birmingham Al 7.5% Regd NorthMed Clinic		X		9-8-00	X									
	Birmingham Al 7.5% Regd NorthMed Clinic		X		9-8-00	X									
	Courtland Al 7.75% Solid Waste Rev		X		1-14-00		X								
	Courtland Al 7.75% Solid Waste Rev		X		1-14-00	X									
	Courtland Al 7.75% Solid Waste Rev		X		1-14-00	X									
	Denver Co 8.5% City & Cnty Airport Revenue		X		11-15-00	X									
	Denver Co 8% City & Cnty Airport Revenue		X		8-14-00	X									

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SCHEDULE IV — TRANSACTIONS

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SP (Example)	Mega Corporation Common Stock	X			10-12-00		X								
	Applied Micro Circuits Corp	X			3-6-00	X									
	CBS Corp	X			1-21-00	X									
	Costco WHSL Corp New	X			2-1-00	X									
	EMC Corporation	X			10-31-00	X									
	General Electric Co	X			5-22-00	X									
	General Motors Corp New	X			2-28-00	X									
	Goldman Sachs Group Inc	X			5-16-00	X									
	Home Depot Inc	X			1-31-00	X									
	IDEC Pharmaceuticals Corp	X			2-11-00	X									
	Pfizer Inc	X			7-12-00	X									
	Pharmacia Corp	X			8-7-00	X									
	Charles Schwab Corp	X			10-17-00	X									
	Verisign Inc	X			3-8-00	X									
	Abgenix Inc	X			11-22-00	X									
	Adobe Systems Inc	X			4-10-00	X									
	Applied Micro Circuits Corp	X			4-10-00	X									

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SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Aurora Biosciences Corp	X			8-2-00	X									
	BJ Services Co	X			4-10-00	X									
	Brinker Intl Inc	X			12-28-00	X									
	Laboratory Corp of America	X			8-17-00	X									
	Emulex Corp	X			4-10-00	X									
	Intl Rectifier Corp	X			7-18-00	X									
	I2 Technologies	X			5-16-00	X									
	I2 Technologies	X			8-15-00	X									
	Irax Corp	X			7-12-00	X									
	Macrovision Corp	X			6-1-00	X									
	Macrovision Corp	X			8-16-00	X									
	Mercury Interactive Corp	X			4-10-00	X									
	Nabors Industries Inc	X			11-14-00	X									
	Newport Corp	X			6-27-00	X									
	Nvidia Corp	X			10-10-00	X									
	Perkinelmer Inc	X			10-4-00	X									

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Plexus Corp	X			9-15-00	X									
	Power-One Inc	X			6-27-00	X									
	Scientific-Atlanta Inc	X			4-10-00	X									
	Protein Design Labs Inc	X			7-14-00	X									
	Quest Diagnostics Inc	X			8-3-00	X									
	Respironics Inc	X			11-10-00	X									
	Sungard Data Systems Inc	X			10-31-00	X									
	Techne Corp	X			6-20-00	X									
	Technitrol Inc	X			8-22-00	X									
	Tollgrade Communications Inc	X			8-8-00	X									
	Trigon Healthcare Inc Cl A	X			12-12-00	X									
	Vertex Pharmaceuticals Inc	X			9-14-00	X									
	Wellpoint Health Networks New	X			10-5-00	X									
	Bell Atlantic Corp	X			3-15-00	X									
	Bell Atlantic Corp	X			6-21-00	X									
	Bristol-Myers Squibb	X			3-2-00	X									

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SCHEDULE IV — TRANSACTIONS

Name Robert R. Riley

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Bristol Myers Squibb	X			4-28-00	X									
	General Dynamics Corp	X			10-26-00	X									
	General Dynamics Corp	X			12-18-00	X									
	Guidant Corp	X			12-6-00	X									
	Johnson + Johnson	X			5-9-00	X									
	Lowe's Companies Inc	X			6-26-00	X									
	Lowe's Companies Inc	X			8-1-00	X									
	Tellabs Inc	X			7-27-00	X									
	Tellabs Inc	X			8-28-00	X									
	Tellabs Inc	X			9-29-00	X									
	Tellabs Inc	X			11-10-00	X									
	United Technologies Corp	X			5-31-00	X									
	Williams Companies Inc	X			10-17-00	X									
	Williams Companies Inc	X			11-8-00	X									
	America Online	X			7-5-00	X									
	Delaware Technology + Innovation	X			2-16-00	X									

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SCHEDULE IV — TRANSACTIONS

Name Robert R. Riley

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Delaware Technology + Innovation	X			4-6-00		X								
	Ecommercial Com Inc	X			1-27-00	X									
	Lucent Technologies Inc	X			11-20-00	X									
	Oracle Corp	X			11-20-00	X									

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Name Robert R. Riley | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	*First Bank of Wilmington, Delaware*	*Mortgage on 123 Main St., Dover, Del*				x						
	Southtrust Bank, Alabama	Personal Loan				X						
	AmSouth Bank, Alabama	Personal Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example *Mr. Joseph H. Smith, Anytown, Anystate*	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Name Robert R. Riley | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Vision Technologies, Huntsville	Aug 4-9	Wash DC - Singapore	Y	Y	Y	
	Hong Kong Economic + Trade Off.	Aug 9-13	Singapore - Hong Kong	Y	Y	Y	
	Chinese Natl Asso Industry + Com	Aug 13-17	Hong Kong - Taiwan - Wash DC	Y	Y	Y	

Name	Page
Robert R Riley	1 of 4

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Riley & Riley Investments
Partner	Woodland Hills Apartments
Stockholder	E.M.C. Properties, LTD
Stockholder	Midway Transit
Stockholder	Southland Mfg.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A		

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers and employees

LYNN NANCY RIVERS
(Full Name)

518 Second Street — Ann Arbor — MI 48103 — (202) 225-6261
(Mailing Address) — (Daytime Telephone)

Filer Status: [X] Member of the U.S. House of Representatives — State MI, District 13 — [ ] Officer or Employee — Employing Office

Report Type: [ ] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

(Office Use Only)

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

LEGISLATIVE RESOURCE CENTER
01 APR 26 AM 10:48
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES
(3)
MC
HAND DELIVERED

4163

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ] No [X]	VI Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ] No [X]	VIII Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lynn N Rivers	4/25/01

Name	LYNN NANCY RIVERS	Page 2 of 3

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Administrators in Academic Psychiatry	May 6-7, 2002	Wash D.C - Phoenix - Detroit	Y	Y	N	N
	National Assn for Mentally Ill, Richland Cty	Aug 7-8	Detroit - Cleveland - Detroit	Y	Y	N	N

Name	Lynn Nancy Rivers	Page 3 of 3

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	MCATS Education + Research Foundation Advisory Board

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 5:54 (8)
HC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

CIRO D. RODRIGUEZ
(Full Name)

363 WEST HARDING, SAN ANTONIO, TX 78221
(Mailing Address)

Daytime Telephone: 202/225-1640

Filer Status	[X] Member of the U.S. House of Representatives	State: TX District: 28	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ciro D. Rodriguez	5-15-2001

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable, Oct. 2nd	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	SAN ANTONIO INDEP SCHOOL DISTRICT, SAN ANTONIO, TX	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name CIRO D. RODRIGUEZ | Page 3 of 8

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C						BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X										X							P
			Simon & Schuster		Indefinite																Royalties									X		
			1st Bank of Paducah, KY accounts					X									X									X						
	371 W HARDING, SAN ANTONIO TX					X										X								X								
	307 SHRINE, SAN ANTONIO, TX						X														X											S
	TIAA/CREF RETIREMENT EQUITIES FUND, NEW YORK, NY					X									X		X							— NA —								
	SAN ANTONIO CREDIT UNION SAN ANTONIO, TX			X																			X									
	SAN ANTONIO TEACHERS CREDIT UNION, SAN ANTONIO, TX				X										X									X								
	WALNUT PATMAN CONGRESSIONAL FEDERAL CREDIT UNION, DE			X																	X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name CIRO D. RODRIGUEZ

Page 4 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	AT&T STOCK	C			P
	530 1ST ST, SE, WASH DC	H	X	IV X	

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SCHEDULE IV — TRANSACTIONS

Name CIRO D. RODRIGUEZ | Page 5 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	AT&T STOCK	X			5-2-00	X									
	307 SHRINE, SAN ANTONIO, TX		X		3- -00			X							

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	EDDIE EBNER, SAN ANTONIO, TX	MORTGAGE ON 371 W HARDING SAN ANTONIO, TX	X									
	HOMESIDE LENDING, SAN ANTONIO, TX	MORTGAGE ON 304 SHRINE SAN ANTONIO, TX	X									
	FIRST HORIZON	MORTGAGE ON 530 1ST ST, SE WASH DC				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE	JAN 19-23	SAN ANTONIO, TX ~~[illegible]~~ - SAN JUAN, PR - WASH DC	Y	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE, WASH DC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(5)

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:53
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Honorable Tim Roemer
(Full Name)

Room 2352 Rayburn House Office Building
Washington, D.C. 20515
(Mailing Address)

202-225-3915
Daytime Telephone

Filer Status	XX Member of the U.S. House of Representatives	State Indiana District Third	Officer or Employee	Employing Office
Report Type	XX Annual (May 15)	Amendment	Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes	No X	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No X
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No X	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes X	No
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes X	No	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes	No X
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes X	No	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No X
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes	No X	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No X
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No X

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tim Roemer Tim Roemer	5/15/01

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Tim Roemer

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1–$1,000; C $1,001–$15,000; D $15,001–$50,000; E $50,001–$100,000; F $100,001–$250,000; G $250,001–$500,000; H $500,001–$1,000,000; I $1,000,001–$5,000,000; J $5,000,001–$25,000,000; K $25,000,001–$50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1–$200; III $201–$1,000; IV $1,001–$2,500; V $2,501–$5,000; VI $5,001–$15,000; VII $15,001–$50,000; VIII $50,001–$100,000; IX $100,001–$1,000,000; X $1,000,001–$5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP / DC / JT	Examples: SP Mega Corp. Stock; DC Simon & Schuster; JT 1st Bank of Paducah KY accounts	Indefinite: C; D; L	RENT: X; Other Type of Income: Royalties	III; IV; VI; VII; IX	P
JT	Intel Corp. Securities	B; D		I	P
JT	Juniper Network	B		I	P
JT	Avanex Corp.	D		I	P
JT	Broadvision, Inc.	D		I	P
JT	Free Markets	D		I	P, S
JT	E*Trade Group, Inc.	B		I	S

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Tim Roemer

Page 3 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income					BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
JT	Proctor & Gamble			X									X									X									
JT	Cisco Systems			X																	X										
JT	Vanguard Value Index				X								X			X								X							P
JT	Vanguard U.S. Growth				X								X			X									X						
JT	Vanguard Health Care			X									X			X								X							
JT	Vanguard Int'l. Growth				X								X			X								X							
JT	Liberty Acorn Fund					X							X			X									X						
JT	Third Avenue Small Cap			X												X						X									S
JT	Oakmark Fund 1				X																X										S
JT	Cong. Fed. Credit Union		X														X					X									
SP	Magnolia Plantation Perry, Louisiana				X										X	FARM RENT								X							Inherited

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SCHEDULE IV — TRANSACTIONS

Name: Tim Roemer

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
JT	Oakmark Fund		X		1-3-00		X								
JT	Freemarkets, Inc.	X			1-5-00	X									
JT	Freemarkets, Inc.		X		3-14-00	X									
JT	Vanguard Value Index Fund	X			1-6-00		X								
JT	Third Avenue Small Cap Fund		X		1-6-00	X									
JT	Intel Corporation	X			7-8-00 8-7-00	X									
JT	E*Trade Group Inc.		X		3-13-00	LESS THAN $1000									
JT	Avanex Corporation	X			3-14-00	X									
JT	Broadvision, Inc.	X			3-15-00	X									
JT	Juniper Network	X			8-7-00	LESS THAN $1000									

This page may be copied if more space is required.

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Name	Tim Roemer	Page 5 of 5

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Democratic Leadership Council (Hyde Park Retreat)	5-21 & 22	Wash., DC to NY City and Poughkeepsie and returning back to DC	Y	Y	N	N

HAND DELIVERED

4179

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2001 JUN 13 PM 3:59
OFFICE OF THE CLERK
(Office Use Only)
MC

Congressman Harold Dallas Rogers
(Full Name)

2406 Rayburn H O B Washington DC 20515
(Mailing Address)

(202) 225-4601
Daytime Telephone

Filer Status ✓ Member of the U S House of Representatives — State KY District 05 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes No ✓
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ✓ No
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes No ✓
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ✓ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ✓ No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefitting you, your spouse, or dependent child? Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001.)

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Harold D. Rogers	6-13-01

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of Income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Stock - Carnival Corporation	None	Dividends	$1 - $200	S
Stock - DaimlerChrysler AG ORD	None	Dividends/CAPITAL GAINS	$201 - $1,000	S
Stock - DQE Inc.	None	Dividends/CAPITAL GAINS	$201 - $1,000	S
Stock - Energy East Corporation	$1,001 - $15,000	Dividends	$201 - $1,000	
Stock - Federal Home Loan Mtg. Company	$1,001 - $15,000	Dividends	$1 - $200	
Stock - General Electric Co.	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold ($), or exchanged (E) in reporting year.
Stock - ICG Communications Inc	None	Dividends/CAPITAL GAINS	$1,001 - $2,500	S
Stock - Intel Corporation	$15,001 - $50,000	Dividends	$1 - $200	
Stock - International Business Machines	$1,001 - $15,000	Dividends	$1 - $200	
Stock - Lexmark Intl. Group Inc	$1,001 - $15,000	Dividends	NONE	
Stock - Lucent Technologies	None	Dividends/CAPITAL GAINS	$1 - $200	S
Stock - Merck & Company	$15,001 - $50,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Stock - Open Market Inc	None	Dividends/CAPITAL GAINS	$5,001 - $15,000	S
Stock - Pfizer Inc.	$1,001 - $15,000	Dividends	$1 - $200	
Stock - Texas Instruments	$50,001 - $100,000	Dividends	$1 - $200	
Stock - TV Guide Inc. CL A	None	Dividends/CAPITAL GAINS	$2,501 - $5,000	S
Stock - Walt Disney Co. (Holding Co.)	$1,001 - $15,000	Dividends	$1 - $200	
PaineWebber Resource Management Account Tax-Free Fund Inc.	$15,001 - $50,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse or by your or your spouse's child parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None".	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
Citizens Bancshares, Kentucky (Stock)	$1,000,001 - $5,000,000	Dividends	$100,001 - $1,000,000	
Congressional Federal Credit Union	$50,001 - $100,000	None	NONE	
Fidelity Magellan Fund	$50,001 - $100,000	Capital Gains and Dividends	$1,001 - $2,500	
IRA - PaineWebber Retirement Money Fund	$1 - $1,000	Income Not Received Until Retirement	NONE	
IRA - PaineWebber - Lord Abbett Affiliated Fund Cl. B	$15,001 - $50,000	Income Not Received Until Retirement	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

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	IRA - PaineWebber - Lord Abbett Developing Growth Fund CL B	$1,001 - $15,000	Income Not Received Until Retirement	NONE	
	IRA - Paine Webber - Tactical Allocation Fund B	$15,001 - $50,000	Income Not Received Until Retirement	NONE	
	IRA - PaineWebber - UNTS Health Care Tr. #4 Pharma & Biotech Portfolio EQ Inv FD Concept Series	$15,001 - $50,000	Income Not Received Until Retirement	NONE	
SP	Mutual Fund - Munivest Fund Inc.	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Beaver Lodge Subdivision, Wayne County, Kentucky	$1 - $1,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

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SP	Certificate of Deposit - Poncebank Ponce PR	None	Interest	$1,001 - $2,500	S
SP	Corporate Coupons - McDonalds Corporation Notes	None	Interest	$1,001 - $2,500	S
SP	Corporate Coupons - Coca Cola FEMSA SA Notes	$15,001 - $50,000	Interest	$1,001 - $2,500	
SP	Preferred Stock - American Express Company Capital Trust	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
SP	Preferred Stock - LTC Properties Inc. Cuml. Series A	None	Dividends/CAPITAL GAINS	$1,001 - $2,500	S
SP	Preferred Stock - Corrections Corp of America (name change 10/02/00 - was Prison Realty Trust Inc.)	$1,001 - $15,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	PaineWebber Resource Management Account Money Market Portfolio	$1,001 - $15,000	Dividends	$2,501 - $5,000	
SP	Mutual Fund - Liberty High Yield Securities Fund Class A formerly Colonial High Yield Securities Fund Class A	None	Capital Gains and Dividends	NONE	S
SP	Mutual Fund - Davis Convertible Securities FD Class A	None	CAPITAL GAINS	$1,001 - $2,500	S
SP	Mutual Fund - FBP Contratian Equity Fund	None	Capital Gains and Dividends	$5,001 - $15,000	S
SP	Mutual Fund - Federated International Growth Fund Class A	None	Capital Gains and Dividends	$5,001 - $15,000	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Mutual Fund - Hartford Dividend and Growth Fund Class A	None	Capital Gains and Dividends	$1 - $200	S
SP	Mutual Fund - Investment Co Amer	None	Capital Gains and Dividends	$5,001 - $15,000	S
SP	Mutual Fund - Phoenix Engemann Capital Growth Series CL A	None	Capital Gains and Dividends	$5,001 - $15,000	S
SP	Mutual Fund - Pimco Low Duration Fund Class A	None	Capital Gains and Dividends	NONE	S
SP	Mutual Fund - Third Avenue Value Fund	None	Capital Gains and Dividends	$5,001 - $15,000	S
SP	Specified Period Annuity - Northwestern Mutual Life	$50,001 - $100,000	Interest	$15,001 - $50,000	

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Stock - America Online Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
Stock - AT&T Wireless	$1,001 - $15,000	DIVIDENDS	NONE	P
Stock - Avaya	$1 - $1,000	DIVIDENDS	NONE	P
Stock - Cisco Systems Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
Stock - Evergreen Res. Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
Stock - Infonet Services Corp.	$1,001 - $15,000	DIVIDENDS	NONE	P

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Stock - Mesa Air Group Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
Stock - Motorola	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Stock - Oracle Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
Stock - Varian Semiconductor Equipment Assoc	$1,001 - $15,000	DIVIDENDS	NONE	P
Stock - Walt Disney Co. Walt Disney Internet Group	$1,001 - $15,000	DIVIDENDS	NONE	P
Stock - Worldcom Inc	$1,001 - $15,000	DIVIDENDS	NONE	P

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	Stock - Yahoo Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
	Stock - Korn Ferry International	None	CAPITAL GAINS	$201 - $1,000	PS
	Stock - TXU Corporation	None	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	PS
SP	Stock - ABN AMRO Hldg NV Spons Netherlands Adr	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - AEGON NV ADR N Y Shs Netherlands	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Aktiebolaget Electrolux Ltd. Sponsored Adr Sweden Adr	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SP Stock - Alcatel Alsthom Compagnie Netherlands Fr Adr	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP Stock - Astrazeneca PLC Spon Adr	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP Stock - Aventis Spon Adr	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP Stock - AXA ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP Stock - BPAmoco PLC Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP Stock - British Telecommunications PLC ADR Final Installmnt United Kingdom	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SP	Stock - Cadbury Schweppes PLC Adr New United Kingdom	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Canon Inc ADR Japan	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Danske Ban A/S Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Deutsche Bank A G Spon Adr Germany	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Diageo PLC New GB Spon Adr	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Endesa S A Spon Adr	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SP	Stock - ENI SPA IT Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Heineken N V ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - HSBC Holdings PLC New GB Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - ING Groep N V NL Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - KAO Corp ADR Japan	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Marconi PLC Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Stock - Nestle S A Sponsored ADR Repstg Reg Shs Switzerland	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Nintendo LTD ADR New Japan	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Nippon Teleg & Tel Corp Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Novartis AG Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Royal Dutch Petroleum Co New	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Siemens A G ADR New Germany	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Stock - Smithkline Beecham PLC ADR Rep 5 ORD A United Kingdom	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Societe Generale France Spons ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Sony Corp ADR New Japan	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Total Fina Elf S A France Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Unilever PLC Amer Shs New Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Vivendi Unvl Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Stock - Zurich Financial Services Spon ADR	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Stock - Daimler Chrysler AG ORD	None	DIVIDENDS	$1 - $200	PS
SP	Stock - National Power PLC Final Installment	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
SP	Stock - Amer Intl Group Inc.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
SP	Stock - Amgen Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Automatic Data Processing	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see Instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Stock - Bristol Meyers Squibb Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Cardinal Health Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Cisco Systems Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Coca Cola Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Colgate Palmovlive Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - EMC Corp. Mass	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

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SP	Stock - Fannie Mae	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - General Electric Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Hewlett Packard Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Home Depot Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Intel Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	PS
SP	Stock - Intl. Business Machines	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
SP	Stock - MBNA	$1,001 - $15,000	DIVIDENDS	$1 $200	P
SP	Stock - Medtronic Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock Merck & Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Microsoft Corp	$1 001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Nokia Corp Spons ADR Finland	$1 001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Oracle Corp	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Stock - Pfizer Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Schering Plough Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - State Street Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Sun Microsystems Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Texas Instruments	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Tyco Intl. Ltd.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Stock - Wal Mart Stores Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Walgreen	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Walt Disney Co (Holding Co) Disney Com	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
SP	Stock - Wells Fargo & Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Amer Intl Group Inc	None	CAPITAL GAINS	$201 - $1,000	PS
SP	Stock - Federal Home Loan Mtge Comp	None	CAPITAL GAINS/DIVIDENDS	$1 - $200	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

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SP	Stock - Johnson & Johnson Comp.	None	CAPITAL GAINS	$1 - $200	PS
SP	Stock - Lucent Technologies Inc.	None	CAPITAL GAINS	$1 - $200	PS
SP	Stock - Proctor & Gamble	None	CAPITAL GAINS	NONE	PS
SP	Stock - Warner Lambert Co.	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
SP	Stock - Worldcom Inc.	None	CAPITAL GAINS	NONE	PS
SP	Stock - ADC Telecommunications	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Stock - Adobe Systems Inc (Delaware)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
SP	Stock - Amer Intl Group Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Bellsouth Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Boeing	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Bristol Myers Squibb Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Citigroup Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Stock - Computer Sciences	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Emerson Electric Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Enron Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Exxon Mobil Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Fannie Mae	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Genl Dynamics Corp.	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U S Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
SP Stock - Guidant Corp	$1,001 - $15,000	DIVIDENDS	NONE	P
SP Stock - HCA Healthcare Co	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
SP Stock - Johnson & Johnson Com	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP Stock - Lowes Companies Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP Stock - Marsh & McLennan Cos Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP Stock - MBNA Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

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SP	Stock - Merck	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Palm Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Pepsico Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Qwest Communications Intl. Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Southwest Airlines Co.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
SP	Stock - Sun Microsstems Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Stock - Tellabs Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Texaco Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Time Warner, Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Untd Technologies Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Viacom Inc B Non Vtg	$1,001 - $15,000	DIVIDENDS	NONE	P
SP	Stock - Walt Disney Co (Holding Co) Disney Com	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Stock - Williams cos Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Stock - Agilent Technologies Inc.	None	DIVIDENDS/CAPITAL GAINS	NONE	PS
SP	Stock - Comcast Corp. Spl. Cl A	None	DIVIDENDS/CAPITAL GAINS	NONE	PS
SP	Stock - Federal Home Ln. Mortgage Com	None	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
SP	Stock - First Union Corp.	None	DIVIDENDS	$1 - $200	PS
SP	Stock - Gannett Co.	None	DIVIDENDS/CAPITAL GAINS	NONE	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Stock - Hewlett Packard Co	None	DIVIDENDS	$1 - $200	PS
SP	Stock - Honeywell Intl Inc	None	DIVIDENDS	$1 - $200	PS
SP	Stock - Intel	None	DIVIDENDS	$1 - $200	PS
SP	Stock - Kimberly Clark	None	DIVIDENDS	$1 - $200	PS
SP	Stock - Pharmacia Corp	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
SP	Stock - Proctor & Gamble	None	DIVIDENDS/CAPITAL GAINS	NONE	PS

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	Stock - Staples Inc. (Retail & Delivery)	None	DIVIDENDS/CAPITAL GAINS	NONE	PS
SP	Stock - Verizon Communications	None	DIVIDENDS	$1 - $200	PS
SP	Stock - Worldcom Inc.	None	DIVIDENDS/INTEREST	NONE	PS
SP	Stock - 3 COM Corp	None	DIVIDENDS/CAPITAL GAINS	NONE	PS
SP	Corporate Bond - CIT Group Inc.	$1,001 - $15,000	INTEREST	NONE	P
SP	Corporate Bond - Chase Manhattan Copr.	$1,001 - $15,000	INTEREST	$1 - $200	P

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SP	Corporate Bond - Philip Morris Cos Inc	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	Corporate Bond - Raytheon Company	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	Corporate Bond - Bank One Corp Senior NTS	$1,001 - $15,000	INTEREST	NONE	P
SP	Corporate Bond - General Electric Cap Crp Global Med Trm Nt A	$1,001 - $15,000	INTEREST	NONE	P
SP	Corporate Bond - Household Fin Corp NTS Global	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	Corporate Bond - Empresa Nacional De Elec Foreign Security	$1,001 - $15,000	INTEREST	$1 - $200	P

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SP	Corporate Bond - Tyco Intl Group SA Yankee	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	Corporate Bond - Wal Mart Stores Inc. B/E Global Bnds.	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	Corporate Bond - National Westminster BK Sub Notes	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	Corporate Bond - Lehman Bros Holdings Inc. NTS Global	$1,001 - $15,000	INTEREST	NONE	P
SP	Corporate Bond - Citigroup Inc. NTS	$1,001 - $15,000	INTEREST	NONE	P
SP	Corporate Bond - Unilever Capital Corp. Global	$1,001 - $15,000	INTEREST	NONE	P

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SP	Corporate Bond - Time Warner Inc.	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	Corporate Bond - Duke Capital Corp. NTS	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	Corporate Bond - US West Cap FDG Inc.	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	Corporate Bond - Ford Motor Co.	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	Corporate Bond - Sprint Capital Corp.	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	US Treasury Note	$15,001 - $50,000	INTEREST	NONE	P

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SP	FHLMC Bond	$1,001 - $15,000	INTEREST	NONE	P
SP	US Treasury Note	$1,001 - $15,000	INTEREST	NONE	P
SP	FNMA Bond	$1,001 - $15,000	INTEREST	NONE	P
SP	US Treasury Note	$1,001 - $15,000	INTEREST	NONE	P
SP	FHLMC Bond	$1,001 - $15,000	INTEREST	NONE	P
SP	FNMA Bond	$1,001 - $15,000	INTEREST	NONE	P

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SP	FHLMC Bond	$1,001 - $15,000	INTEREST	$1 - $200	P
SP	US Treasury Bond	$1,001 - $15,000	INTEREST	NONE	P
SP	US Treasury Bond	$15,001 - $50,000	INTEREST	NONE	P
SP	Mutual Fund - Pace Global Fixed Income Invest Class P	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PS
SP	Mutual Fund - Pace Intermediate Fixed Income Class B	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS
SP	Mutual Fund - Pace Intl Equity Invest Class P	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Congressman Harold Dallas Rogers

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Mutual Fund - Pace Intl Emerging Mkts Equity Invest Class P	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	NONE	PS
SP	Mutual Fund - Pace Lg Co. Value Equity Invest Class P	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS
SP	Mutual Fund - Pace Lg Co Growth Equity Invest Class P	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS
SP	Mutual Fund - Pace Money Mkt. Invest Class P	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
SP	Mutual Fund - Pace Sm/Med Co. Value Equity Invest Class P	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
SP	Mutual Fund - Pace Sm/Med Co. Growth Invest Class P	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS

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SP	Mutual Fund - Pace Gov't Fixed Income Invest Class P	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	PS
SP	Corporate Bond - Associates Corp	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	Corporate Bond - AT&T Corp Global	None	INTEREST	$201 - $1,000	PS
SP	Corporate Bond - Bank of Amer Corp	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	Corporate Bond - CIT Group Inc. NTS	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	Corporate Bond - Dupont De Nemours Global	None	INTEREST/CAPITAL GAINS	$1 - $200	PS

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SP	Corporate Bond - Ford Motor Credit Co	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	Corporate Bond - General Electric Cap Corp.	None	INTEREST	$1 - $200	PS
SP	Corporate Bond - General Electric Cap Corp.	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	Corporate Bond - GMAC NTS	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	Corporate Bond - Goldman Sachs Group Inc.	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	Corporate Bond - Lehman Bros Holdings Inc.	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Corporate Bond - Lehman Bros Holdings Inc	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	Corporate Bond - MBNA Amer Bank Global	None	CAPITAL GAINS	$1 - $200	PS
SP	Corporate Bond - Walmart Stores Inc.	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	FHLMC Bond	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	FHLMC Bond	None	INTEREST/CAPITAL GAINS	$1 - $200	PS
SP	FHLMC Bond Callable	None	INTEREST	$201 - $1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	FHLMC Bond Callable	None	INTEREST/CAPITAL GAINS	$1,001 - $2,500	PS
SP	FNMA Bond	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	FNMA Bond	None	INTEREST	$1,001 - $2,500	PS
SP	FNMA Callable Bond	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	FNMA Callable Bond	None	INTEREST/CAPITAL GAINS	$201 - $1,000	PS
SP	US Treasury Bond	None	INTEREST	$201 - $1,000	PS

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SP	US Treasury Bond	None	INTEREST/CAPITAL GAINS	$1,001 - $2,500	PS
SP	US Treasury Note	None	INTEREST	$201 - $1,000	PS
SP	US Treasury Note	None	INTEREST/CAPITAL GAINS	$1,001 - $2,500	PS
SP	US Treasury Note	None	INTEREST	$201 - $1,000	PS
SP	US Treasury Note	None	INTEREST	$201 - $1,000	PS
SP	US Treasury Note	None	INTEREST	$201 - $1,000	PS

SCHEDULE IV - TRANSACTIONS

Name Congressman Harold Dallas Rogers

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	America Online Inc. Stock	P	01/06/00	$1,001 - $15,000
	AT&T Wireless Stock	P	12/05/00	$1,001 - $15,000
	Avaya Stock	P	01/10/00 $301 and 12/05/00 $1,062	$1,001 - $15,000
	Cisco Systems Inc. Stock	P	11/30/00	$1,001 - $15,000
	Evergreen Res. Inc. Stock	P	12/01/00	$1,001 - $15,000
	Infonet Services Corp.	P	12/01/00	$1,001 - $15,000
	Lucent Technologies Stock	PS	Purchased 01/10/00 $5,422, Sold 12/28/00 $2,579	$1,001 - $15,000
	Mesa Air Group Inc. Stock	P	12/01/00	$1,001 - $15,000
	Motorola Stock	P	12/01/00	$1,001 - $15,000
	Oracle Corp. Stock	P	11/30/00	$1,001 - $15,000
	Varian Semiconductor Equipment Assoc. Stock	P	12/01/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Walt Disney Co. Walt Disney Internet Group Stock	P	12/01/00	$1,001 - $15,000
	Worldcom Inc. Stock	P	11/30/00	$1,001 - $15,000
	Yahoo Inc	P	12/05/00	$1,001 - $15,000
	Carnival Corp. Stock	S	11/30/00	$1,001 - $15,000
	Daimler Chrysler Stock	S	12/05/00	$1,001 - $15,000
	DQE Inc. Stock	S	01/25/00	$1,001 - $15,000
	ICG Communications Inc. Stock	S	01/21/00	$1,001 - $15,000
	Korn Ferry International Stock	PS	Purchased 06/07/00 $2,334 Sold 7/07/00 $3,214	$1,001 - $15,000
	Stock - TXU Corporation	PS	Purchased 1/25/00 $9,185; Sold 11/30/00 $9,824	$1,001 - $15,000
	Stock - Open Market Inc	S	01/03/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Stock - TV Guide Inc	S	01/03/00	$1,001 - $15,000
SP	Stock - ABN AMRO Hldg NV Spons Netherlands Adr	P	3/15/00	$1,001 - $15,000
SP	Stock - AEGON NV ADR N Y Shs Netherlands	P	10/04/00	$1,001 - $15,000
SP	Stock - Aktiebolaget Electrolux Ltd Sponsored Adr Sweden Adr	P	03/13/00	$1,001 - $15,000
SP	Stock - Alcatel Alsthom Compagnie Netherlands Fr Adr	P	03/06/00	$1,001 - $15,000
SP	Stock - Astrazeneca PLC Spon Adr	P	03/02/00	$1,001 - $15,000
SP	Stock - Aventis Spon Adr	P	03/02/00	$1,001 - $15,000
SP	Stock - AXA ADR	P	03/13/00	$1,001 - $15,000
SP	Stock - BPAmoco PLC Spon ADR	P	03/02/00	$1,001 - $15,000
SP	Stock -British Telecommunications PLC ADR Final Installmnt United Kingdom	P	03/06/00 $2,065 & 07/03/00 $2,024	$1,001 - $15,000
SP	Stock - Cadbury Schweppes PLC Adr New United Kingdom	P	03/06/00	$1,001 - $15,000
SP	Stock - Canon Inc ADR Japan	P	03/06/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Danske Ban A/S Spon ADR	P	03/06/00	$1,001 - $15,000
SP	Stock - Deutsche Bank A G Spon Adr Germany	P	03/02/00	$1,001 - $15,000
SP	Stock - Diageo PLC New GB Spon Adr	P	03/02/00	$1,001 - $15,000
SP	Stock - Endesa S A Spon Adr	P	03/15/00	$1,001 - $15,000
SP	Stock - ENI SPA IT Spon ADR	P	03/06/00	$1,001 - $15,000
SP	Stock - Heineken N V ADR	P	03/06/00 $990 & 07/06/00 $1,176	$1,001 - $15,000
SP	Stock - HSBC Holdings PLC New GB Spon ADR	P	03/02/00	$1,001 - $15,000
SP	Stock - ING Groep N V NL Spon ADR	P	03/02/00	$1,001 - $15,000
SP	Stock - KAO Corp ADR Japan	P	03/13/00	$1,001 - $15,000
SP	Stock - Marconi PLC Spon ADR	P	03/15/00	$1,001 - $15,000
SP	Stock - Nestle S A Sponsored ADR Repstg Reg Shs Switzerland	P	03/02/00	$1,001 - $15,000
SP	Stock - Nintendo LTD ADR New Japan	P	03/02/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Nippon Teleg & Tel Corp Spon ADR	P	03/02/00	$1,001 - $15,000
SP	Stock - Novartis AG Spon ADR	P	03/02/00	$1,001 - $15,000
SP	Stock - Royal Dutch Petroleum Co New	P	03/06/00	$1,001 - $15,000
SP	Stock - Siemens A G ADR New Germany	P	03/06/00	$1,001 - $15,000
SP	Stock - Smithkline Beecham PLC ADR Rep 5 ORD A United Kingdom	P	03/13/00	$1,001 - $15,000
SP	Stock - Societe Generale France Spons ADR	P	03/13/00	$1,001 - $15,000
SP	Stock - Sony Corp ADR New Japan	P	03/13/00	$1,001 - $15,000
SP	Stock - Total Fina Elf S.A. France Spon ADR	P	03/02/00	$1,001 - $15,000
SP	Stock - Unilever PLC Amer Shs New Spon ADR	P	03/06/00	$1,001 - $15,000
SP	Stock - Vivendi Unvl Spon ADR	P	03/06/00	$1,001 - $15,000
SP	Stock - Zurich Financial Services Spon ADR	P	03/15/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Daimler Chrysler AG ORD	PS	Purchased 03/13/00 $2,154.69, Sold 05/09/00 $1,995.32	$1,001 - $15,000
SP	Stock - National Power PLC Final Installment	PS	Purchased 03/06/00 $2,868.60, Sold 09/20/00 $3,035.38	$1,001 - $15,000
SP	Stock - Amer Intl Group Inc	PS	Purchase 03/02/00 $3,906.50, Sold 09/11/00 $1,346.20	$1,001 - $15,000
SP	Stock - Amgen Inc.	P	Purchased 03/23/00 $1,448, 05/22/00 $851, 10/25/00 $1,053	$1,001 - $15,000
SP	Stock - Automatic Data Processing	PS	Purchased 03/02/00 $3,474.96, Sold 10/20/00 $616.28 & 10/23/00 $60.16	$1,001 - $15,000
SP	Stock - Bristol Meyers Squibb Co	P	04/17/00 $1,578, 05/24/00 $852	$1,001 - $15,000
SP	Stock - Cardinal Health Inc	P	10/24/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Cisco Systems Inc.	P	03/02/00	$1,001 - $15,000
SP	Stock - Coca Cola Co.	P	03/02/00	$1,001 - $15,000
SP	Stock - Colgate Palmovlive Co.	P	03/02/00	$1,001 - $15,000
SP	Stock - EMC Corp. Mass	PS	Purchased 03/02/00 $3,500.58, Sold 08/04/00 $1,277.08	$1,001 - $15,000
SP	Stock - Fannie Mae	P	03/02/00	$1,001 - $15,000
SP	Stock - General Electric Co.	P	03/02/00	$1,001 - $15,000
SP	Stock - Hewlett Packard Co.	P	03/02/00	$1,001 - $15,000
SP	Stock - Home Depot Inc.	P	03/02/00	$1,001 - $15,000
SP	Stock - Intel Corp.	PS	Purchased 03/02/00 $4,073.09, sold 4/11/00 $1,317.20 & 9/08/00 $659.97	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Intl Business Machines	P	03/02/00	$1,001 - $15,000
SP	Stock - MBNA	P	6/22/00	$1,001 - $15,000
SP	Stock - Medtronic Inc	P	03/02/00	$1,001 - $15,000
SP	Stock Merck & Co	P	03/02/00	$1,001 - $15,000
SP	Stock - Microsoft Corp	P	03/02/00 $2,180 & 09/11/00 $1,383	$1,001 - $15,000
SP	Stock - Nokia Corp Spons ADR Finland	P	06/15/00 $287 & 06/16/00 $1,468	$1,001 - $15,000
SP	Stock - Oracle Corp	P	03/02/00	$1,001 - $15,000
SP	Stock - Pfizer Inc	P	03/02/00	$1,001 - $15,000
SP	Stock - Schering Plough Corp	P	03/02/00	$1,001 - $15,000
SP	Stock - State Street Corp	P	03/02/00	$1,001 - $15,000
SP	Stock - Sun Microsystems Inc	P	03/02/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Congressman Harold Dallas Rogers

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Texas Instruments	P	03/02/00 $3,480, 08/04/00 $825, 11/13/00 $840, 11/14/00 $224	$1,001 - $15,000
SP	Stock - Tyco Intl. Ltd.	P	03/23/00 $989, 03/24/00 $512, 05/22/00 $456, 05/23/00 $432, 11/13/00 $527, 11/14/00 $509	$1,001 - $15,000
SP	Stock - Wal Mart Stores Inc	P	03/02/00	$1,001 - $15,000
SP	Stock - Walgreen	P	03/02/00 $1,608 & 04/20/00 $1,112	$1,001 - $15,000
SP	Stock - Federal Home Loan Mtge. Comp.	PS	Purchsed 03/02/00 $2,009.38, Sold 06/15/00 $1,071.84 & 06/16/00 $1,054.77	$1,001 - $15,000
SP	Stock - Johnson & Johnson Comp.	PS	Purchased 03/02/00 $2,967.50, Sold 03/23/00 $1,620.15 & 04/13/00 $1,517.14	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Lucent Technologies Inc	PS	Purchased 03/02/00 $3,391.05, Sold 11/13/00 $1,101.96	$1,001 - $15,000
SP	Stock - Proctor & Gamble	PS	Purchased 03/02/00 4,371.88, Sold 03/23/00 $2,813.98	$1,001 - $15,000
SP	Stock - Warner Lambert Co	PS	Purchased 03/02/00 $2,115.63 & Sold 5/17/00 $3,074.61	$1,001 - $15,000
SP	Stock - Worldcom Inc	PS	Purchased 03/02/00 $3,046.81 & 04/13/00 $414.82, Sold 11/13/00 $942	$1,001 - $15,000
SP	Stock - ADC Telecommunications	PS	Purchase 03/03/00 $3,046.81 & 10/04/00 $962.64, Sold 06/16/00 $2,435.78	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Adobe Systems Inc. (Delaware)	PS	Purchase 03/03/00 $2,904.65, Sold 06/07/00 $1,732.94 & 10/04/00 $3,181.25	$1,001 - $15,000
SP	Stock - Amer Intl Group Inc.	P	03/03/00 $872 & 03/10/00 $1,245	$1,001 - $15,000
SP	Stock - Bellsouth Corp	P	03/03/00 $1,623 & 08/23/00 $913	$1,001 - $15,000
SP	Stock - Boeing	P	05/12/00 $932 & 08/23/00 $1,011	$1,001 - $15,000
SP	Bristol Myers Squibb Co.	P	03/03/00 $1,035 & 05/01/00 $775	$1,001 - $15,000
SP	Stock - Citigroup Inc.	P	03/03/00	$1,001 - $15,000
SP	Stock - Computer Sciences	P	03/03/00	$1,001 - $15,000
SP	Stock - Emerson Electric Co.	P	05/08/00 1,102, 07/06/00 $919, 08/04/00 $932	$1,001 - $15,000
SP	Stock - Enron Corp.	P	03/03/00	$1,001 - $15,000
SP	Stock - Exxon Mobil Corp.	P	03/03/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Fannie Mae	P	03/03/00	$1,001 - $15,000
SP	Stock - Genl Dynamics Corp	P	10/19/00 $983, 12/20/00 $730	$1,001 - $15,000
SP	Stock - Guidant Corp	P	11/30/00	$1,001 - $15,000
SP	Stock - HCA Healthcare Co	PS	Purchase 03/03/00 $1,557.50 & 03/13/00 $1,468. Sold 12/18/00 $1,595.87	$1,001 - $15,000
SP	Stock - Johnson & Johnson Com	P	05/10/00	$1,001 - $15,000
SP	Stock - Lowes Companies Inc	P	03/03/00 $942, 06/28/00 $626, 07/27/00 $886	$1,001 - $15,000
SP	Stock - Marsh & McLennan Cos Inc	P	03/03/00	$1,001 - $15,000
SP	Stock - MBNA Corp	P	03/03/00	$1,001 - $15,000
SP	Stock - Merck	P	03/03/00	$1,001 - $15,000
SP	Stock - Palm Inc	P	03/03/00 $3,383 & 08/01/00 $586	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Pepsico Inc.	P	03/03/00	$1,001 - $15,000
SP	Stock - Qwest Communications Intl. Inc.	P	03/03/00	$1,001 - $15,000
SP	Stock - Southwest Airlines Co.	PS	Purchased 03/03/00 $3,715.62, Sold 07/07/00 $2,010.55	$1,001 - $15,000
SP	Stock - Sun Microsstems Inc.	P	03/03/00 $2,940, 12/08/00 $1,001	$1,001 - $15,000
SP	Stock - Tellabs Inc.	P	07/28/00 $968, 08/24/00 $1,450, 10/03/00 $746, 10/24/00 $887	$1,001 - $15,000
SP	Stock - Texaco Inc.	P	03/23/00 $1,043 07/13/00 $1,051	$1,001 - $15,000
SP	Stock - Time Warner, Inc.	P	03/03/00	$1,001 - $15,000
SP	Stock - Untd. Technologies Corp.	P	06/02/00	$1,001 - $15,000
SP	Stock - Viacom Inc. B Non Vtg.	P	03/03/00	$1,001 - $15,000
SP	Stock - Walt Disney Co. (Holding Co) Disney Com	P	03/03/00 $1,415, 03/27/00 $1,434	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Williams cos Inc	P	10/09/00 $1,080, 11/14/00 $623	$1,001 - $15,000
SP	Stock - Agilent Technologies Inc	PS	Purchase 03/03/00 $875 22, 06/08/00 $1,005, 06/22/00 $1,155.33, Sold 08/01/00 $1,645 58	$1,001 - $15,000
SP	Stock - Comcast Corp Spl Cl A	PS	Purchase 03/03/00 $1,470 & 03/09/00 $1,465 10, Sold 05/15/00 $2,247 08	$1,001 - $15,000
SP	Federal Home Ln Mortgage Com	PS	Purchase 03/03/00 $1,667 50, Sold 07/24/00 $1,679 69	$1,001 - $15,000
SP	Stock - First Union Corp	PS	Purchase 03/03/00 $1,392 19 & 03/07/00 $1,638.49, Sold 06/26/00 $2,798 67	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Gannett Co	PS	Purchase 03/03/00 $2,662.50 Sold 05/10/00 $2,407.95	$1,001 - $15,000
SP	Stock - Hewlett Packard Co	PS	Purchase 03/03/00 $3,227.74, Sold 11/20/00 $2,116.05	$1,001 - $15,000
SP	Stock - Honeywell Intl Inc	PS	Purchase 03/03/00 $1,677.81, Sold 06/22/00 $1,233.53	$1,001 - $15,000
SP	Stock - Intel	PS	Purchase 03/03/00 $2,370, Sold 10/19/00 $1,669.14	$1,001 - $15,000
SP	Stock - Kimberly Clark	PS	Purchased 03/03/00 $2,365.31, Sold 03/09/00 $2,188.27	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Pharmacia Corp	PS	Purchase 03/03/00 $912.50, Sold 04/14/00 $1,233.20	$1,001 - $15,000
SP	Stock - Proctor & Gamble	PS	Purchase 03/03/00 $3,093.13, Sold 03/10/00 $1,892.71	$1,001 - $15,000
SP	Stock - Staples Inc (Retail & Delivery)	PS	Purchase 03/03/00 $1,483.13, 06/13/00 $243.82, 08/07/00 $1,285.10, Sold 10/12/00 $2,065.12	$1,001 - $15,000
SP	Stock - Verizon Communications	PS	Purchase 03/20/00 $1,488.25, 06/19/00 $2,009.95, Sold 07/20/00 $2,627.92	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Worldcom Inc	PS	Purchased 03/03/00 $2,895, Sold 09/22/00 $1,600.72	$1,001 - $15,000
SP	Stock - 3 COM Corp	PS	Purchased 03/03/00 $902.55, 08/03/00 $985.38 Sold 12/08/00 $1,065.78	$1,001 - $15,000
SP	Corporate Bond - CIT Group Inc.	P	11/06/00	$1,001 - $15,000
SP	Corporate Bond - Chase Manhattan Copr.	P	05/17/00	$1,001 - $15,000
SP	Corporate Bond - Philip Morris Cos Inc.	P	05/11/00	$1,001 - $15,000
SP	Corporate Bond - Raytheon Company	P	06/20/00	$1,001 - $15,000
SP	Corporate Bond - Bank One Corp. Senior NTS	P	07/26/00	$1,001 - $15,000
SP	Corporate Bond - General Electric Cap Crp Global Med Trm Nt A	P	10/31/00	$1,001 - $15,000
SP	Corporate Bond - Household Fin Corp NTS Global	P	05/10/00	$1,001 - $15,000
SP	Corporate Bond - Empresa Nacional De Elec Foreign Security	P	05/18/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Corporate Bon - Tyco Intl Group SA Yankee	P	05/17/00	$1,001 - $15,000
SP	Corporate Bond - Wal Mart Stores Inc B/E Global Bnds	P	06/08/00	$1,001 - $15,000
SP	Corporate Bond - National Westminster BK Sub Notes	P	05/17/00	$1,001 - $15,000
SP	Corporate Bond - Lehman Bros Holdings Inc NTS Global	P	08/08/00	$1,001 - $15,000
SP	Corporate Bond - Citigroup Inc NTS	P	12/19/00	$1,001 - $15,000
SP	Corporate Bond - Unilever Capital Corp Global	P	10/31/00	$1,001 - $15,000
SP	Corporate Bond - Time Warner Inc	P	05/17/00	$1,001 - $15,000
SP	Corporate Bond - Duke Capital Corp NTS	P	03/24/00	$1,001 - $15,000
SP	Corporate Bond - US West Cap FDG Inc	P	05/17/00	$1,001 - $15,000
SP	Corporate Bond - Ford Motor Co	P	08/16/00	$1,001 - $15,000
SP	Corporate Bond - Sprint Capital Corp	P	05/17/00	$1,001 - $15,000
SP	US Treasury Note	P	03/01/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	FHLMC Bond	P	12/14/00	$1,001 - $15,000
SP	US Treasury Note	P	03/01/00	$1,001 - $15,000
SP	FNMA Bond	P	03/01/00	$1,001 - $15,000
SP	US Treasury Note	P	03/01/00	$1,001 - $15,000
SP	FHLMC Bond	P	09/15/00 $1,013 & 10/20/00 $4,090	$1,001 - $15,000
SP	FNMA Bond	P	12/18/00	$1,001 - $15,000
SP	FHLMC Bond	P	06/29/00 $4,819 & 07/27/00 $3,869	$1,001 - $15,000
SP	US Treasury Bond	P	03/01/00	$1,001 - $15,000
SP	US Treasury Bond	P	03/01/00 $8,675, 06/06/00 $3,300, 06/09/00 $2,220, 12/14/00 $5,964	$15,001 - $50,000
SP	Mutual Fund - Pace Global Fixed Income Invest Class P	P	03/01/00 $8,889, 04/04/00 $5,100, 12/28/00 $2,500	$15,001 - $50,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Mutual Fund - Pace Intermediate Fixed Income Class B	P	03/01/00 $22,122, 04/04/00 $12,495, 12/28/00 $6,125	$15,001 - $50,000
SP	Mutual Fund - Pace Intl Equity Invest Class P	P	03/01/00 $6,067, 04/04/00 $3,672, 12/28/00 $1,800	$1,001 - $15,000
SP	Mutual Fund - Pace Intl Emerging Mkts Equity Invest Class P	P	03/01/00 $1,442, 04/04/00 $918, 12/28/00 $450	$1,001 - $15,000
SP	Mutual Fund - Pace Lg Co Value Equity Invest Class P	P	03/01/00 $11,727, 04/04/00 $6,369, 12/28/00 $3,122	$15,001 - $50,000
SP	Mutual Fund - Pace Lg Co Growth Equity Invest Class P	P	03/01/00 $9,441, 04/04/00 $5,416, 12/28/00 $2,655	$15,001 - $50,000
SP	Mutual Fund - Pace Money Mkt Invest Class P	P	03/01/00 $1,800, 04/04/00 $1,020, 12/28/00 $500	$1,001 - $15,000
SP	Mutual Fund - Sm/Med Co Value Equity Invest Class P	P	03/01/00 $3,476, 04/04/00 $1,897, 12/28/00 $930	$1,001 - $15,000
SP	Mutual Fund - Pace Sm/Med Co Growth Invest Class P	P	03/01/00 $2,490, 04/04/00 $1,616, 12/28/00 $792	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Mutual Fund - Pace Gov't Fixed Income Invest Class P	P	03/01/00 $22,141, 04/04/00 $12,495, 12/28/00 $6,125	$15,001 - $50,000
SP	Mutual Fund - Pace Global Fixed Income Invest Class P	S	Monthly partial redemption of $350 beginning March 29 through December 27, 2000	$1,001 - $15,000
SP	Mutual Fund - Pace Govt Fixed Income Invest Class P	S	Monthly partial redemption of $857.50 beginning March 29 through December 27, 2000	$1,001 - $15,000
SP	Mutual Fund - Pace Intermediate Fixed Income Invest Class P	S	Monthly partial redemption of $857.50 beginning March 29 through December 27, 2000	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Mutual Fund - Pace Intl Emerging Mkts Equity Invest Class P	S	Monthly partial redemption of $63 beginning March 29 through December 27, 2000	$630
SP	Mutual Fund - Pace Intl Equity Invest Class P	S	Monthly partial redemption of $252 beginning on March 29 through December 27, 2000	$1,001 - $15,000
SP	Mutual Fund - Pace Lg Co Growth Equity Invest Class P	S	Monthly partial redemption of $371 69 beginning March 29 through December 27, 2000	$1,001 - $15,000
SP	Mutual Fund - Pace Lg Co Value Equity Invest Class P	S	Monthly partial redemption of $437 15 beginning on March 29 through December 27, 2000	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Mutual Fund - Pace Money Mkt Invest Class P	S	Monthly partial redemption of $70 beginning March 29 through December 27, 2000	$700
SP	Mutual Fund - Pace Sm/Med Co Growth Equity Invest Class P	S	Monthly partial redemption of $110.95 beginning March 29 through December 27, 2000	$1,001 - $15,000
SP	Mutual Fund - Pace Sm/Med Co Value Equity Invest Class P	S	Montly partial redemption of $130.20 beginning March 29 through December 27, 2000	$1,001 - $15,000
SP	Corporate Bond - Associates Corp. N.A.	PS	Purchase 09/21/00, Sold 12/19/00	$1,001 - $15,000
SP	Corporate Bond - AT&T Corp. Global	PS	Purchase 03/09/00 $4,488.75, 05/17/00 $864.82 Sold 05/22/00 $5,174.88	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Corporate Bond - Bank of Amer. Corp	PS	Purchase 05/24/00 $4,829.10, Sold 7/27/00 $5,035.75, Purchase 10/11/00 $5,081.15, Sold 10/31/00 $5,083.45	$1,001 - $15,000
SP	Corporate Bond - CIT Group Inc. NTS	PS	Purchase 05/17/00, Sold 09/12/00	$1,001 - $15,000
SP	Corporate Bond - Dupont De Nemours Global	PS	Purchase 05/17/00, Sold 06/20/00	$1,001 - $15,000
SP	Corporate Bond - Ford Motor Credit Co	PS	Purchase 05/17/00, Sold 08/16/00	$1,001 - $15,000
SP	Corporate Bond - General Electric Cap Corp	PS	Purchased 03/07/00, Sold 05/31/00	$1,001 - $15,000
SP	Corporate Bond - General Electric	PS	Purchased 05/31/00, Sold 09/21/00	$1,001 - $15,000
SP	Corporate Bond - GMAC NTS	PS	Purchased 05/17/00, Sold 11/06/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Corporate Bond - Goldman Sachs Group Inc.	PS	Purchased 05/17/00, Sold 08/08/00	$1,001 - $15,000
SP	Corporate Bond - Lehman Bros Holding Inc.	PS	Purchased 06/06/00, Sold 07/26/00	$1,001 - $15,000
SP	Corporate Bond - Lehman Bros Holding Inc	PS	Purchased 05/22/00, Sold 06/06/00	$1,001 - $15,000
SP	Corporate Bond - MBNA Amer Bank Global	PS	Purchased 09/12/00, Sold 09/15/00	$1,001 - $15,000
SP	Corporate Bond - Wal Mart Stores Inc.	PS	Purchased 05/17/00, Sold 06/08/00	$1,001 - $15,000
SP	FHLMC Bond	PS	Purchased 06/29/00, Sold 10/11/00	$1,001 - $15,000
SP	FHLMC Bond	PS	Purchased 09/15/00, Sold 10/31/00	$1,001 - $15,000
SP	FHLMC Callable Bond	PS	Purchased 03/01/00, Sold 06/07/00	$1,001 - $15,000
SP	FHLMC Callable Bond	PS	Purchased 05/17/00, Sold 12/14/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	FNMA Bond	PS	Purchased 04/25/00, Sold 05/24/00	$1,001 - $15,000
SP	FNMA Bond	PS	Purchased 06/07/00, Sold 06/29/00	$1,001 - $15,000
SP	FNMA Bond	PS	purchased 03/01/00, Sold 05/17/00	$1,001 - $15,000
SP	FNMA Callable Bond	PS	Purchased 06/16/00, Sold 12/18/00	$1,001 - $15,000
SP	FNMA Callable Bond	PS	Purchased 03/01/00, Sold 06/16/00	$1,001 - $15,000
SP	US Treasury Bond	PS	Purchased 03/01/00, Sold 05/17/00	$1,001 - $15,000
SP	US Treasury Bond	PS	Purchased 03/01/00, Sold 03/24/00	$1,001 - $15,000
SP	US Treasury Note	PS	Purchased 03/01/00, Sold 06/06/00	$1,001 - $15,000
SP	US Treasury Note	PS	Purchased 03/01/00, Sold 12/14/00	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	US Treasury Note	PS	Purchased 03/01/00, Sold 05/17/00	$1,001 - $15,000
SP	US Treasury Note	PS	Purchased 03/01/00, Sold 10/20/00	$1,001 - $15,000
SP	US Treasury Note	PS	Purchased 03/01/00, Sold 05/17/00	$15,001 - $50,000
SP	US Treasury Note	PS	Purchased 03/01/00, Sold 03/09/00	$15,001 - $50,000
SP	US Treasury Note	PS	Purchase 03/01/00, Sold 05/17/00	$1,001 - $15,000
SP	US Treasury Note	PS	Purchased 03/01/00, Sold 06/09/00	$1,001 - $15,000
SP	Corporate Bond - Associates Corp.	PS	Purchased 9/21/00, Sold 12/19/00	$1,001 - $15,000
SP	Corporate Bond - AT&T Corp. Global	PS	Purchased 3/09/00 $4,488.75, 5/17/00 $864.82, Sold 5/22/00 $5,174.88	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Congressman Harold Dallas Rogers

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Corporate Bond - Bank of Amer Corp	PS	Purchased 5/24/00 $4,829.10, 10/11/00 $5,081.15, Sold 7/27/00 $5,035.75, 10/31/00 $5,081.15	$1,001 - $15,000
SP	Corporate Bond - CIT Group Inc NTS	PS	Purchased 5/17/00, Sold 9/12/00	$1,001 - $15,000
SP	Corporate Bond - Dupont De Nemours Global	PS	Purchased 5/17/00, Sold 6/20/00	$1,001 - $15,000
SP	Corporate Bond - Ford Motor Credit Co	PS	Purchased 5/17/00, Sold 8/16/00	$1,001 - $15,000
SP	Corporate Bond - General Electric Cap Corp	PS	Purchased 3/07/00, Sold 5/31/00	$1,001 - $15,000
SP	Corporate Bond - General Electric Cap Corp	PS	Purchased 5/31/00, Sold 9/21/00	$1,001 - $15,000
SP	Corporate Bond - GMAC NTS	PS	Purchased 5/17/00, Sold 11/06/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Corporate Bond - Goldman Sachs Group Inc.	PS	Purchased 5/17/00, Sold 8/8/00	$1,001 - $15,000
SP	Corporate Bond - Lehman Bros Holdings Inc.	PS	Purchased 6/06/00, Sold 7/26/00	$1,001 - $15,000
SP	Corporate Bond - Lehman Bros. Holdings Inc.	PS	Purchased 5/22/00, Sold 6/06/00	$1,001 - $15,000
SP	Corporate Bond - MBNA Amer Bank Global	PS	Purchased 9/12/00, Sold 9/15/00	$1,001 - $15,000
SP	Corporate Bond - Walmart Stores Inc.	PS	Purchased 5/17/00, Sold 6/08/00	$1,001 - $15,000
SP	FHLMC Bond	PS	Purchased 6/29/00, Sold 10/11/00	$1,001 - $15,000
SP	FHLMC Bond	PS	Purchased 9/15/00, Sold 10/31/00	$1,001 - $15,000
SP	FHLMC Bond Callable	PS	Purchased 3/01/00, Sold 06/07/00	$1,001 - $15,000
SP	FHLMC Bond Callable	PS	Purchased 05/17/00, Sold 12/14/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	FNMA Bond	PS	Purchased 04/25/00 $5,047.40, 06/07/00 $9,011.25, Sold 05/24/00 $4,867.50 06/29/00 $9,066.60	$1,001 - $15,000
SP	FNMA Bond	PS	Purchased 03/01/00, Sold 05/17/00	$1,001 - $15,000
SP	FNMA Callable Bond	PS	Purchased 6/16/00, Sold 12/18/00	$1,001 - $15,000
SP	FNMA Callable Bond	PS	Purchased 3/01/00, Sold 6/16/00	$1,001 - $15,000
SP	US Treasury Bond	PS	Purchased 3/01/00, Sold 5/17/00,	$1,001 - $15,000
SP	US Treasury Bond	PS	Purchased 3/01/00, Sold 3/24/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	US Treasury Note	PS	Purchased 3/1/00 $9,005.63, Sold 6/06/00 $3,990.31, 12/14/00 $5,001.56	$1,001 - $15,000
SP	US Treasury Note	PS	Purchased 3/01/00 $9,959.38, Sold 5/17/00 $4,940.63, 10/20/00 $5,159.45	$1,001 - $15,000
SP	US Treasury Note	PS	Purchased 3/01/00, Sold 5/17/00	$15,001 - $50,000
SP	US Treasury Note	PS	Purchased 3/01/00 $31,981.26, Sold 3/09/00 $17,886.72, 5/17/00 $14,025.00	$15,001 - $50,000
SP	US Treasury Note	PS	Purchased 3/01/00, Sold 6/09/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Congressman Harold Dallas Rogers

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Stock - Walt Disney Co (Holding Co) Disney Com	PS	Purchased 03/02/00 $2,927 14, Sold 10/20/00 $369 08, Sold 10/23/00 542 13	$1,001 - $15,000
SP	Mutual Fund - Davis Convertible Securities FD CL A	S	02/17/00	$50,001 - $100,000
SP	Certificate of Deposit - Poncebank Ponce PR	S	04/03/00	$15,001 - $50,000
SP	Corporate Coupons - McDonalds Corporation	S	10/23/00	$15,001 - $50,000
SP	Preferred Stock - LTC Properties Inc	S	12/27/00	$1,001 - $15,000
SP	Mutual Fund - FBP Contrarian Equity Fund	S	02/17/00	$100,001 - $250,000
SP	Mutual Fund - Federated Intl Growth	S	02/17/00	$15,001 - $50,000
SP	Mutual Fund - Hartford Dividend & Growth Fund Class A	S	02/17/00	$50,001 - $100,000
SP	Mutual Fund - Investment Company of America	S	02/17/00	$100,001 - $250,000
SP	Mutual Fund - Liberty High Yield Securities Fund (formerly Colonial High Yield Securities)	S	02/17/00	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name Congressman Harold Dallas Rogers

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Mutual Fund - Phoenix Engemann Capital Growth Series	S	02/17/00	$15,001 - $50,000
SP	Mutual Fund - PIMCO Low Duration Fund	S	02/17/00	$15,001 - $50,000
SP	Mutual Fund - Third Avenue Value Fund	S	02/17/00	$50,001 - $100,000
SP	Stock - Wells Fargo & Co	P	03/02/00	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Congressman Harold Dallas Rogers

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
United States Asia Foundation	Jan. 9-20, 2000	Lexington, Kentucky - Singapore - Beijing, China - Zhuhai, China, Guangzhou, China, Hong Kong - Palm Springs, California	Y	Y	Y	N/A
American Association of Railroads	Jan. 20 - 24, 2000	Palm Springs, CA - Washington, D C	Y	Y	Y	N/A
American Association of Airport Executives	Feb. 20-25, 2000	Palm Springs, CA - Kauai, Hawaii - Nashville, TN	Y	Y	Y	N/A
National Association of Broadcasters	April 7 - 10, 2000	Washington, D C - Las Vegas, Nevada - Washington, D C	Y	Y	Y	N/A
The WILD Foundation	July 1 - 10, 2000	Washington, D C - Tzaneen, South Africa - Johannesburg, South Africa - Windhoek, Namibia - Washington, D C	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name Congressman Harold Dallas Rogers

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director (unpaid)	Citizens Bancshares, Inc., Somerset, Kentucky

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 3:52

Michael John Rogers
(Full Name)

6899 Corrigan Dr Brighton Mi 48116
(Mailing Address)

202 225 9872
Daytime Telephone

MI (8)

HAND DELIVERED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State MI District	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael J Rogers	5/15/01

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Name Michael J Rogers | Page 7 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Michigan	Legislative Salary	70,520
	Yazaki North America, INC	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranum. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug. 13, 2000	$500
	NA			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Michael J Rogers

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B											Block C						Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples:	Mega Corp Stock				X								X										X								P
DC		Simon & Schuster		Indefinite																Royalties									X			
JT		1st Bank of Paducah, KY accounts					X									X																
		EBI Builders, Inc		X										X													X					
		BOZ Meadowlark Howell, Mi				X									X											X						
		Interactual Software Co. Ca		X																	X											
		Houston oil Co Owensboro, KY	X											X								X										
		Michigan National Bank Mi accts		X												X						X										
		Fidelity mutual fund		X										X									X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael J Rogers

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: m, s, p
	Fidelity mutual fund			X										X																		
	Property (Residential) Perry mi				X												X									X						S
SP	Interactual Software Co San Jose CA			X																	X											
DC	Interactual Software Co San Jose CA			X																	X											
DC	Interactual Software Co San Jose CA			X																	X											

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SCHEDULE IV — TRANSACTIONS

Name Michael J Rogers

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	Property Perry Mi		X		2/00			X							

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St, Dover, Del				X						
JT	Brighton Commerce Bank, Mi	line of credit		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee (non-Paid)	Cleary College

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 5:34

HAND DELIVERED

(Office Use Only)

DANA ROHRABACHER
Full Name

2338 RAYBURN
(Mailing Address)

(202) 225-2415
Daytime Telephone

WASHINGTON DC 20515

Filer Status [X] Member of the U.S. House of Representatives — State CA, District 45 — [ ] Officer or Employee — Employing Office

Report Type [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dana Rohrabacher	5/15/01

4265

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Committee to Re-Elect Dana Rohrabacher	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP / DC / JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction
SP	Mega Corp. Stock					X									X										X								P, S, E
DC	*Examples* Simon & Schuster			Indefinite																Royalties													
JT	1st Bank of Paducah KY accounts						X									X									X				X				P
	Morgan, Stanley, Dean Witter Money Mkt. Fd.			X										X										X									
	Morgan, Stanley, Dean Witter Mutual Fund					X								X											X								
	Allstate Corp IRA			X										X							X												
	Edison Int'l IRA			X										X									X										
	Giant Ind. IRA			X										X							X												
	Vodafone Air Touch IRA				X									X							X												

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name DANA ROHRABACHER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
	SALE OF PERSONAL RESIDENCE		XX		7/10/00					XXX					

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SCHEDULE V — LIABILITIES

Name DANA ROHRABACHER | Page 5 of 6

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				x						
	[illegible]	[illegible]		XX								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual; local meals; and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship; received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	ISLAMIC INSTITUTE	APR 13-17	Wash DC- London-Doha (Qatar)-London-Wash DC	N	N	YES	-------
	Government of Qatar	APR 13-17	Wash DC- London - Doha (Qatar)- London-Wash	Y	Y	YES	-------

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DANA ROHRABACHER
45th District, California

Committees
SCIENCE
Chairman, Subcommittee on Space and Aeronautics
Subcommittee on Energy
INTERNATIONAL RELATIONS
Vice Chairman
Subcommittee on East Asia and Pacific
Subcommittee on Middle East and South Asia

01 JUN 12 AM 11:35

INSIDE MAIL

Congress of the United States
House of Representatives

WASHINGTON OFFICE
2338 Rayburn House Office Building
Washington, DC 20515-0545
202-225-2415 FAX: (202) 225-0940

DISTRICT OFFICE
101 Main Street, Suite 1C
Huntington Beach, CA 92648-4119
(714) 960-6483 FAX: (714) 960-7806

dana@mail.house.gov
http://www.house.gov/rohrabacher

Office of the Clerk
Legislative Resource Center
B- 106 Cannon Office Building
Washington DC 20515-6612

July 11, 2001

1

MC

This represents an Amendment to the Financial Disclosure Statement for 2000

On my Financial Disclosure Statement for Calendar year 2000 Schedule III Assets and "Unearned" Income":

I listed for item Two ..... "Morgan, Stanley, Dean Witter Fund"

Corrected Listing should be —

Morgan, Stanley, Dean Witter Fund
Retirement Account
Account Number 240 050940

Filer: Morgan, Stanley, Dean Witter Inc.
5 World Trace Center
New York, NY 10048
Tax ID Number 94-1671384

Sincerely;

Dana Rohrabacher

Rep. Dana Rohrabacher
Member of Congress (45-CA)

DANA ROHRABACHER
45th District, California

Committees
SCIENCE
Chairman, Subcommittee on Space and Aeronautics
Subcommittee on Energy
INTERNATIONAL RELATIONS
Vice Chairman
Subcommittee on East Asia and Pacific
Subcommittee on Middle East and South Asia

Congress of the United States
House of Representatives

WASHINGTON OFFICE
2338 Rayburn House Office Building
Washington, DC 20515-0545
(202) 225-2415 FAX: (202) 225-0145

DISTRICT OFFICE
101 Main Street, Suite 3C
Huntington Beach, CA 92648-8118
(714) 960-6483 FAX: (714) 960-7806

dana@mail.house.gov
http://www.house.gov/rohrabacher/

Office of the Clerk
Legislative Resource Center
B- 106 Cannon Office Building
Washington DC 20515-6612

July 11, 2001

1

MC

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On my Financial Disclosure Statement for Calendar year 2000 Schedule III Assets and "Unearned" Income":

I listed for item Two ..... "Morgan, Stanley, Dean Witter Fund"

Corrected Listing should be ---

Morgan, Stanley, Dean Witter Fund
Retirement Account
Account Number 240 050940

Filer: Morgan, Stanley, Dean Witter Inc.
5 World Trace Center
New York, NY 10048
Tax ID Number 94-1671384

Sincerely;

Dana Rohrabacher

Rep. Dana Rohrabacher
Member of Congress (45-CA)

INSIDE MAIL
LEGISLATIVE RESOURCE CENTER
2001 JUN 13 AM 9:26
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER (4)
2001 MAY 15 PM 3:53
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

HC

Ileana Ros-Lehtinen
(Full Name)

2160 Rayburn Bldg. Washington D.C. 20515 (202) 225-3931
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State Florida, District 18	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ileana Ros-Lehtinen	5-14-01

4273

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Dexter Lehtinen, Attorney at Law - Various law firm clients	Spouse's law practice	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Cong. Ileana Ros-Lehtinen

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: Dividends	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST*	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Bank Atlantic-Ft Lauderdale FL		X													X						X										
SP	Community Bank of Homestead FL					X										X									X							
SP	Commercial Bank of FL Miami, FL					X										X									X							
SP	FL State Retirement Plan			X																N/A	X											
	FL State Retirement Plan			X																N/A	X											
SP	Mega Bank Stock, Miami FL		X											X							X											
	(2 IRAs) Community Bank Homestead, FL			X												X						X										
	Community Bank of Homestead			X												X						X										
DC	Commercial Bank of FL			X												X							X									
DC	Commercial Bank of FL			X												X							X									
DC	Alliance Premier Growth Fund				X												X								X							P
	LM Ericsson Telephone stock			X										X								X										P
	Rexall Therapeutic Inc stock		X														X				X											
	Nokia Corp. stock			X										X								X										P
	Sycamore Network Inc stock				X												X								X							P, S

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Cong. Ileana Ros-Lehtinen

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
DC	Alliance Premier Growth Fund	X			3-22-00		X								
	L.M. Ericsson Telephone Company Stock	X			5-5-00	X									
	Nexell Therapeutics Inc. stock	X			3-7-00	X									
	Nokia Corporation stock	X			3-7-00		X								
	Sycamore Networks, Inc. stock	X			3-16-00		X								
	Sycamore Networks, Inc. stock		X		3-24-00		X								

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2001 MAY 14 PM 1:32

MC

(Office Use Only)

Michael Avery Ross
Full Name

P.O. Box 374, Prescott, AR 71857 (202) 225-3772
Mailing Address — Daytime Telephone

Filer Status	✓ Member of the U.S. House of Representatives	State AR District 4	Officer or Employee	Employing Office
Report Type	✓ Annual (May 15)	Amendment	Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have earned income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ✓	No
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No ✓
III. Did you, your spouse, or a dependent child receive unearned income of more than $[illegible] in the reporting period, or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ✓	No
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset (a transaction exceeding $1,000) during the reporting period? **If yes, complete and attach Schedule IV.**	Yes	No ✓
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ✓	No
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No ✓
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes	No ✓
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ✓	No
IX. Did you have any reportable agreement or arrangement with an right(s) entity? **If yes, complete and attach Schedule IX.**	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS — Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust excluding your spouse or dependent child?	Yes	No ✓
EXEMPTION — Have you excluded from this report any other assets, earned income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael Avery Ross	5/9/01

4277

Name Michael Avery Ross | Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ross Pharmacy, Inc., Prescott, AR	Salary	$61,327.86
	State of AR, State Senate, Little Rock, AR	Salary	$10,128.36
	Ross Pharmacy, Inc, Prescott, AR	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Michael Avery Ross | Page ___ of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Michael Avery Ross

Page ____ of ____

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period; and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
SP	SP Mega Corp. Stock					X								X																		P
DC	Examples: Simon & Schuster				Indefinite															Royalties												
JT	1st Bank of Paducah, KY accounts						X									X																
JT	1430 W. 1st North Prescott, AR							X							X											X						
JT	114 E. Elm Prescott, AR				X										X										X							
JT	Rose Pharmacy, Inc. Pharmacy, Prescott, AR							X											Ownership	X												
JT	1992 Chevrolet Van			X											X									X								
JT	Bank of Prescott			X												X						X										
SP	Simple IRA Fidelity Growth & Income			X										X			X							X								

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Avery Ross

Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Simple IRA Fidelity McKesson HBOC Stock			X										X			X						X										
SP	Roth IRA Fidelity Growth Company			X										X			X							X									
	Roth IRA Fidelity Growth Company			X										X			X							X									
	Simple IRA Fidelity Diversified International			X										X			X						X										
	Simple IRA Fidelity Growth Company			X										X			X						X										
	Simple IRA Fidelity Growth and Income			X										X			X						X										
	Simple IRA Fidelity Value			X										X			X						X										
	Simple IRA Fidelity Puritan			X										X			X						X										
	Rollover IRA Fidelity Magellan					X								X			X								X								
	Rollover IRA Fidelity Growth and Income					X								X			X									X							
	Rollover IRA Fidelity McKesson HBOC Stock			X										X			X						X										
	Unique College Fidelity			X																college savings	X												
	Unique College Fidelity			X																college savings	X												
SP	CD Hancock Bank of LA Boston Mutual			X												X					X												
	Loan to Ross for Congress – Accrued unpaid interest	X																		no interest loan	X												
	New Ford Explorer – no longer leased to Ross Pharmacy Inc.	X													X						X												

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SCHEDULE IV — TRANSACTIONS

Name Michael Avery Ross | Page ___ of ___

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
SP	CD, Hancock Bank of LA-Baton Rouge	X			11-22-00	X									

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Name Michael Avery Ross | Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	Bank of Prescott, AR	1430 W. 1st North, Prescott, AR Mortgage on Comm Bldg.				X						
JT	Citibank, The Lakes, NV	Credit Card Expenses Associated w/more - paid off		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A			

Use additional sheets if more space is required.

Name Michael Avery Ross | Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
N/A							

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Name Michael Avery Ross	Page ___ of ___

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Ross Pharmacy, Inc., Prescott, AR 71857
	Note All other positions listed on previous report
	were resigned before taking office 1/3/01.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

Steven R. Rothman
(Full Name)

P.O. Box 650 Fair Lawn, NJ 07410
(Mailing Address)

202/225-5061
Daytime Telephone

(Office Use Only)

Filer Status: ✓ Member of the U.S. House of Representatives — State: NJ — District: 09

Officer Or Employee — Employing Office

Report Type: ✓ Annual (May 15) — Amendment — Termination

Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ✓ No
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ✓ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ✓ No
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes No ✓
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? — Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? — Yes No ✓
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ✓ No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification — Signature of Reporting Individual — Date (Month, Day, Year)

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Steven R. Rothman — May 15, 2001

SCHEDULE I - EARNED INCOME

Na Steven R. Rothman

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Twinks Company, LLC, Fair Lawn, NJ	Manager's Fee	$12,000
Philip and Muriel Rothman, Fort Lee, NJ	Manager's Fee	$8,000

SCHEDULE II - PAYMENTS IN LIEU OF HO

Na

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount

* * * N O N E * * *

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income).	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
I	American Century 20th Cent Ultra (Public Employee Benefits Co.)	15,001 - $50,000	DIVIDENDS	NONE	N/A
I	Dreyfus NJ Money Market Fund	$1 - $1,000	INTEREST	$1 - $200	N/A
I	Wanaque Valley NJ Reg Sewer Auth	$15,001 - $50,000	INTEREST	$15,001 - $50,000	S
I	Bankers Trust St. P. Mkt L	$1,001 - $15,000	INTEREST	$5,001 - $15,000	S
IRA	Munder Net Net FD CLC	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
I	Select Ten 1999	$1,001 - $15,000	DIVIDENDS	$2,501 - $5,000	S

SCHEDULE III - ASSETS AND "U

Na Steven R Rothman

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
IRA Mercury US Large Cap	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
IRA Franklin Mutual Shares	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
I Putnam Voyager Fund	$15,001 - $50,000	INTEREST	$15,001 - $50,000	S
I Turbochef	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
I State of Israel	$1 - $1,000	INTEREST	$201 - $1,000	S
I State of Israel	$1 - $1,000	INTEREST	$1 - $200	N/A

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SCHEDULE III - ASSETS AND "U

Na Steven R Rothman

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BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
I	State of Israel	$1,001 - $15,000	INTEREST	$1 - $200	N/A
I	State of Israel	$1,001 - $15,000	INTEREST	$1 - $200	N/A
IRA	Franklin Mutual Shares	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
IRA	Merrill Lynch Basic Value Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
IRA	Merrill Lynch Fundamental Growth	$1,001 - $15,000	DIVIDENDS	NONE	N/A
I	250 North Street, Englewood, NJ (33% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A

SCHEDULE III - ASSETS AND "U

Na Steven R Rothman

BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
I	4 E. Forest Ave., Englewood, NJ (33% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	175 Louis Street, South Hackensack, NJ (partial owner)	$15,001 - $50,000	RENT	$201 - $1,000	Gift of Ownership INTEREST
I	30 Ruta Court, South Hackensack (33% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	Gift of ownership interest
I	538 - 540 Huyler Street, South Hackensack, NJ (16-2/3% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
I	550 Huyler Street, South Hackensack, NJ (16-2/3% owner)	$250,001 - $500,000	RENT	$15,001 - $50,000	N/A

SCHEDULE III - ASSETS AND "U

Na Steven R Rothman

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BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
I 125 Louis Street, South Hackensack, NJ (5.7% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I 425 Victoria Terrace, Ridgefield, NJ (16-2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I 150 Louis Street, S. Hackensack, NJ (16-2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
Partner Dreyfus, NJ Money Mkt fund (1/3 owner)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
Partner Fidelity Mun Money Mkt Fund (1/3 owner)	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	N/A
Partner Citibank Savings Account (1/3 owner)	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "U

Na Steven R Rothman

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Partner	Roan Mun. Money Mkt. Fd. (5.7% owner)	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	N/A
Partner	17793 Southwick Way, Boca Raton, FL (1/3 owner)	$100,001 - $250,000	N/A	NONE	N/A
I	Jersey City, NJ Ser	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I	Mercury US Small Cap	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I	New Providence, NJ G.O.	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I	AIM Balanced Fd Cl C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A

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SCHEDULE III - ASSETS AND "U

Na Steven R. Rothman

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I Munder Net Net Fd Cl C	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
I Mercury US Large Cap Class C	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
I Rutgers St Univ., NJ Revs	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
I New Jersey St. Ed/FACS Auth Rev	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I Puerto Rico Elec Power Auth	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I Somerset Cnty NJ Gen Obliga	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "U

Na Steven R. Rothman

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I	Puerto Rico Gen Obliga. Public Imp	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	Woodbridge Twp NJ Gen Obliga	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I	New Jersey St. Tranp. Tr. Fd. Auth	$15,001 - $50,000	INTEREST	$15,001 - $50,000	S
I	New York State Dorm Auth Revs	$15,001 - $50,000	INTEREST	$201 - $1,000	S
I	New Jersey State Higher Ed Asst. Auth	$50,001 - $100,000	INTEREST	$2,501 - $5,000	S
I	U.S. Treasury Note	$1,001 - $15,000	INTEREST	$5,001 - $15,000	S

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SCHEDULE III - ASSETS AND "U

Na Steven R Rothman

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I Kimco Realty Corp Md Comm	$1,001 - $15,000	DIVIDENDS	$5,001 - $15,000	S
I NAB Exchangable Trust	$1,001 - $15,000	DIVIDENDS	$2,501 - $5,000	S
* DC John ML Fundamental Growth C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I ML Fundamental Growth C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I AIM Balanced Fund Cl C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I Partner - Summit Checking a/c (1/3 owner)	$1,001 - $15,000	N/A	NONE	N/A

* FILER IS TRUSTEE OF HIS CHILD'S TRUST

SCHEDULE III - ASSETS AND "U

Na Steven R. Rothman

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DC Karen M.L. Capital Fund	$15,001 - $50,000	N/A	$5,001 - $15,000	S
DC Karen M.L. Global Fd A.B.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
DC Karen M.L. CMA Fund	$1 - $1,000	INTEREST	$1 - $200	N/A
DC Karen NM Ford Motor Credit	$15,001 - $50,000	INTEREST	$5,001 - $15,000	S
DC Karen 125 Louis Street, S. Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC Karen 45 Ruta Court, S. Hackensack, NJ (6% owner)	$15,001 - $50,000	RENT	$2,501 - $5,000	N/A

SCHEDULE III - ASSETS AND "U

Na Steven R Rothman

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief descr ,tion in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
DC Karen M Lynch Basic Value Fd	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
DC Karen Year Ahead USA Port Rol	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
DC Karen AIM Blue Chp Fd Cl C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
DC John 125 Louis St., S Hackensack, NJ (5 5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC Karen Mercury US Large Cap	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
DC Karen ML Fundamental Growth C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "U

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DC John 45 Ruta Court, S. Hackensack, NJ (6% owner)	$1,001 - $15,000	RENT	$2,501 - $5,000	N/A
DC John M Lynch Basic Value Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
DC John ML CMA Fund	$1 - $1,000	INTEREST	$1 - $200	N/A
DC John M. Lynch Capital Fund	$15,001 - $50,000	DIVIDENDS	$15,001 - $50,000	S
DC John Bear Stearns Company Note	$1,001 - $15,000	INTEREST	$5,001 - $15,000	S
DC John AIM Blue Chip Fund Cl.C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A

4300

SCHEDULE III - ASSETS AND "U

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DC Karen Steven R. Rothman Irrev. Life Ins Trust	$1,001 - $15,000	N/A	NONE	P
DC John Steven R Rothman Irrev Life Ins Trust	$1,001 - $15,000	N/A	None	P
DC John Year Ahead USA Port Rol	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
DC John Mercury US Large Cap	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I New Jersey State HSG & MTG FIN	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I Aetna Service Fund	$1,001 - $15,000	DIVIDENDS	$5,001 - $15,000	S

SCHEDULE III - ASSETS AND "U

Na Steven R. Rothman

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I	New Jersey Health Care Facilities	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	Munder Funds Inc. Net Net	$15,001 - $50,000	DIVIDENDS	$15,001 - $50,000	S
I	New Jersey Health Care Facility	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I	New Jersey Eco Dev Auth	$15,001 - $50,000	INTEREST	$15,001 - $50,000	S
I	Year End USA Port.	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
I	Univ. Medicine & Dentistry	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "U

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BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
I Puerto Rico Hwy Trns Auth	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
I Puerto Rico El Rur Pref	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
I Guam Govt Ser A B/E	$15,001 - $50,000	INTEREST	$201 - $1,000	P
I Atlantic City NJ Brd Ed	$1,001 - $15,000	INTEREST	$201 - $1,000	P
I NJ St Ser D B/E	$1,001 - $15,000	INTEREST	$201 - $1,000	P
I New Jersey Tran Corp COP	$1,001 - $15,000	INTEREST	$201 - $1,000	P

SCHEDULE III - ASSETS AND "U

Na Steven R. Rothman

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
I PR HSG Bk/Fin. Agy. SF	$1,001 - $15,000	INTEREST	$201 - $1,000	P
I NJ Transit Corp Cap Gains	$15,001 - $50,000	INTEREST	$201 - $1,000	P
I NJ HSG Mtg. Fin. Agy. HME	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
I New Jersey St. Gen Obl. Ser. D	$15,001 - $50,000	INTEREST	$201 - $1,000	P
I Mercury Int'l Fd Cl. C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A

SCHEDULE IV - TRANSACTIONS

Na Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
I	Munder Future Technology	P	3/17/00	$15,001 - $50,000
I	Merrill Lynch Focus Twenty	P	2/29/00	$1,001 - $15,000
I	Mercury U S Small CAP	P	2/09/00	$1,001 - $15,000
I	Mercury Intl Fd Cl C	P	2/09/00	$1,001 - $15,000
DC Karen	Merrill Lynch Focus Twenty	P	2/29/00	$1,001 - $15,000
DC Karen	Heller Financial Inc	P	9/14/00	$15,001 - $50,000
DC Karen	Bear Stearns Inc	P	8/28/00	$1,001 - $15,000
DC Karen	Salomon Smith Barney HL	P	8/28/00	$1,001 - $15,000
DC Karen	Merrill Lynch Fundamental Growth C	P	3/13/00	$1,001 - $15,000
DC Karen	Mercury US Large Cap	P	2/18/00	$1,001 - $15,000
DC John	Merrill Lynch Fundamental Growth C	P	3/13/00	$15,001 - $50,000
DC John	Merrill Lynch Focus Twenty	P	2/29/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Na Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC John	Mercury US Large Cap	P	2/10/00	$1,001 - $15,000
DC John	Bear Stearns Inc.	P	8/28/00	$1,001 - $15,000
DC John	Salomon Smith Barney HL	P	8/28/00	$1,001 - $15,000
I	New Jersey St Higher Ed Assist Auth	S	3/27/00	$1,001 - $15,000
DC John	M Lynch Capital Fund	S	3/13/00	$15,001 - $50,000
DC John	Bear Stearns Company Note	S	8/15/00	$1,001 - $15,000
DC Karen	M. L. Capital Fund	S	3/13/00	$1,001 - $15,000
DC Karen	N.M. Ford Motor Credit	S	9/12/00	$15,001 - $50,000
I	Wanaque Valley NJ Reg Sewer	S	7/10/00	$15,001 - $50,000
I	Bankers Trust St P Mkt. L	S	6/4/99	$1,001 - $15,000
I	Select Ten 1999	S	9/18/00	$1,001 - $15,000
I	Putnam Voyager Fund	S	5/9/00	$15,001 - $50,000

4306

SCHEDULE IV - TRANSACTIONS

Na Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
I	State of Israel	S	10/2/00	$1,001 - $15,000
I	NAB Exchangable Trust	S	3/13/00	$1,001 - $15,000
I	New Jersey St Transp Tr Fd Auth	S	12/15/00	$15,001 - $50,000
I	Kimco Realty Corp MD Comm	S	3/13/00	$1,001 - $15,000
I	US Treasury Note	S	3/13/00	$1,001 - $15,000
I	Aetna Service Fund	S	3/13/00	$1,001 - $15,000
I	Munder Funds Inc Net Net	S	5/9/00	$15,001 - $50,000
I	New Jersey Eco Dev Auth	S	5/1/00	$15,001 - $50,000
I	Guam Govt Ser A B/E	P	6/6/00	$15,001 - $50,000
I	Atlantic City NJ Bd Ed	P	6/1/00	$1,001 - $15,000
I	NJ St Ser D B/E	P	5/16/00	$1,001 - $15,000
I	New Jersey Tran Corp COP	P	2/14/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Na Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
I	PR HSG Bk/Fin. Agy. SF	P	6/1/00	$1,001 - $15,000
I	NJ Transit Corp. Cap. Gains	P	12/15/00	$15,001 - $50,000
I	NJ HSG Mtg. Fin Agy. HME	P	5/1/00	$15,001 - $50,000
I	New Jersey St. Gen Obl. Ser. D	P	5/16/00	$15,001 - $50,000
I	NEW YORK STATE DORM AUTH REVS	S	7/1/98	$15,001 - $50,000

4308

SCHEDULE V - LIABILITIES

Na

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability

*** N O N E ***

SCHEDULE VI - GIFTS

Na

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value

*** NONE ***

SCHEDULE VII TRAVEL PAYMENTS

Na

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
			Y	Y	Y	

*** NONE ***

SCHEDULE VIII - POSITIONS

Na Steven R. Rothman

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	John Abraham Rothman 1989 Trust
Trustee	Karen Bena Rothman 1991 Trust
Partner	Twinks Company, L.L.C.
Partner	Roan Partnership, L.P.
Twinks Company, L.L.C. is a tenant in common in these two organizations	Folman Company; Rofol Company
Partner	Sustar, L.L.C.

SCHEDULE IX - AGREEMENTS

Na

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

* * * N O N E * * *

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
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2001 MAY 14 AM 10: 11
(Office Use Only)

Margaret Roukema
(Full Name)

249 Greenway Road
(Mailing Address)

Ridgewood, NJ 07450

Daytime Telephone: 202-225-4465

Filer Status	[X] Member of the U.S. House of Representatives — State: NJ, District: 5	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Marge Roukema, M.C.	May 10, 2001

4314

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Christian Healthcare Center	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Margaret Roukema | Page 3 of 7

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp Stock				X								X										X										P
			Simon & Schuster		Indefinite																Royalties									X					
			1st Bank of Paducah, KY accounts					X									X									X									
SP	Haworth Press				INDEFINITE															ROYALTIES		X													
	Ridgewood Savings & Loan				X												X						X												
	Atlantic Stewardship Bank				X												X							X											
	Congressional Federal Credit Union			X													X						X												
	Qwest Communications					X									X							X													P
	Merck & Co						X								X									X											
	Bristol Myers Squib						X								X									X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Margaret Roukema

Page 4 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Intel Corp					X								X									X									
	Proctor & Gamble				X									X									X									
	Catepillar, Inc				X									X									X									
	Motorola, Inc			X										X								X										
	Cisco				X									X							X											
	Donaldson Luftkin Jenrette				X									X									X									
	Bank of NY IRA	X														X						X										E
SP	Bank of NY IRA	X														X						X										E
	PSE&G		X											X								X										
SP	TIAA/CREF														ANNUITIZED PENSION											X						
SP	IRA Rollover Prudential Securities:																															
SP	AIM-Weingarten Fund				X									X			X								X							
SP	American Express				X									X									X									
SP	Becton Dickinson				X									X									X									
SP	Coca Cola				X									X									X									
SP	Prudential Value Fund					X								X			X								X							Name Change
SP	Prudential Moneymart Assets				X									X										X								
SP	PSE&G				X									X										X								
SP	Zweig Apprec Fund A	X																		NONE	X											S
SP	Gillette Company				X									X									X									
SP	TSL Holdings	X																		NONE	X											
SP	Putnam New Opportunities					X								X			X								X							

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Margaret Roukema

Page 5 of 7

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Prudential Utility Fund				X									X									X									
	Thrift Savings Plan					X										X									X							
	Prudential IRA Rollover			X										X									X									E
SP	Berkshire Hathaway CLB				X									X							X			*								P
SP	Christian Healthcare Center-ERISA Plan																															
	Fix-Tic Fixed Rate A/C			X												X							X									P
	Vanguard MMA		X											X									X									P

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name Margaret Roukema

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
	QWEST Communications	X			04/13/00		X								
	Bank of NY IRA-Rollover to Prudential			X	10/18/00	X									
SP	Bank of NY IRA-Rollover to Prudential			X	11/28/00					X					
SP	Berkshire Hathaway CLB	X			04/13/00		X								
SP	Zweig Appreciation Fund A		X		04/13/00		X								
SP	Fix-Tic Rate A/C	X			various	X									
SP	Vanguard MMA	X			various	X									

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Bread for the World

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 6:44

Lucille Roybal-Allard
(Full Name)

2435 Rayburn House Office Building Washington, DC 20515 (202)225-1766
(Mailing Address) Daytime Telephone

HAND DELIVERED
(Office use only)

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 33	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	(XX) Lucille Roybal-Allard	May 15, 2001

4321

Name Lucille Roybal-Allard	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Department of Energy	Spouse's Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug. 13, 2000	$500
	N / A			

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Name Lucille Roybal-Allard | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Examples: SP	Mega Corp. Stock					X								X										X								P
	Simon & Schuster	Indefinite																		Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
JT	Congressional Federal Credit Union (Checking)					X										X							X									
SP	Bank of America (SEP)				X											N/A					NA											
SP	Navy Federal Credit Union (SEP)					X										N/A					NA											
SP	Navy Federal Credit Union (Savings)			X												X							X									
SP	Wells Fargo Banking (Checking)				X											X						X										
SP	United Way Credit Union		X													X						X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

Name Lucille Roybal-Allard

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	United way of America Retirement Fund (Alex, VA)	J	N / A	NA	
SP	United way of Los Angeles Retirement Fund	C	N / A	NA	
SP	Wells Fargo Checking Acct	D	X	D	
SP	Congressional Federal Credit Union Money Market Fund	J	Money Market Fund	J	

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SCHEDULE IV — TRANSACTIONS

Name Lucille Roybal-Allard

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10-12-00		X								
	N A															

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on Old Mansion (Lower Del)				X						
SP	MBNA America, P.O. Box 15026 Wilmington, Delaware 19850-5026	Credit Card Consolidation		X								
	First USA Bank	Credit Card Consolidation		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual; food, meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
CHCI Trip to Puerto Rico	Jan. 19-23	Washington, DC - San Juan, Puerto Rico - Washington,DC	Y	Y	Y	N
CHCI Trip to New York City	May 19-22	Washington, DC - New York City - Washington, DC	Y	Y	Y	N
National Hispanic University San Jose, California	June 02-03	Los Angeles, California - San Jose, CA:- LA, Calif	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
	Please See Attached.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

Congresswoman Roybal-Allard
BOARDS AND ADVISORY COUNCILS

-Angelus Plaza Activity Center Advisory Council

-Art Share Los Angeles Advisory Board

-Arthritis Foundation Southern California Chapter's Spanish Language Arthritis Empowerment Program Advisory Committee

-Azusa Street Memorial Committee Advisory Board

-Belmont Community Adult School Advisory Council

-California State University, Los Angeles
Edward R. Roybal Center for Applied Gerontology Advisory Board

-Centro Cultural del Sureste "Ateneo Xochipilli"

- Member, Congressional Hispanic Caucus Institute Board

-Downtown Arts Development Association Advisory Board

-HIV/AIDS Community Advisory Council

-Human Services Association Board of Directors

-Huntington Park-Bell-Gage Community Adult School Advisory Council

-Korean American Coalition Honorary Board Member

-Las Madrinas of Ramona High School

-L.A. County /USC Medical Center Advisory Council

-NALEO Educational Fund Board Member

-NALEO Inc.

-Pacific Asian Consortium for Employment (PACE) Youth Build Advisory Committee

-Para Los Niños Advisory Board

-Rio Hondo Boy & Girls Club Advisory Council

-Roosevelt Community Adult School Advisory Council

-Salesian Family Youth Center

-USC Military Advisory Council/Department of Military Science

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(6)

EDWARD RANDALL ROYCE
(Full Name)

2202 Rayburn House Office Building
(Mailing Address)

Washington, D. C. 20515

Daytime Telephone: 202.225.4111

MC

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 39	[ ] Officer or Employee	Employing Office	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward R Royce	May 11, 2001

4332

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Marriott International, Inc.	Spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: EDWARD RANDALL ROYCE

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse or by your or your spouse's child, parent or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A: Asset and/or Income Source	Block B: Value of Asset												Block C: Type of Income						Block D: Amount of Income											Block E: Transaction
	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E, or EX
SP, DC, JT — *Examples* — SP: Mega Corp Stock					X								X										X								P
Examples — Simon & Schuster			Indefinite																Royalties									X			
Examples — JT: 1st Bank of Paducah, KY accounts						X									X									X							
SP Procter & Gamble, Spouse IRA			X										X									X									S
SP Duke Energy Corp. "			X										X									X									S
SP Coca Cola Company "	X												X									X									S
SP America Online "			X										X							X											
SP Cisco "				X									X							X											P
SP Exodus "	X												X			X				X			X								S

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name EDWARD RANDALL ROYAL

Page 4 of 6

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1–$1,000	C $1,001–$15,000	D $15,001–$50,000	E $50,001–$100,000	F $100,001–$250,000	G $250,001–$500,000	H $500,001–$1,000,000	I $1,000,001–$5,000,000	J $5,000,001–$25,000,000	K $25,000,001–$50,000,000	L Over $50,000,000	BLOCK C Type of Income: Dividends	RENT	INTEREST	CAPITAL GAINS	Exclusion Amount	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1–$200	III $201–$1,000	IV $1,001–$2,500	V $2,501–$5,000	VI $5,001–$15,000	VII $15,001–$50,000	VIII $50,001–$100,000	IX $100,001–$1,000,000	X $1,000,001–$5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Intel (Spouse IRA)			X										X							X											P
SP	Knight/Trimark "			X										X							X											
SP	Lucent Technologies "			X										X							X											P
SP	EMC Corp. "			X										X							X											P
SP	Cox Technologies "		X											X							X											P
SP	Citrix Systems "			X										X							X											P
SP	Avaya "		X											X							X											P
SP	Cash in Spouse's IRA		X																		X											
SP	Vitamin Shoppe "			X										X							X											P
SP	Apple "			X										X			X				X				X							S
SP	Merck "			X										X			X					X										S
SP	Apple "			X										X							X											P
SP	Xoma "			X										X								X										P
SP	Xoma "			X										X								X										S
SP	Box Trust			X										X								X										S

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SCHEDULE IV — TRANSACTIONS

Name EDWARD RANDALL ROYCE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
SP	Procter & Gamble, Spouse IRA		X		04.04.00	X									
SP	Duke Energy Corp., "		X		03.27.00	X									
SP	Coca Cola Company "		X		03.27.00	X									
SP	Exodus "		X		09.05.00	X									
SP	Apple "		X		01.13.00 04.04.00	X									
SP	Merck "		X		01.13.00 03.27.00	X									
SP	Xoma "	X			02.22.00	X									
SP	Xoma "		X		03.28.00	X									
SP	Dow Trust "		X		03.28.00	X									
SP	Cisco "	X			03.27.00	X									
SP	Intel "	X			01.13.00 09.28.00	X									
SP	Lucent Technologies "	X			09.28.00	X									
SP	EMC Corporation "	X			07.24.00	X									
SP	Cox Technologies "	X			08.07.00	X									
SP	Citris Systems "	X			09.05.00	X									
SP	VitaminShoppe.com "	X			01.03.00	X									

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SCHEDULE IV — TRANSACTIONS

Name EDWARD RANDALL ROYCE

Page 0 of 0

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO.DAY.YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		x								
SP	Avaya Spouse IRA	x			09.29.00	X									
SP	Apple "	X			09.29.00	X									

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11 LONGWORTH HOUSE OFFICE BUILDING
WASHINGTON DC [illegible]
(202) [illegible]
FAX (202) [illegible]

[illegible] NORTH HOOKER BOULEVARD
SUITE [illegible]
HEMBEACH CALIFORNIA [illegible]
(714) [illegible]
(562) [illegible]
FAX (714) [illegible]

UNITED STATES
HOUSE OF REPRESENTATIVES

INTERNATIONAL RELATIONS
[illegible]
[illegible]
[illegible]

BANKING AND FINANCIAL SERVICES
[illegible]
[illegible]
[illegible]
[illegible]

EDWARD R. ROYCE
Thirty-ninth District-California

INSIDE MAIL
LEGISLATIVE RESOURCE CENTER
2001 MAY 25 AM 9:30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

May 22, 2001

Mr. Jeff Trandahl
Clerk of the House
U. S. Congress
H 154, The Capitol
Washington, D. C.

Dear Mr. Trandahl

This letter serves as an amendment to my Financial Disclosure Statement for the Calender Year 2000 filed on May 15, 2001

I inadvertantly failed to report Section VII relating to Travel Payments and Reimbursements. Therefore, the following is the information that needs to be included in my Statement:

National Insurance Leadership Symposium. March 4-5, 2000, San Francisco, CA
Lodging was provided. No family member attended. Two days at sponsor's expense.

Thank you for your attention to this matter.

Sincerely,

EDWARD R. ROYCE

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2001 JUN 14 PM 3:32
OFFICE OF THE CLERK
(Office Use Only)

Bobby Lee Rush
(Full Name)
3534 So. Calumet Ave
(Mailing Address)
Chicago, Ill 60653
202-225-4372
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives — State: District:	[ ] Officer or Employee — Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g. salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS— Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION— Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bobby L Rush	6/13/01

4339

Name Bobby Lee Rush | Page 1 of 3

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Citizens For Rush	Spouse Salary	N/A
2ND Baptist Church	Religious Service	$1,000.00

For payments to charity in lieu of honoraria, use Schedule II.

Name Bobby L. Rush | Page 8 of 2

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Bd of Directors	Ada S. McKinley Community Services
Member, Bd of Directors	Rebirth of Englewood Local Development Corp.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 - 0-417(y-mac)

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE REFERENCE CENTER
01 MAY 21 AM 9:07
CLERK OF THE HOUSE OF REPRESENTATIVES

(12)

MAY 15 2001
(Office Use Only)

PAUL D. RYAN
Full Name

P.O. BOX 1194
Mailing Address

(202)225-3031
Daytime Telephone

JANESVILLE WI 53547-1194

Filer Status	[X] Member of the U.S. House of Representatives	State WISCONSIN District FIRST	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual: May 15	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have earned income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I	[X]	[ ]
II. Did an individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	[X]	[ ]
III. Did you, your spouse, or a dependent child receive unearned income of more than $200 during the reporting period or hold any reportable assets with a value of $1,000 at the end of the period? If yes, complete and attach Schedule III.	[X]	[ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset with a transaction value exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV	[X]	[ ]
V. Did you, your spouse, or a dependent child have any reportable liabilities (more than $10,000) during the reporting period? If yes, complete and attach Schedule V	[X]	[ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	[ ]	[X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	[ ]	[X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII	[ ]	[X]
IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	[ ]	[X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	[ ]	[X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	[ ]	[X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Paul D. Ryan	05/14/01

4342

Name	PAUL D RYAN	Page 2 of 12

SCHEDULE I — EARNED INCOME

[illegible] and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. [illegible] of the source and the amount of any honoraria. List only the source for other spouse earned income exceeding $[illegible]

Source	Type	Amount
[illegible]	Approved Teaching Fee Legislative Pension Spouse Speech Spouse Salary	$6,000 $9,000 $1,000 NA
[illegible]	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name	PAUL D. RYAN	Page 3 of 12

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
PREGNANCY HELPLINE	SPEECH	MAY 23, 2000	$ 1,300
THE SPANISH CENTER	SPEECH	MAY 23, 2000	200

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Name PAUL D. RYAN

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset at close of reporting year	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify, in each asset held for investment or production of income with fair market value exceeding $1,000 at the end of the reporting period, and in any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental properties and trusts, provide an address. Provide full names of any mutual funds. For a self-directed IRA or other plan where you have the power to select the specific investments, provide information on each asset in the account that exceeds the reporting threshold and the income earned in the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded in Block A state the nature of the business and its geographic location. For additional information, see the instruction book for the reporting year.	If you use a valuation method other than fair market value, please specify the method used. If an asset was sold, and is included only because it generated income, the value should be "None."		For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
Exclude: Your personal residence, unless there is rental income; personal vehicles, unless held for rental income; household goods and personal effects; tangible personal property valued at less than $5,000; assets in a personal checking or savings account; and U.S. Government retirement programs.	A: None; B: $1 – $1,000; C: $1,001 – $15,000; D: $15,001 – $50,000; E: $50,001 – $100,000; F: $100,001 – $250,000; G: $250,001 – $500,000; H: $500,001 – $1,000,000; I: $1,000,001 – $5,000,000; J: $5,000,001 – $25,000,000; K: $25,000,001 – $50,000,000; L: Over $50,000,000	Dividends; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I: None; II: $1 – $200; III: $201 – $1,000; IV: $1,001 – $2,500; V: $2,501 – $5,000; VI: $5,001 – $15,000; VII: $15,001 – $50,000; VIII: $50,001 – $100,000; IX: $100,001 – $1,000,000; X: $1,000,001 – $5,000,000; XI: Over $5,000,000	P, S, E
SP [illegible]				P
DC [illegible]	Private			
JT [illegible]	X			
→ RENTAL UNITS	X	X	X	
RYAN LTD PTSHP INV MADISON WI	X	X X	LTD PTSHP INTEREST	
JANUS WORLDWIDE FUND	X	X	X	P
GENERAL ELECTRIC STOCK	X	X	X	P
AMERICAN ONLINE STOCK	X	X	X	P

For additional assets and unearned income, use next page

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PAUL D. RYAN

Page 5 of 12

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	EARNED LOANS	EXCEPTED TRUST	QUALIFIED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	ONE-FIFTH INTEREST IN ASSETS OWNED BY RYAN-HUTTER INVESTMENT PTSHP:																															
	CHECKING CUNA C.U.		X													X																
	H&R BLOCK FIN ADVISOR MMF		X													X																
	AOL STOCK			X																	X											
	AT&T CORP STOCK		X											X								X										
	BANK OF N.Y. STOCK				X									X										X								
	BANK AMERICA STOCK			X										X										X								
	BRISTOL MYERS SQUIBB STK				X									X										X								
	CITRIX SYSTEMS STOCK	X																		SOLD AT A LOSS	X											S
	ERICKSON L.M. STOCK			X										X								X										
	FED NAT MTGE STOCK				X									X										X								
	HOME DEPOT STOCK							X						X										X								
	IBM STOCK			X										X								X										
	PROCTER & GAMBLE STOCK			X										X								X										
	SUN MICROSYSTEMS STOCK			X																	X											
	WELLS FARGO STOCK				X									X										X								

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PAUL D. RYAN

Page 6 of 12

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	BLOCK C Type of Income: I DIVIDENDS	II RENT	III INTEREST	IV CAPITAL GAINS	V QUALIFIED TRUST	VI Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P. S. E.
SP	AMERICAN GENERAL STOCK												X								X									
SP	AT&T CORP STOCK			X									X																	
SP	BANK ONE CORP STCCK		X		X								X																	
SP	BAXTER INTERNATIONAL STK				X								X								X									
SP	EDWARDS LIFE SCIENCES STK		X																X											
	VAN KAMPEN AM CAPITAL EQ																													
SP	UNIF TRUST				X														X											
SP	INTEL CORP STOCK			X									X								X									
SP	MELLON FINANCIAL STOCK				X								X									X								
SP	TENET HEALTHCARE STOCK			X															X											
SP	TXU CORP STOCK			X															X											
SP	VERIZON COMM STOCK			X									X								X									
SP	7.69% INT IN AVA O LIMITED																													
	CO PRTNRSHP MADILL OK														X			LTD PTSHP INTEREST												
SP	.808437 INT IN LAND OIL																													
	CO LTD PARTNERSHIP												X	X	X	X		LTD PTSHP INTEREST					X							

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CHEDULE III — ASSETS AND "UNEARNED" INCOME

ontinuation Sheet (if needed)

Name PAUL D. RYAN

Page 7 of 12

P, IC, T	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED DIV TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, P
SP	JANNA C LITTLE IRREVOCABLE TRUST:																															
SP	CASH			X												X																
SP	MUNI BOND POOL			X												X																
SP	FIDELITY CONTROFUND				X									X																		
SP	JANUS WORLDWIDE FUND				X									X																		
SP	PHHG GROWTH FUND					X								X																		
SP	T ROWE PRICE EQ INC				X									X																		
SP	T ROWE PRICE NEW HORIZON				X									X																		
SP	VARIOUS MINERALS			X	X																X											
SP	2/27TH RED RIVER PINE LLC				X																											
P	Pricewaterhouse Coopers 401(K)																										X					

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SCHEDULE IV — TRANSACTIONS

Name PAUL D. RYAN

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP SO	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock				10-12-00		x								
	ONE-FIFTH INTEREST IN ASSETS SOLD BY RYAN-HUTTER INVESTMENT PARTNERSHIP:														
	[illegible] SH BANK AMERICA CORP		X		08-07-00	X									
	[illegible] SH CITRIX SYSTEMS INC		X		08-07-00	X									
	[illegible] SH BRISTOL MYERS SQUIBB		X		08-07-00	X									
	25 CALLS HOME DEPOT		X		06-10-00	X									
	25 CALLS HOME DEPOT		X		01-06-00	X									
	20 CALLS HOME DEPOT		X		05-05-00	X									

This page may be copied if more space is required.

SCHEDULE IV — TRANSACTIONS

Name: PAUL D. RYAN

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO-DAY-YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock				10-12-00		X								
	50 SH AMERICA ON LINE	X			01-03-00										
	20 SH GENERAL ELECTRIC	X			02-14-00										
	32 SH JANUS WORLDWIDE FUND	X			01-03-00										

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities owed by you, your spouse, or your dependent child *at any time* during the reporting period (e.g., your spouse, or dependent child). Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence in which you reside; loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the close of the preceding calendar year exceeded $10,000.

SP DC JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example: Anytown National Bank, Anytown		Mortgage on 123 Main St., Anytown			X							
	ANCHORBANK F.S.B.	MORTGAGE ON 2ND GREEN VALLEY DR. JANESVILLE WI				X						
	RYAN HOMES INVESTMENT PARTNERSHIP	LOAN, UNSECURED		X								

SCHEDULE VI — GIFTS

Report the source and a brief description of each gift of all gifts totaling more than $250 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts reported in Schedule I (Transactions); and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $250 or less need not be included towards the $250 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts from sources as defined and prescribed in the rule.

Source	Description	Value
Example: Mr. Jones, Smith Associates, Anytown	Gift of Office Furniture, e.g., desk, chair, bookcase, credenza, etc. (particularize)	$250

See additional page(s) if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	N/A						

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
LIMITED PARTNER	RYAN LTD PARTNERSHIP
PARTNER	RYAN-HUTTER INVESTMENT PARTNERSHIP

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

4353

PAUL RYAN
1ST DISTRICT, WISCONSIN

WASHINGTON OFFICE
1217 LONGWORTH BUILDING
WASHINGTON, DC 20515-4901
(202) 225-3031
FAX (202) 225-3393

TOLL-FREE 1-888-909-RYAN
INTERNET WWW.HOUSE.GOV/RYAN

Congress of the United States
House of Representatives
Washington, DC 20515-4901

COMMITTEE ON
WAYS AND MEANS

SUBCOMMITTEE ON
SOCIAL SECURITY

SUBCOMMITTEE ON
SELECT REVENUE MEASURES

1

June 6, 2001

LEGISLATIVE RESOURCE CENTER
2001 JUN -8 AM 9:59
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
INSIDE MAIL

HC

Mr. Jeff Trandahl
Clerk of the House
H154 Capitol
Washington, DC

Dear Jeff:

I am writing to inform you of amendments to my 2000 Financial Disclosure Statement. Your assistance in processing the following amendments is greatly appreciated.

First, in reference to the Ryan limited partnership of which I am a member, the "E" box in block "B" (50,001-100,000) on page 4 of my 2000 Financial Disclosure Statement should be checked.

Second, a number of additional items appear on my 2000 Financial Disclosure Statement, as compared to my 1999 Financial Disclosure Statement. The reason for these additions is because I was married in December of last year.

I hope this information is useful to you in processing my 2000 Financial Disclosure Statement. If you have any questions regarding this correspondence, please feel free to contact me.

Sincerely,

Paul Ryan

Paul Ryan
Member of Congress

cc: Robert L. Walker, Staff Director/Chief Counsel, Committee on Standards of Official Conduct

JANESVILLE
CONSTITUENT SERVICES CENTER
20 S. MAIN STREET, SUITE 10
JANESVILLE, WI 53545
(608) 752-4050 FAX (608) 752-4711

KENOSHA
CONSTITUENT SERVICES CENTER
5712 7TH AVENUE
KENOSHA, WI 53140
(262) 654-1901 FAX (262) 654-2156

RACINE
CONSTITUENT SERVICES CENTER
304 6TH STREET
RACINE, WI 53403
(262) 637-0510 FAX (262) 637-5689

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MC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Jim Ryun
Full Name

1671B 13th Street
Mailing Address

Lawrence, KS 66044

202-225-6601
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives. State: ___ District: ___		[ ] Officer or Employee	Employing Office: ___
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date: ___

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4 § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Ryun	5/15/01

4355

Name Jim Ryun

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

		Block A: Asset and/or Income Source	Block B: Value of Asset											Block C: Type of Income						Block D: Amount of Income											Block E: Transaction
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X								X											X							P
DC, *Examples*	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts							X									X															
JT	FMTC IRA c/o Ron Blue & Co (see attached investment report)							X												NA	X					X						

For additional assets and unearned income, use next page.

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				x						
JT	First Star Mortgage	Morrgage on 132 D St., DC					X					

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

4357

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Athletes in Action	Mar 4-5	Wash, DC - Atlanta - Wash, DC	Y	Y	Y	N
First Ladies Prayer Brunch	Apr 2-4	Wash, DC - Tampa - Wash, DC	Y	Y	Y	1 Day

4358

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Jim Ryun Sports Camps, Inc.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Ronald Blue & Co., LLC

QUARTERLY INVESTMENT REPORT

JAMES R RYUN FMTC IRA

f953601

December 31, 2000

PORTFOLIO POSITION

Security	Quantity	Price	Market Value	Pct. Assets
FUND OF FUNDS				
Rus Lfpts Aggres Strat S	14,262.571	10.04	143,196.21	98.2
CASH & EQUIV.				
Fidelity Cash Reserves	2,667.03	1.00	2,667.03	1.8
TOTAL PORTFOLIO			145,863.24	100.0

PORTFOLIO CHANGE

	Current Quarter	Year to Date	Since Inception
Beginning Portfolio Value	153,020.62	151,572.39	0.00
Contributions	0.00	0.00	92,137.75
Withdrawals	0.00	0.00	0.00
Appreciation/Depreciation less fees	(7,157.37)	(5,709.14)	53,725.49
Portfolio Value on 12-31-00	**145,863.24**	**145,863.24**	**145,863.24**

PORTFOLIO PERFORMANCE

Asset Class	Current Quarter	Year to Date	Inception to Date
TOTAL PORTFOLIO	(4.41)%	(2.76)%	10.02%
FUND OF FUNDS	(4.52)%	(1.90)%	(1.90)%
CASH & EQUIV.	1.58%	2.89%	5.10%

Portfolio Start Date: 05-23-95
Inception date of FUND OF FUNDS: 01-31-00
Annualized inception to date returns are calculated from the first full month after start date.
Presented Gross of Advisory Management Fees
Returns for periods of less than one year are not annualized.

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

Martin Sven Sabo

2336 Rayburn House Office Building Washington DC 20515

202-225-4755

(Office Use Only)

Filer Status	✓ Member of the U.S. House of Representatives	State: MN District: 05	Officer Or Employee	Employing Office
Report Type	✓ Annual (May 15)	Amendment	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse receive earned income (e.g. salaries or fees) of $200 or more from any source in the reporting period? Yes ✓ No

If yes, complete and attach Schedule I

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes No ✓

If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period and/or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No

If yes, complete and attach Schedule III

IV. Did you, your spouse, or dependent child your have sold in exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ✓ No

If yes, complete and attach Schedule IV

V. Did you, your spouse, or a dependent child have any reportable liabilities of more than $10,000 during the reporting period? Yes No ✓

If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes No ✓

If yes, complete and attach Schedule VI

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 [illegible])? Yes ✓ No

If yes, complete and attach Schedule VII

VIII. [illegible] current calendar year? Yes No ✓

If yes, complete and attach Schedule VIII.

[illegible] Yes No ✓

If yes, complete and attach Schedule IX

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent, but I because they meet all three tests for exemption? Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

[illegible]

[illegible]

4861

SCHEDULE I - EARNED INCOME

Nam Martin Olav Sabo

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Lights Fantastic, Inc.	Spouse Salary	NA

SCHEDULE III - ASSETS AND "UNE

Nam Martin Olav Sabo

Page 2 of 2

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s), unless there is rental income; any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
State of MN Retirement Plan	None	Annuity	$5,001 - $15,000	NA
Lutheran Brotherhood IRA	$1,001 - $15,000	INTEREST	NA	NA
Farm Land - North Dakota	$50,001 - $100,000	Rent & Crops	$5,001 - $15,000	NA
First Star Mutua	$1,001 - $15,000	INTEREST	$1 - $200	NA
Congressional Federal Credit Union	$50,001 - $100,000	INTEREST	$1,001 - $2,500	NA
A & P Mutual Fund (formerly IDS Mutual Fund)	$1,001 - $15,000	INTEREST	$201 - $1,000	NA

SCHEDULE III - ASSETS AND "UNE

Nam Martin Olav Sabo

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see Instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Northern States Power (EXCEL) stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	NA
SP	International Savings	$15,001 - $50,000	INTEREST	$1,001 - $2,500	NA
SP	Mass Mutual	$1,001 - $15,000	INTEREST	$1 - $200	NA
SP	Paine Weber (IRA)	$50,001 - $100,000	INTEREST	NONE	NA
SP	First Minnetonka - First Minnesota	$15,001 - $50,000	INTEREST	$1,001 - $2,500	NA
SP	Intel	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA

SCHEDULE III - ASSETS AND "UNE

Nam Martin Olav Sabo

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP CISCO	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP Keystone Tax Free Fund	None	INTEREST	$201 - $1,000	S
SP General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP Pfizer	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA

4365

SCHEDULE IV - TRANSACTIONS

Nam Martin Olav Sabo

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Keystone Tax Free Fund	S	03-31-00	$1,001 - $15,000
SP	General Electric	P	03-31-00	$1,001 - $15,000
SP	CISCO	P	03-31-00	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AN

Nam [illegible]

Page [illegible] 7

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Aspen Institute	Jan [illegible]3-18	Wash., D.C. - Ft Myers, FL - Wash., D.C.	Y	Y	Y	2 Days

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2001 MAY 10 PM 12:28
(18)
MC HAND DELIVERED
(Office Use Only)

LORETTA SANCHEZ
(Full Name)

1230 LONGWORTH
(Mailing Address)

WASHINGTON DC 20515

(202) 225-2965
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 46	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 dunring the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) dunring the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Loretta Sanchez	05-10-01

4368

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	PACIFIC AMERICAN SECURITIES, INC.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i e , speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash , D C	Speech	Feb 2, 2000	$2,000
XYZ Magazine	Article	Aug 13, 2000	$500
POLITICALLY INCORRECT	Television Appearance	11/10/00	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name LORETTA SANCHEZ | Page 4 of 18

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence; if a business, there a rental income; any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

SP, DC, JT	Asset and/or Income Source	Value of Asset	Type of Income	Amount of Income	Transaction
SP	HOUSE - 1624 VIA ARROYO	$500,001 - $1,000,000	RENT	$1,001 - $2,500	P
JT	AHIA ADVISORS, INC - STOCK	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
JT	OC TEACHERS FED. CREDIT UNION - SAVINGS & CHECKING	$1,001 - $15,000	RENT	$1,001 - $2,500	
SP	AMERICAN LIFE ANNUITY	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
JT	CROWELL, WEEDON - SAVINGS	$1,001 - $15,000	RENT	$1,001 - $2,500	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Loretta Sanchez

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	CROWELL, WEEDON - (IRA)															X						X										
	- MORGAN STANLEY EMERGING			X										X		X					X											S
	- SANTE FE CORP - INTL.			X	X									X			X				X											S
	- AT&T CORP			X										X			X				X											P
JT	CROWELL, WEEDON																															
	- GENERAL MOTORS CORP.			X										X			X					X										
	- INTEL CORP.			X										X			X				X											
	- SIGNAL HILL BOND				X											X							X									
	- AT HOME CORP. SERIES A			X												X						X										
	- SUNMICRO SYSTEMS INC.				X									X			X				X											
	- INTERNET CAP. GROUP INC	X												X			X				X											
	- PAC-WEST TELECOM. INC	X												X			X				X											
	- Q-SOUND LABS INC.			X										X			X				X											
	- SAFEGUARD SCIENCES			X										X			X				X											
	- SOFTNET SYSTEMS INC.	X												X			X				X											
	- SPRINT CORP.			X										X			X				X											
	- TELESP CELLULAR PRT.			X										X			X				X											
	- AT&T WIRELESS GROUP			X										X			X				X											P
	- CISCO SYSTEMS INC.				X									X			X				X											
	- OMS 360 CORP	X												X			X				X											P
	- EMERGE INTERACTIVE INC	X												X			X				X											P
JT	CROWELL, WEEDON SAVINGS				X												X					X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Loretta Sanchez

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	L	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P S E
JT	PACIFIC AMERICAN SECURITIES																															
	- LACTFS BOND			X												X							X									
	- AMERICA ONLINE			X													X				X											
	- AT&T CORP			X										X			X					X										
	- GUIDANT CORP.				X												X				X											S
	- PAC-WEST TELECOMM INC.		X														X				X											S
	- Q-SOUND LABS INC.			X													X				X											
	- SAFEGUARD SCIENTIFICS				X												X				X											S
	- BE INC.			X													X				X											P
	- COMPUTERIZED THERMAL			X													X				X											P
	- CORNING INC.			X										X			X					X										P
	- CYTOGEN CORP.		X	X													X				X											P
	- INTL. FIBERCOM INC.		X	X													X				X											P
	- ORACLE CORP.			X													X				X											P
	- SONIC FOUNDRY INC		X														X				X											P
	- WEBMD CORP.				X												X				X											P
	- WORLD SALES & MERCH.		X														X				X											P
JT	CONGRESSIONAL CREDIT UNION	X														X							X									
SP	CROWELL, WEEDON (IRA)																															
	- GENERAL MOTORS CORP.			X										X			X						X									
	- MORGAN STANLEY EMERGING			X													X				X											S
	- SANTE FE INTL CORP.				X												X				X											S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name LORETTA SANCHEZ

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: None	A $1 – $1,000	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: None	I $1 – $200	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	BLOCK E Transaction: P, S, E
	- MCI WORLDCOM INC.				X									X			X					X										S
	- AT&T CORP				X									X			X				X											
	- BANK OF AMERICA CORP				X									X			X					X										
	- DELPHI AUTOMOTIVE SYS				X												X				X											
	- ORACLE CORP				X												X				X											
	- QUINTILES TRANSNATL CORP				X												X				X											
	- CARDIODYNAMICS INTL. CORP				X												X				X											P
SP	CROWELL, WEEDON																															
	- CASH					X										X								X								
	- DISNEY WALT CO.				X									X			X							X								
	- RESERVE PETROLEUM				X												X				X											
JT	AMERICAN FUNDS - IRA																															
	- CAPITAL GUARDIAN - NEW PERSP.					X																										
	- NEW ECONOMY FUND					X								X			X					X										
	- EUROPAC. GROWTH				X									X			X					X										
	- GROWTH FUND				X									X			X					X										
SP	TRISTAR CAPITAL COMMON					X											X					X										
JT	TRISTAR CAPITAL COMMON					X											X					X										
SP	PACIFIC AMERICAN COMMON							X						X			X									X						

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SCHEDULE IV — TRANSACTIONS

Name Loretta Sandez

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	A $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock				10-12-00		X								
JT	MORGAN STANLEY EMERGING		X		02/08/00	X								X	
JT	SANTE FE CORP.-INTL.		X		02/08/00										
JT	AT&T CORP.				02/08/00		X								
JT	AT&T WIRELESS GROUP	X			04/27/00	X									
JT	OPUS 360 CORP				04/10/00	Under $1,000									
JT	EMERGE INTERACTIVE INC				02/09/00	Under $1,000									
SP	MORGAN STANLEY EMERGING		X		02/08/00	X									
SP	SANTE FE CORP.-INTL.		X		05/24/00		X								
SP	MCI WORLDCOM INC.		X		03/28/00	X									
SP	CARDIO DYNAMICS INTL. CORP.	X			03/20/00	X									
JT	GUIDANT CORP.		X		01/05/00		X								
JT	PAC-WEST TELECOMM INC.		X		10/06/00	Under $1,000									
JT	SAFEGUARD SCIENTIFICS		X		01/03/00		X								
JT	BE INC.	X		04/07/00	04/14/00 05/24/00		X								
JT	COMPUTERIZED THERMAL	X			10/18/00	X									
JT	CORNING INC.	X			10/25/00	X									

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SCHEDULE IV — TRANSACTIONS

Name Loretta Sanchez | Page 9 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date (MO/DAY/YR)	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-00	X							
JT	CYTOGEN CORP.		02/17/00								
JT	INTL. FIBERCOM INC.		02-18-00								
JT	ORACLE CORP.		10-16-00								
JT	SONIC FOUNDRY INC.		06-12-00								
JT	WEBMD CORP.		06-12-00								
JT	WORLD SALES & MERCH.		02-17-00								
	DIGITAL LIGHTWAVE		03-30-00		X						
	DIGITAL LIGHTWAVE	X	03-30-00		X						
	HMG WORLDWIDE		03-01-00	X							
	HMG WORLDWIDE	X	03-30-00	X							
	BE INC.		02-09-00 03-22-00 04-05-00	X							
	BE INC.	X	04-17-00								
	SUMMIT TECH.		05-04-00								
	SUMMIT TECH.	X	05-04-00								
	SONIC FOUNDRY		05-24-00	X							
	SONIC FOUNDRY	X	06-01-00	X							

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SCHEDULE IV — TRANSACTIONS

Name Loretta Sanchez

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J Over $25,000,000	K Over $50,000,000
SP (Example)	Mega Corporation Common Stock				10-12-00		X								
JT	SONIC FOUNDRY	X			06-06-00		X								
	SONIC FOUNDRY		X		06-06-00		X								
	MIRAMANT MEDICAL TECH	X			06-19-00										
	MIRAMANT MEDICAL TECH		X		06-19-00										
	ALTERA CORP.	X			07-05-00		X								
	ALTERA CORP.		X		07-06-00		X								
	ALTERA CORP.	X			07-05-00		X								
	ALTERA CORP		X		07-07-00		X								
	PARKER VISION INC.	X			07-07-00		X								
	PARKER VISION INC.		X		07-10-00		X								
	SCHERING PLOUGH	X			07-13-00		X								
	SCHERING PLOUGH		X		08-03-00		X								
	BEA SYSTEMS INC.	X			09-29-00		X								
	BEA SYSTEMS INC.		X		10-02-00		X								
	AGENCY COM	X			01-03-00										
	AGENCY COM		X		10-06-00										

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SCHEDULE IV — TRANSACTIONS

Name: Loretta Sanchez | Page 11 of 18

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock				10-12-00	X							
JT	BREAKAWAY SOLUTIONS				07-18-00								
	BREAKAWAY SOLUTIONS				09-27-00	X							
	BLUE WAVE SYSTEMS		X		10-06-00	/							
	BLUE WAVE SYSTEMS				02-15-00								
	BLUE WAVE SYSTEMS		X		10-06-00	less than $1000							
	BLUE WAVE SYSTEMS				02-15-00								
	BLUE WAVE SYSTEMS		X		02-17-00								
	EMERGE INTERACTIVE				02-04-00	less than $1000							
	EMERGE INTERACTIVE		X		10-06-00	less than $1000							
	ENCORE MEDICAL				06-14-00								
	ENCORE MEDICAL		X		10-06-00								
	INTELIDATA TECH.				03-01-00								
	INTELIDATA TECH.		X		10-06-00	less than $1000							
	INTERDIGITAL COMMUNICATION				01-03-00								
	INTERDIGITAL COMMUNICATION		X		10-06-00								
	NOVAMED EYECARE				02-23-00								
	NOVAMED EYECARE		X		10-06-00	less than $1000							

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SCHEDULE IV — TRANSACTIONS

Name Loretta Sunclay

SP, DC, JT,	Asset	Type of Transaction		Date	Amount of Transaction								
	Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out	[redacted]	SALE	[redacted]	(MO/DAY/YR)	[redacted]	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock			10-12-00		X							
JT	EXODUS COM		X		10-20-00	X							
	EXODUS COM		X		10-20-00	X							
	INTERDIGITAL COM				01-04-00								
	INTERDIGITAL COM		X		01-05-00								
	RARE MEDIUM GROUP				01-04-00	X							
	RARE MEDIUM GROUP		X		01-05-00	X							
	SUNRISE TECH.				01-12-00	X							
	SUNRISE TECH		X		01-12-00	X							
	INTERDIGITAL COM				01-05-00								
	INTERDIGITAL COM		X		01-13-00								
	QUINTILES TRANSNATIONAL				01-18-00	X							
	QUINTILES TRANSNATIONAL		X		01-18-00	X							
	AEGIS COM				01-19-00								
	AEGIS COM		X		01-25-00								
	ELTRAX SYSTEMS				01-13-00 01-24-00	X							
	ELTRAX SYSTEMS		X		01-25-00	X							

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out

SP, DC, JT	Asset	Type of Transaction: SALE	Date (MO/DAY/YR)	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock		10-12-00	x							
JT	DIGITAL LIGHTWAVE		01-26-00								
	DIGITAL LIGHTWAVE	X	01-31-00								
	INTERDIGITAL COMM.		01-11-00 01-12-00								
	INTERDIGITAL COMM.	X	01-31-00								
	WESTERN WIRELESS CORP.		01-26-00								
	WESTERN WIRELESS CORP.	X	02-01-00								
	DIGITAL LIGHTWAVE		02-01-00	X							
	DIGITAL LIGHTWAVE	X	02-03-00	X							
	SOFTNET		02-03-00	X							
	SOFTNET	X	02-07-00	X							
	SOFTNET		02-07-00	X							
	SOFTNET	X	02-08-00	X							
	SOFTNET		02-09-00	X							
	SOFTNET	X	02-10-00	X							
	CORSAIR COMM		02-09-00								
	CORSAIR COMM	X	02-11-00								

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SCHEDULE IV — TRANSACTIONS

Name Loretta Sanchez | Page 14 of 18

SP, DC, JT	Asset	Type of Transaction		Date (MO/DAY/YR)	Amount of Transaction							
	Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		SALE			C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	Over $50,000,000	K
SP	*Example* Mega Corporation Common Stock			10-12-00	X							
JT	STARBASE CORP			02-10-00	X							
	STARBASE CORP		X	02-11-00								
	ASTROM BIO			02-17-00								
	ASTROM BIO.		X	02-17-00								
	BE INC.			02-09-00								
	BE INC.		X	02-17-00								
	NEWSTAR MEDIA			01-26-00								
	NEWSTAR MEDIA		X	03-01-00								
	NEWSTAR MEDIA			01-26-00								
	NEWSTAR MEDIA		X	03-02-00								
	INTERDIGITAL COMM.			01-12-00								
	INTERDIGITAL COMM.		X	03-06-00								
	BIOCONTROL TECH			03-07-00								
	BIOCONTROL TECH.		X	03-14-00	X							
	LOUDEYE TECH.	X		03-15-00			X					
	LOUDEYE TECH.		X	03-15-00			X					

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SCHEDULE IV — TRANSACTIONS

Name Loretta Sarchy

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-00		X								
JT	CORSAIR COMM.	X			03-16-00	X									
	CORSAIR COMM.		X		03-16-00	X									
	EARTH SEARCH SCIENCE	X			03-01-00	X									
	EARTH SEARCH SCIENCE		X		03-16-00	X									
	CORSAIR COMM.	X			03-21-00	X									
	CORSAIR COMM.		X		03-22-00	X									
	HALLPRAGUE DIGITAL	X			03-29-00		X								
	HALLPRAGUE DIGITAL		X		03-29-00		X								
	HIBERNIA CORP.	X			03-29-00	X									
	HIBERNIA CORP.		X		03-29-00	X									
	SUMMIT TECH.	X			03-17-00	X									
	SUMMIT TECH.		X		03-29-00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				x						
SP	FIRST NATIONWIDE MORTGAGE	MORTGAGE - 1624 VIA ARRIBA AVE, CA		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
- NONE -		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	CITY OF INDIANAPOLIS, IND.	1/28-1/29/00	Wash., D.C.-Indianapolis-LAX	Y	N	N	N
	AMERICAN ISRAEL EDUCATION FOUND.	2/17-2/23/00	Wash., DC - Israel - SFO	Y	Y	N	N
	CONGRESSIONAL HISPANIC CAUCUS INSTITUTE	5/19-5/20/00	Wash., DC - Nashork NY - Wash DC	Y	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARDMEMBER	PROVIDENCE SPEECH AND HEARING CENTER
BOARDMEMBER	KINDERCAMINATA
ADVISORY COUNCIL	PEPPERDINE UNIVERSITY

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	- NONE -	

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Bernard Sanders
(Full Name)

2135 Rayburn House Office Building
(Mailing Address)

Washington, D.C. 20515

(202) 225-4115
(Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: Vermont District: 00	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual: May 15t	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	B. Sanders	6/13/2001

Name Bernard Sanders	Page 2 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civ. War Roundtable, Oct. 2nd	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Sanders & Driscoll, L.L.C. (Consulting) 73 Killarney Drive, Burlington, Vermont 05401	Spouse	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA, i.e., one where you have the power to select the specific investments, provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

	None	A $1 – $1,000	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K $50,000,001 – Over $50,000,000
SP Mega Corp. Stock						X						
DC Examples Simon & Schuster	Indefinite											
JT 1st Bank of Paducah KY accounts						X						
JT Wright Patman CFCU				X								
SP Burlington City Employees Credit Union				X								
SP TIAA-CREF Retirement Account					X							

BLOCK C — Type of Income

	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Partnerships Income or Loss Income)
SP Mega Corp. Stock	X						
DC Examples Simon & Schuster							Royalties
JT 1st Bank of Paducah KY accounts			X				
JT Wright Patman CFCU							
SP Burlington City Employees Credit Union							
SP TIAA-CREF Retirement Account							

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

	None	I $1 – $200	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	XI
SP Mega Corp. Stock				X								
DC Examples Simon & Schuster										X		
JT 1st Bank of Paducah KY accounts						X						
JT Wright Patman CFCU												
SP Burlington City Employees Credit Union												
SP TIAA-CREF Retirement Account	X											

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

SP Mega Corp. Stock	P
DC Examples Simon & Schuster	
JT 1st Bank of Paducah KY accounts	
JT Wright Patman CFCU	
SP Burlington City Employees Credit Union	
SP TIAA-CREF Retirement Account	

For additional assets and unearned income, use next page.

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Name Bernard Sanders | Page 4 of 5

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence, unless it is rented out; loans secured by automobiles, household furniture, or appliances; and liabilities owed by a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP DC JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, De.				X						
JT	Chittenden Bank, Burlington, VT	Credit Card	X									
JT	William Latman, CPA, Washington, D.C.	Educational Loans, Personal Loan, Creditcard		X								
JT	Vermont Student Assistance, Burlington, VT	Educational Loans	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual; food, meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith (Anytown, Anystate)	Silver Platter (determination on personal friendship; received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Georgetown Uni. Medical Ctr Child Develop. Training Institute	June 9-12	(Member)Wash,DC-New Orleans,Wash,DC (Spouse)Burlington, VT New Orleans-Burlington	Y	Y	Y	N
Georgetown Uni. Medical Ctr Child Develop. Training Institute	July 8-12	(Spouse) Burlington,VT Santa Fe-Burlington,VT	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

MAX A. SANDLIN
(Full Name)

500 MILLER DRIVE MARSHALL, TX 75670
(Mailing Address)

202-225-3035
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State TX District 01	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g.: salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		6-14-01

SCHEDULE I - EARNED INCOME

Nam MAX A. SANDLIN

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Hospice of East Texas, Inc.	Spouse Salary	NA
Howell & Sandlin, Inc.	Spouse Salary	NA

SCHEDULE III - ASSETS AND "UNE

Nam MAX A. SANDLIN

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
East Texas Fuels, Inc., Stock	$1,000,001 - $5,000,000	Sub S	$100,001 - $1,000,000	
Howell and Sandlin, Inc., Stock	$1,000,001 - $5,000,000	Sub S and Capital Gains	$100,001 - $1,000,000	
Edward D. Jones Acct.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Texas County and District Retirement	$50,001 - $100,000	NA	NONE	
Wright Patman Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
Oil and Gas Royalty	$1,001 - $15,000	Royalty	$1 - $200	

SCHEDULE V - LIABILITIES

Nam MAX A. SANDLIN

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	MBNA Mastercard	Credit Card	$10,001 - $15,000
	Century Bank	Campaign Loan	$100,001 - $250,000
	Century Bank	Personal Loan	$10,001 - $15,000

SCHEDULE VIII - POSITIONS

Nam MAX A. SANDLIN

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	East Texas Fuels, Inc.
Vice President	Howell and Sandlin, Inc.

SCHEDULE IX - AGREEMENTS

Nam MAX A. SANDLIN

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1986-1996	Texas County and District Retirement System-Max A. Sandlin	Former County Employee-Interest in Retirement Plan

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Thomas C. Sawyer
(Full Name)

1298 N. Howard St.,
Akron, OHIO 44310-1064
(Mailing Address)

Daytime Telephone (330) 923-7699

Filer Status	[X] Member of the U.S. House of Representatives — State OHIO District 14	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Sawyer	5/12/01

Name SAWYER, Thomas | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of **earned income from any source** (other than the **filer's current** employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State Teachers' Retirement System of OHIO	spouse pension	N/A
	Family Visitation and Mediation Services	spouse salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name SAWYER, Thomas | Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug. 13, 2000	$500
	N/A			

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Name SAWYER, Thomas | Page 1 of 2

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B	Block C												Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E, P
SP	*Examples*	SP	Mega Corp Stock				X								X																		P
DC			Simon & Schuster		Indefinite																Royalties								X				
JT			1st Bank of Paducah, KY accounts					X									X																
JT			Summit Fed. Credit Union			X											X							X									
SP			State Teacher's Ret. Syst. 10/06					X							X											X							
Self			State Tea. Ret. Syst. 10/11			X									X							X											
Self			State Empl. Ret. Sys.			X									X							X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name SAWYER, Thomas

Page 2 of 2

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
SP DC JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Self Public Employees' Ret. Syst. Vallejo			X									X								X										
SP Lincoln National Annuity						X													Mut. Fund	X										
JT Bank One Securities Corp.		X																	Money Mkt			X								

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SCHEDULE IV — TRANSACTIONS

Name SAWYER, THOMAS | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction										
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	N/A														

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Name SAWYER, Thomas | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles; household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

Name SAWYER, Thomas | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Democratic Issues Conf. (CAMPAIGN), The Homestead, VA	Feb 5-7	Wash, DC - Virginia - DC	Y	Y	Y	N
	Aspen Institute Seminar San Juan, P.R.	Feb. 18-20	Cleve. - San Juan - Cleve	Y	Y	Y	N
	Cong. Civil Rts. Pilgrimage Birmingham, Montg., Selma, Ala.	Mar. 3-5	Wash., DC - Birm. - Wash	Y	Y	Y (TCS paid for Amerada daughter)	N
	Transatlantic Policy Network Tucson, Ariz.	Ap. 28-30	Cl. - Tucson - Cl.	Y	Y	N	N
	Dem. Conv. - L.A. (campaign)	Aug. 14-17	Cl. - L.A. - Cl.	Y	N	Y	N
	Cong. Econ. Leadership Instit. (Belg., Italy)	Nov. 27-Dec. 4	Cl. - Brussels - Venice - Cl.	Y	Y	Y	N
	Population Resource Center, Princeton	Dec. 11-13	Cl. - Princeton - Cl	Y	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Population Resource Center, WASH, DC
Board Member	Literacy Volunteers of America
Board Member	National Inventors Hall of Fame

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

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HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

H. James Saxton
(Full Name)

207 High Street Mount Holly, NJ 08060 (609) 261-5800
(Mailing Address) Daytime Telephone

HC (Office Use Only) 12

Filer Status	☑ Member of the U.S. House of Representative	State: NJ District 03	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	J. Saxton	5/14/01

4406

SCHEDULE III - ASSETS AND "U

Na H James Saxton

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Janney Montgomery Scott Money Market Fund (IRA)	None	Dividends	$1 - $200	
	NBTY Inc (IRA)	$1,001 - $15,000	Capital Gains	$5,001 - $15,000	PS
	First Union Corp (IRA)	$1,001 - $15,000	Dividends	$201 - $1,000	
	GenStar (IRA) Therapeutics	$1,001 - $15,000			P
	Mellon Fin Corp(IRA)	$1,001 - $15,000	Dividends	$1 - $200	
	Janney Montgomery Scott Money Market Fund	None	Dividends	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "U

Na H. James Saxton

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	First Union Corp	$1,001 - $15,000	Dividends	$1 - $200	
	Conseco Inc	None	Dividend	$201 - $1,000	S
	Titan Pharm Inc Del	None	Capital Gains	$5,001 - $15,000	S
	Eaton Vance CLASSIO SR FLTG	$15,001 - $50,000	Dividends & Capital Gains	$1,001 - $2,500	
	Eaton Vance Prime Rate Resvs	$15,001 - $50,000	Dividends & Capital Gains	$2,501 - $5,000	
	Fidelity Advisor SRII	$15,001 - $50,000	Dividends & Capital Gain	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "U

Na H James Saxton

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Fidelity Advisor SRIII	$15,001 - $50,000	Dividends & Capital Gain	$2,501 - $5,000	
Seligman New Tech Fund	$1,001 - $15,000	Dividends & Capital Gains	$1,001 - $2,500	
First Trust Unit 370 1999 Dow Target	None	Dividend/ Interest	$201 - $1,000	S
First Trust Unit 370 1999 Global Target	None	Dividend/ Interest	$201 - $1,000	S
Van Kampen Focus Port	$15,001 - $50,000	Dividends	$1 - $200	
Fleet Bank (Summit Bank)	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "U

Na H. James Saxton

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	2nd Mortgage Loan: John Winzinger & Donna Reichert	$15,001 - $50,000	Interest	$2,501 - $5,000	
	207 High Street, Mt. Holly	$100,001 - $250,000	Rent	$5,001 - $15,000	
	Federal Credit Union	$1 - $1,000	Dividends	$1 - $200	
	Commerce Bank Checking	$1,001 - $15,000	Interest	$1 - $200	
	Alliance Select Investor Series Tech	$1,001 - $15,000			P
	ATT	None	Dividend	$1 - $200	PS

SCHEDULE III - ASSETS AND "U

Na H James Saxton

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	Washington Mutual	None	Capital Gains	$2,501 - $5,000	PS
	Impsat Fiber Networks	None	Capital Gains	$1,001 - $2,500	PS
	Dell	$1,001 - $15,000			P
	Globix	None	Dividends & Capital Gains	$5,001 - $15,000	PS
	Avaya(spinoff Lucent)	$1 - $1,000			E
	Sun America Style Select	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "U

Na H James Saxton

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Lucent Technologies	None	Dividend	$1 - $200	PS
	Corvas International	None	Capital Gain	$5,001 - $15,000	PS
	Alliance Capital Reserves MM	$100,001 - $250,000	Dividends	$1,001 - $2,500	
	IDT Corporation (IRA)	None	Capital Gains	$5,001 - $15,000	PS
	Matrix Pharmaceutical (IRA)	$15,001 - $50,000			P
	Rythmns Netconnection (IRA)	$1 - $1,000			P

4412

SCHEDULE III - ASSETS AND "U

Na H James Saxton

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Alliance Capital Reserves (IRA)	$1,001 - $15,000	Dividends	$201 - $1,000		

4413

SCHEDULE IV - TRANSACTIONS

Na H James Saxton

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Titan Pharm Inc Del	S	1/01/00	$1,001 - $15,000
	Titan Pharm Inc Del	S	1/25/00	$1,001 - $15,000
	Impsat Fiber Networks Inc	P	2/01/00	$1,001 - $15,000
	Impsat Fiber Networks Inc	S	2/04/00	$1,001 - $15,000
	Corvas International	P	2/07/00	$1,001 - $15,000
	Washington Mutual	P	2/25/00	$1,001 - $15,000
	Alliance Select Inv Sr	P	3/03/00	$1,001 - $15,000
	Globix Corp	P	4/14/00	$1,001 - $15,000
	Globix Corp	S	6/08/00	$15,001 - $50,000
	ATT	P	7/07/00	$1,001 - $15,000
	Sunamerica Style Selct	P	7/21/00	$1,001 - $15,000
	Lucent Technologies	P	7/27/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Na H James Saxton

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Washington Mutual Inc	S	8/03/00	$1,001 - $15,000
	ATT	P	9/13/00	$1,001 - $15,000
	Lucent Technologies Inc	P	9/13/00	$1,001 - $15,000
	Corvas International	S	10/02/00	$15,001 - $50,000
	Dell Computer Corp	P	12/05/00	$1,001 - $15,000
	First Tr Unit 370 1999 Dow	S	12/05/00	$1,001 - $15,000
	First Tr Unit 370 1999 Global	S	12/05/00	$1,001 - $15,000
	ATT	S	12/11/00	$1,001 - $15,000
	Conseco Inc	S	12/11/00	$1,001 - $15,000
	Lucent Technologies Inc	S	12/11/00	$1,001 - $15,000
	Conseco Inc	S	12/12/00	$1,001 - $15,000
	IDT Corporation(IRA)	P	1/05/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Na H. James Saxton

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	NBTY Inc (IRA)	S	1/27/00	$1,001 - $15,000
	IDT Corporation(IRA)	P	1/28/00	$1,001 - $15,000
	Rythms Netconnections (IRA)	P	2/15/00	$1,001 - $15,000
	IDT Corporation(IRA)	S	8/11/00	$15,001 - $50,000
	NBTY Inc (IRA)	P	9/13/00	$1,001 - $15,000
	Genstar Therapeutics (IRA)	P	9/13/00	$1,001 - $15,000
	Matrix Pharmaceutical (IRA)	P	9/19/00	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Na H. James Saxton

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Fleet Bank (Summit,Mid Jersey)	Mortgage on 207 High St., Mt Holly, NJ	$100,001 - $250,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 5:50

HAND DELIVERED

(Office Use Only)

CHARLES JOSEPH SCARBOROUGH
(Full Name)

127 CANNON HOB
WASHINGTON DC 20515
(Mailing Address)

(202) 225-4136
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State FL District 01	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ] No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X] No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ] No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
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V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		MAY 15, 2000

4418

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
Politically Incorrect w/ Bill Maher (Invited, Inc.)	Appearance	Jan 11, 2000	$500

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	WHITNEY BANK, PENSACOLA FL	CREDIT LINE		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Bankers Assoc	March 26	Peola, FL - NAPLES - PEOLA	N	Y	N	N
	Politically Incorrect w/Bill Maher TV Show	Jan 11-12	Peola, FL - L.A., California - Peola, FL	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	New Century Press DBA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

(c)

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2001 MAY 15 PM 5:48
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U.S. HOUSE OF REPRESENTATIVES

MC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Page 1 of 4

(Office Use Only)

4423

Robert W. Schaffer
Full Name

5027 Alder Court Fort Collins, CO 20525
Mailing Address

970-493-9132
Daytime Telephone

Filer Status: ✓ Member of the U.S. House of Representatives — State: CO — District: 04 — Officer Or Employee — Employing Office

Report Type: ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓ No	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes No ✓	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ✓ No
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓ No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes No ✓
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓ No	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ✓ No		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978 (as amended). This statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct for disclosure. Any individual who knowingly and willfully falsifies, or knowingly and willfully fails to file this report may be subject to penalties and criminal sanctions (5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-15-01

SCHEDULE I - EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
US House Representatives		

SCHEDULE III - ASSETS AND "UNE

No Robert W. Schaffer

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
427 Smith St, FTC, CO	$100,001 - $250,000	RENT	$15,001 - $50,000	S
2224 Eastwood Dr., FTC, CO	$100,001 - $250,000	RENT	$1,001 - $2,500	P
BancOne, Denver, CO Acct	$1,001 - $15,000	INTEREST	$201 - $1,000	
3284 Silverthorne Dr., FTC, CO	$100,001 - $250,000	RENT	$5,001 - $15,000	
At&T Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S -(PART)
Lucent Tech Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNE

Na Robert W. Schaffer

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	North House LLC, FTC, CO	$1 - $1,000		$2,501 - $5,000	
	Putnam Investments	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
	State Street Bank & Trust	$15,001 - $50,000			
SP	State Street Bank & Trust	$15,001 - $50,000			
	Thrift Savings Plan	$15,001 - $50,000			
	Public Employee Retirement Assn.	$15,001 - $50,000			

SCHEDULE III - ASSETS AND "UNE

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP Symbios Logic 401 (K) Savings	$15,001 - $50,000			E
SP (rollover) IRA	$100,001 - $250,000			E
ETS Payphones	$1 - $1,000	RENT	$1,001 - $2,500	P

SCHEDULE IV - TRANSACTIONS

Na Robert W. Schaffer

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	427 Smith St, FTC, CO	S	11-15-00	$100,001 - $250,000
	2224 Eastwood Dr., FTC, CO	P	12-14-00	$100,001 - $250,000
	At&T Stock	S	4-4-00	$1,001 - $15,000
	ETS Payphones	P	3-00	$15,001 - $50,000
SP	(rollover) IRA	E		

SCHEDULE V - LIABILITIES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Chase Manhattan Mortgage Corp, Phoenix, AZ	Mortgage on 427 Smith St, FTC, CO	$50,001 - $100,000
	GMAC Mortgage, Waterloo, IA	Mortgage on 2224 Eastwood Dr., FTC, CO	$100,001 - $250,000
	GMAC Mortgage, Waterloo, IA	Mortgage on 3284 Silverthorne Dr., FTC, CO	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AN

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Leadership Institute	April 14-15	Wash., D.C.--Nashville, TN--Denver, CO	Y	N	N	N/A

BOB SCHAFFER
MEMBER OF CONGRESS FROM COLORADO
FOURTH DISTRICT

212 CANNON HOUSE OFFICE BUILDING
WASHINGTON, DC 20515

(202) 225-4676
E-mail: repschaffer@mail.house.gov
www.house.gov/schaffer

Congress of the United States
House of Representatives

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EDUCATION AND THE WORKFORCE
VICE CHAIRMAN:
EARLY CHILDHOOD, YOUTH AND FAMILIES

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June 14, 2001

The Clerk, U.S. House of Representatives
Legislative Resource Center
B106 CHOB
Washington, D.C. 20515

To Whom It May Concern:

Please accept this letter as an official amendment to my Financial Disclosure Statement for 2000 filed on May 15, 2001.

Regarding schedule III, I wish to clarify three entries:

1. 3284 Silverthorne Dr. was a personal residence prior to 2001 and was therefore not reported. During 2000 it became an income-producing rental property, which is why it is reported for the first time in the 2001 disclosure.

2. Putnam Investments is a publicly traded "non-qualified" account invested 100% in a Money Market fund. Putnam is a mutual fund family based in Providence, RI. It is the largest fund family measured in assets held.

3. State Street Bank & Trust is not self-directed.

On schedule IV, my wife's Symbios savings account was rolled over into an IRA with Linsco/Private Ledger as the custodian, who has since subcontracted this function to a company called STS.

Thank you for your prompt attention to this matter. Should you have any questions or concerns, do not hesitate to contact me at 202-225-4676.

Very truly yours,

Bob Schaffer
Member of Congress
from Colorado

123 N. College Ave., Suite 2608
Fort Collins, CO 80524
(970) 493-9132

902 8th St., Suite 2205
Greeley, CO 80631
(970) 351-3507

19 W. 4th St.
La Junta, CO 81050
(719) 384-7370

105 S. Division Ave.
Sterling, CO 80751
(970) 522-1788

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(7)

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MC

Janice D. Schakowsky
(Full Name)

1101 Ridge Ave.
Evanston, IL 60202
(Mailing Address)

Daytime Telephone: (202) 225-2111

Filer Status	[X] Member of the U.S. House of Representatives	State: IL District: 9	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Janice D. Schakowsky	5/8/01

4432

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Strategic Consulting Group, Inc (Chicago)	Spouse Salary	NA
	Issue ~~Dynamics~~ Dynamics, Inc. (Chicago)	Spouse Salary & Fees	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT — Examples: SP Mega Corp. Stock; Simon & Schuster; 1st Bank of Paducah, KY accounts		Indefinite			X								X						Royalties												P
Walt Disney			X										X								X										
Morgan Stanley Dean Witter American Opportunities Fund				X												X							X								
Morgan Stanley Dean Witter Municipal Income Trust now: Tax Exempt Securities Trust		X											X								X										
Corporate Associates International			X																		X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Janice D. Schakowsky

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E, P, M
	Tellabs Inc			X										X								X										
	Lucent Technologies			X										X								X										
	(Alliance Capital Management Unit Limited Partnership			X										X			X					X		X								
	Avaya Inc.		X											X								X										
	(Aim Investment Funds / Global Telecom & Technology			X																	X											
	John Hancock Large CAP Fund			X																	X											
	Oppenheimer MID CAP Fund			X																												
	US Treasury Strip - Trust	X															X				X											S

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SCHEDULE IV — TRANSACTIONS

Name: Janice D. Schakowsky

Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K $50,000,000 over
SP	Example: Mega Corporation Common Stock	X			10-12-00		X								
	Oppenheimer MIDCAP Fund - Class B	X			3/24/00	X									
	US Treasury Strip. Tint 8/15/01		X		3/13/00	X									
	Oppenheimer MIDCAP Fund - Class B	X			3/15/00	X									
	John Alexander Large CAP Value Fund - Class B	X			3/17/00	X									
	Oppenheimer MIDCAP Fund - Class B	X			4/6/00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
SP	Private Bank, Chicago	Loan		X								
SP	Citi Bank A Advantage	Credit Card	X									
SP	Saul Sher, Philadelphia, PA	Loan	X									
SP	AT&T Universal Card	Credit Card	X									
	MBNA MasterCard	Credit Card	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Cooperative Assistance and Relief Everywhere (CARE)	1/30-2/1/2000	Chicago - Honduras - Chicago	Y	Y	N	N
Democratic Party of Nevada	5/19-21/2000	Wash. DC - Las Vegas - Chicago	Y	Y	N	N
Humpty Dumpty Institute	9/25/2000	Chicago - NYC - Wash. DC	N	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Governing Council	American Jewish Congress - Midwest Region
Board of Directors	Child Care Network of Evanston
Policy Council	Citizen Action of Illinois
Advisory Council of the Board of Directors	Palliative Care Center of the North Shore

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 6:08
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(9)
MC

ADAM BENNET SCHIFF
(Full Name)

35 S. RAYMOND AVE., SUITE 205
(Mailing Address)

Daytime Telephone (626) 304-2727

PASADENA, CA 91105

Filer Status [X] Member of the U.S. House of Representatives — State CA District 27 — [ ] Officer or Employee — Employing Office

Report Type [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5/15/2001

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF CALIFORNIA	SALARY	$90,421

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug. 13, 2000	$500

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Name SCHIFF, ADAM B. | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP					X								X										X								P
DC	*Examples*			Indefinite																	Royalties									X			
JT								X									X									X							
	Charles Schwab - American Home Products stock									X					X												X						
	Bank of America IRA Janus Fund					X												X						X									
	CA Thrift Savings Plan TRowePrice/Vanguard Funds					X												X								X							
	Great West 401K				X																none	X											
	Morgan Stanley Dean... Liquid Asset Fund/ money market				X										X									X									
	continued...																																

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name SCHIFF, ADAM B.

Page 5 of 9

SP. DC. ST	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Fidelity Puritan IRA					X								X		X										X						
	Morgan Stanley Dean-Whitter American Home Product Stock							X						X												X						
SP	Grut Trust/AHP Stock																			1/3 int	X											

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SCHEDULE IV — TRANSACTIONS

Name SCHIFF, ADAM B.

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example*	Mega Corporation Common Stock	X			10–12–00		X								

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Charles Schwab	Margin Account			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Adjunct Professor	Glendale Community College

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED (26)
LEGISLATIVE RESOURCE CENTER
2001 MAY 10 PM 3:05
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

EDWARD LEE SCHOCK
(Full Name)

4213 DOUGHERTY CT.
VIRGINIA BEACH, VA 23455
(Mailing Address)

(757) 497-6859
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: VA District: 2	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [X]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward L. Schock	5-15-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	COMMONWEALTH OF VIRGINIA	SALARY	$33,622.49
	U.S. NAVY	RETIREMENT	$42,477.00
	SPOUSE SALARY	TEACHER	

For payments to charity in lieu of honoraria, use Schedule II.

Name EDWARD L. SCHROCK | Page 1 of 1

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

		Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other: Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E P, S, E
SP, DC, JT	*Examples:*	SP Mega Corp. Stock					X								X																		P
		Simon & Schuster		Indefinite																	Royalties												
		1st Bank of Paducah, KY accounts						X									X																
JT	PAINE WEBBER							X							X		X	X								X							
SP	PAINE WEBBER IRA						X																										
	PAINE WEBBER IRA						X																										
JT	NAVY FED. CREDIT UNION					X											X								X								
JT	MORTGAGE LOAN SERVICES				X												X									X							
						X																			X								

For additional assets and unearned income, use next page.

December 2000
Individual Retirement Account

PAINEWEBBER INC.
ONE COMMERCIAL PLACE
11TH FLOOR
NORFOLK, VA 23510
PZCL154402-X16 -1200- VN-

Value on November 30	$	75,551.19
Value on December 29	$	77,818.62
Money funds in 12/29 value	$	704.75

IRA-JUDY

PaineWebber
Retirement Account

Account Number [redacted] PZCL154402-X16
Social Security Number 565-56-3678

Your Financial Advisor
ANDERSON/HUME 757-622-1321/800-522-5782

JUDITH A SCHROCK
4213 DOUGHERTY COURT
VIRGINIA BEACH VA 23455-5650

Earnings summary
Earnings are for the current calendar year. All prior year entries are summarized separately. This information should not be used for tax purposes. Refer to the disclosure on the back of the first page.

		Current period		Year-to-date
PaineWebber Money fund dividends	$	3.91	$	54.76
Other dividends		185.70		1,328.00
Other		3,575.02		6,620.47
Prior month(s) adjustments		.30		.00
Total current year security earnings	$	3,764.93	$	8,003.23
Prior year(s) entries and adjustments		.00		4.32
Net security earnings	$	3,764.93	$	8,007.55
Private investment distributions	$	.00	$	29.20

Asset summary
Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	(% of portfolio)		Value
Money funds	.90		704.75
Mutual funds	99.10		77,113.87
Net invested assets	100.00	$	77,818.62
Value on December 29		$	77,818.62

Investment objectives
Investment objectives describe the overall goals you have for this account. PaineWebber has new investment objectives, which include a return objective and a primary and, if applicable, secondary risk profile. A description of the alternatives is included on the back of the first page. Please contact your Financial Advisor or Branch Manager at your branch office to update your account objectives.

1. Income 2. Growth 3. 4.

Account Instructions
PaineWebber is your IRA custodian.
Your signature is required to "Household" statements.

Bulletin Board
IF YOU DO NOT HOLD OUTSIDE ASSETS 1) RETAIN THIS STMT FOR 2000 YEAR-END VALUE 2) THIS INFO IS BEING FURNISHED TO IRS 3) NET ACCT VALUE + ANNUITIES + PRIVATE INVESTMENTS = TOTAL VALUE.

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Activity highlights

	Current period	Year-to-date
Private investment distributions received	$ .00	$ 29.20
Professional management fees and related services	.00	-764.41
Miscellaneous charges and adjustments	.00	-20.00
Net change from activity listed above	$ .00	$ -755.21

Portfolio summary

	Current period	Year-to-date
Opening value	$ 76,651.19	$ 79,122.41
Net change from Activity Highlights	.00	-755.21
Net security earnings	3,764.93	8,007.55
Change in value of investments	-1,497.50	-8,556.13
Value on December 29	$ 77,818.62	$ 77,818.62

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. Refer to the disclosure on the back of the first page. An asterisk (*) indicates a change in the original transaction data from the prior month or the display of new data input at your branch office. Gains/losses are not calculated for zero coupon investments.

Money funds

Description	Opening balance	Closing balance	Closing share price	Average yield	Dividend period	Days in period
PW RETIREMENT MONEY FUND	700.84	704.75	1.00	5.96%	11/16 - 12/20	35
Total	$ 700.84	$ 704.75				

Mutual funds

Unrealized gain/loss indicates the cost basis versus the current value for each security lot from a tax reporting perspective and is the amount that would be realized should you sell the lot. Cost basis may need to be adjusted for return of capital payments. An "earnings" lot represents the aggregate cost basis of all dividends paid that were reinvested in the fund. If you have earnings lots, an additional calculation appears showing the overall change in value of your current position versus the money you invested in the fund. This "investment return" shows overall performance of your total investment including dividends reinvested in the fund and market appreciation or depreciation. The "investment return" figure does not include cash dividends not reinvested in the fund.

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
1,009.763	GROWTH FUND OF AMER	27.080	27,344.38	146	Earnings	304.241	25.801	7,849	389
						705.522	---This information was unavailable---		
2.967	OPPENHEIMER HIGH YIELD FUND INC	10.720	31.80	4	Earnings	2.967	13.562	40	-8
	Total		$ 27,376.18	150				7,889	381

4454

PACE portfolio

Total shares	*Description*	*Price*	*Current value*	*Est. annual income*	*Trade date*	*Shares purchased*	*Purchase price*	*Cost basis*	*Unrealized Gain/loss*
252.285	PACE GLOBAL FIXED INCOME	10.750	2,712.06 *	116	06/13/97	123.888	12.040	1,491	-159
	INVEST CLASS P				08/19/98	19.820	12.330	244	-30
	Total client investment:		$ 2,511		09/17/99	29.109	11.750	342	-29
	Investment return:		$ 201		01/14/00	27.099	11.210	303	-11
					03/17/00	11.878	11.060	131	-3
					Earnings	40.511	11.934	483	-47
357.923	PACE INTL EQUITY	15.110	5,408.21	71	05/13/97	266.164	14.350	3,830	191
	INVEST CLASS P				06/19/98	19.169	15.830	303	-13
	Total client investment:		$ 4,223		09/17/99	5.152	17.540	90	-12
	Investment return:		$ 1,185		Earnings	67.438	16.339	1,101	-82
312.048	PACE INTL EMERGING MKTS	9.240	2,883.32	32	05/13/97	103.843	14.020	1,455	-495
	EQUITY INVEST CLASS P				06/19/98	132.184	10.190	1,346	-124
	Total client investment:		$ 3,584		01/06/99	46.541	9.550	444	-13
	Investment return:		$ -700		Earnings	5.869	11.371	66	-11
					03/17/00	23.611	14.380	339	-120
381.599	PACE LG CO VALUE EQUITY	17.660	6,385.83	227	05/13/97	196.341	17.400	3,416	51
	INVEST CLASS P				09/17/99	20.218	19.990	404	-46
	Total client investment:		$ 5,163		01/14/00	40.389	17.580	710	3
	Investment return:		$ 1,222		03/17/00	39.331	16.110	633	61
					Earnings	65.320	17.975	1,174	-20
334.909	PACE LG CO GROWTH EQUITY	20.200	6,765.16		05/13/97	242.048	18.300	3,945	944
	INVEST CLASS P				Earnings	92.861	21.474	1,994	-118
	Total client investment:		$ 3,945						
	Investment return:		$ 2,820						
1,099.990	PACE MONEY MKT	1.000	1,099.99		05/13/97	274.400	1.000	274	
	INVEST CLASS P				06/19/98	103.650	1.000	103	
	Total client investment:		$ 939		01/06/99	184.650	1.000	184	
	Investment return:		$ 160		09/17/99	307.580	1.000	307	
					01/14/00	91.720	1.000	91	
					Earnings	157.990	1.000	157	
760.882	PACE SM/MED CO VALUE	14.900	11,188.14	320	05/13/97	357.854	16.010	5,371	-38
	EQUITY INVEST CLASS P				01/06/99	74.465	14.920	1,111	-1
	Total client investment:		$ 9,188		09/17/99	36.361	14.890	541	
	Investment return:		$ 2,000		01/14/00	104.902	13.280	1,393	179

Continued on page 4

PZCL184406-X18

PACE portfolio - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
					03/17/00	61.738	12.520	772	147
					Earnings	115.562	14.722	1,701	20
380.143	PACE SM/MED CO GROWTH	16.100	6,120.30		05/13/97	182.581	12.410	2,265	674
	EQUITY INVEST CLASS P				Earnings	197.562	17.518	3,460	-299
	Total client investment:		$ 2,265						
	Investment return:		$ 3,855						
567.169	PACE STRATEGIC FIXED	12.850	7,174.66	427	06/13/97	327.113	12.620	4,128	9
	INCOME INVEST CLASS P				06/19/98	26.695	13.310	355	-17
	Total client investment:		$ 5,813		09/17/99	45.606	12.380	564	12
	Investment return:		$ 1,361		01/14/00	57.216	11.950	683	40
					03/17/00	6.861	12.170	83	3
					Earnings	103.679	12.666	1,312	
	Total		$ 49,737.69	1,193				49,099	637

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/30		**Balance forward**	$ .00
12/01	DIVIDEND	OPPENHEIMER HIGH YIELD	.30
		FUND INC	
		AS OF 11/30/00	
12/19	DIVIDEND	GROWTH FUND OF AMER	126.59
		AS OF 12/19/00	
12/19	LT CAP GAIN	GROWTH FUND OF AMER	3,675.02
		20% RATE GAIN	
		AS OF 12/19/00	
12/22	DIVIDEND	PACE MONEY MKT	5.91
		INVEST CLASS P	
		AS OF 12/15/00	
12/22	DIVIDEND	PW RETIREMENT MONEY FUND	3.91
12/27	DIVIDEND	PACE STRATEGIC FIXED	40.32
		INCOME INVEST CLASS P	
		AS OF 12/19/00	
12/27	DIVIDEND	PACE GLOBAL FIXED INCOME	10.43
		INVEST CLASS P	
		AS OF 12/19/00	
12/29	DIVIDEND	PACE MONEY MKT	2.03
		INVEST CLASS P	
		AS OF 12/28/00	
12/29	DIVIDEND	OPPENHEIMER HIGH YIELD	.42
		FUND INC	
		AS OF 12/28/00	
		Funds used for security transactions	$ -3,761.02
		Funds used for money fund transactions	$ -3.91
12/29		**Closing balance**	$ .00

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PaineWebber
Retirement Account

PZCL154406-X16

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
12/01	REINVESTMENT	1000TH OPPENHEIMER HIGH YIELD FUND INC DIVIDEND REINVESTED AT 10.57 NAV ON 11/30/00 AS OF 11/30/00	28.000		$ .30
12/19	REINVESTMENT	GROWTH FUND OF AMER DIVIDEND REINVESTED AT 27.07 NAV ON 12/18/00 AS OF 12/18/00	4.675		126.59
12/19	REINVESTMENT	GROWTH FUND OF AMER 20% RATE GAIN REINVESTED AT 27.07 NAV ON 12/18/00 AS OF 12/18/00	132.066		3,575.02
12/22	REINVESTMENT	PACE MONEY MKT INVEST CLASS P DIVIDEND REINVESTED AT 1.00 NAV ON 12/15/00 AS OF 12/15/00	5.910		5.91
12/27	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVEST CLASS P DIVIDEND REINVESTED AT 12.67 NAV ON 12/19/00 AS OF 12/19/00	3.208		40.32
12/27	REINVESTMENT	1000TH PACE GLOBAL FIXED INCOME INVESTMENTS DIVIDEND REINVESTED AT 10.51 NAV ON 12/19/00 AS OF 12/19/00	992.000		10.43
12/29	REINVESTMENT	PACE MONEY MKT INVEST CLASS P DIVIDEND REINVESTED AT 1.00 NAV ON 12/28/00 AS OF 12/28/00	2.030		2.03
12/29	REINVESTMENT	1000TH OPPENHEIMER HIGH YIELD FUND INC DIVIDEND REINVESTED AT 10.73 NAV ON 12/28/00 AS OF 12/28/00	39.000		42
		Securities purchased			$ 3,761.02

Money fund transactions

Date	Activity	Money fund		Amount
11/30		**Opening PW Retirement Money Fund**	**$**	**700.84**
12/22	BOUGHT	PW RETIREMENT MONEY FUND		3.91
12/29		**Closing PW Retirement Money Fund**	**$**	**704.75**
		Money funds purchased	**$**	**3.91**

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2000 Year-End Summary

Individual Retirement Account

Account Number [redacted]

Your Financial Advisor
ANDERSON/HUUE
757-622-1321/800-522-5762

PaineWebber
Retirement Account

PZCL154407-X16

Dear Valued Client,

Your enclosed 2000 PaineWebber Year-End Summary details important tax-related information designed to assist you and your tax advisor in your tax planning and preparation process. Please be aware that your January month-end statement will reflect any transactions with a transaction date in December, but a settlement or posting date in January.

You should always consult your own tax advisor for reporting advice and refer to official tax documents for tax reporting purposes. Official tax documents are mailed to you under separate cover. This statement is for informational purposes only and is not a tax document. Questions should be directed to your Financial Advisor.

Activity highlights

		Year-to-date
Private investment distributions received	$	29.20
Professional management fees and related services		-764.41
Miscellaneous charges and adjustments		-20.00
Net change from activity listed above	**$**	**-755.21**

Portfolio summary

		Year-to-date
Opening value	$	79,122.41
Net change from Activity Highlights		-755.21
Net security earnings		8,007.65
Change in value of investments		-8,556.13
Value on December 29	**$**	**77,818.62**

Earnings summary

		Paid in 2000
PaineWebber Money fund dividends	$	54.76
Other dividends		1,328.00
Other		6,620.47
Total current year security earnings	**$**	**8,003.23**
Prior year(s) entries and adjustments		4.32
Net security earnings	**$**	**8,007.65**
Private investment distributions		29.20

4458

2000 Realized gains and losses

Estimated 2000 gains and losses for transactions with trade dates through 12/28/00 have been incorporated into this statement. This information can be used for year-end tax planning strategies but should not be used for filing purposes and may not be a complete list. Fully only on year-end tax forms, (i.e., form 1099, 5498, 1042S, etc.) when preparing your tax return. The default security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a manual change in information or a position opened and closed during the current month. Gains/losses may not be adjusted for all capital changes and are not calculated for zero coupon securities. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Long-term capital gains and losses - Assets held more than one year:									
PACE INTL EMERGING MKTS EQUITY INVEST CLASS P	FIFO	39.777	05/13/97	01/14/00	$ 608.59	$ 657.67	$ 50.92		
PACE INTL EQUITY INVEST CLASS P	FIFO	19.654	05/13/97	01/14/00	388.95	282.82		106.13	
PACE LG CO GROWTH EQUITY INVEST CLASS P	FIFO	3.564	05/13/97	03/17/00	107.69	58.09		49.60	
PACE SM/MED CO GROWTH	FIFO	78.132	05/13/97	01/14/00	2,158.56	969.62		1,189.94	
EQUITY INVEST CLASS P	FIFO	53.966	05/13/97	03/17/00	1,876.08	669.59		1,206.47	
Total					$ 5,140.85	$ 2,537.79	$ 50.92	$ 2,603.06	$ 2,603.06
Gains/Losses not calculated:									
PACE LG CO GROWTH EQUITY INVEST CLASS P		.865	05/13/97	01/14/00		$ 14.10	--This information was unavailable--		
Total						$ 14.10			

2000 Realized capital gain/loss summary

Long-term gains/losses held more than one year:	$ 2,600.06
Net year-to-date capital gains/losses excluding transactions for which gains/losses are not calculated	**$ 2,603.06**

December 2000
Individual Retirement Account

PAINEWEBBER INC.
ONE COMMERCIAL PLACE
11TH FLOOR
NORFOLK, VA 23510
PZCG060680-X16 1200 - VN -

Value on November 30	$	42,810.23
Value on December 29	$	44,224.48
Debit balance in 12/29 value	$	-35.00

IRA -ED

PaineWebber Retirement Account

Account Number [redacted] PZCG060680-X16
Social Security Number 269-38-1177 1481632 - 000010

Your Financial Advisor
ANDERSON/HUME 757-622-1321/800-522-6782

EDWARD L SCHROCK
4213 DOUGHERTY COURT
VIRGINIA BEACH VA 23455-5650

Contribution summary
We have categorized deductible and non-deductible contributions based on information you have provided. To ensure accuracy, please provide the most current information.

	Current period	Tax year 2000	Tax year 1999
Transfers	.00	.02	.00

Earnings summary
Earnings are for the current calendar year. All prior year entries are summarized separately. This information should not be used for tax purposes. Refer to the disclosure on the back of the first page.

		Current period		Year-to-date
Dividends	$	96.89	$	1,388.69
Other		.00		2,324.04
Prior month(s) adjustments		.26		.00
Total current year security earnings	$	97.15	$	3,712.93
Prior year(s) entries and adjustments		.00		1.27
Net security earnings	$	97.15	$	3,714.20

Investment objectives
Investment objectives describe the overall goals you have for this account. PaineWebber has new investment objectives, which include a return objective and a primary and, if applicable, secondary risk profile. A description of the alternatives is included on the back of the first page. Please contact your Financial Advisor or Branch Manager at your branch office to update your account objectives.

1. Income 2. Growth 3. 4.

Account Instructions
PaineWebber is your IRA custodian.

Bulletin Board
IF YOU DO NOT HOLD OUTSIDE ASSETS: 1) RETAIN THIS STMT FOR 2000 YEAR-END VALUE 2) THIS INFO IS BEING FURNISHED TO IRS 3) NET ACCT VALUE + ANNUITIES + PRIVATE INVESTMENTS = TOTAL VALUE.

Asset summary
Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio		Value
Mutual funds	100.00		44,259.48
Less debit balance			-35.00
Value on December 29		$	44,224.48

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Activity highlights

	Current period	Year-to-date
Deposits	$ .00	$ .02
Professional management fees and related services	.00	-582.05
Miscellaneous charges and adjustments	.00	-35.00
Net change from activity listed above	$ .00	$ -617.03

Portfolio summary

	Current period	Year-to-date
Opening value	$ 42,810.23	$ 46,377.85
Net change from Activity Highlights	.00	-617.03
Net security earnings	97.16	3,714.20
Change in value of investments	1,317.10	-5,250.54
Value on December 29	$ 44,224.48	$ 44,224.48

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. Refer to the disclosure on the back of the first page. An asterisk (*) indicates a change in the original transaction data from the prior month or the display of new data input at your branch office. Gains/losses are not calculated for zero coupon investments.

Mutual funds

Unrealized gain/loss indicates the cost basis versus the current value for each security lot from a tax reporting perspective and is the amount that would be realized should you sell the lot. Cost basis may need to be adjusted for return of capital payments. An "earnings" lot represents the aggregate cost basis of all dividends paid that were reinvested in the fund. If you have earnings lots, an additional calculation appears showing the overall change in value of your current position versus the money you invested in the fund. This "investment return" shows overall performance of your total investment including dividends reinvested in the fund and market appreciation or depreciation. The "investment return" figure does not include cash dividends not reinvested in the fund.

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
526.611	BOND FUND OF AMERICA	12.790	6,735.36	480	Earnings	93.662	13.154	1,232	-34
						432.949	—This information was unavailable···		
2.305	OPPENHEIMER HIGH YIELD FUND INC	10.720	24.70	3	Earnings	2.306	13.527	31	-6
	Total		$ 6,760.06	493				1,263	-40

4461

PACE portfolio

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
192.433	PACE GLOBAL FIXED INCOME	10.750	2,068.65	88	05/13/97	95.660	12.040	1,151	-122
	INVEST CLASS P				06/19/98	15.353	12.330	189	-23
	Total client investment:		$ 1,911		09/17/99	21.778	11.750	255	-20
	Investment return:		$ 157		01/14/00	19.965	11.210	223	-8
					03/17/00	8.449	11.060	93	-2
					Earnings	31.228	11.938	372	-36
273.972	PACE INTL EQUITY	15.110	4,139.71	65	05/13/97	204.466	14.390	2,942	147
	INVEST CLASS P				06/19/98	14.849	15.830	235	-10
	Total client investment:		$ 3,225		09/17/99	2.774	17.540	48	-6
	Investment return:		$ 914		Earnings	51.883	16.338	847	-63
238.016	PACE INTL EMERGING MKTS	9.240	2,199.26	24	05/13/97	78.463	14.020	1,100	-375
	EQUITY INVEST CLASS P				06/19/98	102.378	10.190	1,043	-97
	Total client investment:		$ 2,728		01/06/99	35.424	9.550	338	-10
	Investment return:		$ -528		Earnings	4.533	11.365	51	-9
					03/17/00	17.218	14.380	247	-87
275.606	PACE LG CO VALUE EQUITY	17.560	4,870.73	174	05/13/97	151.690	17.400	2,639	39
	INVEST CLASS P				09/17/99	14.706	19.990	294	-34
	Total client investment:		$ 3,926		01/14/00	29.950	17.590	524	3
	Investment return:		$ 944		03/17/00	29.145	16.110	469	45
					Earnings	50.413	17.977	906	-15
255.456	PACE LG CO GROWTH EQUITY	20.200	5,160.21		05/13/97	164.114	18.300	3,001	718
	INVEST CLASS P				Earnings	71.342	21.473	1,531	-89
	Total client investment:		$ 3,001						
	Investment return:		$ 2,159						
407.590	PACE MONEY MKT	1.000	407.59		01/14/00	212.340	1.000	212	
	INVEST CLASS P				03/17/00	160.230	1.000	160	
	Total client investment:		$ 372		Earnings	35.120	1.000	35	
	Investment return:		$ 36						
571.291	PACE SM/MED CO VALUE	14.900	8,511.78	243	05/13/97	277.158	16.010	4,180	-30
	EQUITY INVEST CLASS P				01/06/99	56.844	14.920	848	-1
	Total client investment:		$ 6,981		09/17/99	26.122	14.890	388	1
	Investment return:		$ 1,530		01/14/00	78.102	13.280	1,037	126
					03/17/00	43.814	12.620	546	104
					Earnings	89.221	14.726	1,313	16

Continued on page 4

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PZC0060693-X18
1481632 - 000013

PACE portfolio - continued

Total shares	Description	Price	Current value	Est annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
289.987	PACE SMALLED CO GROWTH EQUITY INVEST CLASS P	16.100	4,668.79		05/13/97	138.698	12.410	1,721	512
					Earnings	151.289	17.625	2,666	-230
	Total client investment:		$ 1,721						
	Investment return:		$ 2,947						
432.618	PACE STRATEGIC FIXED INCOME INVEST CLASS P	12.650	5,472.61	325	05/13/97	253.345	12.620	3,197	7
					06/19/98	20.681	13.310	275	-13
	Total client investment:		$ 4,426		09/17/99	32.910	12.380	407	9
	Investment return:		$ 1,046		01/14/00	42.000	11.950	501	30
					03/17/00	3.824	12.170	46	2
					Earnings	79.858	12.657	1,010	
	Total		$ 37,499.43	909				37,022	479

Monthly activity

Cash flow

Date	Activity	Description	Amount
11/30		**Balance forward**	$ -35.00
12/01	DIVIDEND	OPPENHEIMER HIGH YIELD FUND INC AS OF 11/30/00	26
12/22	DIVIDEND	PACE MONEY MKT INVEST CLASS P AS OF 12/15/00	2.23
12/27	DIVIDEND	PACE STRATEGIC FIXED INCOME INVEST CLASS P AS OF 12/19/00	30.76
12/27	DIVIDEND	PACE GLOBAL FIXED INCOME INVEST CLASS P AS OF 12/19/00	7.95
12/27	DIVIDEND	BOND FUND OF AMERICA AS OF 12/26/00	64.84
12/29	DIVIDEND	PACE MONEY MKT INVEST CLASS P AS OF 12/28/00	76
12/29	DIVIDEND	OPPENHEIMER HIGH YIELD FUND INC AS OF 12/28/00	.35
		Funds used for security transactions	$ -97.15
12/29		**Closing balance**	$ -35.00

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PZC0060684-X16
1481632 - 000014

ecurity transactions

ifer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

ate	Activity	Description	Quantity	Price / Value	Amount
2/01	REINVESTMENT	1000TH OPPENHEIMER HIGH YIELD FUND INC DIVIDEND REINVESTED AT 10.67 NAV ON 11/30/00 AS OF 11/30/00	25.000		$ .26
2/22	REINVESTMENT	PACE MONEY MKT INVEST CLASS P DIVIDEND REINVESTED AT 1.00 NAV ON 12/15/00 AS OF 12/15/00	2.230		2.23
12/27	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVEST CLASS P DIVIDEND REINVESTED AT 12.57 NAV ON 12/19/00 AS OF 12/19/00	2.447		30.76
12/27	REINVESTMENT	1000TH PACE GLOBAL FIXED INCOME INVESTMENTS DIVIDEND REINVESTED AT 10.51 NAV ON 12/19/00 AS OF 12/19/00	756.000		7.95
12/27	REINVESTMENT	BOND FUND OF AMERICA DIVIDEND REINVESTED AT 12.78 NAV ON 12/26/00 AS OF 12/26/00	4.291		54.84
12/29	REINVESTMENT	1000TH PACE MONEY MKT INVESTMENTS DIVIDEND REINVESTED AT 1.00 NAV ON 12/28/00 AS OF 12/28/00	760.000		.76
12/29	REINVESTMENT	1000TH OPPENHEIMER HIGH YIELD FUND INC DIVIDEND REINVESTED AT 10.73 NAV ON 12/28/00 AS OF 12/28/00	33.000		.35
		Securities purchased			$ 97.16

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2000 Year-End Summary

Individual Retirement Account

Account Number [redacted]
Your Financial Advisor
ANDERSON/HUME
757-622-1321/800-522-5782

PaineWebber
Retirement Account

PZCG060683-X16
1481632 - 000015

Dear Valued Client,

Your enclosed 2000 PaineWebber Year-End Summary details important tax-related information designed to assist you and your tax advisor in your tax planning and preparation process. Please be aware that your January month-end statement will reflect any transactions with a transaction date in December, but a settlement or posting date in January.

You should always consult your own tax advisor for reporting advice and refer to official tax documents for tax reporting purposes. Official tax documents are mailed to you under separate cover. This statement is for informational purposes only and is not a tax document. Questions should be directed to your Financial Advisor.

Activity highlights

		Year-to-date
Deposits	$	.02
Professional management fees and related services		-582.05
Miscellaneous charges and adjustments		-35.00
Net change from activity listed above	$	-617.03

Portfolio summary

		Year-to-date
Opening value	$	46,377.85
Net change from Activity Highlights		-617.03
Net security earnings		3,714.20
Change in value of investments		-5,250.54
Value on December 29	$	44,224.48

Earnings summary

		Paid in 2000
Dividends	$	1,388.89
Other		2,324.04
Total current year security earnings	$	3,712.93
Prior year(s) entries and adjustments		1.27
Net security earnings	$	3,714.20

2000 Realized gains and losses

Estimated 2000 gains and losses for transactions with trade dates through 12/29/00 have been incorporated into this statement. This information can be used for year-end tax planning strategies but should not be used for filing purposes and may not be a complete list. Rely only on year-end tax forms, (i.e., form 1099, 5498, 1042S, etc.) when preparing your tax return. The oldest security lot is liquidated first to calculate gains/losses (first-in, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a manual change in information or a position opened and closed during the current month. Gains/losses may not be adjusted for all capital changes and are not calculated for zero coupon securities. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Short-term capital gains and losses - Assets held one year or less:									
PACE MONEY MKT	FIFO	3.430	02/16/99	01/24/00	$ 3.43	$ 3.43			
INVEST CLASS P	FIFO	1.860	03/16/99	01/24/00	1.86	1.86			
	FIFO	2.140	04/15/99	01/24/00	2.14	2.14			
	FIFO	1.850	05/17/99	01/24/00	1.85	1.85			
	FIFO	1.590	06/16/99	01/24/00	1.59	1.59			
	FIFO	1.750	07/16/99	01/24/00	1.75	1.75			
	FIFO	1.500	08/16/99	01/24/00	1.50	1.50			
	FIFO	1.220	09/16/99	01/24/00	1.22	1.22			
	FIFO	28.160	09/17/99	01/24/00	28.01	28.16	-.15		
	FIFO	148.630	09/17/99	04/24/00	148.63	148.63			
	FIFO	144.710	09/17/99	07/26/00	144.71	144.71			
	FIFO	53.610	01/14/00	10/26/00	53.28	53.61	-.33		
Total					$ 389.97	$ 390.45	$ -.48		$ -0.48
Long-term capital gains and losses - Assets held more than one year:									
PACE INTL EMERGING MKTS EQUITY INVEST CLASS P	FIFO	31.673	05/13/97	01/14/00	$ 484.50	$ 444.06		$ 40.54	
PACE INTL EQUITY INVEST CLASS P	FIFO	16.364	05/13/97	01/14/00	323.85	235.48		88.37	
PACE LG CO GROWTH EQUITY INVEST CLASS P	FIFO	1.774	05/13/97	01/14/00	51.84	28.92		22.92	
PACE MONEY MKT INVEST CLASS P	FIFO	3.516	05/13/97	03/17/00	106.25	57.31		48.94	
	FIFO	100.680	01/06/99	01/24/00	100.81	100.68		.13	
	FIFO	81.740	09/17/99	10/25/00	82.04	81.74		.30	
PACE SM/MED CO GROWTH EQUITY INVEST CLASS P	FIFO	81.263	05/13/97	01/14/00	1,693.31	760.27		933.04	
	FIFO	41.980	05/13/97	03/17/00	1,459.65	520.97		938.68	
Total					$ 4,302.35	$ 2,229.43		$ 2,072.92	$ 2,072.92

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1481632 - 000017

1000 Realized gains and losses - continued

Security description	Method	Quantity/ Face value	Purchase date	Sale date	Sale amount	Purchase amount	Loss	Gain	Net gain/loss
Unclassified gains/losses:									
PAGE MONEY MKT INVEST CLASS P	FIFO	9.170	Earnings	10/25/00	$ 9.20	$ 9.17	$	.03	
Total					$ 9.20	$ 9.17	$	.03	$ 0.03
Net capital gains/losses									$ 2,072.47

2000 Realized capital gain/loss summary

Short-term gains/losses held one year or less:	$ -.48
Long-term gains/losses held more than one year:	$ 2,072.92
Unclassified gains/losses	$ .03
Net year-to-date capital gains/losses	$ 2,072.47

2000 Year-End Summary

Personal Trust Account

Account Number [redacted]
Your Financial Advisor
ANDERSON/HUME
757-622-1321/800-522-5782

PaineWebber
Resource Management Account®

PZCG060578-X16
1481632 - 000008

Dear Resource Management Account Client,

Your enclosed 2000 PaineWebber Year-End Summary details important tax-related information designed to assist you and your tax advisor in your tax planning and preparation process. Please be aware that your January month-end statement will reflect any transactions with a transaction date in December, but a settlement or posting date in January.

The Resource Management Account (RMA ®) helps you to effectively organize your financial records. The Year-End Summary and other RMA features, including check writing, the complimentary bank card and B/A Payment and Electronic Funds Transfer Services, can help you manage your money more efficiently.

You should always consult your own tax advisor for reporting advice and refer to official tax documents for tax reporting purposes. Official tax documents are mailed to you under separate cover. This statement is for informational purposes only and is not a tax document. Questions should be directed to your Financial Advisor or the PaineWebber Service Group at 800-RMA-1000.

Activity highlights

		Year-to-date
Professional management fees and related services	$	-820.96
Resource Management Account fee		-85.00
Net change from activity listed above	$	-905.96

Portfolio summary

		Year-to-date
Opening value	$	105,850.55
Net change from Activity Highlights		-905.96
Net security earnings		9,635.68
Change in value of investments		-8,092.39
Value on December 29	$	106,487.88

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Earnings summary

		Paid in 2000
Taxable		
PaineWebber Money fund dividends	$	83.74
Other dividends		2,059.51
Other		7,407.95
Total taxable security earnings	$	9,551.20
Non-taxable		
Dividends/interest	$	79.23
Total non-taxable security earnings	$	79.23
Total current year security earnings	$	9,630.43
Prior year(s) adjustments		5.25
Net security earnings	$	9,635.68

2000 Realized gains and losses

Estimated 2000 gains and losses for transactions with trade dates through 12/29/00 have been incorporated into this statement. This information can be used for year-end tax planning strategies but should not be used for filing purposes and may not be a complete list. Rely only on year-end tax forms, (i.e., form 1099, 5498, 1042S, etc.) when preparing your tax return. The oldest security lot is liquidated first to calculate gains/losses (lastin, first-out or FIFO accounting method) unless you specified which lot to close when you placed your order (a versus purchase or VSP order). An asterisk (*) indicates a manual change in information or a position opened and closed during the current month. Gains/losses may not be adjusted for all capital changes and are not calculated for zero coupon securities. Cost basis for tax-exempt and AMT eligible coupon municipal securities has been adjusted automatically for estimated amortization of bond premiums. Estimates in the "Unclassified" section can not be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security description	*Method*	*Quantity/ Face value*	*Purchase date*	*Sale date*	*Sale amount*	*Purchase amount*	*Loss*	*Gain*	*Net gain/loss*
Long-term capital gains and losses - Assets held more than one year:									
PACE INTL EMERGING MKTS EQUITY INVEST CLASS P	FIFO	36.186	06/02/97	01/14/00	$ 553.65	$ 533.02		$ 20.63	
PACE INTL EQUITY INVEST CLASS P	FIFO	24.457	06/02/97	01/14/00	484.00	358.05		125.95	
PACE LG CO GROWTH EQUITY INVEST CLASS P	FIFO	1.337	06/02/97	01/14/00	39.06	22.22		16.84	
	FIFO	4.912	06/02/97	03/17/00	148.44	81.64		66.80	
PACE SM/MED CO GROWTH EQUITY INVEST CLASS P	FIFO	74.520	06/02/97	01/14/00	2,059.72	962.05		1,097.67	
	FIFO	51.910	06/02/97	03/17/00	1,804.92	670.16		1,134.76	
Total					$ 5,089.79	$ 2,627.14		$ 2,462.65	$ 2,462.65

2000 Realized capital gain/loss summary

Long-term gains/losses held more than one year:	$ 2,462.65

December 2000
Personal Trust Account

PAINEWEBBER INC.
ONE COMMERCIAL PLACE
11TH FLOOR
NORFOLK, VA 23510
PZCG060672-X16 L200 - VN -

Value on November 30	$	101,952.87
Value on December 29	$	106,487.86
Money funds in 12/29 value	$	1,127.39

PaineWebber
Resource Management Account®

Account Number [redacted]

PZCG060672-X16
1481632 - 000002

Joint Account

Your Financial Advisor
ANDERSON/HUME 757-822-1321/800-522-5782

EDWARD L & JUDITH A SCHROCK
THEES SCHROCK FAMILY LIVING
TRUST UTD 8/26/75
6213 DOUGHERTY COURT
VIRGINIA BEACH VA 23455-5650

Earnings summary

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

	Current period	Year-to-date
Taxable		
PaineWebber Money fund dividends	$ 6.59	$ 63.74
Other dividends	295.53	2,069.61
Other	4,285.26	7,407.95
Total taxable security earnings	$ 4,587.38	$ 9,541.30
Non-taxable		
Dividends/interest	$ 7.15	$ 79.23
Total non-taxable security earnings	$ 7.15	$ 79.23
Total current year security earnings	$ 4,874.53	$ 9,630.43
Prior year(s) adjustments	.00	6.25
Net security earnings	$ 4,874.53	$ 9,636.68

Bulletin Board
YOUR 2000 YEAR-END SUMMARY IS ENCLOSED
AFTER THE DECEMBER STATEMENT. USE THIS
VALUABLE TOOL TO START PLANNING FOR YOUR
2000 TAX RETURN.

RESOURCELINE 1-800-RMA-1000; ACCOUNT #: 351016084

Investment objectives

Investment objectives describe the overall goals you have for this account. PaineWebber has nine investment objectives, which include a return objective and a primary and, if applicable, secondary risk profile. A description of the alternatives is included on the back of the first page. Please contact your Financial Advisor or Branch Manager at your branch office to update your account objectives.

1. Income 2. Growth 3. 4.

Asset summary

Refer to the disclosure on the back of the first page for information on assets excluded from this summary.

	% of portfolio	Value
Equities	.03	40.38
Money funds	1.05	1,127.39
Mutual funds	98.92	105,320.10
Net invested assets	**100.00**	$ **106,487.86**
Value on December 29		$ **106,487.86**

PaineWebber
Resource Management Account®

P2CG000873-X18
1491653 - 000003

Activity highlights

		Current period		Year-to-date
Professional management fees and related services	$	.00	$	-820.96
Resource Management Account fee		.00		-85.00
Net change from activity listed above	$	.00	$	-905.96

Portfolio summary

		Current period		Year-to-date
Opening value	$	101,952.87	$	106,850.55
Net change from Activity Highlights		.00		-905.96
Net security earnings		4,574.63		9,635.68
Change in value of investments		-39.52		-8,082.29
Value on December 29	$	106,487.98	$	106,487.98

Asset portfolio

When available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. Refer to the disclosure on the back of the first page. An asterisk (*) indicates a change in the original transaction data from the prior month or the display of new data input at your branch office. Gains/losses are not calculated for zero coupon investments.

Equities

Common stock

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
38	FIRSTCITY LIQUIDATING TR CL C	1.060	40.39			38.000	--The information was unavailable--		
	CBI								
	Total	$	40.39						

Money funds

Description	Opening balance	Closing balance	Closing share price	Average yield	Dividend period	Days in period
RMA MONEY MKT. PORTFOLIO	1,120.80	1,127.39	1.00	6.09%	11/16 - 12/20	35
Total	$ 1,120.80	$ 1,127.39				

Mutual funds

Unrealized gain/loss indicates the cost basis versus the current value for each security lot from a tax reporting perspective and is the amount that would be realized should you sell the lot. Cost basis may need to be adjusted for return of capital payments. An "earnings" lot represents the aggregate cost basis of all dividends paid that were reinvested in the fund. If you have earnings lots, an additional calculation appears showing the overall change in value of your current position versus the money you invested in the fund. This "investment return" shows overall performance of your total investment including dividends reinvested in the fund and market appreciation or depreciation. The "investment return" figure does not include cash dividends not reinvested in the fund.

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
1,869.679	WASH MUTUAL INV FD	29.030	54,276.77	1,084	09/20/94	5.640	17.820	100	53
					12/28/94	16.457	16.026	295	181
					12/28/94	7.442	19.445	144	72
					Earnings	782.593	28.221	22,085	533
						1,067.547	---This information was unavailable---		
	Total	$	54,276.77	1,084				22,625	949

PACE portfolio

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
208.379	PACE GLOBAL FIXED INCOME	10.760	2,240.07	96	06/02/97	97.371	11.990	1,167	-120
	INVEST CLASS P				06/19/98	16.127	12.330	198	-24
	Total client investment:		$ 2,019		09/17/99	25.847	11.760	303	-25
	Investment return:		$ 221		01/14/00	22.247	11.210	249	-9
					03/17/00	9.265	11.080	102	-2
					Earnings	37.522	12.018	450	-46
436.663	PACE INTL EQUITY	15.110	6,597.97	87	06/02/97	283.166	14.640	4,145	133
	INVEST CLASS P				06/19/98	16.806	15.830	262	-11
	Total client investment:		$ 5,377		01/05/99	54.815	16.650	907	-78
	Investment return:		$ 1,220		09/17/99	3.640	17.540	63	-7
					Earnings	78.437	16.411	1,287	-101
280.454	PACE INTL EMERGING MKTS	9.240	2,591.39	29	06/02/97	113.333	14.730	1,669	-621
	EQUITY INVEST CLASS P				06/19/98	140.925	10.190	1,436	-133
	Total client investment:		$ 3,399		Earnings	5.726	11.231	64	-11
	Investment return:		$ -807		03/17/00	20.471	14.390	294	-104
534.588	PACE LG CO VALUE EQUITY	17.660	9,440.82	335	06/02/97	206.405	17.760	3,665	-19
	INVEST CLASS P				01/05/99	100.945	20.050	2,023	-240
	Total client investment:		$ 8,254		09/17/99	31.370	19.980	627	-73
	Investment return:		$ 1,186		01/14/00	59.584	17.580	1,047	5
					03/17/00	55.416	16.110	892	86
					Earnings	80.868	17.837	1,442	-13

Continued on page 4

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1481632 - 000005

PACE portfolio - continued

Total shares	Description	Price	Current value	Est. annual income	Trade date	Shares purchased	Purchase price	Cost basis	Unrealized Gain/loss
373.313	PACE LG CO GROWTH EQUITY INVEST CLASS P	20.200	7,540.92		06/02/97	272.922	16.620	4,535	978
					Earnings	100.391	21.499	2,158	-130
	Total client investment:		$ 4,536						
	Investment return:		$ 3,005						
1,139.140	PACE MONEY MKT INVEST CLASS P	1.000	1,139.14		06/02/97	720.000	1.000	720	
					08/19/98	88.340	1.000	88	
	Total client investment:		$ 967		09/17/99	66.400	1.000	66	
	Investment return:		$ 172		01/14/00	93.790	1.000	93	
					Earnings	170.610	1.000	170	
144.450	PACE MUNICIPAL FIXED INCOME INVEST CLASS P	12.350	1,783.95	82	06/02/97	88.258	12.400	1,094	-4
					08/19/98	9.063	12.700	115	-3
	Total client investment:		$ 1,561		09/17/99	10.774	12.290	132	1
	Investment return:		$ 232		01/14/00	15.211	11.900	181	6
					03/17/00	2.452	12.000	29	1
					Earnings	18.592	12.392	231	
565.445	PACE SM/MED CO VALUE EQUITY INVEST CLASS P	14.900	8,425.13	241	06/02/97	305.548	15.590	4,764	-241
					09/17/99	28.394	14.890	422	1
	Total client investment:		$ 6,825		01/14/00	78.713	13.280	1,045	127
	Investment return:		$ 1,600		03/17/00	45.086	12.520	564	107
					Earnings	107.726	14.856	1,600	5
360.054	PACE SM/MED CO GROWTH EQUITY INVEST CLASS P	16.100	5,796.86		06/02/97	170.567	12.910	2,202	544
					Earnings	189.487	17.590	3,333	-262
	Total client investment:		$ 2,202						
	Investment return:		$ 3,594						
433.762	PACE STRATEGIC FIXED INCOME INVEST CLASS P	12.650	5,487.08	327	06/02/97	255.528	12.840	3,229	3
					08/19/98	14.857	13.310	197	-9
	Total client investment:		$ 4,450		09/17/99	36.859	12.380	456	10
	Investment return:		$ 1,037		01/14/00	43.469	11.950	519	30
					03/17/00	4.086	12.170	49	2
					Earnings	78.966	12.660	999	
	Total		$ 51,043.33	1,197				61,313	-267

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Monthly activity

Cash flow

Date	Activity	Description	Amount
11/30		**Balance forward**	$ .00
12/22	DIVIDEND	PACE MONEY MKT INVEST CLASS P AS OF 12/15/00	6.10
12/22	DIVIDEND	RMA MONEY MKT. PORTFOLIO	6.59
12/27	DIVIDEND	PACE STRATEGIC FIXED INCOME INVEST CLASS P AS OF 12/19/00	30.84
12/27	DIVIDEND	PACE MUNICIPAL FIXED INCOME INVEST CLASS P AS OF 12/19/00	7.15
12/27	DIVIDEND	PACE GLOBAL FIXED INCOME INVEST CLASS P AS OF 12/19/00	8.61
12/27	DIVIDEND	WASH MUTUAL INV FD AS OF 12/26/00	247.88
12/27	LT CAP GAIN	WASH MUTUAL INV FD 20% RATE GAIN AS OF 12/26/00	4,265.26
12/29	DIVIDEND	PACE MONEY MKT INVEST CLASS P AS OF 12/26/00	2.10
		Funds used for security transactions	$ -4,567.94
		Funds used for money fund transactions	$ -6.59
12/29		**Closing balance**	$ .00

Security transactions

Refer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities.

Date	Activity	Description	Quantity	Price / Value	Amount
12/22	REINVESTMENT	PACE MONEY MKT INVEST CLASS P DIVIDEND REINVESTED AT 1.00 NAV ON 12/15/00 AS OF 12/15/00	6.100	$	6.10
12/27	REINVESTMENT	PACE STRATEGIC FIXED INCOME INVEST CLASS P DIVIDEND REINVESTED AT 12.57 NAV ON 12/19/00 AS OF 12/19/00	2.453		30.84
12/27	REINVESTMENT	1000TH PACE MUNICIPAL FIXED INCOME INVESTMENTS DIVIDEND REINVESTED AT 12.30 NAV ON 12/19/00 AS OF 12/19/00	581.000		7.15
12/27	REINVESTMENT	1000TH PACE GLOBAL FIXED INCOME INVESTMENTS DIVIDEND REINVESTED AT 10.51 NAV ON 12/19/00 AS OF 12/19/00	819.000		8.61

Continued on page 6

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PaineWebber
Resource Management Account®

Security transactions - continued

Date	Activity	Description	Quantity	Price / Value	Amount
12/27	REINVESTMENT	WASH MUTUAL INV FD DIVIDEND REINVESTED AT 28.18 NAV ON 12/26/00 AS OF 12/26/00	8.796	$	247.88
12/27	REINVESTMENT	WASH MUTUAL INV FD 20% RATE GAIN REINVESTED AT 28.18 NAV ON 12/26/00 AS OF 12/26/00	151.358		4,265.26
12/29	REINVESTMENT	PACE MONEY MKT INVEST CLASS P DIVIDEND REINVESTED AT 1.00 NAV ON 12/28/00 AS OF 12/28/00	2.100		2.10
		Securities purchased		$	**4,587.94**

Money fund transactions

The RMA Money Mkt. Portfolio is your primary sweep fund.

Date	Activity	Money fund		Amount
11/30		**Opening RMA Money Mkt. Portfolio**	$	**1,120.80**
12/22	BOUGHT	RMA MONEY MKT. PORTFOLIO		6.59
12/29		**Closing RMA Money Mkt. Portfolio**	$	**1,127.39**
		Money funds purchased	$	**6.59**

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HAND DELIVERED

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

Robert C Scott
(Full Name)

7501 River Road Plaza C Newport News, VA 23607
(Mailing Address)

202-225-8351
Daytime Telephone

Filer Status ✓ Member of the U S House of Representatives | State VA District 03 | Officer Or Employee | Employing Office

Report Type ✓ Annual (May 15) | Amendment | Termination | Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e g , salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ✓

If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ✓

If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No

If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ✓ No

If yes, complete and attach Schedule IV

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ✓ No

If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i e , aggregating more than $260 and not otherwise exempt)? Yes ☐ No ✓

If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ✓ No

If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ✓ No

If yes, complete and attach Schedule VIII

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ✓

If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert C Scott	6/14/01

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
AFLAC	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
Alleghany/Chicago Trust Growth & Income (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Alleghany/Montag & Caldwell Growth (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Alleghany/Veredus Aggressive Growth (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Amazon.com Stock	$1,001 - $15,000		NONE	P
American International Group Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C. Scott

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Applebee's Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Ariel (Growth) (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
Ariel Appreciation (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
Automatic Data Processing Stock	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Baron Asset (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Black Oak Growth Stock (Mutual) Fund	$1,001 - $15,000		NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Cisco Sytems Stock	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	PS
Coca Cola Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
Colgate Palmolive Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Conn Mutual Growth Annuity Fund	$1,001 - $15,000		NONE	
Conn Mutual Income Annuity Fund	$1,001 - $15,000		NONE	
Conn Mutual International Annuity Fund	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Conn Mutual Total Return Annuity Fund	$1,001 - $15,000		NONE	
Dionex Stock	$1,001 - $15,000		NONE	P
Disney Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Dollar General Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Domini Social Equity (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	PS
EMC Corp Stock	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Federal Signal Corp Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Fidelity Dividend Growth (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Fidelity Select Biotechnology (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
Fidelity Select Electronics (Mutual) Fund	$1,001 - $15,000		NONE	P
Fidelity Select Health Care (Mutual) fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	PS
Fidelity Select Wireless (Mutual) Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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Fidelity Spartan Market Index (Mutual) Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
Firsthand Technology Leaders (Mutual) Fund	$1,001 - $15,000		NONE	P
Firsthand Technology Value (Mutual) fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
Gabelli Growth (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
General Electric Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
Guiness Flight Wired Index (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Harbor Capital Appreciation (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Home Depot Stock	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	PS
Illinois Tool Works	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Invesco Health Sciences (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
Janus Worldwide (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
Legg Mason Emerging Markets (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C Scott

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Legg Mason Focus Trust (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Legg Mason Opportunity Trust (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Legg Mason Special Investment Trust (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Legg Mason Value Trust (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Mairs & Powers Growth (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
Marsico Focus (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P

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Nasdaq-100 Index	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	PS
Northeast Investors Growth (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
Northern Funds Technology (Mutual) Fund	$1,001 - $15,000		NONE	P
Oak Value (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
Oakmark Select (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Oracle Stock	$1,001 - $15,000		NONE	P

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Paychex Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Pin Oak Aggressive Stock (Mutual) Fund	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	P
Proctor & Gamble Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Profit Lomax Value (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
RPM, Inc Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
Rydex Nova (Mutual) Fund	$1,001 - $15,000		NONE	P

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Sara Lee Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Sherwin Williams Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Sigma Aldridge Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS
Sysco Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S
Teleflex Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
TIAA_CREFF Social Choice Equity (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P

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Tootsie Roll Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
Torray (Mutual) Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
Tricon Global Restaurants Stocks	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Valspar Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
Value Line Asset Allocation (Mutual) fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Value Line Leveraged Growth (Mutual) Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P

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WalMart Stores Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Weitz Value Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
Wendy's Stock	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
White Oak Growth Stock (Mutual) Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
Abbott Labs	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Carlisle Cos Stock	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S

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Gillette Co Stock	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Johnson & Johnson Stock	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Pepsico Stock	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Walgreen's Stock	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
Wrigley's Stock	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
Fidelity Growth & Income (Mutual) Fund	None	CAPITAL GAINS	$1,001 - $2,500	S

SCHEDULE IV - TRANSACTIONS

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	AFLAC	PS	p3/16/2000; s12/26/2000; and various other transactions under $1,000	$1,001 - $15,000
	Alleghany/Chicago Trust Growth & Income (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Amazon com Stock	P	various transactions under $1000	$1,001 - $15,000
	Applebee's Stock	PS	various transacitons under $1000	$1,001 - $15,000
	Ariel (Growth) Fund	P	various transactions under $1000	$1,001 - $15,000
	Automatic Data Processing Stock	S	various transactions under $1000	$1,001 - $15,000
	Black Oak Growth Stock (Mutual) Fund	P	12/29/2000	$1,001 - $15,000
	Cisco Sytems Stock	P	various transactions under $1000	$1,001 - $15,000
	Coca Cola Stock	S	3/16/2000	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Colgate Palmolive Stock	S	vrious transactions under $1000	$1,001 - $15,000
	Dionex Stock	P	various transacitons under $1000	$1,001 - $15,000
	Dollar General Stock	S	8/3/2000	$1,001 - $15,000
	Domini Social Equity (Mutual) Fund	PS	s11/20/2000, s11/28/2000, s12/22/2000 and various transactions under $1000	$1,001 - $15,000
	EMC Corp Stock	P	12/15/2000 and various transactions under $1000	$1,001 - $15,000
	Fidelity Select Biotechnology (Mutual) Fund	PS	p-3/21/2000 and other transactions under $1000	$1,001 - $15,000
	Fidelity Select Electronics (Mutual) Fund	P	12/19/2000 and other transactions under $1000	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fidelity Select Health Care (Mutual) fund	PS	s-12/18/2000 s-12/19/2000 and various transations under $1000	$1,001 - $15,000
	Fidelity Select Wireless (Mutual) Fund	P	12/18/2000 and another transaction under $1000	$1,001 - $15,000
5	Fidelity Spartan Market Index (Mutual) Fund	PS	various transactions under $1000	$1,001 - $15,000
	Firsthand Technology Leaders (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Firsthand Technology Value (Mutual) fund	P	various transactions under $1000	$1,001 - $15,000
	Gabelli Growth (Mutual) Fund	P	7/24/2000 and various transactions udner $1000	$1,001 - $15,000
	General Electric Stock	P	3/16/2000	$1,001 - $15,000
	Guiness Flight Wired Index (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Harbor Capital Appreciation (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Home Depot Stock	PS	p-10/12/2000, p-10/17/2000, s-11/17/2000, s-12/29/2000	$1,001 - $15,000
	Illinois Tool Works	P	various purchases under $1000	$1,001 - $15,000
	Invesco Health Sciences (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Janus Worldwide (Mutual) Fund	P	3/20/2000 and various transactions under $1000	$1,001 - $15,000
	Legg Mason Emerging Markets (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Legg Mason Focus Trust (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Legg Mason Opportunity Trust (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Legg Mason Special Investment Trust (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Legg Mason Value Trust (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Mairs & Powers Growth (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Marsico Focus (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Nasdaq-100 Index	PS	p-12/28/2000 and various transactions under $1000	$1,001 - $15,000
	Northeast Investors Growth (Mutual) Fund	P	various transactions uner $1000	$1,001 - $15,000
	Northern Funds Technology (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Oakmark Select (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Oracle Stock	P	1/18/2000 and 11/13/2000	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Pin Oak Aggressive Stock (Mutual) Fund	P	12/26/2000 and various transactions under $1000	$1,001 - $15,000
	Proctor & Gamble Stock	PS	s-3/6/2000 p-various transactions under $1000	$1,001 - $15,000
	Professional Investment Associates -- Investment Club	P	$250/month	$1,001 - $15,000
	Profit Lomax Value (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	RPM, Inc Stock	P	4/17/2000 and various transacitons under $1000	$1,001 - $15,000
	Rydex Nova (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Sara Lee Stock	PS	s-3/16/2000 p-various transactions under $1000	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sherwin Williams Stock	PS	s-3/16/2000 p-various transactions under $1000	$1,001 - $15,000
	Sigma Aldridge Stock	S	3/16/2000	$1,001 - $15,000
	Sysco Stock	S	11/7/2000, 11/28/2000, 12/28/2000	$1,001 - $15,000
	Teleflex Stock	P	11/1/2000 and various transactions under $1000	$1,001 - $15,000
	TIAA CREFF Social Choice Equity (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Tootsie Roll Stock	PS	p-1/18/2000, s-10/12/2000, p-11/14/2000, s-12/26/2000	$1,001 - $15,000
	Torray (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Tricon Global Restaurants Stocks	P	2/1/2000 and various transactions under $1000	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Valspar Stock	P	various transactions under $1000	$1,001 - $15,000
	Value Line Leveraged Growth (Mutual) Fund	P	various trnasactions under $1000	$1,001 - $15,000
	Weitz Value Fund	P	various transactions under $1000	$1,001 - $15,000
	Wendy's Stock	PS	s-3/16/2000 p-10/31/2000	$1,001 - $15,000
	White Oak Growth Stock (Mutual) Fund	P	various transactions under $1000	$1,001 - $15,000
	Abbott Labs	S	s-10/23/2000	$1,001 - $15,000
	Papa John's Pizza	PS	s-12/20/2000 p-various transactions under $1000	$1,001 - $15,000
	Carlisle Cos Stock	S	3/16/2000	$1,001 - $15,000
	Gillette Co Stock	S	3/16/2000	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Robert C. Scott

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Johnson & Johnson Stock	S	various transactions under $1000	$1,001 - $15,000
	Pepsico Stock	S	3/16/2000 and other transactions under $1000	$1,001 - $15,000
	Synovus Stock	S	3/16/2000	$1,001 - $15,000
	Walgreen's Stock	PS	s-12/20/2000 and various transactions under $1000	$1,001 - $15,000
	Wrigley's Stock	S	3/16/2000	$1,001 - $15,000
	Fidelity Select Health Care (Mutual) fund	PS	s-12/18/2000 s-12/19/2000 and various transactions under $1000	$1,001 - $15,000
	Fidelity Growth & Income (Mutual) Fund	S	2/8/2000	$1,001 - $15,000
	Thermo Electron Stock	S	1/13/2000	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Robert C Scott

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Berkshire Focus (Mutual) Fund	PS	p-1/4/2000 s-12/22/2000 and various transactions under $1000	$1,001 - $15,000
	Rydex OTC (Mutual) Fund	PS	s-12/22/2000 p-various transactions under $1000	$1,001 - $15,000
	Red Oak Technology (Mutual) Fund	PS	p-7/7/2000 s-12/12/2000 and various transactions under $1000	$1,001 - $15,000

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SCHEDULE V - LIABILITIES

Name Robert C. Scott

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Suntrust Bank	mortgage on former residnce 405 Pin Oak Road, Newport News, VA	$100,001 - $250,000
	Chase Bank	credit card	$10,001 - $15,000
	American Express	credut card	$10,001 - $15,000
	Congressional FCU Washington, DC	credit card	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Robert C Scott

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
New York Stock Exchange, Bell Atlantic, Humpty Dumpty Institute	Jun 16-17	Washington, DC-New York City-Norfolk, VA	Y	Y	N	N/A
Amistad America	March 23-25	Washington, DC -Mystic CT	Y	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name Robert C Scott

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Hampton Roads March of Dimes

4504

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HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

The Honorable F James Sensenbrenner, Jr
(Full Name)

2332 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

(202)225-5101
Daytime Telephone

Filer Status	☑ Member of the U S House of Representatives	State WI District 09	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑ No ☐

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Jim Sensenbrenner	6/14/01

SCHEDULE I - EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sensenbrenner Family Trust (Trustee Fee)	Family	$25,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner,

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the f:: left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Wisc. State Retirement	$250,001 - $500,000	Dividends	NONE	
Abbott Laboratories stock	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	
BP/AMOCO	$100,001 - $250,000	Dividends	$2,501 - $5,000	
AT&T stock	$15,001 - $50,000	Dividends	$201 - $1,000	drip
Bank One stock	$100,001 - $250,000	Dividends	$5,001 - $15,000	
Verizon(Bell Atlantic)	$50,001 - $100,000	Dividends	$1,001 - $2,500	drip

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner,

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Bell South stock	$15,001 - $50,000	Dividends	$201 - $1,000	drip
Morgan Stanley/Dean Whitter	$15,001 - $50,000	Dividends	$201 - $1,000	
Dunn & Bradstreet stock	$50,001 - $100,000		NONE	
DuPont stock	$15,001 - $50,000	Dividends	$1,001 - $2,500	
Eastman Chemical stock	$1,001 - $15,000	Dividends	$201 - $1,000	
Eastman Kodak stock	$15,001 - $50,000	Dividends	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Exxon Mobil stock	$250,001 - $500,000	Dividends	$5,001 - $15,000	
	General Mills stock	$50,001 - $100,000	Dividends	$2,501 - $5,000	
	Halliburton Corp stock	$50,001 - $100,000	Dividends	$201 - $1,000	
	Reliant Energy (Houston Industries)	$1,001 - $15,000	Dividends	$201 - $1,000	
	Kellogg Corp stock	$50,001 - $100,000	Dividends	$2,501 - $5,000	
	Kimberly Clark Corp stock	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000	S
	General Electric	$500,000-1,000,000	Dividends	$5,001-$15,000	stock split

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Merck & Co stock	$1,000,001 - $5,000,000	Dividends	$15,001 - $50,000	
Minn Min & Mfg stock	$100,001 - $250,000	Dividends	$1,001 - $2,500	
Monsanto Corp stock	$250,001 - $500,000	Dividends	$2,501 - $5,000	
Newell/Rubbermaid	$15,001 - $50,000	Dividends	$1,001 - $2,500	
Covanta Energy (Ogden)	$15,001 - $50,000	Dividends	$1,001 - $2,500	
PG&E (Pacific Gas & Electric)	$1,001 - $15,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F James Sensenbrenner,

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Philip Morris Co stock	$250,001 - $500,000	Dividends	$5,001 - $15,000	
	Sears Roebuck stock	$1,001 - $15,000	Dividends	$1 - $200	
	SBC Comm stock	$50,001 - $100,000	Dividends	$1,001 - $2,500	drip
	Tenneco Automotive stock	$1 - $1,000	Dividends	$1 - $200	drip
	Unisys stock	$1,001 - $15,000		NONE	
SP	Sensient Technology(Universal Foods)	$50,001 - $100,000	Dividends	$1,001 - $2,500	drip

4510

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Qwest(US West)	$15,001 - $50,000	Dividends	$201 - $1,000	drip
Pfizer(Warner Lambert)	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	
IMS Health	$100,001 - $250,000	Dividends	$201 - $1,000	
Media One	$1,001 - $15,000	NA	NONE	S
RR Donnelly Corp.	$1,001 - $15,000	Dividends	$201 - $1,000	
Solutia	$15,001 - $50,000	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner,

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	General Electric	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	
	Cognizant Corp stock	$100,001 - $250,000	NA	NONE	
	Delphi Automotive stock	$1,001 - $15,000	NA	$1 - $200	
	Gartner Group stock	$1,001 - $15,000	NA	NONE	
	Wisconsin Energy stock	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Allstate Ins. stock	$15,001 - $50,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Darden Restaurants	$15,001 - $50,000	Dividends	$1 - $200	
Sandusky Voting Trust	$1,001 - $15,000	Dividends	NONE	
Vodaphone Airtouch Communication stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Highlands Insurance stock	$1 - $1,000		NONE	
Bank One, Milwaukee	$15,001 - $50,000	Interest	$1,001 - $2,500	
M&I Bank Lake Country Bank	$1,001 - $15,000	Interest	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner,

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Burke & Herbert Bank	$1,001 - $15,000	NA	NA	
	Firstar FSB, IRA	$50,001 - $100,000	NA	NA	
DC	FJS, III Trust	$100,001 - $250,000	Dividends interest	$2,501 - $5,000	
DC	RAS Trust	$100,001 - $250,000	Dividends interest	$2,501 - $5,000	
	FJS Jr. Trust	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	
DC	Schweitzer Maudit (in trust)	$1,001 - $15,000	Dividends	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F James Sensenbrenner,

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DC	Burke & Herbert Bank	$1,001 - $15,000	Interest	$1 - $200	
DC	Kimberly Clark Corp (in trust)	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	
DC	Burke & Herbert Bank (in trust)	$100,001 - $250,000	Interest	$1,001 - $2,500	Transferred to Bank One
DC	Kimberly Clark Corp (in trust)	$500,001 - $1,000,000	Dividends	$5,001 - $15,000	
DC	Bank One (in trust)	$100,001 - $250,000	Interest	$5,001 - $15,000	
DC	FJS, III 1993 Trust (Exempt)	NA	Dividends/Interest	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name The Honorable F. James Sensenbrenner,

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DC	RAS 1993 Trust (Exempt)	NA	Dividends/Interest	$2,501 - $5,000	
DC	Firstar Bank	$1,001 - $15,000	Interest	$1 - $200	
DC	Schweietzer Maudit (in trust)	$1,001 - $15,000	Dividends	$201 - $1,000	
	Nielsen Media	$15,001 - $50,000	Dividends	NONE	S
	NCR Corp.	$1,001 - $15,000		NONE	
	El Paso Energy	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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Imation	$1,001 - $15,000		NONE	
Lucent Technologies	$1,001 - $15,000	Dividends	$1 - $200	
Newport News Shipbuilding	$1,001 - $15,000	Dividends	$1 - $200	
Raytheon Co.	$1 - $1,000	Dividends	$1 - $200	
Pactive Corp stock	$1,001 - $15,000	NA	NONE	
General Motors	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	US Bank Corp (Firstar)	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Synavant	$1,001 - $15,000		NONE	spinoff
	Avaya	$1 - $1,000		NONE	spin off
DC	Bank One (in trust)	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	Moody's	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	spinoff

4518

SCHEDULE IV - TRANSACTIONS

Name The Honorable F. James Sensenbrenner, Page 16 of 18

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Kimberly-Clark Corp.	S	8 transactions various dates	$250,001 - $500,000
	Mediabne	S	8/15/00	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name: The Honorable F. James Sensenbrenner,

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Chinese National Assoc. of Industry & Commerce	Jan.2-8	Washington-Taiwan-Washington	Y	Y	Y	NA
International Republican Institute	March 17-21	Washington-Guatemala City-Washington	Y	Y	Y	NA
Youth Movement for the Future of Kazakhstan	April 14-20	Washington-Kazakhstan-Washington	Y	Y	N	NA
George Washington University US/Japan Legislative Exchange	Nov.25-Dec.2	Washington-HongKong-Tokyo-Washington	Y	Y	N	3 days

JAMES SENSENBRENNER, JR.
MEMBER OF CONGRESS
WISCONSIN
HOUSE OF THE LIBRARY
CHAIRMAN

ASSISTANT TO THE
CLERK
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WASHINGTON, D.C. 20515-4909
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Congress of the United States
House of Representatives
Washington, DC 20515–4909

MR SENSENBRENNER. Mr. Speaker, through the following statement, I am making my financial net worth as of March 31, 2001, a matter of public record. I have filed similar statements for each of the tweny-two preceding years I have served in the Congress.

ASSETS

REAL PROPERTY

Single family residence at 609 Ft. Williams Parkway, City of Alexandria, Virginia, at assessed valuation. (Assessed at $689,400). Ratio of assessed to market value: 100%. (Encumbered)	$689,400.00
Condominium at N76 W14726 North Point Drive, Village of Menomonee Falls, Waukesha County, Wisconsin, at assessor's estimated market value. (Unencumbered)	$107,600.00
Undivided 25/44ths interest in single family residence At N52 W32654 Maple Lane, Village of Chenequa, Waukesha County, Wisconsin, at 25/44ths of assessor's estimated market value of $746,400.	$424,090.90

TOTAL REAL PROPERTY $1,221,090.90

2001 disclosure

Common & Preferred Stock	# of shares	$ per share	Value
Abbott Laboratories, Inc.	12200	47.19	575,718.00
Allstate Corporation	370	41.94	15,517.80
American Telephone & Telegraph	1286.276	21.30	27,397.68
Avaya, Inc.	58	13.00	754.00
Bank One Corp.	3439	36.18	124,423.02
Bell South Corp.	1256.6319	25.95	32,609.60
Benton County Mining Company	333	0.00	0.00
BP Amoco	3604	49.62	178,830.48
Chenequa Country Club Realty Co.	1	0.00	0.00
Cognizant Corp.	2500	30.06	75,150.00
Covanta Engery (Ogden)	910	16.80	15,288.00
Darden Restaurants, Inc.	1440	23.75	34,200.00
Delphi Automotive	212	14.17	3,004.04
Dunn & Bradstreet, Inc.	2500	23.56	58,900.00
E.I. DuPont de Nemours Corp.	1200	40.70	48,840.00
Eastman Chemical Co.	270	49.22	13,289.40
Eastman Kodak	1080	39.89	43,081.20
El Paso Energy	150	65.30	9,795.00
Exxon Mobile Corp.	4864	81.00	393,984.00
Gartner Group	651	6.74	4,387.74
General Electric Co.	15600	41.88	653,328.00
General Mills, Inc.	2280	43.01	98,062.80
General Motors Corp.	304	51.85	15,762.40
Halliburton Company	2000	36.75	73,500.00
Highlands Insurance Group, Inc.	100	3.30	330.00
Imation Corp.	99	22.43	2,220.57
IMS Health	5000	24.90	124,500.00
Kellogg Corp.	3200	27.03	86,496.00
Kimberly-Clark Corp.	27478	67.83	1,863,832.74
Lucent Technologies	696	9.97	6,939.12
Merck & Co., Inc.	34078	75.90	2,586,520.20
Minnesota Mining & Manufacturing	1000	103.90	103,900.00
Monsanto Corporation	8360	35.46	296,445.60
Moody's	2500	27.56	68,900.00
Morgan Stanley/Dean Whitter	312	53.50	16,692.00
NCR Corp.	34	39.03	1,327.02
Newell Rubbermaid	1676	26.50	44,414.00
Newport News Shipbuilding	165.72	48.90	8,103.71
Pactive Corp.	200	12.11	2,422.00
PG&E Corp.	175	12.45	2,178.75
Pfizer (Warner Lambert)	18711	40.95	766,215.45
Qwest (U.S. West)	571	35.05	20,013.55
Raytheon Co.	19	29.20	554.80
Reliant Energy	300	45.25	13,575.00
RR Donnelly Corp.	500	29.00	14,500.00
Sandusky Voting Trust	26	85.00	2,210.00

2001 disclosure

SBC Communications	2191.755	44.63	97,818.03
Sears Roebuck & Co.	200	35.27	7,054.00
Solutia	1672	12.20	20,398.40
Synavant	250	4.50	1,125.00
Tenneco Automotive	182	2.80	509.60
Unisys, Inc.	167	14.00	2,338.00
US Bank Corp.(Firstar)	3081	23.20	71,479.20
Verizon (Bell Atlantic)	1072.9608	49.30	52,896.97
Vodaphone Airtouch	370	27.15	10,045.50
Wisconsin Energy Corp.	1022	21.58	22,054.76

TOTAL COMMON & PREFERRED STOCKS AND BONDS $8,238,115.12

2001 disclosure

Life Insurance Policies	Face $	Surrender $
Northwestern Mutual #4378000	12,000.00	47,846.21
Northwestern Mutual #4574061	30,000.00	114,752.49
Massachusetts Mutual #4116575	10,000.00	8,375.20
Massachusetts Mutual #4228344	100,000.00	193,970.90
Old Line Life Ins. #5-1607059L	175,000.00	34,737.00
TOTAL LIFE INSURANCE POLICIES		$399,681.80

2001 disclosure

BANK & SAVINGS & LOAN ACCOUNTS	BALANCE
Bank One, Milwaukee, N.A., checking account	6,203.80
Bank One, Milwaukee, N.A., preferred savings	28,213.01
M&I Lake Country Bank, Hartland, WI, checking account	5,099.97
M&I Lake Country Bank, Hartland, WI, savings	354.68
Burke & Herbert Bank, Alexandria, VA, checking account	3,334.31
Firstar, FSB, Butler, WI, IRA accounts	79,188.29
TOTAL BANK & SAVINGS & LOAN ACCOUNTS	$122,394.06

2001 disclosure

MISCELLANEOUS	VALUE
1994 Cadillac Deville	$11,800.00
1991 Buick Century automobile - blue book retail value	$3,625.00
1996 Buick Regal - blue book retail value	$9,175.00
Office furniture & equipment (estimated)	$1,000.00
Furniture, clothing & personal property (estimated)	$160,000.00
Stamp collection (estimated)	$60,800.00
Interest in Wisconsin retirement fund	$256,719.35
Deposits in Congressional Retirement Fund	$131,583.53
Deposits in Federal Thrift Savings Plan	$137,030.71
Traveller's checks	$7,418.96
20 ft. Manitou pontoon boat & 40 hp Yamaha outboard motor (estimated)	$4,250.00
17 ft. Boston Whaler boat & 75 hp Mercury outboard motor (estimated)	$8,000.00
TOTAL MISCELLANEOUS	$791,402.55
TOTAL ASSETS	$10,843,462.53

STATEMENT OF 1999 TAXES PAID

Federal income tax	$141,493.00
Wisconsin income tax	$28,157.00
Menomonee Falls, WI property tax	$2,120.00
Chenequa, WI property tax	$16,657.00
Alexandria, VA property tax	$7,489.00

I further declare that I am trustee of a trust established under the will of my later father, Frank James Sensenbrenner, Sr., for the benefit of my sister, Margaret A. Sensenbrenner, and of my two sons, F. James Sensenbrenner, III, and Robert Alan Sensenbrenner. I am further the direct beneficiary of two trusts, but have no control over the assets of either trust. My wife, Cheryl Warren Sensenbrenner, and I are trustees of separate trusts established for the benefit of each son under the Uniform Gift to Minors Act. Also, I am neither an officer nor a director of any corporation organized under the laws of the State of Wisconsin or of any other state or foreign country.

F. JAMES SENSENBRENNER, JR.,
Member of Congress

WEALTH MANAGEMENT

April 9, 2001

Hon. F. James Sensenbrenner, Jr.
Attn: Arlene Davis
2332 Rayburn House Office Building
Washington DC 20515

BANK ONE

RE: F. James Sensenbrenner Jr.-Ttee #9572007410
F. James Sensenbrenner III Trust #9572031010
Robert Alan Sensenbrenner Trust #9572031510
F. James Sensenbrenner, Jr. Trust #9572125310
F. James Sensenbrenner III 1993 Trust #9572131210
Robert Alan Sensenbrenner 1993 Trust #9572131310
Margaret L. Smith 96 Exempt F James #9572226310

Dear Congressman Sensenbrenner:

As in prior years, I am enclosing a list of 2000 Purchases, Sales and Receipts for all of the above-captioned accounts.

This list does not include regular and routine purchases of One Group Money Market Fund which, I presume are not reportable.

If you have any questions about these matters or need further information, please call me at 1-800-926-4556.

Sincerely,

Tina L. Rudie
Client Services Coordinator

Enclosures

PO Box 1308 WI1 2055 Milwaukee WI 53201-1308 tel 414 765 2200 fax 414 765 2429 | Banc One Investment Management Group

2000 TRADES AND RECEIPTS

9572007410 F JAMES SENSENBRENNER JR-TTEE

Purchases

Settlement Date	# of Shares	Security	$ Amount
04/12/00	50,000	California Hsg Fin 5.200% 2/01/11	$50,000.00
07/21/00	50,000	Los Angeles Calif Pk 5.000% 5/01/05	51,668.00
07/21/00	50,000	Culver City Calif Re 4.400% 11/01/06	50,000.00
11/01/00	50,000	Temple City Calif Uni Sch 8/01/12	28,309.50
11/01/00	50,000	California St 5.000% 10/01/12	51,176.00
	TOTAL		$231,153.50

Sales

Settlement Date	# of Shares	Security	$ Amount
04/03/00	50,000.00	California St 5.000% 4/01/00	$50,000.00
07/19/00	2,000.00	Kimberly Clark Corp	119,880.00
07/21/00	0.47	Anadarko Pete Corp	22.33
10/05/00	528.00	Bestfoods	38,544.00
10/27/00	2,000.00	Kimberly Clark Corp	123,875.86
	TOTAL		$332,322.19

Receipts

No receipts to report	$0.00

2000 TRADES AND RECEIPTS

9572031010 F JAMES SENSENBRENNER III TR

Purchases

Settlement Date	# of Shares	Security	$ Amount
02/01/00	0.714	One Group Bond Fund	$7.11
02/01/00	0.693	One Group Government Bond Fd	6.50
02/01/00	3.709	One Group Income Bond Fd	27.56
02/01/00	0.065	One Group Intermediate Bond Fd	6.46
02/01/00	0.880	One Group Short-Term Bond Fd	9.02
02/08/00	299.213	One Group Bond Fd	2,971.19
02/08/00	152.402	One Group Diversified Internat'l Fd	2,877.35
02/08/00	9.818	One Group Large Cap Growth Fd	257.32
05/09/00	58.247	One Group Large Cap Growth Fd	1,484.72
05/09/00	84.104	One Group Large Cap Value Fd	1,340.62
06/13/00	178.986	One Group Government Bond Fd	1,700.37
11/07/00	98.585	One Group Large Cap Growth Fd	2,323.65
11/07/00	73.510	One Group Mid Cap Growth Fd	2,000.21
11/07/00	93.354	One Group International Eqty Index F	1,835.34
	TOTAL		$ 16,847.42

Sales

Settlement Date	# of Shares	Security	$ Amount
02/08/00	181.618	One Group Short-Term Bond Fd	$1,859.77
02/08/00	53.571	One Group Mid Cap Growth Fd	1,468.92
02/08/00	126.960	One Group Intermediate Bond Fd	1,263.25
05/09/00	79.635	One Group Mid Cap Value Fd	1,114.09
05/09/00	35.921	One Group Mid Cap Growth Fd	1,022.66
05/09/00	92.363	One Group Income Bond Fd	678.87
05/09/00	0.659	One Group Diversified Equity Fd	9.72
06/13/00	55.016	One Group Large Cap Growth Fd	1,405.67
06/13/00	19.845	One Group Diversified Equity Fd	294.70
11/07/00	28.051	One Group Large Cap Value Fd	468.45
	TOTAL		$9,586.10

Receipts

No receipts to report $0.00

2000 TRADES AND RECEIPTS

9572031510 ROBERT ALAN SENSENBRENNER TR

Purchases

Settlement Date	# of Shares	Security	$ Amount
02/01/00	0.723	One Group Bond Fund	$7.20
02/01/00	0.701	One Group Government Bond Fd	6.58
02/01/00	3.773	One Group Income Bond Fd	28.03
02/01/00	0.652	One Group Intermediate Bond Fd	6.51
02/01/00	0.896	One Group Short-Term Bond Fd	9.18
02/08/00	303.891	One Group Bond Fund	3,017.64
02/08/00	154.781	One Group Diversified Internat'l Fd	2,922.27
02/08/00	13.880	One Group Large Cap Growth Fd	363.79
05/09/00	56.100	One Group Large Cap Growth Fd	1,429.99
05/09/00	86.912	One Group Large Cap Value Fd	1,385.38
06/13/00	181.775	One Group Government Bond Fd	1,726.86
11/07/00	100.204	One Group Large Cap Growth Fd	2,361.80
11/07/00	74.728	One Group Mid Cap Growth Fd	2,033.34
11/07/00	94.841	One Group International Eqty Indx Fd	1,864.57
	TOTAL		$17,163.14

Sales

Settlement Date	# of Shares	Security	$ Amount
02/08/00	184.432	One Group Short-Term Bond Fd	$1,888.58
02/08/00	53.492	One Group Mid Cap Growth Fd	1,466.75
02/08/00	128.939	One Group Intermediate Bond Fd	1,282.94
05/09/00	78.830	One Group Mid Cap Value Fd	1,102.83
05/09/00	36.494	One Group Mid Cap Growth Fd	1,038.98
05/09/00	91.641	One Group Income Bond Fd	673.56
06/13/00	55.891	One Group Large Cap Growth Fd	1,428.01
06/13/00	20.125	One Group Diversified Equity Fd	298.85
11/07/00	28.341	One Group Large Cap Value Fd	473.29
	TOTAL		$9,653.79

Receipts

No receipts to report $0.00

2000 TRADES AND RECEIPTS

9572125310 F JAMES SENSENBRENNER JR TR

Purchases

Settlement Date	# of Shares	Security	$ Amount
08/24/00	1,606.134	One Group Tax-Free Bond Fd	$19,900.00
08/24/00	1,564.129	One Group Municipal Income Fd	$15,000.00
08/24/00	128.362	One Group Large Cap Value Fd	$2,100.00
08/24/00	45.524	One Group Large Cap Growth Fd	$1,200.00
08/24/00	53.166	One Group International Eqty Indx Fd	$1,100.00
08/24/00	23.669	One Group Diversified Internat'l Fd	$400.00
08/24/00	6.414	One Group Diversified Equity Fd	$100.00
	TOTAL		$39,800.00

Sales

Settlement Date	# of Shares	Security	$ Amount
No sales to report			$0.00
	TOTAL		$0.00

Receipts

No receipts to report $0.00

2000 TRADES AND RECEIPTS

9572226310 Margaret L Smith 96 Exempt F James

Purchases

Settlement Date	# of Shares	Security	$ Amount
No purchases to report			
	TOTAL		$0.00

Sales

06/23/00	0.070	Agilent Technologies Inc	$4.48
07/06/00	0.187	Visteon Corp	$2.23
08/31/00	0.635	Ford Mtr Co Del	$15.81
12/07/00	0.274	Citigroup Inc.	$12.93
	TOTAL		$35.45

Receipts

No receipts to report

2000 TRADES AND RECEIPTS

9572131310 ROBERT ALAN SENSENBRENNER 1993 TR

Purchases

Settlement Date	# of Shares	Security	$ Amount
01/05/00	628.293	One Group Income Bond Fund	$4,693.35
01/05/00	96.774	One Group Large Cap Growth Fd	2,464.83
01/05/00	147.569	One Group Diversified Equity Fd	2,058.59
01/05/00	51.158	One Group Large Cap Value Fd	783.23
02/08/00	328.954	One Group Diversified Internat'l Fd	6,210.65
02/08/00	430.590	One Group Bond Fund	4,275.76
02/08/00	123.936	One Group Mid Cap Value Fd	1,549.20
02/08/00	46.610	One Group Large Cap Value Fd	733.18
02/15/00	110.092	One Group Large Cap Value Fd	1,680.00
05/09/00	94.609	One Group Large Cap Growth Fd	2,411.59
05/09/00	107.639	One Group International Eqty Indx Fd	2,273.33
05/09/00	67.876	One Group Government Bond Fund	631.25
05/09/00	20.010	One Group Diversified Internat'l Fd	356.98
05/09/00	29.352	One Group Income Bond Fd	215.74
05/09/00	16.715	One Group Bond Fund	164.14
05/09/00	5.147	One Group Small Cap Value Fd	69.53
05/11/00	17.825	One Group Mid Cap Growth Fd	477.00
06/13/00	343.509	One Group Government Bond Fund	3,263.34
11/07/00	216.832	One Group Large Cap Growth Fund	5,110.72
11/07/00	147.834	One Group Mid Cap Growth Fd	4,022.56
11/07/00	114.357	One Group International Eqty Indx Fd	2,248.25
12/27/00	423.235	One Group Large Cap Growth Fd	8,350.42
12/27/00	333.725	One Group Mid Cap Growth Fd	7,789.14
12/27/00	134.717	One Group Diversified Equity Fd	1,860.44
	TOTAL		$63,693.22

Sales

Settlement Date	# of Shares	Security	$ Amount
2/8/00	434.176	One Group Income Bond Fund	$3,230.27
2/8/00	282.601	One Group Short-Term Bond Fund	2,893.83
02/08/00	251.668	One Group Intermediate Bond Fund	2,504.10
02/08/00	170.169	One Gorup Diversified Equity Fund	2,453.83
05/09/00	286.849	One Group Mid Cap Value Fund	4,013.02
05/09/00	128.195	One Group Mid Cap Growth Fund	3,649.72
06/13/00	115.295	One Group Large Cap Growth Fund	2,945.79
06/13/00	19.626	One Group Large Cap Value Fund	317.55
12/28/00	212.698	One Group Mid Cap Value Fund	3,313.83
12/28/00	24.598	One Group Mid Cap Growth Fund	586.17
TOTAL			$25,908.11

Receipts

Date	Description	Amount
01/03/00	Check from Margaret L. Smith Flexitrust	$10,000.00
02/11/00	Check from Margaret Luedke Smith 1976 Tr	1,680.00
05/09/00	1999 Fed Individual Income Tax Refund	477.00
08/01/00	1999 Wisconsin Individual Income Tax Refund	49.19
12/22/00	Check from Margaret Luedke Smith 1976 Tr	18,000.00
TOTAL		$30,206.19

2000 TRADES AND RECEIPTS

9572131210 F JAMES SENSENBRENNER III 1993 TR

Purchases

Settlement Date	# of Shares	Security	$ Amount
01/05/00	118.119	One Group Large Cap Growth Fd	$3,008.48
01/05/00	186.777	One Group Diversified Equity Fd	2,605.54
01/05/00	329.029	One Group Income Bond Fd	2,457.85
01/05/00	67.359	One Group Mid Cap Growth Fd	1,660.39
01/05/00	17.488	One Group Large Cap Value	267.74
02/08/00	337.133	One Group Diversified Internat'l Fd	6,365.07
02/08/00	439.611	One Group Bond Fund	4,365.34
02/08/00	128.678	One Group Large Cap Value Fd	2,024.10
02/08/00	130.716	One Group Mid Cap Value Fd	1,633.95
02/08/00	36.708	One Group International Eqty Indx Fd	813.44
02/18/00	100.096	One Group Mid Cap Value Fd	1,221.17
02/18/00	30.107	One Group Large Cap Value Fd	458.83
05/09/00	96.591	One Group Large Cap Growth Fd	2,462.11
05/09/00	72.535	One Group International Eqty Indx Fd	1,531.93
05/09/00	56.024	One Group Government Bond Fd	521.02
06/13/00	363.473	One Group Government Bond Fd	3,452.99
11/07/00	221.496	One Group Large Cap Growth Fd	5,220.65
11/07/00	160.247	One Group Mid Cap Growth Fd	4,360.31
11/07/00	157.220	One Group International Eqty Indx Fd	3,090.94
12/27/00	429.378	One Group Large Cap Growth Fd	8,471.62
12/27/00	338.408	One Group Mid Cap Growth Fd	7,898.44
12/27/00	118.026	One Group Diversified Equity Fd	1,629.94
	TOTAL		$65,521.85

Sales

Settlement Date	# of Shares	Security	$ Amount
02/08/00	443.657	One Group Income Bond Fd	$3,300.81
02/08/00	289.782	One Group Short-Term Bond Fd	2,967.37
02/08/00	96.912	One Group Mid Cap Growth Fd	2,657.34
02/08/00	258.735	One Group Intermediate Bond Fd	2,574.41
02/08/00	174.642	One Group Diversified Equity Fd	2,518.34
05/09/00	393.749	One Group Mid Cap Value Fd	5,508.55
06/13/00	121.018	One Group Large Cap Growth Fd	3,092.00
06/13/00	73.332	One Group Mid Cap Growth Fd	2,120.77
12/28/00	235.756	One Group Mid Cap Value Fd	3,673.08
12/28/00	43.094	One Group Mid Cap Growth Fd	1,026.92
	TOTAL		$29,439.59

Receipts

Date	Description	Amount
01/03/00	Check from Margaret L. Smith Flexitrust	$10,000.00
02/16/00	Check From Margaret Luedke Smith 1976 Tr	1,680.00
12/22/00	Check From Margaret Luedke Smith 1976 Tr	18,000.00
TOTAL		$29,680.00

Holdings summary

	Value on December 31, 2000	Value on December 31, 1999	Change in value
Cash equivalents	$138,252.37		
Equities	5,504,206.89		
Fixed income	1,108,576.00		
Other	0.00		
Total holdings	**$6,751,035.26**	$6,389,051.83	**$361,983.43**
Accruals (Income earned but not yet received)	$35,664.25		
Total holdings with accruals	**$6,786,699.51**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$92,716.82	$92,716.82
Interest (taxable/non-taxable)	48,952.90	48,952.90
Other income	0.00	0.00
Total income	**$141,669.72**	$141,669.72
Realized gain/(loss)		
	$221,355.66	$221,355.66

The Income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	*January 1 to December 31*
Beginning market value	**$6,389,051.83**	$6,389,051.83
Receipts	4,908.37	4,908.37
Disbursements	(153,407.48)	(153,407.48)
Income	141,669.72	141,669.72
Capital gains distributions	0.00	0.00
Change in asset market value	368,812.82	368,812.82
Ending market value	**$6,751,035.26**	$6,751,035.26

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/00	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$92,716.82	$0.00
Interest (taxable/non-taxable)	48,952.90	0.00
Other cash receipts	0.00	0.00
Total cash receipts	$141,669.72	$0.00
Cash disbursements		
Cash disbursements	$(77,953.54)	$(61,918.00)
Administrative expenses	(8,627.57)	0.00
Total cash disbursements	$(86,581.11)	$(61,918.00)
Investment transactions		
Purchases	$0.00	$(679,533.52)
Sales and maturities	0.00	686,362.91
Total investment transactions	$0.00	$6,829.39
Ending cash balance 12/31/00	**$55,088.61**	**$(55,088.61)**

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Holdings detail

Cash equivalents

Very liquid assets Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	138,252.370	1.000	$138,252.37	$138,252.37	$0.00	6.19%	$8,560.03
INCOME CASH	55,088.610	1.000	55,088.61	55,088.61	0.00	0.00%	0.00
PRINCIPAL CASH	(55,088.610)	1.000	(55,088.61)	(55,088.61)	0.00	0.00%	0.00
Total cash equivalents			**$138,252.37**	**$138,252.37**	**$0.00**		**$8,560.03**

Equities

Banks Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Estimated annual income
BANK OF AMERICA CORPORATION	800.000	45.875	$36,700.00	$8,767.00	$27,933.00	4.88%	$1,792.00
BANK ONE CORP	2,039.000	36.625	74,678.38	16,819.56	57,858.82	2.29%	1,712.76
CHASE MANHATTAN CORP NEW	411.000	45.438	18,674.81	3,235.64	15,439.17	2.82%	526.08
FIRSTAR CORP NEW WIS	3,648.000	23.250	84,816.00	9,450.00	75,366.00	2.80%	2,371.20
Total banks			$214,869.19	$38,272.20	$176,596.99		$6,402.04
Consumer capital spending							
JOHNSON CTLS INC	400.000	52.000	20,800.00	5,700.00	15,100.00	2.38%	496.00
Consumer non-durable							
KIMBERLY CLARK CORP	73,000.000	70.690	5,160,370.00	1,007,701.01	4,152,668.99	1.53%	78,840.00
Energy							
ANADARKO PETE CORP	101.000	71.080	7,179.08	2,489.99	4,689.09	0.28%	20.20

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Estimated annual income
UNOCAL CORP	524.000	38.688	$20,272.25	$8,695.13	$11,577.12	2.07%	$419.20
Total energy			$27,451.33	$11,185.12	$16,266.21		$439.40
Other utilities							
SOUTHERN CO	400.000	33.250	13,300.00	4,587.50	8,712.50	4.03%	536.00
Technology							
AVAYA INC	61.000	10.313	629.06	192.25	436.81	0.00%	0.00
ELECTRONIC DATA SYS CORP NEW	448.000	57.750	25,872.00	4,480.00	21,392.00	1.04%	268.80
LUCENT TECHNOLOGIES INC	732.000	13.500	9,882.00	3,318.51	6,563.49	0.59%	58.56
Total technology			$36,383.06	$7,990.76	$28,392.30		$327.36
Telephone utilities							
AT & T CORP	850.000	17.250	14,662.50	8,631.78	6,030.72	0.87%	127.50
Transportation							
BURLINGTON NORTHN SANTA FE CORP	105.000	28.313	2,972.81	506.62	2,466.19	1.69%	50.40
UNION PAC CORP	264.000	50.750	13,398.00	5,147.89	8,250.11	1.58%	211.20
Total transportation			$16,370.81	$5,654.51	$10,716.30		$261.60
Total equities			**$5,504,206.89**	**$1,089,722.88**	**$4,414,484.01**		**$87,429.90**

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Holdings detail continued

Fixed Income

Municipal obligations

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Yield to maturity	Estimated annual income
SANTA CLARA VY CALIF WTR DIST WTR UTIL SYS REV DTD 06/15/1994 5.1% 06/01/2001 SER A QUALITY TABLE RATING AA	50,000.000	100.614	$50,307.00	$49,711.00	$596.00	5.07%	5.069%	$2,550.00
COTATI-ROHNERT PK CALIF UNI SCH DIST DTD 10/05/1993 4.40% DUE 08/01/2002 QUALITY TABLE RATING AAA	50,000.000	100.868	50,434.00	49,907.50	526.50	4.36%	3.830%	2,200.00
ROCKLIN CALIF STANFORD RANCH CMNTY FACS DIST SPL TAX REF SR NO 3 DTD 12/01/1995 4.50% DUE 11/01/2003 QUALITY TABLE RATING AAA	50,000.000	101.674	50,837.00	49,875.00	962.00	4.43%	3.870%	2,250.00
SOUTH BAY CALIF UN SCH DIST SAN DIEGO CNTY SER A DTD 07/01/1997 4.35% DUE 11/01/2003 QUALITY TABLE RATING AAA	50,000.000	101.274	50,637.00	50,129.88	507.12	4.29%	3.870%	2,175.00
LOS ANGELES CALIF MUN IMPT CORP SANTN EQUIP CHARGE REV SER A DTD 01/15/1997 4.70% DUE 02/01/2004 QUALITY TABLE RATING AAA	50,000.000	102.236	51,118.00	50,105.32	1,012.68	4.60%	3.923%	2,350.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Yield to maturity	Estimated annual income
LONG BEACH CALIF BD FIN AUTH LEASE REV REF TEMPLE & WILLOW FAC SER B DTD 05/01/1998 4.30% DUE 10/01/2004 QUALITY TABLE RATING AAA	50,000.000	101.345	$50,672.50	$49,859.50	$813.00	4.24%	3.910%	$2,150.00
LOS ANGELES CALIF PKG REV SER A DTD 04/15/1999 5.00% DUE 05/01/2005 QUALITY TABLE RATING AAA	50,000.000	104.144	52,072.00	51,526.93	545.07	4.80%	3.950%	2,500.00
ARCATA CALIF JT PWRS FING AUTH WASTEWATER REV DTD 01/01/1997 4.85% DUE 12/01/2005 QUALITY TABLE RATING AAA	50,000.000	103.897	51,948.50	50,000.00	1,948.50	4.67%	3.970%	2,425.00
MOULTON-NIGUEL CALIF WTR DIST REF CONS IMPT DISTS DTD 06/15/1993 5.25% DUE 09/01/2006 QUALITY TABLE RATING AAA	50,000.000	104.879	52,439.50	50,719.48	1,720.02	5.01%	4.271%	2,625.00
CULVER CITY CALIF REDEV FIN AUTH REV REF-TAX ALLOC-SER A DTD 10/13/1999 4.40% DUE 11/01/2006 QUALITY TABLE RATING AAA	50,000.000	101.955	50,977.50	50,000.00	977.50	4.32%	4.020%	2,200.00

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Yield to maturity	Estimated annual income
SAN DIEGO CNTY CALIF REGL TRANSN COMMN SALES TAX REV REF-SECOND SR- SER A DTD 03/01/1993 5.25% DUE 04/01/2007 QUALITY TABLE RATING AAA	50,000.000	106.566	$53,283.00	$50,723.61	$2,559.39	4.93%	4.050%	$2,625.00
HEMET CALIF WTR REV REF DTD 06/01/1997 5.00% DUE 08/01/2007 QUALITY TABLE RATING AAA	50,000.000	105.442	52,721.00	50,000.00	2,721.00	4.74%	4.050%	2,500.00
CABRILLO CALIF CMNTY COLLEGE DIST SER A DTD 10/01/1998 4.10% DUE 08/01/2008 QUALITY TABLE RATING AAA	50,000.000	99.932	49,966.00	49,797.50	168.50	4.10%	4.110%	2,050.00
JURUPA CALIF CMNTY SVCS DIST SPL TAX CMNTY FACS DIST NO 1 DTD 12/30/1998 4.10% DUE 09/01/2008 QUALITY TABLE RATING AAA	50,000.000	99.930	49,965.00	49,800.00	165.00	4.10%	4.110%	2,050.00
JEFFERSON CALIF UN HIGH SCH DIST SAN MATEO CNTY SER D DTD 05/15/1999 4.30% DUE 08/01/2009 QUALITY TABLE RATING AAA	50,000.000	100.985	50,492.50	50,000.00	492.50	4.26%	4.162%	2,150.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
IRVINE CALIF UNI SCH DIST SPL TAX CMNTY FACS DIST NO 86-1 DTD 05/26/1999 4.25% DUE 11/01/2009 QUALITY TABLE RATING AAA	50,000.000	100.655	$50,327.50	$49,790.00	$537.50	4.22%	4.160%	$2,125.00
SAN MATEO CNTY CALIF TRAN DIST SER A DTD 12/09/1998 4.25% DUE 06/01/2010 QUALITY TABLE RATING AAA	50,000.000	100.306	50,153.00	47,775.00	2,378.00	4.24%	4.210%	2,125.00
RANCHO MIRAGE CALIF JT PWRS FING AUTH CTFS PARTN REF EISENHOWER MED CTR SER A DTD 01/01/1997 5.25% DUE 07/01/2010 QUALITY TABLE RATING AAA	50,000.000	107.075	53,537.50	51,379.69	2,157.81	4.90%	4.334%	2,625.00
CALIFORNIA HSG FIN AGY REV HOME MTG SER F DTD 04/06/2000 5.20% DUE 02/01/2011 QUALITY TABLE RATING AAA	50,000.000	104.806	52,403.00	50,000.00	2,403.00	4.96%	4.599%	2,600.00
SAN FRANCISCO CALIF CITY & CNTY REF SER 1 DTD 10/15/1997 5.00% DUE 06/15/2011 QUALITY TABLE RATING AAA	50,000.000	105.209	52,604.50	50,000.00	2,604.50	4.75%	4.374%	2,500.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
TEMPLE CITY CALIF UNI SCH DIST SER A DTD 08/12/1998 CAP APPREC DUE 08/01/2012 QUALITY TABLE RATING AAA	50,000.000	58.568	$29,284.00	$28,533.01	$750.99		0.000%	
CALIFORNIA ST DTD 10/01/2000 5.00% DUE 10/01/2012 QUALITY TABLE RATING AA	50,000.000	104.792	52,396.00	51,162.30	1,233.70	4.77%	4.471%	2,500.00
Total fixed income			**$1,108,576.00**	**$1,080,795.72**	**$27,780.28**			**$49,275.00**
Total holdings			**$6,751,035.26**	**$2,308,770.97**	**$4,442,264.29**			**$145,264.93**
Accruals (income earned but not yet received)			$35,664.25					
Total holdings with accruals			**$6,786,699.51**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

			Income	Principal
Beginning cash balance 1/1/00			$0.00	$0.00
Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			$(448,380.02)
	COMBINED SALES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			354,040.72
1/3/00	CASH RECEIPT OF DIVIDEND EARNED ON BANK ONE CORP $0.42/SHARE ON 2,039 SHARES DUE 1/1/00		$856.38	
1/3/00	CASH RECEIPT OF DIVIDEND EARNED ON UNION PAC RES GROUP INC $0.05/SHARE ON 223 SHARES DUE 1/2/00		11.15	
1/3/00	CASH RECEIPT OF DIVIDEND EARNED ON BURLINGTON NORTHN SANTA FE CORP $0.12/SHARE ON 105 SHARES DUE 1/3/00		12.60	
1/3/00	CASH RECEIPT OF DIVIDEND EARNED ON UNION PAC CORP $0.20/SHARE ON 264 SHARES DUE 1/3/00		52.80	
1/3/00	CASH RECEIPT OF INTEREST EARNED ON RANCHO MIRAGE CALIF 5.250% 7/01/10 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 1/1/00		1,312.50	
1/4/00	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.26/SHARE ON 77,000 SHARES DUE 1/4/00		20,020.00	
1/4/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/99 TO 12/31/99		436.78	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/5/00	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 400 SHARES DUE 1/5/00		$112.00	
1/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(699.09)	
1/18/00	CASH RECEIPT OF DIVIDEND EARNED ON FIRSTAR CORP NEW WIS $0.1625/SHARE ON 3,648 SHARES DUE 1/15/00		592.80	
1/25/00	CASH RECEIPT OF DIVIDEND EARNED ON BESTFOODS $0.265/SHARE ON 528 SHARES DUE 1/25/00		139.92	
1/31/00	CASH RECEIPT OF DIVIDEND EARNED ON CHASE MANHATTAN CORP NEW $0.41/SHARE ON 274 SHARES DUE 1/31/00		112.34	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON AT & T CORP $0.22/SHARE ON 850 SHARES DUE 2/1/00		187.00	
2/1/00	CASH RECEIPT OF INTEREST EARNED ON CABRILLO CALIF CMNTY 4.100% 8/01/08 $0.0205/$1 PV ON 50,000 PAR VALUE DUE 2/1/00		1,025.00	
2/1/00	CASH RECEIPT OF INTEREST EARNED ON COTATI-ROHNERT PK CA 4.400% 8/01/02 $0.022/$1 PV ON 50,000 PAR VALUE DUE 2/1/00		1,100.00	
2/1/00	CASH RECEIPT OF INTEREST EARNED ON HEMET CALIF WTR REV 5.000% 8/01/07 $0.025/$1 PV ON 50,000 PAR VALUE DUE 2/1/00		1,250.00	

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Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
2/1/00	CASH RECEIPT OF INTEREST EARNED ON JEFFERSON CALIF 4.300% 8/01/09 $0.030578/$1 PV ON 50,000 PAR VALUE DUE 2/1/00		$1,528.89	
2/1/00	CASH RECEIPT OF INTEREST EARNED ON LOS ANGELES CALIF MU 4.700% 2/01/04 $0.0235/$1 PV ON 50,000 PAR VALUE DUE 2/1/00		1,175.00	
2/1/00	AMORTIZED PREMIUM ON LOS ANGELES CALIF MU 4.700% 2/01/04 FEDERAL BASIS DECREASED BY $0.53 TO $[illegible] STATE BASIS DECREASED BY $0.53 TO $[illegible] 2/1/00 CURRENT YEAR AMORTIZATION			
2/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/00 TO 1/31/00		299.06	
2/10/00	CASH RECEIPT OF DIVIDEND EARNED ON UNOCAL CORP $0.20/SHARE ON 524 SHARES DUE 2/10/00		104.80	
2/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		(678.52)	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ADCENT TECHNOLOGIES INC $0.02/SHARE ON 752 SHARES DUE 3/1/00		14.64	
3/1/00	CASH RECEIPT OF INTEREST EARNED ON WALNUT CALIF COMNTY 4.100% 8/01/06 $0.0205/$1 PV ON 50,000 PAR VALUE DUE 3/1/00		1,025.00	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/1/00	CASH RECEIPT OF INTEREST EARNED ON MOULTON-NIGUEL CALIF 5.250% 9/01/06 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 3/1/00		$1,312.50	
3/1/00	AMORTIZED PREMIUM ON MOULTON-NIGUEL CALIF 5.250% 9/01/06 FEDERAL BASIS DECREASED BY $18.47 TO $50,835.56 STATE BASIS DECREASED BY $18.47 TO $50,835.56 3/1/00 CURRENT YEAR AMORTIZATION			
3/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/00 TO 2/29/00		318.73	
3/6/00	CASH RECEIPT OF DIVIDEND EARNED ON SOUTHERN CO $0.335/SHARE ON 400 SHARES DUE 3/6/00		134.00	
3/10/00	CASH RECEIPT OF DIVIDEND EARNED ON ELECTRONIC DATA SYS CORP NEW $0.15/SHARE ON 448 SHARES DUE 3/10/00		67.20	
3/13/00	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(607.87)	
3/24/00	CASH RECEIPT OF DIVIDEND EARNED ON BANK AMER CORP $0.50/SHARE ON 800 SHARES DUE 3/24/00		400.00	
3/31/00	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 400 SHARES DUE 3/31/00		112.00	

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Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON BANK ONE CORP $0.42/SHARE ON 2,039 SHARES DUE 4/1/00		$856.38	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON UNION PAC RES GROUP INC $0.05/SHARE ON 223 SHARES DUE 4/1/00		11.15	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON BURLINGTON NORTHN SANTA FE CORP $0.12/SHARE ON 105 SHARES DUE 4/3/00		12.60	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON UNION PAC CORP $0.20/SHARE ON 264 SHARES DUE 4/3/00		52.80	
4/3/00	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA ST 5.000% 4/01/00 $0.025/$1 PV ON 50,000 PAR VALUE DUE 4/1/00		1,250.00	
4/3/00	AMORTIZED PREMIUM ON CALIFORNIA ST 5.000% 4/01/00 FEDERAL BASIS DECREASED BY $11.09 TO $50,000.00 STATE BASIS DECREASED BY $11.09 TO $50,000.00 4/1/00 CURRENT YEAR AMORTIZATION			
4/3/00	CASH RECEIPT OF INTEREST EARNED ON LONG BEACH CALIF BD 4.300% 10/01/04 $0.0215/$1 PV ON 50,000 PAR VALUE DUE 4/1/00		1,075.00	
4/3/00	CASH RECEIPT OF INTEREST EARNED ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 4/1/00		1,312.50	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/3/00	AMORTIZED PREMIUM ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 FEDERAL BASIS DECREASED BY $45.84 TO $50,880.12 STATE BASIS DECREASED BY $45.84 TO $50,880.12 4/1/00 CURRENT YEAR AMORTIZATION			
4/3/00	MATURED 50,000 PAR VALUE OF CALIFORNIA ST 5.000% 4/01/00 TRADE DATE 4/1/00 50,000 PAR VALUE AT 100 %			$50,000.00
4/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/00 TO 3/31/00		$356.13	
4/4/00	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.27/SHARE ON 77,000 SHARES DUE 4/4/00		20,790.00	
4/6/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN			(4,716.00)
4/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		(642.77)	
4/12/00	PURCHASED 50,000 PAR VALUE OF CALIFORNIA HSG FIN 5.200% 2/01/11 TRADE DATE 4/4/00 PURCHASED THROUGH SALOMON SMITH BARNEY INC. 50,000 PAR VALUE AT 100 %			(50,000.00)

4554

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
4/12/00	PAID ACCRUED INTEREST ON PURCHASE OF CALIFORNIA HSG FIN 5.200% 2/01/11		$(43.33)	
4/14/00	CASH RECEIPT OF DIVIDEND EARNED ON FIRSTAR CORP NEW WIS $0.1625/SHARE ON 3,648 SHARES DUE 4/14/00		592.80	
4/25/00	CASH RECEIPT OF DIVIDEND EARNED ON BESTFOODS $0.265/SHARE ON 528 SHARES DUE 4/25/00		139.92	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON CHASE MANHATTAN CORP NEW $0.48/SHARE ON 274 SHARES DUE 4/30/00		131.52	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON AT & T CORP $0.22/SHARE ON 850 SHARES DUE 5/1/00		187.00	
5/1/00	CASH RECEIPT OF INTEREST EARNED ON IRVINE CALIF 4.250% 11/01/09 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 5/1/00		1,062.50	
5/1/00	CASH RECEIPT OF INTEREST EARNED ON ROCKLIN CALIF STANFO 4.500% 11/01/03 $0.0225/$1 PV ON 50,000 PAR VALUE DUE 5/1/00		1,125.00	
5/1/00	CASH RECEIPT OF INTEREST EARNED ON SOUTH BAY CALIF UN 4.350% 11/01/03 $0.02175/$1 PV ON 50,000 PAR VALUE DUE 5/1/00		1,087.50	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/00	AMORTIZED PREMIUM ON SOUTH BAY CALIF UN 4.350% 11/01/03 FEDERAL BASIS DECREASED BY $11.59 TO $50,161 03 STATE BASIS DECREASED BY $11.59 TO $50,161 03 5/1/00 CURRENT YEAR AMORTIZATION			
5/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/00 TO 4/30/00		$512.40	
5/10/00	CASH RECEIPT OF DIVIDEND EARNED ON UNOCAL CORP $0.20/SHARE ON 524 SHARES DUE 5/10/00		104.80	
5/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(680.62)	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON LUCENT TECHNOLOGIES INC $0.02/SHARE ON 732 SHARES DUE 6/1/00		14.64	
6/1/00	CASH RECEIPT OF INTEREST EARNED ON ARCATA CALIF JT PWRS 4.850% 12/01/05 $0.02425/$1 PV ON 50,000 PAR VALUE DUE 6/1/00		1,212.50	
6/1/00	CASH RECEIPT OF INTEREST EARNED ON SAN MATEO CNTY CALIF 4.250% 6/01/10 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 6/1/00		1,062.50	
6/1/00	CASH RECEIPT OF INTEREST EARNED ON SANTA CLARA VY CALIF 5.1% 6/01/01 $0.0255/$1 PV ON 50,000 PAR VALUE DUE 6/1/00		1,275.00	
6/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/00 TO 5/31/00		499.31	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/6/00	CASH RECEIPT OF DIVIDEND EARNED ON SOUTHERN CO $0.335/SHARE ON 400 SHARES DUE 6/6/00		$134.00	
6/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(672.29)	
6/12/00	STOCK SPLIT 3 FOR 2 STOCK SPLIT ON CHASE MANHATTAN CORP NEW DUE 6/9/00 137 ADDITIONAL SHARES RECEIVED			
6/12/00	CASH RECEIPT OF DIVIDEND EARNED ON ELECTRONIC DATA SYS CORP NEW $0.15/SHARE ON 448 SHARES DUE 6/12/00		67.20	
6/15/00	CASH RECEIPT OF INTEREST EARNED ON SAN FRANCISCO CALIF 5.000% 6/15/11 $0.025/$1 PV ON 50,000 PAR VALUE DUE 6/15/00		1,250.00	
6/23/00	CASH RECEIPT OF DIVIDEND EARNED ON BANK AMER CORP $0.50/SHARE ON 800 SHARES DUE 6/23/00		400.00	
6/30/00	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 400 SHARES DUE 6/30/00		112.00	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON BANK ONE CORP $0.42/SHARE ON 2,039 SHARES DUE 7/1/00		856.38	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON UNION PAC RES GROUP INC $0.05/SHARE ON 223 SHARES DUE 7/1/00		11.15	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON BURLINGTON NORTHN SANTA FE CORP $0.12/SHARE ON 105 SHARES DUE 7/3/00		$12.60	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON UNION PAC CORP $0.20/SHARE ON 264 SHARES DUE 7/3/00		52.80	
7/3/00	CASH RECEIPT OF INTEREST EARNED ON RANCHO MIRAGE CALIF 5.250% 7/01/10 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 7/1/00		1,312.50	
7/3/00	AMORTIZED PREMIUM ON RANCHO MIRAGE CALIF 5.250% 7/01/10 FEDERAL BASIS DECREASED BY $63.43 TO $51,444.66 STATE BASIS DECREASED BY $63.43 TO $51,444.66 7/1/00 CURRENT YEAR AMORTIZATION			
7/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/00 TO 6/30/00		522.16	
7/6/00	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.27/SHARE ON 77,000 SHARES DUE 7/6/00		20,790.00	
7/6/00	CASH DISBURSEMENT PAID TO MARGARET ANITA SENSENBRENNER PAYMENT FOR BENEFICIARY PARTIAL DISTRIBUTION PER TRUSTEE		(65,000.00)	
7/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(649.53)	

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Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
7/17/00	CASH RECEIPT OF DIVIDEND EARNED ON FIRSTAR CORP NEW WIS $0.1625/SHARE ON 3,648 SHARES DUE 7/17/00		$592.80	
7/18/00	STOCK MERGER DELIVERED 223 SHARES OF UNION PAC RES GROUP INC EFFECTIVE DATE 07/18/00 MARKET VALUE OF $4,908.37 MERGER TO ANDARKO PETROLEUM CORP CUSIP: 032511107			
7/18/00	STOCK MERGER RECEIVED 101.465 SHARES OF ANADARKO PETE CORP EFFECTIVE DATE 07/18/00 DISTRIBUTION 07/18/00 0.455 SHARES FOR EACH SHARE HELD OF UNION PAC RES GROUP INC MARKET VALUE OF $4,908.37 MERGER FROM UNION PAC RES GROUP INC CUSIP: 907834105			
7/19/00	SOLD 2,000 SHARES OF KIMBERLY CLARK CORP TRADE DATE 7/14/00 SOLD THROUGH PAINE WEBBER PAID $120.00 BROKERAGE 2,000 SHARES AT $60.00	$92,271.75		$119,880.00
7/19/00	FIDUCIARY TAX RETURN PREP COLLECTED 1999 FORMS 1041 2		(475.00)	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/21/00	SOLD 0.465 SHARES OF ANADARKO PETE CORP TRADE DATE 7/21/00 SOLD THROUGH CASH IN LIEU OF FRACTIONAL SH CASH IN LIEU OF FRACTIONAL SHARE	$10.87		$22.33
7/21/00	PURCHASED 50,000 PAR VALUE OF LOS ANGELES CALIF PK 5.000% 5/01/05 TRADE DATE 7/18/00 PURCHASED THROUGH FIDELITY CAPITAL MARKETS 50,000 PAR VALUE AT 103.336 %			(51,668.00)
7/21/00	PAID ACCRUED INTEREST ON PURCHASE OF LOS ANGELES CALIF PK 5.000% 5/01/05		$(555.56)	
7/21/00	PURCHASED 50,000 PAR VALUE OF CULVER CITY CALIF RE 4.400% 11/01/06 TRADE DATE 7/19/00 PURCHASED THROUGH LEGG MASON WOOD WALKER 50,000 PAR VALUE AT 100 %			(50,000.00)
7/21/00	PAID ACCRUED INTEREST ON PURCHASE OF CULVER CITY CALIF RE 4.400% 11/01/06		(488.89)	
7/25/00	CASH RECEIPT OF DIVIDEND EARNED ON BESTFOODS $0.265/SHARE ON 528 SHARES DUE 7/25/00		139.92	
7/31/00	CASH RECEIPT OF DIVIDEND EARNED ON CHASE MANHATTAN CORP NEW $0.32/SHARE ON 411 SHARES DUE 7/31/00		131.52	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON AT & T CORP $0.22/SHARE ON 850 SHARES DUE 8/1/00		187.00	

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Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
8-1-00	CASH RECEIPT OF INTEREST EARNED ON CABRILLO CALIF CMNTY 4.100% 8/01/09 $0.0205/$1 PV ON 50,000 PAR VALUE DUE 8-1-00		$1,025.00	
8-1-00	CASH RECEIPT OF INTEREST EARNED ON COTATI-ROHNERT PK CA 4.400% 8/01/02 $0.022/$1 PV ON 50,000 PAR VALUE DUE 8-1-00		1,100.00	
8-1-00	CASH RECEIPT OF INTEREST EARNED ON HEMET CALIF WTR REV 5.000% 8/01/07 $0.025/$1 PV ON 50,000 PAR VALUE DUE 8-1-00		1,250.00	
8-1-00	CASH RECEIPT OF INTEREST EARNED ON JEFFERSON CALIF 4.300% 8/01/09 $0.0215/$1 PV ON 50,000 PAR VALUE DUE 8-1-00		1,075.00	
8-1-00	CASH RECEIPT OF INTEREST EARNED ON LOS ANGELES CALIF MU 4.700% 2/01/04 $0.0235/$1 PV ON 50,000 PAR VALUE DUE 8-1-00		1,175.00	
8-1-00	AMORTIZED PREMIUM ON LOS ANGELES CALIF MU 4.700% 2/01/04 FEDERAL BASIS DECREASED BY $15.67 TO [illegible] STATE BASIS DECREASED BY $15.67 TO [illegible] 8-1-00 CURRENT YEAR AMORTIZATION			
8-1-00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7-1-00 TO 7-31-00		442.[illegible]	
8-2-00	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA HSG FIN 5.200% 2/01/11 $0.016611/$1 PV ON 50,000 PAR VALUE DUE 8-1-00		[illegible]	

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
8/10/00	CASH RECEIPT OF DIVIDEND EARNED ON UNOCAL CORP $0.20/SHARE ON 524 SHARES DUE 8/10/00		$104.80	
8/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(722.61)	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON LUCENT TECHNOLOGIES INC $0.02/SHARE ON 732 SHARES DUE 9/1/00		14.64	
9/1/00	CASH RECEIPT OF INTEREST EARNED ON JURUPA CALIF CMNTY 4 100% 9/01/08 $0.0205/$1 PV ON 50,000 PAR VALUE DUE 9/1/00		1,025.00	
9/1/00	CASH RECEIPT OF INTEREST EARNED ON MOULTON-NIGUEL CALIF 5.250% 9/01/06 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 9/1/00		1,312.50	
9/1/00	AMORTIZED PREMIUM ON MOULTON-NIGUEL CALIF 5.250% 9/01/06 FEDERAL BASIS DECREASED BY $66.89 TO $50,768.67 STATE BASIS DECREASED BY $66.89 TO $50,768.67 9/1/00 CURRENT YEAR AMORTIZATION			
9/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/00 TO 8/31/00		460.06	
9/6/00	CASH RECEIPT OF DIVIDEND EARNED ON SOUTHERN CO $0.335/SHARE ON 400 SHARES DUE 9/6/00		134.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/11/00	CASH RECEIPT OF DIVIDEND EARNED ON ELECTRONIC DATA SYS CORP NEW $0.15/SHARE ON 448 SHARES DUE 9/11/00		$67.20	
9/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(658.93)	
9/12/00	CASH DISBURSEMENT PAID TO U.S TREASURY FIDUCIARY EST FED INCOME TAX FEDERAL ESTIMATED TAX			$(21,247.00)
9/12/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX			(1,837.00)
9/22/00	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORPORATION $0.50/SHARE ON 800 SHARES DUE 9/22/00		400.00	
9/27/00	CASH RECEIPT OF DIVIDEND EARNED ON ANADARKO PETE CORP $0.05/SHARE ON 101 SHARES DUE 9/27/00		5.05	
9/29/00	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 400 SHARES DUE 9/29/00		112.00	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON BANK ONE CORP $0.21/SHARE ON 2,039 SHARES DUE 10/1/00		428.19	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON BURLINGTON NORTHN SANTA FE CORP $0.12/SHARE ON 105 SHARES DUE 10/2/00		12.60	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON UNION PAC CORP $0 20/SHARE ON 264 SHARES DUE 10/2/00		$52 80	
10/2/00	CASH RECEIPT OF INTEREST EARNED ON LONG BEACH CALIF BD 4 300% 10/01/04 $0 0215/$1 PV ON 50,000 PAR VALUE DUE 10/1/00		1,075 00	
10/2/00	CASH RECEIPT OF INTEREST EARNED ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 $0 02625/$1 PV ON 50,000 PAR VALUE DUE 10/1/00		1,312 50	
10/2/00	AMORTIZED PREMIUM ON SAN DIEGO CNTY CALIF 5 250% 4/01/07 FEDERAL BASIS DECREASED BY $101.17 TO $50,778 95 STATE BASIS DECREASED BY $101 17 TO $50,778 95 10/1/00 CURRENT YEAR AMORTIZATION			
10/2/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/00 TO 9/30/00		382 52	
10/3/00	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.27/SHARE ON 75,000 SHARES DUE 10/3/00		20,250 00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/4/00	SPIN OFF RECEIVED 61 SHARES DISTRIBUTION AT 0.08333333 SHARES OF AVAYA INC FOR EACH SHARE OF LUCENT TECHNOLOGIES INC DUE 09/29/00 MARKET VALUE OF $0.00 DUE TO SPIN-OFF FROM LUCENT TECHNOLOGIES CUSIP: 549463107			
10/5/00	SPIN OFF FEDERAL COST BASIS OF AVAYA INC ADJUSTED BY $192.25 OLD: $0.00 /NEW $192.25 SPIN-OFF TO AVAYA INC /CUSIP 053499109			
10/5/00	SPIN OFF STATE COST BASIS OF AVAYA INC ADJUSTED BY $192.25 OLD: $0.00 /NEW $192.25 SPIN-OFF TO AVAYA INC /CUSIP 053499109			
10/5/00	SPIN OFF FEDERAL COST BASIS OF LUCENT TECHNOLOGIES INC ADJUSTED BY $-192.25 OLD: $3,510.76 /NEW $3,318.51 SPIN-OFF TO AVAYA INC /CUSIP 053499109			

4565

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/5/00	SPIN OFF STATE COST BASIS OF LUCENT TECHNOLOGIES INC ADJUSTED BY $-192.25 OLD: $3,510.76 /NEW $3,318.51 SPIN-OFF TO AVAYA INC /CUSIP:053499109			
10/5/00	MANDATORY CASH MERGER 528 SHARES OF BESTFOODS EXCHANGED AT $73.00 PER SHARE CASH RECEIVED $38,544.00 CORPORATE ACTION ID: 87667 DUE TO CASH MERGER ON BESTFOODS C# 08658U101	$32,805.43		$38,544.00
10/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		$(638.59)	
10/16/00	CASH RECEIPT OF DIVIDEND EARNED ON FIRSTAR CORP NEW WIS $0.1625/SHARE ON 3,648 SHARES DUE 10/16/00		592.80	
10/25/00	CASH RECEIPT OF DIVIDEND EARNED ON *** CLOSED *** BESTFOODS $0.265/SHARE ON 528 SHARES DUE 10/25/00		139.92	
10/27/00	SOLD 2,000 SHARES OF KIMBERLY CLARK CORP TRADE DATE 10/24/00 SOLD THROUGH PAINE WEBBER PAID $120.00 BROKERAGE 2,000 SHARES AT $62.00	96,267.61		123,875.86

4566

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/31/00	CASH RECEIPT OF DIVIDEND EARNED ON CHASE MANHATTAN CORP NEW $0.32/SHARE ON 411 SHARES DUE 10/31/00		$131.52	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON AT & T CORP $0.22/SHARE ON 850 SHARES DUE 11/1/00		187.00	
11/1/00	CASH RECEIPT OF INTEREST EARNED ON CULVER CITY CALIF RE 4.400% 11/01/06 $0.022/$1 PV ON 50,000 PAR VALUE DUE 11/1/00		1,100.00	
11/1/00	CASH RECEIPT OF INTEREST EARNED ON IRVINE CALIF 4.250% 11/01/09 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 11/1/00		1,062.50	
11/1/00	CASH RECEIPT OF INTEREST EARNED ON LOS ANGELES CALIF PK 5.000% 5/01/05 $0.025/$1 PV ON 50,000 PAR VALUE DUE 11/1/00		1,250.00	
11/1/00	AMORTIZED PREMIUM ON LOS ANGELES CALIF PK 5.000% 5/01/05 FEDERAL BASIS DECREASED BY $84.89 TO $51,583.11 STATE BASIS DECREASED BY $84.89 TO $51,583.11 11/1/00 CURRENT YEAR AMORTIZATION			
11/1/00	CASH RECEIPT OF INTEREST EARNED ON ROCKLIN CALIF STANFO 4.500% 11/01/03 $0.0225/$1 PV ON 50,000 PAR VALUE DUE 11/1/00		1,125.00	
11/1/00	CASH RECEIPT OF INTEREST EARNED ON SOUTH BAY CALIF UN 4.350% 11/01/03 $0.02175/$1 PV ON 50,000 PAR VALUE DUE 11/1/00		1,087.50	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/1/00	AMORTIZED PREMIUM ON SOUTH BAY CALIF UN 4.350% 11/01/03 FEDERAL BASIS DECREASED BY $21.44 TO $50,139.59 STATE BASIS DECREASED BY $21.44 TO $50,139.59 11/1/00 CURRENT YEAR AMORTIZATION			
11/1/00	PURCHASED 50,000 PAR VALUE OF TEMPLE CITY CALIF UNI SCH 8/01/12 TRADE DATE 10/27/00 PURCHASED THROUGH PRUDENTIAL-BACHE 50,000 PAR VALUE AT 56.619 %			$(28,309.50)
11/1/00	PURCHASED 50,000 PAR VALUE OF CALIFORNIA ST 5.000% 10/01/12 TRADE DATE 10/27/00 PURCHASED THROUGH PRUDENTIAL-BACHE 50,000 PAR VALUE AT 102.352 %			(51,176.00)
11/1/00	PAID ACCRUED INTEREST ON PURCHASE OF CALIFORNIA ST 5.000% 10/01/12		$(208.33)	
11/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/00 TO 10/31/00		741.55	
11/10/00	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(726.91)	
11/10/00	CASH RECEIPT OF DIVIDEND EARNED ON UNOCAL CORP $0.20/SHARE ON 524 SHARES DUE 11/10/00		104.80	

4568

Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON LUCENT TECHNOLOGIES INC $0.02/SHARE ON 732 SHARES DUE 12/1/00		$14.64	
12/1/00	CASH RECEIPT OF INTEREST EARNED ON ARCATA CALIF JT PWRS 4.850% 12/01/05 $0.02425/$1 PV ON 50,000 PAR VALUE DUE 12/1/00		1,212.50	
12/1/00	CASH RECEIPT OF INTEREST EARNED ON SAN MATEO CNTY CALIF 4.250% 6/01/10 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 12/1/00		1,062.50	
12/1/00	CASH RECEIPT OF INTEREST EARNED ON SANTA CLARA VY CALIF 5.1% 6/01/01 $0.0255/$1 PV ON 50,000 PAR VALUE DUE 12/1/00		1,275.00	
12/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/00 TO 11/30/00		912.98	
12/5/00	CASH DISBURSEMENT PAID TO BANK ONE WISCONSIN FEE TO OTHER FIDUCIARY PAID FOR F JAMES SENSENBRENNER JR DEPOSIT TO MONEY MKT #4941588070 ANNUAL TRUSTEE FEE FOR F JAMES SENSENBRENNER JR			$(12,500.00)
12/5/00	CASH DISBURSEMENT PAID TO BANK ONE WISCONSIN FEE TO OTHER FIDUCIARY PAID FOR F JAMES SENSENBRENNER JR DEPOSIT TO MONEY MKT #4941588070 ANNUAL TRUSTEE FEE FOR F JAMES SENSENBRENNER JR		(12,500.00)	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/5/00	CASH DISBURSEMENT PAID TO FOLEY & LARDNER LEGAL FEE #018423-0101 N/O SENSENBRENNER STMT DTD 11/9/2000		$(453.54)	
12/6/00	CASH RECEIPT OF DIVIDEND EARNED ON SOUTHERN CO $0.335/SHARE ON 400 SHARES DUE 12/6/00		134.00	
12/11/00	CASH RECEIPT OF DIVIDEND EARNED ON ELECTRONIC DATA SYS CORP NEW $0.15/SHARE ON 448 SHARES DUE 12/11/00		67.20	
12/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		(774.84)	
12/15/00	CASH RECEIPT OF INTEREST EARNED ON SAN FRANCISCO CALIF 5.000% 6/15/11 $0.025/$1 PV ON 50,000 PAR VALUE DUE 12/15/00		1,250.00	
12/20/00	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORPORATION $0.56/SHARE ON 800 SHARES DUE 12/20/00		448.00	
12/27/00	CASH RECEIPT OF DIVIDEND EARNED ON ANADARKO PETE CORP $0.05/SHARE ON 101 SHARES DUE 12/27/00		5.05	
12/28/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX FEDERAL ESTIMATED TAX			$(18,773.00)

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BANK ONE.

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
12/28/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX			$(2,845.00)
12/29/00	AMORTIZED PREMIUM ON CALIFORNIA ST 5.000% 10/01/12 FEDERAL BASIS DECREASED BY $13.70 TO $51,162.30 STATE BASIS DECREASED BY $13.70 TO $51,162.30 1/1/01 CURRENT YEAR AMORTIZATION			
12/29/00	AMORTIZED PREMIUM ON LOS ANGELES CALIF MU 4.700% 2/01/04 FEDERAL BASIS DECREASED BY $15.07 TO $50,105.32 STATE BASIS DECREASED BY $15.07 TO $50,105.32 1/1/01 CURRENT YEAR AMORTIZATION			
12/29/00	AMORTIZED PREMIUM ON LOS ANGELES CALIF PK 5.000% 5/01/05 FEDERAL BASIS DECREASED BY $56.18 TO $51,526.93 STATE BASIS DECREASED BY $56.18 TO $51,526.93 1/1/01 CURRENT YEAR AMORTIZATION			

4571

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
12/29/00	AMORTIZED PREMIUM ON MOULTON-NIGUEL CALIF 5.250% 9/01/06 FEDERAL BASIS DECREASED BY $49.19 TO $50,719.48 STATE BASIS DECREASED BY $49.19 TO $50,719.48 1/1/01 CURRENT YEAR AMORTIZATION			
12/29/00	AMORTIZED PREMIUM ON RANCHO MIRAGE CALIF 5.250% 7/01/10 FEDERAL BASIS DECREASED BY $64.97 TO $51,379.69 STATE BASIS DECREASED BY $64.97 TO $51,379.69 1/1/01 CURRENT YEAR AMORTIZATION			
12/29/00	AMORTIZED PREMIUM ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 FEDERAL BASIS DECREASED BY $55.34 TO $50,723.61 STATE BASIS DECREASED BY $55.34 TO $50,723.61 1/1/01 CURRENT YEAR AMORTIZATION			
12/29/00	AMORTIZED PREMIUM ON SOUTH BAY CALIF UN 4.350% 11/01/03 FEDERAL BASIS DECREASED BY $9.71 TO $50,129.88 STATE BASIS DECREASED BY $9.71 TO $50,129.88 1/1/01 CURRENT YEAR AMORTIZATION			

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BANK ONE.

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/29/00	ACCRETED DISCOUNT ON TEMPLE CITY CALIF UNI SCH 8/01/12 FEDERAL BASIS INCREASED BY $232.08 TO $28,541.58 STATE BASIS INCREASED BY $232.08 TO $28,541.58 1/1/01 CURRENT YEAR NON-TAXABLE OID			
12/29/00	ACCRETED ADJUSTMENT ON TEMPLE CITY CALIF UNI SCH 8/01/12 FEDERAL BASIS DECREASED BY $8.57 TO $28,533.01 STATE BASIS DECREASED BY $8.57 TO $28,533.01 1/1/01 CURRENT YEAR ACQ. PREMIUM OID			
			Income	Principal
Ending cash balance 12/31/00			$55,088.61	$(55,088.61)

No pending trades.

4573

Holdings summary

	Value on December 31, 2000	Value on December 31, 1999	Change in value
Cash equivalents	$2,229.89		
Equities	128,748.38		
Fixed income	12,248.43		
Other	0.00		
Total holdings	**$143,226.70**	$145,324.66	**$(2,097.96)**
Accruals (Income earned but not yet received)	$346.64		
Total holdings with accruals	**$143,573.34**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$1,000.16	$1,000.16
Interest (taxable/non-taxable)	276.39	276.39
Other income	0.00	0.00
Total income	**$1,276.55**	$1,276.55
Realized gain/(loss)		
	$6,933.63	$6,933.63

The Income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting

4574

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	**$145,324.66**	$145,324.66
Receipts	0.00	0.00
Disbursements	(3,407.04)	(3,407.04)
Income	1,276.55	1,276.55
Capital gains distributions	6,511.28	6,511.28
Change in asset market value	(6,478.75)	(6,478.75)
Ending market value	**$143,226.70**	$143,226.70

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/00	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$1,000.16	$0.00
Interest (taxable/non-taxable)	276.39	0.00
Other cash receipts	0.00	6,511.28
Total cash receipts	$1,276.55	$6,511.28
Cash disbursements		
Cash disbursements	$0.00	$(2,157.00)
Administrative expenses	(849.96)	(400.08)
Total cash disbursements	$(849.96)	$(2,557.08)
Investment transactions		
Purchases	$0.00	$(24,578.60)
Sales and maturities	0.00	20,197.81
Total investment transactions	$0.00	$(4,380.79)
Ending cash balance 12/31/00	**$426.59**	**$(426.59)**

4576

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	2,229.890	1.000	$2,229.89	$2,229.89	$0.00	6.19%	$138.07
INCOME CASH	426.590	1.000	426.59	426.59	0.00	0.00%	0.00
PRINCIPAL CASH	(426.590)	1.000	(426.59)	(426.59)	0.00	0.00%	0.00
Total cash equivalents			**$2,229.89**	**$2,229.89**	**$0.00**		**$138.07**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	1,415.804	13.900	$19,679.68	$15,286.94	$4,392.74	0.12%	$23.71
ONE GROUP DIVERSIFIED INTERNAT'L FD	152.402	14.920	2,273.84	2,877.35	(603.51)	0.60%	13.61
ONE GROUP INTERNATIONAL EQTY INDX FD	697.050	18.420	12,839.66	10,101.75	2,737.91	1.03%	132.37
ONE GROUP LARGE CAP GROWTH FUND	959.941	19.590	18,805.24	13,282.87	5,522.37	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	1,449.369	16.650	24,131.99	18,551.33	5,580.66	0.72%	173.08
ONE GROUP MID CAP GROWTH FUND	834.528	23.660	19,744.93	13,719.29	6,025.64	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	1,576.794	15.740	24,818.74	19,686.70	5,132.04	0.84%	209.06
ONE GROUP SMALL CAP GROWTH FUND	261.837	10.860	2,843.55	2,253.75	589.80	0.00%	0.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/loss	Current yield	Estimated annual income
ONE GROUP SMALL CAP VALUE FUND	214.670	16.820	$3,610.75	$2,702.39	$908.36	1.43%	$51.81
Total equities			$128,748.38	$98,462.37	$30,286.01		$603.64

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/loss	Current yield	Yield to maturity	Estimated annual income
ONE GROUP BOND FUND	433.558	10.540	$4,569.70	$4,369.40	$200.30	6.03%		$275.74
ONE GROUP GOVERNMENT BOND FUND	313.922	9.960	3,126.66	2,925.79	200.87	6.02%		188.35
ONE GROUP INCOME BOND FUND	588.884	7.730	4,552.07	4,537.30	14.77	6.36%		289.73
Total fixed income			$12,248.43	$11,832.49	$415.94			$753.82
Total holdings			$143,226.70	$112,524.75	$30,701.95			$1,495.53
Accruals (income earned but not yet received)			$346.64					
Total holdings with accruals			$143,573.34					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio

Transaction detail

			Income	Principal
Beginning cash balance 1/1/00			$0.00	$0.00
Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			$(7,731.18)
	COMBINED SALES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			10,611.71
1/4/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/99 TO 12/31/99		$33.74	
1/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(33.33)	(33.34)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		7.11	
2/1/00	PURCHASED 0.714 SHARES ONE GROUP BOND FUND @ $9.96 THROUGH REINVESTMENT OF CASH DIVIDEND DUE 1/31/00			(7.11)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		6.50	
2/1/00	PURCHASED 0.693 SHARES ONE GROUP GOVERNMENT BOND FUND @ $9.38 THROUGH REINVESTMENT OF CASH DIVIDEND DUE 1/31/00			(6.50)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		27.56	

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BANK ONE.

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/1/00	PURCHASED 3.709 SHARES ONE GROUP INCOME BOND FUND @ $7.43 THROUGH REINVESTMENT OF CASH DIVIDEND DUE 1/31/00			$(27.56)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		$6.46	
2/1/00	PURCHASED 0.647 SHARES ONE GROUP INTERMEDIATE BOND FUND @ $9.98 THROUGH REINVESTMENT OF CASH DIVIDEND DUE 1/31/00			(6.46)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SHORT-TERM BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		9.02	
2/1/00	PURCHASED 0.88 SHARES ONE GROUP SHORT-TERM BOND FUND @ $10.25 THROUGH REINVESTMENT OF CASH DIVIDEND DUE 1/31/00			(9.02)
2/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/00 TO 1/31/00		23.65	
2/8/00	PURCHASED 299.213 SHARES OF ONE GROUP BOND FUND TRADE DATE 2/7/00 299.213 SHARES AT $9.93			(2,971.19)
2/8/00	PURCHASED 152.402 SHARES OF ONE GROUP DIVERSIFIED INTERNAT'L FD TRADE DATE 2/7/00 152.402 SHARES AT $18.88			(2,877.35)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/8/00	PURCHASED 9.818 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 2/7/00 9.818 SHARES AT $26.21			$(257.32)
2/8/00	SOLD 181.618 SHARES OF ONE GROUP SHORT-TERM BOND FUND TRADE DATE 2/7/00 181.618 SHARES AT $10.24	$(43.64)		1,859.77
2/8/00	SOLD 53.571 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 2/7/00 53.571 SHARES AT $27.42	317.51		1,468.92
2/8/00	SOLD 126.96 SHARES OF ONE GROUP INTERMEDIATE BOND FUND TRADE DATE 2/7/00 126.96 SHARES AT $9.95	(4.93)		1,263.25
2/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		$(33.33)	(33.34)
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		18.78	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		6.46	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		26.50	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		$1.50	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SHORT TERM BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		2.10	
3/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/00 TO 2/29/00		17.05	
3/13/00	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(33.33)	$(33.34)
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		23.67	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.000656 SHARE ON 1,436,198 SHARES DUE 4/1/00		0.94	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		6.76	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		27.59	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.027059 SHARE ON 1,201,316 SHARES DUE 4/1/00		32.70	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.038611/SHARE ON 1,656.429 SHARES DUE 4/3/00		$63.96	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.066684/SHARE ON 214.67 SHARES DUE 4/3/00		14.32	
4/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/00 TO 3/31/00		16.76	
4/6/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN			$(614.00)
4/6/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON WI FORM 2 FOR CALENDAR YEAR RETURN			(75.00)
4/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		(33.33)	(33.34)
4/12/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX FEDERAL ESTIMATED TAX			(29.00)
4/12/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX			(352.00)

4584

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		$23.49	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		6.80	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		27.43	
5/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/00 TO 4/30/00		13.25	
5/9/00	PURCHASED 58.247 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 5/8/00 58.247 SHARES AT $25.49			$(1,484.72)
5/9/00	PURCHASED 84.104 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 5/8/00 84.104 SHARES AT $15.94			(1,340.62)
5/9/00	SOLD 79.635 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 5/8/00 79.635 SHARES AT $13.99	$(175.18)		1,114.09
5/9/00	SOLD 35.921 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 5/8/00 35.921 SHARES AT $28.47	383.99		1,022.66

4585

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/9/00	SOLD 92.363 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 5/8/00 92.363 SHARES AT $7.35	$(61.08)		$678.87
5/9/00	SOLD 0.659 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 5/8/00 0.659 SHARES AT $14.74	0.34		9.72
5/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		$(33.33)	(33.34)
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		25.10	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		7.04	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		25.51	
6/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/00 TO 5/31/00		12.96	
6/12/00	ADMINISTRATIVE FEES COLLECTED THPOUGH MAY MONTH END		(33.33)	(33.34)
6/13/00	PURCHASED 178.986 SHARES OF ONE GROUP GOVERNMENT BOND FUND TRADE DATE 6/12/00 178.986 SHARES AT $9.50			(1,700.37)

4586

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/13/00	SOLD 55.016 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 6/12/00 55.016 SHARES AT $25.55	$(11.16)		$1,405.67
6/13/00	SOLD 19.845 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 6/12/00 19.845 SHARES AT $14.85	12.11		294.70
6/14/00	FIDUCIARY TAX RETURN PREP COLLECTED 1999 FORMS 1041/2		$(450.00)	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		23.85	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.00256/SHARE ON 1,415.804 SHARES DUE 7/3/00		3.62	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		11.90	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		23.68	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.032607/SHARE ON 1,477.42 SHARES DUE 7/3/00		48.17	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.03063/SHARE ON 1,576.794 SHARES DUE 7/3/00		48.30	

4587

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.054079/SHARE ON 214.67 SHARES DUE 7/3/00		$11.61	
7/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/00 TO 6/30/00		11.82	
7/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(33.33)	$(33.34)
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		23.78	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		15.45	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		24.21	
8/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/00 TO 7/31/00		11.75	
8/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(33.33)	(33.34)
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP GROWTH FUND $0.9039/SHARE ON 861.356 SHARES DUE 8/18/00	$778.55		778.55

4588

Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $3.2771/SHARE ON 761.018 SHARES DUE 8/18/00	$2,493.95		$2,493.95
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $1.0362/SHARE ON 761.018 SHARES DUE 8/18/00	788.55		788.55
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.6597/SHARE ON 261.837 SHARES DUE 8/18/00	172.74		172.74
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.2582/SHARE ON 261.837 SHARES DUE 8/18/00	67.61		67.61
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED INTERNATL FD $0.2711/SHARE ON 152.402 SHARES DUE 8/18/00	41.32		41.32
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED INTERNATL FD $0.0336/SHARE ON 152.402 SHARES DUE 8/18/00	5.12		5.12
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED EQUITY FUND $0.2422/SHARE ON 1,415.804 SHARES DUE 8/18/00	342.89		342.89
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP VALUE FUND $0.2205/SHARE ON 1,477.42 SHARES DUE 8/18/00	304.35		304.35
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP VALUE FUND $0.1872/SHARE ON 1,477.42 SHARES DUE 8/18/00	276.56		276.56

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP VALUE FUND $0 4867/SHARE ON 1,576.794 SHARES DUE 8/18/00	$767 38		$767.38
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP VALUE FUND $0.1964/SHARE ON 1,576.794 SHARES DUE 8/18/00	309 70		309 70
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		$23.36	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		16.18	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		24.25	
9/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/00 TO 8/31/00		23.84	
9/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(33.33)	(33.34)
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		22.90	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0 002068/SHARE ON 1,415.804 SHARES DUE 10/2/00		2.93	

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Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		$15.62	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		23.75	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028785/SHARE ON 1,477.42 SHARES DUE 10/2/00		42.53	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.03398/SHARE ON 1,576.794 SHARES DUE 10/2/00		53.58	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.05426/SHARE ON 214.67 SHARES DUE 10/2/00		11.64	
10/2/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUNT INTEREST FROM 9/1/00 TO 9/30/00		43.50	
10/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		30.03	(5,33.34)
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		23.31	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		15.44	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		$24.30	
11/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/00 TO 10/31/00		46.00	
11/7/00	PURCHASED 98.585 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 11/6/00 98.585 SHARES AT $23.57			$(2,323.65)
11/7/00	PURCHASED 73.51 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 11/6/00 73.51 SHARES AT $27.21			(2,000.21)
11/7/00	PURCHASED 93.354 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 11/6/00 93.354 SHARES AT $19.66			(1,835.34)
11/7/00	SOLD 28.051 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 11/6/00 28.051 SHARES AT $16.70	$8.39		468.45
11/10/00	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(33.33)	(33.34)
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		22.98	

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Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		$15.70	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		24.14	
12/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/00 TO 11/30/00		21.77	
12/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		(33.33)	$(33.34)
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP GROWTH FUND $0.001/SHARE ON 959.941 SHARES DUE 12/21/00	$0.94		0.94
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $0.0069/SHARE ON 834.528 SHARES DUE 12/21/00	5.80		5.80
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.1676/SHARE ON 261.837 SHARES DUE 12/21/00	43.88		43.88
12/21/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.3026/SHARE ON 261.837 SHARES DUE 12/21/00	79.21		79.21
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP INTERNATIONAL EQTY INDX FD $0.047/SHARE ON 697.05 SHARES DUE 12/21/00	32.73		32.73

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/28/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX FEDERAL ESTIMATED TAX			$(1,087.00)
			Income	Principal
Ending cash balance 12/31/00			$426.59	$(426.59)

No pending trades.

4594

4595

Holdings summary

	Value on December 31, 2000	Value on December 31, 1999	Change in value
Cash equivalents	$2,267.48		
Equities	130,742.81		
Fixed income	12,456.48		
Other	0.00		
Total holdings	**$145,466.77**	$147,591.07	**$(2,124.30)**
Accruals (Income earned but not yet received)	$352.19		
Total holdings with accruals	**$145,818.96**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$1,016.27	$1,016.27
Interest (taxable/non-taxable)	282.06	282.06
Other income	0.00	0.00
Total income	**$1,298.33**	$1,298.33
Realized gain/(loss)		
	$7,037.96	$7,037.96

The Income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

4596

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$147,591.07	$147,591.07
Receipts	0.00	0.00
Disbursements	(3,450.04)	(3,450.04)
Income	1,298.33	1,298.33
Other payments/withdrawals	6,612.31	6,612.31
Change in asset market value	(6,584.90)	(6,584.90)
Ending market value	$145,466.77	$145,466.77

The Market reconciliation provides a summary of activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions and stock split transactions. The Transaction detail section provides an itemization of all transactions. The Transaction summary provides a summary of all receipts and disbursement transactions.

Transaction summary

	Income	Principal
Beginning cash balance 1/1/00	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$1,016.27	$0.00
Interest (taxable/non-taxable)	282.06	0.00
Other cash receipts	0.00	6,612.31
Total cash receipts	$1,298.33	$6,612.31
Cash disbursements		
Cash disbursements	$0.00	$(2,200.00)
Administrative expenses	(849.96)	(400.08)
Total cash disbursements	$(849.96)	$(2,600.08)
Investment transactions		
Purchases	$0.00	$(25,016.28)
Sales and maturities	0.00	20,555.68
Total investment transactions	$0.00	$(4,460.60)
Ending cash balance 12/31/00	**$448.37**	**$(448.37)**

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	2,267.480	1.000	$2,267.48	$2,267.48	$0.00	6.19%	$140.39
INCOME CASH	448.370	1.000	448.37	448.37	0.00	0.00%	0.00
PRINCIPAL CASH	(448.370)	1.000	(448.37)	(448.37)	0.00	0.00%	0.00
Total cash equivalents			**$2,267.48**	**$2,267.48**	**$0.00**		**$140.39**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	1,437.025	13.900	$19,974.65	$15,513.75	$4,460.90	0.12%	$24.06
ONE GROUP DIVERSIFIED INTERNAT L FD	154.781	14.920	2,309.33	2,922.27	(612.94)	0.60%	13.83
ONE GROUP INTERNATIONAL EQTY INDX FD	707.976	18.420	13,040.92	10,247.06	2,793.86	1.03%	134.45
ONE GROUP LARGE CAP GROWTH FUND	974.988	19.590	19,100.01	13,453.48	5,646.53	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	1,472.087	16.650	24,510.25	18,844.80	5,665.45	0.72%	175.80
ONE GROUP MID CAP GROWTH FUND	847.609	23.660	20,054.43	13,935.66	6,118.77	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	1,601.349	15.740	25,205.23	20,012.15	5,193.08	0.84%	212.32
ONE GROUP SMALL CAP GROWTH FUND	265.751	10.860	2,886.05	2,288.57	597.49	0.00%	0.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield		Estimated annual income
ONE GROUP SMALL CAP VALUE FUND	217.713	16.820	$3,661.93	$2,739.95	$921.98	1.43%		$52.54
Total equities			**$130,742.81**	**$99,957.69**	**$30,785.12**			**$613.00**

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP BOND FUND	440.330	10.540	$4,641.08	$4,437.64	$203.44	6.03%		$280.05
ONE GROUP GOVERNMENT BOND FUND	318.816	9.960	3,175.41	2,971.41	204.00	6.02%		191.29
ONE GROUP INCOME BOND FUND	600.257	7.730	4,639.99	4,623.55	16.44	6.36%		295.33
Total fixed income			**$12,456.48**	**$12,032.60**	**$423.88**			**$766.67**
Total holdings			**$145,466.77**	**$114,257.77**	**$31,209.00**			**$1,520.06**
Accruals (Income earned but not yet received)			$352.19					
Total holdings with accruals			**$145,818.96**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

4601

Transaction detail

			Income	Principal
Beginning cash balance 1/1/00			$0.00	$0.00
Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			$(7,853.14)
	COMBINED SALES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			10,901.89
1/4/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/99 TO 12/31/99		$34.50	
1/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(33.33)	(33.34)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		7.20	
2/1/00	PURCHASED 0.723 SHARES ONE GROUP BOND FUND @ $9.96 THROUGH REINVESTMENT OF CASH DIVIDEND DUE 1/31/00			(7.20)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		6.58	
2/1/00	PURCHASED 0.701 SHARES ONE GROUP GOVERNMENT BOND FUND @ $9.38 THROUGH REINVESTMENT OF CASH DIVIDEND DUE 1/31/00			(6.58)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		28.03	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/1/00	PURCHASED 3.773 SHARES ONE GROUP INCOME BOND FUND @ $7.43 THROUGH REINVESTMENT OF CASH DIVIDEND DUE 1/31/00			$(28.03)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		$6.51	
2/1/00	PURCHASED 0.652 SHARES ONE GROUP INTERMEDIATE BOND FUND @ $9.98 THROUGH REINVESTMENT OF CASH DIVIDEND DUE 1/31/00			(6.51)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SHORT-TERM BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		9.18	
2/1/00	PURCHASED 0.896 SHARES ONE GROUP SHORT-TERM BOND FUND @ $10.25 THROUGH REINVESTMENT OF CASH DIVIDEND DUE 1/31/00			(9.18)
2/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/00 TO 1/31/00		24.61	
2/8/00	PURCHASED 303.891 SHARES OF ONE GROUP BOND FUND TRADE DATE 2/7/00 303.891 SHARES AT $9.93			(3,017.64)
2/8/00	PURCHASED 154.781 SHARES OF ONE GROUP DIVERSIFIED INTERNAT'L FD TRADE DATE 2/7/00 154.781 SHARES AT $18.88			(2,922.27)

4603

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/8/00	PURCHASED 13.88 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 2/7/00 13.88 SHARES AT $26.21			$(363.79)
2/8/00	SOLD 184.432 SHARES OF ONE GROUP SHORT-TERM BOND FUND TRADE DATE 2/7/00 184.432 SHARES AT $10.24	$(44.31)		1,888.58
2/8/00	SOLD 53.492 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 2/7/00 53.492 SHARES AT $27.42	318.09		1,466.75
2/8/00	SOLD 128.939 SHARES OF ONE GROUP INTERMEDIATE BOND FUND TRADE DATE 2/7/00 128.939 SHARES AT $9.95	(4.39)		1,282.94
2/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		$(33.33)	(33.34)
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		19.05	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		6.60	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		26.89	

4604

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		$1.53	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SHORT-TERM BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		2.14	
3/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/00 TO 2/29/00		17.46	
3/13/00	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(33.33)	$(33.34)
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		24.25	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.000656/SHARE ON 1,457.15 SHARES DUE 4/3/00		0.96	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		6.81	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		28.47	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.027059/SHARE ON 1,413.516 SHARES DUE 4/3/00		38.25	

4605

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.038611/SHARE ON 1,680.179 SHARES DUE 4/3/00		$64.87	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.066684/SHARE ON 217.713 SHARES DUE 4/3/00		14.52	
4/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/00 TO 3/31/00		17.03	
4/6/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN			$(627.00)
4/6/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON WI FORM 2 FOR CALENDAR YEAR RETURN			(75.00)
4/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		(33.33)	(33.34)
4/12/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX FEDERAL ESTIMATED TAX			(30.00)
4/12/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX			(356.00)

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BANK ONE.

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		$23.84	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		6.86	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		27.87	
5/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/00 TO 4/30/00		13.46	
5/9/00	PURCHASED 56.1 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 5/8/00 56.1 SHARES AT $25.49			$(1,429.99)
5/9/00	PURCHASED 86.912 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 5/8/00 86.912 SHARES AT $15.94			(1,385.38)
5/9/00	SOLD 78.83 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 5/8/00 78.83 SHARES AT $13.99	$(177.37)		1,102.83
5/9/00	SOLD 36.494 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 5/8/00 36.494 SHARES AT $28.47	390.12		1,038.98

4607

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/9/00	SOLD 91.641 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 5/8/00 91.641 SHARES AT $7.35	$(63.33)		$673.56
5/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		$(33.33)	(33.34)
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		25.49	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		7.11	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		25.95	
6/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/00 TO 5/31/00		13.18	
6/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(33.33)	(33.34)
6/13/00	PURCHASED 181.775 SHARES OF ONE GROUP GOVERNMENT BOND FUND TRADE DATE 6/12/00 181.775 SHARES AT $9.50			(1,726.86)
6/13/00	SOLD 55.891 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 6/12/00 55.891 SHARES AT $25.55	(13.84)		1,428.01

4608

BANK ONE.

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
6/13/00	SOLD 20.125 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 6/12/00 20.125 SHARES AT $14.85	$12.27		$298.85
6/14/00	FIDUCIARY TAX RETURN PREP COLLECTED 1999 FORMS 1041/2		$(450.00)	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		24.28	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.00256/SHARE ON 1,437.025 SHARES DUE 7/3/00		3.68	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		12.04	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		24.12	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.032607/SHARE ON 1,500.428 SHARES DUE 7/3/00		48.92	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.03063/SHARE ON 1,601.349 SHARES DUE 7/3/00		49.05	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.054079/SHARE ON 217.713 SHARES DUE 7/3/00		11.77	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/00 TO 6/30/00		$12.04	
7/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(33.33)	$(33.34)
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		24.14	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		15.74	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		24.71	
8/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/00 TO 7/31/00		11.99	
8/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(33.33)	(33.34)
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP GROWTH FUND $0.9039/SHARE ON 874.784 SHARES DUE 8/18/00	$790.68		790.68
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $3.2771/SHARE ON 772.881 SHARES DUE 8/18/00	2,532.83		2,532.83

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BANK ONE.

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $1.0362/SHARE ON 772.881 SHARES DUE 8/18/00	$800.84		$800.84
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.6597/SHARE ON 265.751 SHARES DUE 8/18/00	175.32		175.32
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.2582/SHARE ON 265.751 SHARES DUE 8/18/00	68.62		68.62
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.2711/SHARE ON 154.781 SHARES DUE 8/18/00	41.97		41.97
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.0336/SHARE ON 154.781 SHARES DUE 8/18/00	5.20		5.20
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED EQUITY FUND $0.2422/SHARE ON 1,437.025 SHARES DUE 8/18/00	348.03		348.03
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP VALUE FUND $0.206/SHARE ON 1,500.428 SHARES DUE 8/18/00	309.08		309.08
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP VALUE FUND $0.1872/SHARE ON 1,500.428 SHARES DUE 8/18/00	280.87		280.87
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP VALUE FUND $0.4867/SHARE ON 1,601.349 SHARES DUE 8/18/00	779.33		779.33

4611

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP VALUE FUND $0.1964/SHARE ON 1,601.349 SHARES DUE 8/18/00	$314.52		$314.52
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		$23.74	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		16.47	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		24.76	
9/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/00 TO 8/31/00		24.28	
9/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(33.33)	(33.34)
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		23.24	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.002068/SHARE ON 1,437.025 SHARES DUE 10/2/00		2.97	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		15.89	

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BANK ONE.

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		$24.21	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028785/SHARE ON 1,500.428 SHARES DUE 10/2/00		43.19	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.03398/SHARE ON 1,601.349 SHARES DUE 10/2/00		54.41	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.05426/SHARE ON 217.713 SHARES DUE 10/2/00		11.81	
10/2/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/00 TO 9/30/00		44.55	
10/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(33.33)	$(33.34)
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		23.67	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		15.79	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		24.82	

4613

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/00 TO 10/31/00		$46.80	
11/7/00	PURCHASED 100.204 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 11/6/00 100.204 SHARES AT $23.57			$(2,361.80)
11/7/00	PURCHASED 74.728 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 11/6/00 74.728 SHARES AT $27.21			(2,033.34)
11/7/00	PURCHASED 94.841 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 11/6/00 94.841 SHARES AT $19.66			(1,864.57)
11/7/00	SOLD 28.341 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 11/6/00 28.341 SHARES AT $16.70	$8.41		473.29
11/10/00	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(33.33)	(33.34)
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		23.34	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		15.94	

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BANK ONE.

Transaction detail continued

Date	Description	Withdrawals/Debits	Income	Principal
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP PRIME BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		$24.61	
12/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/00 TO 11/30/00		22.16	
12/1/00	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		33.33	$(33.34)
12/1/00	RECEIVED LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP GROWTH FUND $0.098 SHARE ON 6 [illegible] SHARES DUE [illegible]	0.98		0.98
12/1/00	RECEIVED LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $0.089 SHARE ON 647.[illegible] SHARES DUE [illegible]	5.89		5.89
12/21/00	RECEIVED LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.1[illegible]8 SHARE ON 265.751 SHARES DUE [illegible]	44.53		44.53
12/21/00	RECEIVED ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.3026 SHARE ON 265.751 SHARES DUE [illegible]	80.40		80.40
12/21/00	RECEIVED LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP INTERNATIONAL EQUITY INDEX FD $0.124 SHARE ON 267.8[illegible] SHARES DUE [illegible]	33.24		33.24
12/[illegible]	ADJUSTMENT FAC TO [illegible] TRANSACTION FEDERAL EST WITHHOLDING TAX FEDERAL EST MATERIALITY TAX			1,112.00
Ending Cash Balance 12/31/00			Income $448.37	Principal $(448.37)

No pending trades.

4616

4617

Holdings summary

	Value on December 31, 2000	Value on December 31, 1999	Change in value
Cash equivalents	$18,842.87		
Equities	558,696.89		
Fixed income	237,086.09		
Other	0.00		
Total holdings	**$814,625.85**	$800,990.26	**$13,635.59**
Accruals (Income earned but not yet received)	$1,615.39		
Total holdings with accruals	**$816,241.24**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$11,333.27	$11,333.27
Interest (taxable/non-taxable)	1,497.53	1,497.53
Other income	0.00	0.00
Total income	**$12,830.80**	$12,830.80
Realized gain/(loss)	**$51,905.16**	$51,905.16

The Income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

4618

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$800,990.26	$800,990.26
Receipts	0.00	0.00
Disbursements	(36,679.88)	(36,679.88)
Income	12,830.80	12,830.80
Capital gains distributions	51,905.16	51,905.16
Change in asset market value	(14,420.49)	(14,420.49)
Ending market value	$814,625.85	$814,625.85

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/00	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$11,333.27	$0.00
Interest (taxable/non-taxable)	1,497.53	0.00
Other cash receipts	0.00	51,905.16
Total cash receipts	$12,830.80	$51,905.16
Cash disbursements		
Cash disbursements	$(9,703.72)	$(20,815.00)
Administrative expenses	(3,355.56)	(2,805.60)
Total cash disbursements	$(13,059.28)	$(23,620.60)
Investment transactions		
Purchases	$0.00	$(104,544.95)
Sales and maturities	0.00	76,488.87
Total investment transactions	$0.00	$(28,056.08)
Ending cash balance 12/31/00	**$(228.48)**	**$228.48**

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	18,842.870	1.000	$18,842.87	$18,842.87	$0.00	6.19%	$1,166.67
INCOME CASH	(228.480)	1.000	(228.48)	(228.48)	0.00	0.00%	0.00
PRINCIPAL CASH	228.480	1.000	228.48	228.48	0.00	0.00%	0.00
Total cash equivalents			**$18,842.87**	**$18,842.87**	**$0.00**		**$1,166.67**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	6,960.640	13.900	$96,752.90	$78,098.91	$18,653.99	0.12%	$116.56
ONE GROUP DIVERSIFIED INTERNAT'L FD	23.669	14.920	353.14	400.00	(46.86)	0.60%	2.11
ONE GROUP EQUITY INCOME FUND	4,257.248	20.520	87,358.73	58,594.87	28,763.86	1.36%	1,187.30
ONE GROUP INTERNATIONAL EQTY INDX FD	549.700	18.420	10,125.47	9,695.00	430.47	1.03%	104.39
ONE GROUP LARGE CAP GROWTH FUND	1,993.571	19.590	39,054.06	36,126.00	2,928.06	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	1,131.630	16.650	18,841.64	18,062.00	779.64	0.72%	135.14
ONE GROUP MID CAP GROWTH FUND	6,462.811	23.660	152,910.11	116,762.40	36,147.71	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	7,685.601	15.740	120,971.36	103,486.21	17,485.15	0.84%	1,019.00

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BANK ONE.

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/loss	Current yield		Estimated annual income
ONE GROUP SMALL CAP GROWTH FUND	2,376.932	19.850	$32,309.45	$31,[illegible]	$623.48	0.00%		$0.00
Total equities			$558,696.89	$452,931.39	$105,765.50			$2,564.50
Fixed Income								
Mutual funds								
Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/loss	Current yield	Yield to maturity	Estimated annual income
ONE GROUP INTERMEDIATE TAX-FREE BOND FUND	10,465.033	[illegible]	$[illegible]	$10,[illegible]	$[illegible]	4.67%		$5,273.52
ONE GROUP MUNICIPAL INCOME FUND	1,[illegible]	[illegible]	[illegible]	10,[illegible]	3,045.27	4.9[illegible]%		5,107.14
ONE GROUP TAX-FREE BOND FUND	1,505.134	[illegible].730	[illegible]	19,[illegible]	546.[illegible]	4.71%		963.68
Total fixed income			$237,086.09	$230,500.00	$6,586.09			$11,344.34
Total holdings			$814,625.85	$702,274.26	$112,351.59			$15,075.51
Accruals (month-ended but not yet received)			$1,[illegible]					
Total holdings with accruals			$816,241.24					

Assets identified with an asterisk (*) at the beginning of the [illegible] are pledged [illegible] the invested income section.

4623

Transaction detail

			Income	Principal
Beginning cash balance 1/1/00			$0.00	$0.00
Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			$(64,744.95)
	COMBINED SALES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			76,488.87
1/4/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/99 TO 12/31/99		$183.09	
1/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(229.37)	(229.38)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.011156/SHARE ON 4,257.248 SHARES DUE 2/1/00		47.49	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 1/1/00 TO 1/31/00		441.56	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 1/1/00 TO 1/31/00		376.94	
2/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/00 TO 1/31/00		141.30	
2/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		(224.93)	(224.94)
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.03877/SHARE ON 4,257.248 SHARES DUE 3/1/00		165.05	

4624

Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 2/1/00 TO 2/29/00		$415.78	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 2/1/00 TO 2/29/00		[illegible]	
3/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/00 TO 2/29/00		135.27	
3/10/00	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#55946239 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-559462366		[illegible]	
3/13/00	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		[illegible]	$[illegible]
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.000656/SHARE ON 6,954.226 SHARES DUE 4/3/00		[illegible]	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.022968/SHARE ON 4,257.248 SHARES DUE 4/3/00		[illegible]	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 3/1/00 TO 3/31/00		445.10	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.027059/SHARE ON 1,003.268 SHARES DUE 4/3/00		[illegible]	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.038611/SHARE ON 7,685.601 SHARES DUE 4/3/00		$296.75	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 3/1/00 TO 3/31/00		376.55	
4/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/00 TO 3/31/00		143.24	
4/6/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN			$(9,753.00)
4/6/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON WI FORM 2 FOR CALENDAR YEAR RETURN			(1,669.00)
4/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		(239.21)	(239.21)
4/12/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX FEDERAL ESTIMATED TAX			(1.00)
4/12/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX			(6.00)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.013267/SHARE ON 4,257.248 SHARES DUE 5/1/00		$56.48	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 4/1/00 TO 4/30/00		434.88	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 4/1/00 TO 4/30/00		366.30	
5/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/00 TO 4/30/00		98.37	
5/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(232.78)	$(232.78)
5/17/00	MISCELLANEOUS TAX SERVICE FEES COLLECTED 1999 GENERATION SKIPPING REVIEW		(100.00)	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.043265/SHARE ON 4,257.248 SHARES DUE 6/1/00		184.19	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 5/1/00 TO 5/31/00		446.38	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 5/1/00 TO 5/31/00		374.28	
6/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/00 TO 5/31/00		98.13	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		$(229.91)	$(229.91)
6/12/00	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(2,418.93)	
6/14/00	FIDUCIARY TAX RETURN PREP COLLECTED 1999 FORMS 1041/2		(450.00)	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.00256/SHARE ON 6,954.226 SHARES DUE 7/3/00		17.80	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 6/1/00 TO 6/30/00		420.42	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.032607/SHARE ON 1,003.268 SHARES DUE 7/3/00		32.71	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.03063/SHARE ON 7,685.601 SHARES DUE 7/3/00		235.41	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 6/1/00 TO 6/30/00		359.97	
7/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/00 TO 6/30/00		92.41	
7/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(233.24)	(233.24)

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Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.01626/SHARE ON 4,257.248 SHARES DUE 8/1/00		$69.22	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 7/1/00 TO 7/31/00		435.37	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 7/1/00 TO 7/31/00		375.76	
8/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/00 TO 7/31/00		93.87	
8/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END.		(233.12)	$(233.13)
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP GROWTH FUND $0.9039/SHARE ON 1,948.047 SHARES DUE 8/18/00	$1,760.76		1,760.76
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $3.2771/SHARE ON 6,462.811 SHARES DUE 8/18/00	21,179.40		21,179.40
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $1.0362/SHARE ON 6,462.811 SHARES DUE 8/18/00	6,696.64		6,696.64
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.6597/SHARE ON 2,976.932 SHARES DUE 8/18/00	1,963.92		1,963.92

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.2582/SHARE ON 2,976.932 SHARES DUE 8/18/00	$768.70		$768.70
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED EQUITY FUND $0.2422/SHARE ON 6,954.226 SHARES DUE 8/18/00	1,684.24		1,684.24
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP VALUE FUND $0.206/SHARE ON 1,003.268 SHARES DUE 8/18/00	206.67		206.67
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP VALUE FUND $0.1872/SHARE ON 1,003.268 SHARES DUE 8/18/00	187.80		187.80
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP VALUE FUND $0.4867/SHARE ON 7,685.601 SHARES DUE 8/18/00	3,740.35		3,740.35
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP VALUE FUND $0.1964/SHARE ON 7,685.601 SHARES DUE 8/18/00	1,509.53		1,509.53
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP EQUITY INCOME FUND $1.6189/SHARE ON 4,257.248 SHARES DUE 8/18/00	6,892.06		6,892.06
8/24/00	PURCHASED 1,606.134 SHARES OF ONE GROUP TAX-FREE BOND FUND TRADE DATE 8/23/00 1,606.134 SHARES AT $12.39			(19,900.00)
8/24/00	PURCHASED 1,564.129 SHARES OF ONE GROUP MUNICIPAL INCOME FUND TRADE DATE 8/23/00 1,564.129 SHARES AT $9.59			(15,000.00)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/24/00	PURCHASED 128.362 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 8/23/00 128.362 SHARES AT $16.36			$(2,100.00)
8/24/00	PURCHASED 45.524 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 8/23/00 45.524 SHARES AT $26.36			(1,200.00)
8/24/00	PURCHASED 53.166 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 8/23/00 53.166 SHARES AT $20.69			(1,100.00)
8/24/00	PURCHASED 23.669 SHARES OF ONE GROUP DIVERSIFIED INTERNAT'L FD TRADE DATE 8/23/00 23.669 SHARES AT $16.90			(400.00)
8/24/00	PURCHASED 6.414 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 8/23/00 6.414 SHARES AT $15.59			(100.00)
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.045751/SHARE ON 4,257.248 SHARES DUE 9/1/00		$194.77	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 8/1/00 TO 8/31/00		438.38	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 8/1/00 TO 8/31/00		385.82	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		$21.51	
9/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/00 TO 8/31/00		130.84	
9/11/00	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(2,387.90)	
9/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(244.81)	$(244.81)
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.002068/SHARE ON 6,960.64 SHARES DUE 10/2/00		14.39	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.013729/SHARE ON 4,257.248 SHARES DUE 10/2/00		58.45	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 9/1/00 TO 9/30/00		429.92	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028785/SHARE ON 1,131.63 SHARES DUE 10/2/00		32.57	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.03398/SHARE ON 7,685.601 SHARES DUE 10/2/00		261.16	

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Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 9/1/00 TO 9/30/00		$42.[illegible]	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX FREE BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		[illegible]0.30	
10/2/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/00 TO 9/30/00		[illegible].70	
10/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(240.77)	$(240.77)
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.010114 SHARE ON 4,257.248 SHARES DUE 11/1/00		43.05	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX FREE BOND FD DIVIDEND FROM 10/1/00 TO 10/31/00		44[illegible].62	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 10/1/00 TO 10/31/00		44[illegible].09	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX FREE BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		[illegible]2.97	
11/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/00 TO 10/31/00		12[illegible].81	
11/10/00	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(2[illegible].44)	(2[illegible].44)

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP EQUITY INCOME FUND $0.04141/SHARE ON 4,257.248 SHARES DUE 12/1/00		$176.29	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 11/1/00 TO 11/30/00		439.46	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 11/1/00 TO 11/30/00		425.60	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP TAX-FREE BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		80.31	
12/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/00 TO 11/30/00		128.50	
12/11/00	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366		(3,092.48)	
12/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		(228.48)	$(228.48)
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP GROWTH FUND $0.001/SHARE ON 1,993.571 SHARES DUE 12/21/00	$1.95		1.95

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $0.0069/SHARE ON 6,462.811 SHARES DUE 12/21/00	$44.92		$44.92
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.1676/SHARE ON 2,976.932 SHARES DUE 12/21/00	498.84		498.84
12/21/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.3026/SHARE ON 2,976.932 SHARES DUE 12/21/00	900.68		900.68
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP INTERNATIONAL EQTY INDX FD $0.047/SHARE ON 549.7 SHARES DUE 12/21/00	25.81		25.81
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP EQUITY INCOME FUND $0.9027/SHARE ON 4,257.248 SHARES DUE 12/21/00	3,842.89		3,842.89
12/28/00	CASH DISBURSEMENT PAID TO U.S. TREASURY FIDUCIARY ESTIMATED INCOME TAX FEDERAL ESTIMATED TAX			(8,099.00)
12/29/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY ESTIMATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX			(1,287.00)
			Income	Principal
Ending cash balance 12/31/00			$(228.48)	$228.48

No pending trades.

Holdings summary

	Value on December 31, 2000	Value on December 31, 1999	Change in value
Cash equivalents	$5,898.64		
Equities	297,792.16		
Fixed income	26,858.15		
Other	0.00		
Total holdings	**$330,548.95**	$311,129.52	**$19,419.43**
Accruals (Income earned but not yet received)	$764.89		
Total holdings with accruals	**$331,313.84**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$2,198.30	$2,198.30
Interest (taxable/non-taxable)	621.28	621.28
Other income	0.00	0.00
Total income	**$2,819.58**	$2,819.58
Realized gain/(loss)		
	$14,112.89	$14,112.89

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	**$311,129.52**	$311,129.52
Receipts	29,680.00	29,680.00
Disbursements	(13,892.38)	(13,892.38)
Income	2,819.58	2,819.58
Capital gains distributions	14,382.21	14,382.21
Change in asset market value	(13,569.98)	(13,569.98)
Ending market value	**$330,548.95**	$330,548.95

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/00	$0.00	$0.00
Cash receipts		
Dividends (domestic, foreign, mutual fund income)	$2,198.30	$0.00
Interest (taxable/non-taxable)	621.28	0.00
Other cash receipts	0.00	44,062.21
Total cash receipts	$2,819.58	$44,062.21
Cash disbursements		
Cash disbursements	$0.00	$(11,981.00)
Administrative expenses	(1,230.65)	(780.73)
Total cash disbursements	$(1,230.65)	$(12,661.73)
Investment transactions		
Purchases	$0.00	$(117,046.89)
Sales and maturities	0.00	84,059.48
Total investment transactions	$0.00	$(32,989.41)
Ending cash balance 12/31/00	$1,588.93	$(1,588.93)

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	5,898.640	1.000	$5,898.64	$5,898.64	$0.00	6.19%	$365.22
INCOME CASH	1,588.930	1.000	1,588.93	1,588.93	0.00	0.00%	0.00
PRINCIPAL CASH	(1,588.930)	1.000	(1,588.93)	(1,588.93)	0.00	0.00%	0.00
Total cash equivalents			**$5,898.64**	**$5,898.64**	**$0.00**		**$365.22**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	3,219.238	13.900	$44,747.41	$36,844.67	$7,902.74	0.12%	$53.91
ONE GROUP DIVERSIFIED INTERNAT'L FD	337.133	14.920	5,030.02	6,365.07	(1,335.05)	0.60%	30.12
ONE GROUP INTERNATIONAL EQTY INDX FD	1,518.350	18.420	27,968.01	23,686.18	4,281.83	1.03%	288.35
ONE GROUP LARGE CAP GROWTH FUND	2,556.939	19.590	50,090.44	43,830.34	6,260.10	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	3,249.599	16.650	54,105.82	44,464.94	9,640.88	0.72%	388.06
ONE GROUP MID CAP GROWTH FUND	2,144.932	23.660	50,749.09	40,019.15	10,729.94	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	3,254.578	15.740	51,227.06	41,083.26	10,143.80	0.84%	431.51
ONE GROUP SMALL CAP GROWTH FUND	555.239	10.860	6,029.90	4,572.98	1,456.92	0.00%	0.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/loss	Current yield		Estimated annual income
ONE GROUP SMALL CAP VALUE FUND	466.374	16.820	$7,844.41	$5,815.72	$2,028.69	1.43%		$112.56
Total equities			$297,792.16	$246,682.31	$51,109.85			$1,304.51

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/loss	Current yield	Yield to maturity	Estimated annual income
ONE GROUP BOND FUND	957.324	10.540	$10,090.19	$9,589.06	$501.13	6.03%		$608.86
ONE GROUP GOVERNMENT BOND FUND	694.733	9.960	$6,919.54	$6,494.36	425.18	6.02%		416.84
ONE GROUP INCOME BOND FUND	1,274.052	7.730	$9,848.42	$9,679.53	168.89	6.36%		626.83
Total fixed income			$26,858.15	$25,762.95	$1,095.20			$1,652.53
Total holdings			$330,548.95	$278,343.90	$52,205.05			$3,322.26
Accruals (income earned but not yet received)			$764.89					
Total holdings with accruals			$331,313.84					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio

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Transaction detail

			Income	Principal
Beginning cash balance 1/1/00			$0.00	$0.00
Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			$(51,527.04)
	COMBINED SALES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			54,619.89
1/3/00	CASH RECEIPT DEPOSIT TO ACCOUNT RECEIVED FROM F. JAMES SENSENBRENNER FOR F. JAMES SENSENBRENNER III			10,000.00
1/4/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/99 TO 12/31/99		$74.66	
1/5/00	PURCHASED 118.119 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 1/4/00 118.119 SHARES AT $25.47			(3,008.48)
1/5/00	PURCHASED 186.777 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 1/4/00 186.777 SHARES AT $13.95			(2,605.54)
1/5/00	PURCHASED 329.029 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 1/4/00 329.029 SHARES AT $7.47			(2,457.85)
1/5/00	PURCHASED 67.359 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 1/4/00 67.359 SHARES AT $24.65			(1,660.39)

4642

BANK ONE.

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/5/00	PURCHASED 17.488 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 1/4/00 17.488 SHARES AT $15.31			$(267.74)
1/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		$(62.23)	(62.23)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		27.55	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		15.35	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		95.15	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		13.17	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SHORT TERM BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		14.50	
2/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/00 TO 1/31/00		43.15	
2/8/00	PURCHASED 337.133 SHARES OF ONE GROUP DIVERSIFIED INTERNATL FD TRADE DATE 2/7/00 337.133 SHARES AT $18.86			(6,365.07)

4643

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
2/8/00	PURCHASED 439.611 SHARES OF ONE GROUP BOND FUND TRADE DATE 2/7/00 439.611 SHARES AT $9.93			$(4,365.34)
2/8/00	PURCHASED 128.678 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 2/7/00 128.678 SHARES AT $15.73			(2,024.10)
2/8/00	PURCHASED 130.716 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 2/7/00 130.716 SHARES AT $12.50			(1,633.95)
2/8/00	PURCHASED 36.708 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 2/7/00 36.708 SHARES AT $22.16			(813.44)
2/8/00	SOLD 443.657 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 2/7/00 443.657 SHARES AT $7.44	$(244.96)		3,300.81
2/8/00	SOLD 289.782 SHARES OF ONE GROUP SHORT-TERM BOND FUND TRADE DATE 2/7/00 289.782 SHARES AT $10.24	(54.38)		2,967.37
2/8/00	SOLD 96.912 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 2/7/00 96.912 SHARES AT $27.42	221.95		2,657.34

4644

BANK ONE.

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
2/8/00	SOLD 258.735 SHARES OF ONE GROUP INTERMEDIATE BOND FUND TRADE DATE 2/7/00 258.735 SHARES AT $9.95	$(35.24)		$2,574.41
2/8/00	SOLD 174.642 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 2/7/00 174.642 SHARES AT $14.42	8.73		2,518.34
2/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		$(61.98)	(61.99)
2/16/00	CASH RECEIPT DEPOSIT TO ACCOUNT RECEIVED FROM F JAMES SENSENBRENNER III			1,680.00
2/18/00	PURCHASED 100.096 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 2/17/00 100.096 SHARES AT $12.20			(1,221.17)
2/18/00	PURCHASED 30.107 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 2/17/00 30.107 SHARES AT $15.24			(458.83)
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		44.20	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		13.21	

4645

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		$53.68	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		3.06	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SHORT-TERM BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		3.36	
3/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/00 TO 2/29/00		35.76	
3/13/00	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(63.73)	$(63.74)
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		52.65	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.000656/SHARE ON 3,101.212 SHARES DUE 4/3/00		2.03	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		13.66	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		52.41	

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BANK ONE.

Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.027059 SHARE ON 3,249.599 SHARES DUE 4/3/00		$87.93	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.038611 SHARE ON 3,884.063 SHARES DUE 4/3/00		149.97	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.066684 SHARE ON 466.374 SHARES DUE 4/3/00		31.10	
4/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/00 TO 3/31/00		37.23	
4/6/00	CASH DISBURSEMENT PAID TO U.S. TREASURY FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN			$(3,790.00)
4/6/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON W FORM 2 FOR CALENDAR YEAR RETURN			(187.00)
4/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH-END		(68.19)	(68.20)
4/12/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON W FIDUCIARY INC TAX			(468.00)
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		51.10	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		$13.80	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		51.31	
5/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/00 TO 4/30/00		21.09	
5/9/00	PURCHASED 96.591 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 5/8/00 96.591 SHARES AT $25.49			$(2,462.11)
5/9/00	PURCHASED 72.535 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 5/8/00 72.535 SHARES AT $21.12			(1,531.93)
5/9/00	PURCHASED 56.024 SHARES OF ONE GROUP GOVERNMENT BOND FUND TRADE DATE 5/8/00 56.024 SHARES AT $9.30			(521.02)
5/9/00	SOLD 393.749 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 5/8/00 393.749 SHARES AT $13.99	$(496.93)		5,508.55
5/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(65.29)	(65.29)

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BANK ONE.

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		$55.44	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		16.42	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		53.06	
6/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/00 TO 5/31/00		22.26	
6/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(64.03)	$(64.03)
6/13/00	PURCHASED 363.473 SHARES OF ONE GROUP GOVERNMENT BOND FUND TRADE DATE 6/12/00 363.473 SHARES AT $9.50			3,452.99)
6/13/00	SOLD 121.018 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 6/12/00 121.018 SHARES AT $25.55	$(79.89)		3,092.00
6/13/00	SOLD 73.332 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 6/12/00 73.332 SHARES AT $28.92	(52.45)		2,120.77
6/14/00	FIDUCIARY TAX RETURN PREP COLLECTED 1999 FORMS 1041/2		(450.00)	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		$52.70	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.00256/SHARE ON 3,101.212 SHARES DUE 7/3/00		7.94	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		26.94	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		51.20	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.032607/SHARE ON 3,249.599 SHARES DUE 7/3/00		105.96	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.03063/SHARE ON 3,490.334 SHARES DUE 7/3/00		106.91	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.054079/SHARE ON 466.374 SHARES DUE 7/3/00		25.22	
7/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/00 TO 6/30/00		27.74	
7/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(65.20)	$(65.21)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		$52.49	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		34.23	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		52.40	
8/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/00 TO 7/31/00		32.71	
8/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(64.93)	$(64.94)
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP GROWTH FUND $0.9039/SHARE ON 1,906.065 SHARES DUE 8/18/00	$1,722.82		1,722.82
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $3.2771/SHARE ON 1,689.371 SHARES DUE 8/18/00	5,536.27		5,536.27
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $1.0362/SHARE ON 1,689.371 SHARES DUE 8/18/00	1,750.49		1,750.49
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.6597/SHARE ON 555.239 SHARES DUE 8/18/00	366.30		366.30

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.2582/SHARE ON 555.239 SHARES DUE 8/18/00	$143.37		$143.37
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.2711/SHARE ON 337.133 SHARES DUE 8/18/00	91.40		91.40
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.0336/SHARE ON 337.133 SHARES DUE 8/18/00	11.33		11.33
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED EQUITY FUND $0.2422/SHARE ON 3,101.212 SHARES DUE 8/18/00	751.08		751.08
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP VALUE FUND $0.206/SHARE ON 3,249.599 SHARES DUE 8/18/00	669.42		669.42
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP VALUE FUND $0.1872/SHARE ON 3,249.599 SHARES DUE 8/18/00	608.29		608.29
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP VALUE FUND $0.4867/SHARE ON 3,490.334 SHARES DUE 8/18/00	1,698.64		1,698.64
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP VALUE FUND $0.1964/SHARE ON 3,490.334 SHARES DUE 8/18/00	685.54		685.54
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		$51.63	

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BANK ONE.

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		$35.89	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		52.53	
9/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/00 TO 8/31/00		59.62	
9/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(69.49)	$(69.49)
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		50.51	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.002068/SHARE ON 3,101.212 SHARES DUE 10/2/00		6.41	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		34.51	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		51.40	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028785/SHARE ON 3,249.599 SHARES DUE 10/2/00		93.54	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.03398/SHARE ON 3,490.334 SHARES DUE 10/2/00		$118.60	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.05426/SHARE ON 466.374 SHARES DUE 10/2/00		25.31	
10/2/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/00 TO 9/30/00		103.58	
10/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(67.36)	$(67.37)
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		51.47	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		34.28	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		52.60	
11/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/00 TO 10/31/00		108.76	
11/7/00	PURCHASED 221.496 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 11/6/00 221.496 SHARES AT $23.57			(5,220.65)

4654

Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
11/7/00	PURCHASED 160.247 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 11/6/00 160.247 SHARES AT $27.21			$(4,360.31)
11/7/00	PURCHASED 157.22 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 11/6/00 157.22 SHARES AT $19.66			(3,090.94)
11/10/00	ADMINISTRATIVE FEES COLLECTED THR(illegible) OCTOBER MONTH END		$(66.41)	(66.41)
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		50.74	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		34.74	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		52.24	
12/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/00 TO 11/30/00		54.72	
12/12/00	ADMINISTRATIVE FEES COLLECTED THR(illegible) NOVEMBER MONTH END		(61.82)	(61.83)
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP GROWTH FUND $0.001 SHARE ON 21,277.561 SHARES DUE 12/1/00	$2.09		2.09

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $0.0069/SHARE ON 1,849.618 SHARES DUE 12/21/00	$12.85		$12.85
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.1676/SHARE ON 555.239 SHARES DUE 12/21/00	93.04		93.04
12/21/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.3026/SHARE ON 555.239 SHARES DUE 12/21/00	167.99		167.99
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP INTERNATIONAL EQTY INDX FD $0.047/SHARE ON 1,518.35 SHARES DUE 12/21/00	71.29		71.29
12/22/00	CASH RECEIPT DEPOSIT TO ACCOUNT CHECK FROM F JAMES SENSENBRENNER III			18,000.00
12/26/00	CASH DISBURSEMENT PAID TO UNITED STATES TREASURY PERSONAL EST FED INCOME TAX PAID FOR F JAMES SENSENBRENNER III 2000 FORM 1040ES 226-25-5620 CK#308809			(2,800.00)
12/26/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE PERSONAL EST STATE TAX PAID FOR F JAMES SENSENBRENNER III 2000 FORM 1 ES 226-25-5620 CK#308810			(1,900.00)
12/27/00	PURCHASED 429.378 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 12/26/00 429.378 SHARES AT $19.73			(8,471.62)

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Transaction detail continued

Date	Description	Withdrawals/Debits	Deposits	Balance
12-27-00	PURCHASED [illegible] SHARES [illegible] ONE GROUP MID CAP VALUE FUND TRADE DATE 12-26-00 [illegible] SHARES AT $[illegible]			$[illegible]
12-27-00	PURCHASED [illegible] SHARES [illegible] ONE GROUP [illegible] TRADE DATE [illegible] [illegible] SHARES AT $[illegible]			[illegible]
[illegible]	SOLD [illegible] SHARES [illegible] ONE GROUP MID CAP VALUE FUND TRADE DATE [illegible] [illegible] SHARES AT $[illegible]	[illegible]		[illegible]
[illegible]	[illegible] SHARES OF ONE GROUP MID CAP [illegible] FUND TRADE DATE [illegible] [illegible] SHARES AT [illegible]	[illegible]		[illegible]
[illegible]	ADJUSTMENTS/MISC ITEMS PAID TO U.S. TREASURY FEDERAL INTEREST [illegible] MLT TAX FEDERAL ESTIMATED TAX			[illegible]
Ending cash balance 12-31-00			Amount $1,588.93	Amount $(1,588.93)

No pending trades

Holdings summary

	Value on December 31, 2000	Value on December 31, 1999	Change in value
Cash equivalents	$5,767.47		
Equities	291,908.53		
Fixed income	26,621.48		
Other	0.00		
Total holdings	**$324,297.48**	$303,498.42	**$20,799.06**
Accruals (Income earned but not yet received)	$745.02		
Total holdings with accruals	**$325,042.50**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$2,157.82	$2,157.82
Interest (taxable/non-taxable)	590.60	590.60
Other income	0.00	0.00
Total income	**$2,748.42**	$2,748.42
Realized gain/(loss)		
	$13,845.56	$13,845.56

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	**$303,498.42**	$303,498.42
Receipts	30,206.19	30,206.19
Disbursements	(12,810.36)	(12,810.36)
Income	2,748.42	2,748.42
Capital gains distributions	14,106.04	14,106.04
Change in asset market value	(13,451.23)	(13,451.23)
Ending market value	**$324,297.48**	$324,297.48

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/00	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$2,157.82	$0.00
Interest (taxable/non-taxable)	590.60	0.00
Other cash receipts	0.00	44,312.23
Total cash receipts	$2,748.42	$44,312.23
Cash disbursements		
Cash disbursements	$0.00	$(10,835.00)
Administrative expenses	(1,212.66)	(762.70)
Total cash disbursements	$(1,212.66)	$(11,597.70)
Investment transactions		
Purchases	$0.00	$(113,325.80)
Sales and maturities	0.00	79,075.51
Total investment transactions	$0.00	$(34,250.29)
Ending cash balance 12/31/00	**$1,535.76**	**$(1,535.76)**

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	5,767.470	1.000	$5,767.47	$5,767.47	$0.00	6.19%	$357.10
INCOME CASH	1,535.760	1.000	1,535.76	1,535.76	0.00	0.00%	0.00
PRINCIPAL CASH	(1,535.760)	1.000	(1,535.76)	(1,535.76)	0.00	0.00%	0.00
Total cash equivalents			**$5,767.47**	**$5,767.47**	**$0.00**		**$357.10**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ONE GROUP DIVERSIFIED EQUITY FUND	3,160.671	13.900	$43,933.33	$36,247.76	$7,685.57	0.12%	$52.93
ONE GROUP DIVERSIFIED INTERNAT'L FD	348.964	14.920	5,206.54	6,567.63	(1,361.09)	0.60%	31.17
ONE GROUP INTERNATIONAL EQTY INDX FD	1,442.993	18.420	26,579.93	22,294.97	4,284.96	1.03%	274.03
ONE GROUP LARGE CAP GROWTH FUND	2,503.151	19.590	49,036.73	43,028.06	6,008.67	0.00%	0.00
ONE GROUP LARGE CAP VALUE FUND	3,185.290	16.650	53,035.08	43,572.80	9,462.28	0.72%	380.38
ONE GROUP MID CAP GROWTH FUND	2,117.367	23.660	50,096.90	39,362.69	10,734.21	0.00%	0.00
ONE GROUP MID CAP VALUE FUND	3,192.580	15.740	50,251.21	40,475.83	9,775.38	0.84%	423.29
ONE GROUP SMALL CAP GROWTH FUND	547.659	10.860	5,947.58	4,506.84	1,440.74	0.00%	0.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield		Estimated annual income
ONE GROUP SMALL CAP VALUE FUND	464.996	16.820	$7,821.23	$5,805.00	$2,016.23	1.43%		$112.22
Total equities			**$291,908.53**	**$241,861.58**	**$50,046.95**			**$1,274.02**

Fixed income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP BOND FUND	950.820	10.540	$10,021.64	$9,520.37	$501.27	6.03%		$604.72
ONE GROUP GOVERNMENT BOND FUND	679.075	9.960	6,763.59	6,344.20	419.39	6.02%		407.45
ONE GROUP INCOME BOND FUND	1,272.478	7.730	9,836.25	9,684.45	151.80	6.36%		626.06
Total fixed income			**$26,621.48**	**$25,549.02**	**$1,072.46**			**$1,638.23**
Total holdings			**$324,297.48**	**$273,178.07**	**$51,119.41**			**$3,269.35**
Accruals (Income earned but not yet received)			$745.02					
Total holdings with accruals			**$325,042.50**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

Date	Description	Realized gain/(loss)	Income	Principal
	Beginning cash balance 1/1/00		Income $0.00	Principal $0.00
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			$(49,632.58)
	COMBINED SALES FOR THE PERIOD 1/ 1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			53,167.40
1/3/00	CASH RECEIPT DEPOSIT TO ACCOUNT RECEIVED FROM F. JAMES SENSENBRENNER FOR ROBERT ALAN SENSENBRENNER			10,000.00
1/4/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/99 TO 12/31/99		$74.99	
1/5/00	PURCHASED 628.293 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 1/4/00 628.293 SHARES AT $7.47			(4,693.35)
1/5/00	PURCHASED 96.774 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 1/4/00 96.774 SHARES AT $25.47			(2,464.83)
1/5/00	PURCHASED 147.569 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 1/4/00 147.569 SHARES AT $13.95			(2,058.59)
1/5/00	PURCHASED 51.158 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 1/4/00 51.158 SHARES AT $15.31			(783.23)

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Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
1/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		$(60.70)	$(60.70)
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		26.76	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		13.00	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		94.94	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		12.81	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SHORT-TERM BOND FUND DIVIDEND FROM 1/1/00 TO 1/31/00		14.14	
2/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/00 TO 1/31/00		44.60	
2/8/00	PURCHASED 328.954 SHARES OF ONE GROUP DIVERSIFIED INTERNAT L FD TRADE DATE 2/7/00 328.954 SHARES AT $16.88			(5,210.65)
2/8/00	PURCHASED 430.59 SHARES OF ONE GROUP BOND FUND TRADE DATE 2/7/00 430.59 SHARES AT $9.93			(4,275.76)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/8/00	PURCHASED 123.936 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 2/7/00 123.936 SHARES AT $12.50			$(1,549.20)
2/8/00	PURCHASED 46.61 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 2/7/00 46.61 SHARES AT $15.73			(733.18)
2/8/00	SOLD 434.176 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 2/7/00 434.176 SHARES AT $7.44	$(300.98)		3,230.27
2/8/00	SOLD 282.601 SHARES OF ONE GROUP SHORT-TERM BOND FUND TRADE DATE 2/7/00 282.601 SHARES AT $10.24	(52.08)		2,893.83
2/8/00	SOLD 251.668 SHARES OF ONE GROUP INTERMEDIATE BOND FUND TRADE DATE 2/7/00 251.668 SHARES AT $9.95	(33.77)		2,504.10
2/8/00	SOLD 170.169 SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 2/7/00 170.169 SHARES AT $14.42	8.50		2,453.83
2/11/00	CASH RECEIPT DEPOSIT TO ACCOUNT RECEIVED FROM F JAMES SENSENBRENNER, JR FBO ROBERT SENSENBRENNER			1,680.00

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		$(60.49)	$(60.50)
2/15/00	PURCHASED 110.092 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 2/14/00 110.092 SHARES AT $15.26			(1,680.00)
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		43.12	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		12.84	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		52.39	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		2.98	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SHORT-TERM BOND FUND DIVIDEND FROM 2/1/00 TO 2/29/00		3.27	
3/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/00 TO 2/29/00		35.46	
3/13/00	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(62.22)	(62.23)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		$51.38	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.000656/SHARE ON 3,025.954 SHARES DUE 4/3/00		1.99	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		13.31	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 3/1/00 TO 3/31/00		51.14	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.027059/SHARE ON 3,204.916 SHARES DUE 4/3/00		86.72	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.038611/SHARE ON 3,692.127 SHARES DUE 4/3/00		142.56	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.066684/SHARE ON 459.849 SHARES DUE 4/3/00		30.66	
4/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/00 TO 3/31/00		36.33	
4/6/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN			$(3,632.00)

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Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
4/6/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON WI FORM 2 FOR CALENDAR YEAR RETURN			$(180.00)
4/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		$(66.56)	(66.56)
4/12/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX			(448.00)
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		50.61	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		13.41	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 4/1/00 TO 4/30/00		50.10	
5/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/00 TO 4/30/00		20.86	
5/9/00	CASH RECEIPT DEPOSIT TO ACCOUNT 1999 FED INDIVIDUAL INCOME TAX REFUND DTD 4/28/00			477.00
5/9/00	PURCHASED 94.609 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 5/8/00 94.609 SHARES AT $25.45			(2,411.59)

Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
5/9/00	PURCHASED 107.639 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 5/8/00 107.639 SHARES AT $21.12			$(2,273.33)
5/9/00	PURCHASED 67.876 SHARES OF ONE GROUP GOVERNMENT BOND FUND TRADE DATE 5/8/00 67.876 SHARES AT $9.30			(631.25)
5/9/00	PURCHASED 20.01 SHARES OF ONE GROUP DIVERSIFIED INTERNAT'L FD TRADE DATE 5/8/00 20.01 SHARES AT $17.84			(356.98)
5/9/00	PURCHASED 29.352 SHARES OF ONE GROUP INCOME BOND FUND TRADE DATE 5/8/00 29.352 SHARES AT $7.35			(215.74)
5/9/00	PURCHASED 16.715 SHARES OF ONE GROUP BOND FUND TRADE DATE 5/8/00 16.715 SHARES AT $9.82			(164.14)
5/9/00	PURCHASED 5.147 SHARES OF ONE GROUP SMALL CAP VALUE FUND TRADE DATE 5/8/00 5.147 SHARES AT $13.51			(69.53)
5/9/00	SOLD 286.849 SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 5/8/00 286.849 SHARES AT $13.99	$(365.38)		4,013.02

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/9/00	SOLD 128.195 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 5/8/00 128.195 SHARES AT $28.47	$448.19		$3,649.72
5/11/00	PURCHASED 17.825 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 5/10/00 17.825 SHARES AT $26.76			(477.00)
5/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		$(63.73)	(63.73)
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		54.82	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		16.47	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 5/1/00 TO 5/31/00		52.64	
6/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/00 TO 5/31/00		24.29	
6/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(62.60)	(62.60)
6/13/00	PURCHASED 343.509 SHARES OF ONE GROUP GOVERNMENT BOND FUND TRADE DATE 6/12/00 343.509 SHARES AT $9.50			(3,263.34)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/13/00	SOLD 115.295 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 6/12/00 115.295 SHARES AT $25.55	$(76.10)		$2,945.79
6/13/00	SOLD 19.626 SHARES OF ONE GROUP LARGE CAP VALUE FUND TRADE DATE 6/12/00 19.626 SHARES AT $16.18	(6.28)		317.55
6/14/00	FIDUCIARY TAX RETURN PREP COLLECTED 1999 FORMS 1041/2		$(450.00)	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		52.34	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.00256/SHARE ON 3,025.954 SHARES DUE 7/3/00		7.75	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		26.57	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 6/1/00 TO 6/30/00		51.13	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.032607/SHARE ON 3,185.29 SHARES DUE 7/3/00		103.86	
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.03063/SHARE ON 3,405 278 SHARES DUE 7/3/00		104.30	

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Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
7/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.054079/SHARE ON 464.996 SHARES DUE 7/3/00		$25.15	
7/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/00 TO 6/30/00		25.12	
7/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(63.74)	$(63.74)
8/1/00	CASH RECEIPT DEPOSIT TO ACCOUNT 1999 WISCONSIN INDIV INCOME TAX REFUND DTD 7/24/00			49.19
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		52.13	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		33.48	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 7/1/00 TO 7/31/00		52.37	
8/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/00 TO 7/31/00		06.32	
8/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(63.47)	(63.47)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP GROWTH FUND $0.9039/SHARE ON 1,863.084 SHARES DUE 8/18/00	$1,683.97		$1,683.97
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $3.2771/SHARE ON 1,660.406 SHARES DUE 8/18/00	5,441.35		5,441.35
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $1.0362/SHARE ON 1,660.406 SHARES DUE 8/18/00	1,720.48		1,720.48
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.6597/SHARE ON 547.659 SHARES DUE 8/18/00	361.29		361.29
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.2582/SHARE ON 547.659 SHARES DUE 8/18/00	141.42		141.42
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.2711/SHARE ON 348.964 SHARES DUE 8/18/00	94.61		94.61
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED INTERNAT'L FD $0.0336/SHARE ON 348.964 SHARES DUE 8/18/00	11.73		11.73
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED EQUITY FUND $0.2422/SHARE ON 3,025.954 SHARES DUE 8/18/00	732.86		732.86
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP VALUE FUND $0.206/SHARE ON 3,185.29 SHARES DUE 8/18/00	656.17		656.17

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Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP VALUE FUND $0.1872/SHARE ON 3,185.29 SHARES DUE 8/18/00	$596.25		$596.25
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP VALUE FUND $0.4867/SHARE ON 3,405.278 SHARES DUE 8/18/00	1,657.25		1,657.25
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP VALUE FUND $0.1964/SHARE ON 3,405.278 SHARES DUE 8/18/00	668.83		668.83
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		$51.24	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		35.10	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 8/1/00 TO 8/31/00		52.47	
9/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/00 TO 8/31/00		52.89	
9/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(67.94)	(67.94)
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		50.19	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $0.002068/SHARE ON 3,025.954 SHARES DUE 10/2/00		$6.26	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		33.73	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 9/1/00 TO 9/30/00		51.35	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP LARGE CAP VALUE FUND $0.028785/SHARE ON 3,185.29 SHARES DUE 10/2/00		91.69	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MID CAP VALUE FUND $0.03398/SHARE ON 3,405.278 SHARES DUE 10/2/00		115.71	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP SMALL CAP VALUE FUND $0.05426/SHARE ON 464.996 SHARES DUE 10/2/00		25.23	
10/2/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/00 TO 9/30/00		96.19	
10/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(65.86)	$(65.86)
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		51.11	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		$33.52	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 10/1/00 TO 10/31/00		52.57	
11/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/00 TO 10/31/00		101.08	
11/7/00	PURCHASED 216.832 SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 11/6/00 216.832 SHARES AT $23.57			$(5,110.72)
11/7/00	PURCHASED 147.834 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 11/6/00 147.834 SHARES AT $27.21			(4,022.56)
11/7/00	PURCHASED 114.357 SHARES OF ONE GROUP INTERNATIONAL EQTY INDX FD TRADE DATE 11/6/00 114.357 SHARES AT $19.66			(2,248.25)
11/10/00	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(64.92)	(64.92)
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		50.39	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP GOVERNMENT BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		33.95	

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Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INCOME BOND FUND DIVIDEND FROM 11/1/00 TO 11/30/00		$52.17	
12/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/00 TO 11/30/00		52.47	
12/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		(60.44)	$(60.45)
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP LARGE CAP GROWTH FUND $0.001/SHARE ON 2,079.916 SHARES DUE 12/21/00	$2.04		2.04
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $0.0069/SHARE ON 1,808.24 SHARES DUE 12/21/00	12.57		12.57
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.1676/SHARE ON 547.659 SHARES DUE 12/21/00	91.77		91.77
12/21/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP SMALL CAP GROWTH FUND $0.3026/SHARE ON 547.659 SHARES DUE 12/21/00	165.70		165.70
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP INTERNATIONAL EQTY INDX FD $0.047/SHARE ON 1,442.993 SHARES DUE 12/21/00	67.75		67.75
12/22/00	CASH RECEIPT DEPOSIT TO ACCOUNT CHECK FROM F JAMES SENSENBRENNER JR FBO ROBERT ALAN SENSENBRENNER			18,000.00

4678

Transaction detail continued

Date	Description	Withdrawals/Purchases	Deposits	Principal
12/26/00	CASH DISBURSEMENT PAID TO UNITED STATES TREASURY PERSONAL EST FED INCOME TAX PAID FOR ROBERT ALAN SENSENBRENNER 2000 FORM 1040ES 730 33 5547 CK#32811			[illegible]
12/26/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE PERSONAL EST STATE TAX PAID FOR ROBERT ALAN SENSENBRENNER 2000 FORM [illegible] 5547 CK#[illegible]			1,[illegible]
12/27/00	PURCHASED 403.[illegible] SHARES OF ONE GROUP LARGE CAP GROWTH FUND TRADE DATE 12/26/00 403.[illegible] SHARES AT $[illegible]			[illegible]
12/27/00	PURCHASED [illegible] SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 12/26/00 [illegible] SHARES AT $[illegible]			[illegible]
12/27/00	PURCHASED 154.[illegible] SHARES OF ONE GROUP DIVERSIFIED EQUITY FUND TRADE DATE 12/26/00 154.[illegible] SHARES AT $[illegible]			[illegible]
12/28/00	SOLD 212.[illegible] SHARES OF ONE GROUP MID CAP VALUE FUND TRADE DATE 12/[illegible] 212.[illegible] SHARES AT $[illegible]	[illegible]		[illegible]

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/28/00	SOLD 24.598 SHARES OF ONE GROUP MID CAP GROWTH FUND TRADE DATE 12/27/00 24.598 SHARES AT $23.83	$(83.14)		$586.17
12/28/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX FEDERAL ESTIMATED TAX			(2,675.00)
Ending cash balance 12/31/00			$1,535.76	$(1,535.76)

No pending trades.

4680

4681

Holdings summary

	Value on December 31, 2000	Value on December 31, 1999	Change in value
Cash equivalents	$15,216.12		
Equities	359,184.89		
Fixed income	134,843.78		
Other	0.00		
Total holdings	**$509,244.79**	$512,814.07	**$(3,569.28)**
Accruals (Income earned but not yet received)	$895.40		
Total holdings with accruals	**$510,140.19**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$9,421.33	$9,421.33
Interest (taxable/non-taxable)	788.17	788.17
Other income	0.00	0.00
Total income	**$10,209.50**	$10,209.50
Realized gain/(loss)		
	$7,554.29	$7,554.29

The Income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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BANK ONE.

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$512,814.07	$512,814.07
Receipts	29,597.04	29,597.04
Disbursements	(43,403.93)	(43,403.93)
Income	10,209.50	10,209.50
Capital gains distributions	7,536.92	7,536.92
Change in asset market value	(7,508.81)	(7,508.81)
Ending market value	$509,244.79	$509,244.79

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

4683

Transaction summary

	Income	Principal
Beginning cash balance 1/1/00	**$0.00**	**$0.00**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$9,421.33	$0.00
Interest (taxable/non-taxable)	788.17	0.00
Other cash receipts	0.00	7,536.92
Total cash receipts	$10,209.50	$7,536.92
Cash disbursements		
Cash disbursements	$(7,186.09)	$(2,419.00)
Administrative expenses	(3,152.98)	(2,603.03)
Total cash disbursements	$(10,339.07)	$(5,022.03)
Investment transactions		
Purchases	$0.00	$(17,118.36)
Sales and maturities	0.00	14,733.04
Total investment transactions	$0.00	$(2,385.32)
Ending cash balance 12/31/00	**$(129.57)**	**$129.57**

4684

BANK ONE.

4685

Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/loss	Current yield	Estimated annual income
ONE GROUP PRIME MONEY MARKET FUND	15,216 120	1 000	$15,216 12	$15,216 12	$0.00	6.19%	$942 12
INCOME CASH	(129 570)	1 000	(129 57)	(129 57)	0 00	0 00%	0 00
PRINCIPAL CASH	129 570	1 000	129 57	129 57	0 00	0 00%	0 00
Total cash equivalents			**$15,216.12**	**$15,216.12**	**$0.00**		**$942.12**

Equities

Banks

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/loss	Current yield	Estimated annual income
FIRST UN CORP	100 000	27 813	$2,781 25	$4,038 75	$(1,257 50)	6 90%	$192 00
MORGAN J P & CO INC	50 000	165 500	8,275 00	4,994 17	3,280 83	2 42%	200 00
Total banks			$11,056 25	$9,032 92	$2,023 33		$392 00
Capital equipment							
EMERSON ELEC CO	100 000	78 813	7,881 25	4,832 50	3,048 75	1 94%	153 00
GENERAL ELEC CO	300 000	47 938	14,381 25	4,975 42	9,405 83	1 33%	192 00
LOCKHEED MARTIN CORP	100 000	33 950	3,395 00	4,232 50	(837 50)	1 30%	44 00
Total capital equipment			$25,657 50	$14,040 42	$11,617 08		$389 00
Consumer capital spending							
FORD MTR CO DEL PAR $0 01	349 000	23 438	8,179 69	4,005 09	4,174 60	5 12%	418 80
VISTEON CORP	26 000	11 500	299 00	162 97	136 03	2 09%	6 24
Total consumer capital spending			$8,478 69	$4,168 06	$4,310 63		$425 04

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/loss	Current yield	Estimated annual income
Consumer non-durable							
SARA LEE CORP	300 000	24 563	$7,368.75	$6 108.75	$1,260.00	2.36%	$174.00
SUPERVALU INC	200 000	13 875	2,775.00	2,895.00	(120.00)	3.96%	110.00
Total consumer non-durable			$10 143.75	$9,003.75	$1,140.00		$284.00
Energy							
EXXON MOBIL CORP	292 000	86 938	20,169.50	11 865.87	8,303.63	2.02%	408.39
Finance							
CIT GROUP INC	376 000	51 063	19 199.50	7 193.15	12,006.35	1.10%	210.56
HARTFORD FINL SVCS GROUP INC	200 000	70 625	14 125.00	6,995.00	7,130.00	1.42%	200.00
Total finance			$33,324.50	$14 128.15	$19,196.35		$410.56
Health care							
ABBOTT LABS	300 000	44 438	14 531.40	7,415.00	6,116.25	1.57%	228.00
BRISTOL MYERS SQUIBB CO	200 000	73 938	14 787.50	6,175.84	8,611.66	1.49%	220.00
PHARMACIA CORP	100 000	61 000	6,100.00	3,653.43	2,446.57	0.79%	48.00
Total health care			$35,418.75	$18,244.27	$17,174.48		$496.00
Industrial commodities							
SOLUTIA INC	30 000	1 500	45.00	341.57	(161.57)	2.33%	0.80
Mutual funds							
ONE GROUP DIVERSIFIED EQUITY FUND	4,286.284	13 800	129,703.35	91,518.27	37,685.08	0.12%	155.05
ONE GROUP MID CAP GROWTH FUND	1,024.416	23 100	23,945.09	26,950.03	8,995.06	[illegible]	[illegible]
Total mutual funds			$153,648.44	$111,018.27	$46,680.17		$155.05

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
Other utilities							
CMS ENERGY CORP	200.000	31.688	$6,337.50	$6,539.00	$(201.50)	4.61%	$292.00
Retail							
TOYS "R" US INC	150.000	16.688	2,503.13	4,182.50	(1,679.37)	0.00%	0.00
WAL MART STORES INC	300.000	53.125	15,937.50	4,220.00	11,717.50	0.45%	72.00
Total retail			$18,440.63	$8,402.50	$10,038.13		$72.00
Technology							
AGILENT TECHNOLOGIES INC	19.000	54.750	1,040.25	570.73	469.52	0.00%	0.00
HEWLETT PACKARD CO	100.000	31.563	3,156.25	2,030.92	1,125.33	1.01%	32.00
INTEL CORP	200.000	30.063	6,012.50	3,465.63	2,546.87	0.27%	16.00
INTERNATIONAL BUSINESS MACHS CORP	100.000	85.000	8,500.00	3,417.50	5,082.50	0.61%	52.00
MICROSOFT CORP	100.000	43.375	4,337.50	2,318.75	2,018.75	0.00%	0.00
Total technology			$23,046.50	$11,803.53	$11,242.97		$100.00
Telephone utilities							
VERIZON COMMUNICATIONS	183.000	50.125	9,172.88	6,840.00	2,332.88	3.07%	281.82
Total equities			**$359,184.89**	**$225,428.31**	**$133,756.58**		**$3,706.59**

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP INTERMEDIATE TAX-FREE BOND FUND	6,560.168	10.780	$70,718.61	$71,000.00	$(281.39)	4.67%		$3,306.32

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BANK ONE.

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Yield to maturity	Estimated annual income
ONE GROUP MUNICIPAL INCOME FUND	6,570.202	9.760	$64,125.17	$64,000.00	$125.17	4.92%		$3,153.70
Total fixed income			$134,843.78	$135,000.00	$(156.22)			$6,460.02
Total holdings			$509,244.79	$375,644.43	$133,600.36			$11,108.73
Accruals (Income earned but not yet received)			$895.40					
Total holdings with accruals			$510,140.19					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio

4689

Transaction detail

	Income	Principal
Beginning cash balance 1/1/00	$0.00	$0.00

Cash receipts

Domestic dividends

Date	Description	Realized gain/(loss)	Income	Principal
1/3/00	CASH RECEIPT OF DIVIDEND EARNED ON GTE CORP $0.47/SHARE ON 150 SHARES DUE 1/1/00		$70.50	
1/3/00	CASH RECEIPT OF DIVIDEND EARNED ON HARTFORD FINL SVCS GROUP INC $0.24/SHARE ON 200 SHARES DUE 1/3/00		48.00	
1/3/00	CASH RECEIPT OF DIVIDEND EARNED ON SARA LEE CORP $0.135/SHARE ON 300 SHARES DUE 1/3/00		40.50	
1/10/00	CASH RECEIPT OF DIVIDEND EARNED ON WAL MART STORES INC $0.05/SHARE ON 300 SHARES DUE 1/10/00		15.00	
1/12/00	CASH RECEIPT OF DIVIDEND EARNED ON HEWLETT PACKARD CO $0.16/SHARE ON 50 SHARES DUE 1/12/00		8.00	
1/14/00	CASH RECEIPT OF DIVIDEND EARNED ON MORGAN J P & CO INC $1.00/SHARE ON 50 SHARES DUE 1/14/00		50.00	
1/25/00	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.41/SHARE ON 100 SHARES DUE 1/25/00		41.00	

4690

Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON BRISTOL MYERS SQUIBB CO $0.245/SHARE ON 200 SHARES DUE 2/1/00		$49.00	
2/15/00	CASH RECEIPT OF DIVIDEND EARNED ON ABBOTT LABS $0.17/SHARE ON 300 SHARES DUE 2/15/00		51.00	
2/22/00	CASH RECEIPT OF DIVIDEND EARNED ON CMS ENERGY CORP $0.365/SHARE ON 200 SHARES DUE 2/22/00		73.00	
2/25/00	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.16/SHARE ON 225 SHARES DUE 2/25/00		36.00	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ASSOCIATES FIRST CAP CORP CL A $0.065/SHARE ON 104 SHARES DUE 3/1/00		6.76	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON FORD MTR CO DEL $0.50/SHARE ON 200 SHARES DUE 3/1/00		100.00	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.03/SHARE ON 100 SHARES DUE 3/1/00		3.00	
3/10/00	CASH RECEIPT OF DIVIDEND EARNED ON EMERSON ELEC CO $0.3575/SHARE ON 100 SHARES DUE 3/10/00		35.75	

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
3-10-00	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.44/SHARE ON 232 SHARES DUE 3/10/00		$102.08	
3-10-00	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.12/SHARE ON 100 SHARES DUE 3/10/00		12.00	
3/10/00	CASH RECEIPT OF DIVIDEND EARNED ON MONSANTO CO $0.03/SHARE ON 100 SHARES DUE 3/10/00		3.00	
3/15/00	CASH RECEIPT OF DIVIDEND EARNED ON FIRST UN CORP $0.48/SHARE ON 100 SHARES DUE 3/15/00		48.00	
3-15/00	CASH RECEIPT OF DIVIDEND EARNED ON SUPERVALU INC $0.135/SHARE ON 200 SHARES DUE 3/15/00		27.00	
3-31-00	CASH RECEIPT OF DIVIDEND EARNED ON LOCKHEED MARTIN CORP $0.11/SHARE ON 100 SHARES DUE 3/31/00		11.00	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON GTE CORP $0.47/SHARE ON 150 SHARES DUE 4/1/00		70.50	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON HARTFORD FINL SVCS GROUP INC $0.24/SHARE ON 200 SHARES DUE 4/3/00		48.00	

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BANK ONE.

Transaction detail continued

Date	Description	Withdrawals/Debits	Income	Principal
4/3/00	[illegible] DIVIDEND EARNED ON [illegible]		$40.50	
4/14/00	[illegible]		18.00	
4/[illegible]	[illegible]		8.00	
4/14/00	[illegible]		50.00	
4/[illegible]	[illegible]		41.00	
[illegible]	[illegible]		49.00	
[illegible]	[illegible]		1.50	
[illegible]	[illegible]		57.00	

4693

Transaction detail continued

Date	Description	Withdrawals/Debits	In gone	Deposits
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON [illegible]		$[illegible]	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC		45.00	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON ASSOCIATES FIRST CAPITAL CORP		6.76	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON BELLSOUTH CORP		100.00	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP		[illegible]	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON [illegible]		35.75	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP		102.08	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHINES CORP		13.00	

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BANK ONE.

Transaction detail continued

Date	Description	Withdrawals/Debits	Income	Principal
[illegible]	[illegible]		$48.00	
[illegible]	[illegible]		27.00	
[illegible]	[illegible]		11.00	
[illegible]	[illegible]		59.50	
[illegible]	[illegible]		48.00	
[illegible]	[illegible]		49.50	
[illegible]	[illegible]		[illegible]	
[illegible]	[illegible]		8.00	

4695

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/14/00	CASH RECEIPT OF DIVIDEND EARNED ON MORGAN J P & CO INC $1.00/SHARE ON 50 SHARES DUE 7/14/00		$50.00	
7/21/00	CASH RECEIPT OF DIVIDEND EARNED ON HILLCORP $0.196961/SHARE ON 150 SHARES DUE 7/21/00		29.44	
7/25/00	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.1675/SHARE ON 600 SHARES DUE 7/25/00		41.01	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.04545/SHARE ON 193 SHARES DUE 8/1/00		8.52	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON BRISTOL MYERS SQUIBB CO $0.245/SHARE ON 200 SHARES DUE 8/1/00		49.00	
8/1/00	CASH RECEIPT OF DIVIDEND EARNED ON PHARMACIA CORP $0.120/SHARE ON 100 SHARES DUE 8/1/00		12.00	
8/15/00	CASH RECEIPT OF DIVIDEND EARNED ON ABBOTT LABS $0.190/SHARE ON 300 SHARES DUE 8/15/00		57.00	
8/22/00	CASH RECEIPT OF DIVIDEND EARNED ON CMS ENERGY CORP $0.365/SHARE ON 200 SHARES DUE 8/22/00		73.00	

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BANK ONE

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
8/23/00	REVERSAL OF DIVIDEND EARNED ON GENERAL ELEC CO $0.14/SHARE ON 300 SHARES DUE 7/25/00 INCORRECT RATE, RATE SHOULD BE .13667		$(41.01)	
8/23/00	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.13667/SHARE ON 300 SHARES DUE 7/25/00		41.00	
8/28/00	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.186666/SHARE ON 225 SHARES DUE 8/25/00 RATE IS ON PRE SPLIT SHARES 4:3 SPLIT		42.00	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ASSOCIATES FIRST CAP CORP CL A $0.065/SHARE ON 104 SHARES DUE 9/1/00		6.76	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON FORD MTR CO DEL $0.50/SHARE ON 200 SHARES DUE 9/1/00		100.00	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.02/SHARE ON 200 SHARES DUE 9/1/00		4.00	
9/1/00	CASH RECEIPT OF DIVIDEND EARNED ON VISTEON CORP $0.06/SHARE ON 26 SHARES DUE 9/1/00		1.56	
9/11/00	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.13/SHARE ON 100 SHARES DUE 9/9/00		13.00	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/11/00	CASH RECEIPT OF DIVIDEND EARNED ON EMERSON ELEC CO $0.3575/SHARE ON 100 SHARES DUE 9/11/00		$35.75	
9/11/00	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.44/SHARE ON 232 SHARES DUE 9/11/00		102.08	
9/15/00	CASH RECEIPT OF DIVIDEND EARNED ON FIRST UN CORP $0.48/SHARE ON 100 SHARES DUE 9/15/00		48.00	
9/15/00	CASH RECEIPT OF DIVIDEND EARNED ON SUPERVALU INC $0.1375/SHARE ON 200 SHARES DUE 9/15/00		27.50	
9/29/00	CASH RECEIPT OF DIVIDEND EARNED ON LOCKHEED MARTIN CORP $0.11/SHARE ON 100 SHARES DUE 9/29/00		11.00	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON HARTFORD FINL SVCS GROUP INC $0.24/SHARE ON 200 SHARES DUE 10/2/00		48.00	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON SARA LEE CORP $0.135/SHARE ON 300 SHARES DUE 10/2/00		40.50	
10/10/00	CASH RECEIPT OF DIVIDEND EARNED ON WAL MART STORES INC $0.06/SHARE ON 300 SHARES DUE 10/10/00		18.00	

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BANK ONE.

Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
10/11/00	CASH RECEIPT OF DIVIDEND EARNED ON HEWLETT PACKARD CO $0.16/SHARE ON 50 SHARES DUE 10/11/00		$8.00	
10/13/00	CASH RECEIPT OF DIVIDEND EARNED ON MORGAN J P & CO INC $1.00/SHARE ON 50 SHARES DUE 10/13/00		50.00	
10/25/00	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.136667/SHARE ON 300 SHARES DUE 10/25/00		41.00	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON BRISTOL MYERS SQUIBB CO $0.245/SHARE ON 200 SHARES DUE 11/1/00		49.00	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON PHARMACIA CORP $0.12/SHARE ON 100 SHARES DUE 11/1/00		12.00	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.385/SHARE ON 183 SHARES DUE 11/1/00		70.46	
11/15/00	CASH RECEIPT OF DIVIDEND EARNED ON ABBOTT LABS $0.19/SHARE ON 300 SHARES DUE 11/15/00		57.00	
11/22/00	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.14/SHARE ON 300 SHARES DUE 11/22/00		42.00	

4699

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
11/22/00	CASH RECEIPT OF DIVIDEND EARNED ON CMS ENERGY CORP $0.365/SHARE ON 200 SHARES DUE 11/22/00		$73.00	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ASSOCIATES FIRST CAP CORP CL A $0.065/SHARE ON 104 SHARES DUE 12/1/00		6.76	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON FORD MTR CO DEL PAR $0.01 $0.30/SHARE ON 349 SHARES DUE 12/1/00		104.70	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.02/SHARE ON 200 SHARES DUE 12/1/00		4.00	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON VISTEON CORP $0.06/SHARE ON 26 SHARES DUE 12/1/00		1.56	
12/11/00	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.13/SHARE ON 100 SHARES DUE 12/9/00		13.00	
12/11/00	CASH RECEIPT OF DIVIDEND EARNED ON EMERSON ELEC CO $0.3825/SHARE ON 100 SHARES DUE 12/11/00		38.25	
12/11/00	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.44/SHARE ON 232 SHARES DUE 12/11/00		102.08	

4700

BANK ONE.

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
12/12/00	CASH RECEIPT OF DIVIDEND EARNED ON SOLUTIA INC $0.04/SHARE ON 20 SHARES DUE 12/12/00		$0.80	
12/15/00	CASH RECEIPT OF DIVIDEND EARNED ON FIRST UN CORP $0.48/SHARE ON 100 SHARES DUE 12/15/00		48.00	
12/15/00	CASH RECEIPT OF DIVIDEND EARNED ON SUPERVALU INC $0.1375/SHARE ON 200 SHARES DUE 12/15/00		27.50	
12/29/00	CASH RECEIPT OF DIVIDEND EARNED ON LOCKHEED MARTIN CORP $0.11/SHARE ON 100 SHARES DUE 12/29/00		11.00	
Total domestic dividends			$3,432.90	$0.00
Mutual fund income				
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX FREE BOND FD DIVIDEND FROM 1/1/00 TO 1/31/00		276.85	
2/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 1/1/00 TO 1/31/00		272.87	
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX FREE BOND FD DIVIDEND FROM 2/1/00 TO 2/29/00		260.59	

4701

Transaction detail continued

Date	Description	Fund Description/Cost	Income	Principal
3/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 2/1/00 TO 3/29/00		$264.98	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND $[illegible] SHARE IN 2,258.284 SHARES DUE 4/3/00		6.07	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE TAX FREE BOND FD DIVIDEND FROM [illegible]		274.80	
4/3/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM [illegible] TO [illegible]		272.58	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX FREE BOND FD DIVIDEND FROM 4/1/00 TO 4/30/00		272.67	
5/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 4/1/00 TO 4/30/00		265.15	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX FREE BOND FD DIVIDEND FROM 5/1/00 TO 5/31/00		273.86	
6/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 5/1/00 TO 5/31/00		270.96	

4702

BANK ONE.

Transaction detail continued

Date	Description	Reinvestment amount	Income	Principal
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND [illegible]		$23.20	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE FREE BOND FD DIVIDEND FROM [illegible]		23.58	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM [illegible]		[illegible]	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE FREE BOND FD DIVIDEND FROM [illegible]		[illegible]	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM [illegible]		[illegible]	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMEDIATE FREE BOND FD DIVIDEND FROM [illegible]		[illegible]	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM [illegible]		[illegible]	
[illegible]	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP DIVERSIFIED EQUITY FUND [illegible]		14.15	

4703

Transaction detail continued

Date	Description	Gain/(Loss)	Income	Principal
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 9/1/00 TO 9/30/00		$269.52	
10/2/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 9/1/00 TO 9/30/00		261.60	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 10/1/00 TO 10/31/00		279.13	
11/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 10/1/00 TO 10/31/00		273.04	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP INTERMED TAX-FREE BOND FD DIVIDEND FROM 11/1/00 TO 11/30/00		275.53	
12/1/00	CASH RECEIPT OF DIVIDEND EARNED ON ONE GROUP MUNICIPAL INCOME FUND DIVIDEND FROM 11/1/00 TO 11/30/00		262.81	
Total mutual fund income			$5,988.43	$0.00

Taxable interest

1/4/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 12/1/99 TO 12/31/99		96.40	

4704

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
2/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 1/1/00 TO 1/31/00		$59.40	
3/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 2/1/00 TO 2/29/00		57.49	
4/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 3/1/00 TO 3/31/00		59.33	
5/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 4/1/00 TO 4/30/00		50.99	
6/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 5/1/00 TO 5/31/00		51.96	
7/3/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 6/1/00 TO 6/30/00		47.88	
8/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 7/1/00 TO 7/31/00		47.89	
9/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 8/1/00 TO 8/31/00		64.69	

4705

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 9/1/00 TO 9/30/00		$83.26	
11/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 10/1/00 TO 10/31/00		85.21	
12/1/00	CASH RECEIPT OF INTEREST EARNED ON ONE GROUP PRIME MONEY MARKET FUND INTEREST FROM 11/1/00 TO 11/30/00		84.95	
Total taxable interest			$788.17	$0.00
Other cash receipts				
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $3.2771/SHARE ON 1,225.49 SHARES DUE 8/18/00	$4,016.08		$4,016.08
8/18/00	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $1.0362/SHARE ON 1,225.49 SHARES DUE 8/18/00	1,269.83		1,269.83
8/18/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP DIVERSIFIED EQUITY FUND $0.2422/SHARE ON 9,259.234 SHARES DUE 8/18/00	2,242.49		2,242.49

4706

Transaction detail continued

Date	Description	Realized gain (loss)	Income	Principal
12/21/00	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON ONE GROUP MID CAP GROWTH FUND $0.0069/SHARE ON 1,225.49 SHARES DUE 12/21/00	$8.52		$8.52
Total other cash receipts			$0.00	$7,536.92
Total cash receipts			**$10,209.50**	**$7,536.92**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
3/10/00	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019 550462366	$(1,597.38)	
4/6/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX TAX DUE ON FORM 1041 FOR CALENDAR YEAR RETURN		$(298.00)
4/6/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX TAX DUE ON WI FORM 2 FOR CALENDAR YEAR RETURN		(49.00)
4/12/00	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FEDERAL INCOME TAX FEDERAL ESTIMATED TAX		(1,856.00)

4707

Transaction detail continued

Date	Description	Income	Principal
4/12/00	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX INSTALLMENT ON WI FIDUCIARY INC TAX		$(216.00)
6/12/00	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	$(1,699.07)	
9/11/00	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	(1,806.49)	
12/11/00	CASH DISBURSEMENT PAID TO BANK ONE MILWAUKEE DDA#550462366 ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR 075000019-550462366	(2,083.15)	
Total other cash disbursements		$(7,186.09)	$(2,419.00)
Administrative expenses			
1/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END	(217.12)	(217.12)
2/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END	(207.47)	(207.47)

4708

Transaction detail continued

Date	Description	Income	Principal
3/13/00	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END	$(200.23)	$(200.24)
4/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END	(219.90)	(219.91)
5/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END	(214.38)	(214.39)
5/17/00	MISCELLANEOUS TAX SERVICE FEES COLLECTED 1999 GENERATION SKIPPING REVIEW	(100.00)	
6/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END	(214.87)	(214.87)
6/14/00	FIDUCIARY TAX RETURN PREP COLLECTED 1999 FORMS 1041/2	(450.00)	
7/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(217.24)	(217.24)
8/14/00	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(218.02)	(218.03)
9/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(229.04)	(229.04)
10/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END	(223.28)	(223.28)
11/10/00	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(224.56)	(224.57)
12/12/00	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	(216.87)	(216.87)
Total administrative expenses		$(3,152.98)	$(2,603.03)
Total cash disbursements		**$(10,339.07)**	**$(5,022.03)**

Transaction detail continued

Investment transactions

Purchases

Date	Description	Realized gain (loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			$(17,118.36)
Total purchases			$0.00	$(17,118.36)

Sales and maturities

Date	Description	Realized gain (loss)	Income	Principal
	COMBINED SALES FOR THE PERIOD 1/1/00 - 12/31/00 OF ONE GROUP PRIME MONEY MARKET FUND			14,692.59
6/23/00	SOLD 0.07 SHARES OF AGILENT TECHNOLOGIES INC TRADE DATE 6/23/00 SOLD THROUGH CASH IN LIEU OF FRACTIONAL SH CASH IN LIEU OF FRACTIONAL SHARE	$0.39		4.49
7/6/00	SOLD 0.1966 SHARES OF VISTEON CORP TRADE DATE 7/6/00 SOLD THROUGH CASH IN LIEU OF FRACTIONAL SH CASH IN LIEU OF FRACTIONAL SHARE	1.06		3.24
8/31/00	SOLD 0.635 SHARES OF PERRI MTR CO DEL PAR $0.01 TRADE DATE 8/31/00 SOLD THROUGH CASH IN LIEU OF FRACTIONAL SH CASH IN LIEU OF FRACTIONAL SHARE	8.52		15.81

4710

Transaction detail continued

Date	Description	Realized gain/loss	Income	Principal
12/7/00	SOLD 0.2736 SHARES OF CITIGROUP INC TRADE DATE 12/7/00 SOLD THROUGH: CASH IN LIEU OF FRACTIONAL SH CASH IN LIEU OF FRACTIONAL SHARE	$5.41		$42.93
Total sales and maturities			$0.00	$14,733.04
Total investment transactions			**$0.00**	**$(2,385.32)**

Other investment transactions (non-cash)

Date	Description	Income	Principal
4/3/00	NAME CHANGE 100 SHARES OF MONSANTO CO NAME CHANGED TO PHARMACIA CORP EFFECTIVE DATE 04/03/00 MARKET VALUE OF $5,[illegible] NAME CHANGED TO PHARMACIA CORP CUSIP 71713U102		
4/3/00	NAME CHANGE 100 SHARES OF PHARMACIA CORP NAME CHANGED FROM MONSANTO CO EFFECTIVE DATE 04/03/00 MARKET VALUE OF $5,[illegible] NAME CHANGED FROM MONSANTO CO CUSIP 61166W101		

Transaction detail continued

Date	Description	Income	Principal
5/8/00	STOCK SPLIT 3 FOR 1 STOCK SPLIT ON GENERAL ELEC CO DUE 5/5/00 200 ADDITIONAL SHARES RECEIVED		
6/7/00	SPIN OFF RECEIVED 19.07 SHARES DISTRIBUTION AT 0.3814 SHARES OF AGILENT TECHNOLOGIES INC FOR EACH SHARE OF HEWLETT PACKARD CO DUE 06/02/00 MARKET VALUE OF $1,554.21 DUE TO SPIN OFF FROM HEWLETT PACKARD CUSIP 428236103		
6/13/00	SPIN OFF FEDERAL COST BASIS OF AGILENT TECHNOLOGIES INC ADJUSTED BY $572.83 OLD: $0.00 /NEW $572.83 SPIN-OFF TO AGILENT TECHNOLOGIES /CUSIP 00846U101		
6/13/00	SPIN OFF STATE COST BASIS OF AGILENT TECHNOLOGIES INC ADJUSTED BY $572.83 OLD: $0.00 /NEW $572.83 SPIN-OFF TO AGILENT TECHNOLOGIES /CUSIP 00846U101		

4712

Transaction detail continued

Date	Description	Income	Principal
6/13/00	SPIN OFF FEDERAL COST BASIS OF HEWLETT PACKARD CO ADJUSTED BY $ 572.83 OLD: $2,603.75 NEW $2,030.92 SPIN OFF TO AGILENT TECHNOLOGIES CUSIP 00846U101		
6/13/00	SPIN OFF STATE COST BASIS OF HEWLETT PACKARD CO ADJUSTED BY $ 572.83 OLD: $2,603.75 NEW $2,030.92 SPIN OFF TO AGILENT TECHNOLOGIES CUSIP 00846U101		
7/3/00	SPIN OFF RECEIVED 26.1866 SHARES DISTRIBUTION AT 0.130933 SHARES OF VISTEON CORP FOR EACH SHARE OF FORD MTR CO DEL DUE 06/28/00 MARKET VALUE OF $0.00 DUE TO SPIN OFF FROM FORD MOTOR CO CUSIP 345370100		
7/3/00	SPIN OFF FEDERAL COST BASIS OF FORD MTR CO DEL ADJUSTED BY $ 164.14 OLD: $4,176.52 NEW $4,012.38 SPIN OFF TO VISTEON CORP CUSIP 92839U107		

4713

Transaction detail continued

Date	Description	Income	Principal
7/3/00	SPIN OFF STATE COST BASIS OF FORD MTR CO DEL ADJUSTED BY $ 164.14 OLD $4,176.52 NEW $4,012.38 SPIN OFF TO VISTEON CORP /CUSIP 92839U107		
7/7/00	MANDATORY STOCK MERGER DELIVERED 150 SHARES OF GTE CORP EFFECTIVE DATE 07/07/00 MARKET VALUE OF $9,847.69 STOCK MERGER TO VERIZON COMMUNICATIONS CUSIP 92343V104		
7/7/00	MANDATORY STOCK MERGER RECEIVED 183 SHARES OF VERIZON COMMUNICATIONS EFFECTIVE DATE 07/07/00 DISTRIBUTION 07/07/00 1.22 SHARES FOR EACH SHARE HELD OF GTE CORP MARKET VALUE OF $9,847.69 STOCK MERGER FROM GTE CORP CUSIP 362320103		
7/31/00	STOCK SPLIT 2 FOR 1 STOCK SPLIT ON INTEL CORP DUE 7/30/00 100 ADDITIONAL SHARES RECEIVED		

4714

Transaction detail continued

Date	Description	Income	Principal
8/4/00	MERGER DELIVERED [illegible] SHARES [illegible] FORD MTR CO DEL EFFECTIVE DATE [illegible] MARKET VALUE [illegible] MERGER THIS REMITTER IS NEW CUSIP [illegible]		
8/4/00	MERGER RECEIVED [illegible] SHARES [illegible] FORD MTR CO DEL PAR $[illegible] EFFECTIVE DATE [illegible] CENTER BLOCK [illegible] [illegible] SHARES [illegible] FORD MTR CO DEL MARKET VALUE [illegible] MERGER FROM CUSIP [illegible]		
8/29/00	STOCK SPLIT [illegible] [illegible] [illegible] ADDITIONAL SHARES [illegible]		
10/10/00	STOCK SPLIT [illegible] STOCK SPLIT IN HEWLETT PACKARD [illegible] [illegible] ADDITIONAL SHARES [illegible]		

Transaction detail continued

Date	Description	Income	Principal
12/4/00	MANDATORY STOCK MERGER DELIVERED 104 SHARES OF ASSOCIATES FIRST CAP CORP CL A EFFECTIVE DATE 12/04/00 MARKET VALUE OF $3,732.64 MERGER TO CITIGROUP INC CUSIP 172967101		
12/4/00	MANDATORY STOCK MERGER RECEIVED 76.2736 SHARES OF CITIGROUP INC EFFECTIVE DATE 12/04/00 DISTRIBUTION 12/04/00 0.7334 SHARES FOR EACH SHARE HELD OF ASSOCIATES FIRST CAP CORP CL A MARKET VALUE OF $3,732.64 MERGER FROM ASSOCIATES FIRST CAP CORP CL A CUSIP 046008108		
Total other investment transactions (non-cash)		$0.00	$0.00

	Income	Principal
Ending cash balance 12/31/00	$(129.57)	$129.57

No pending trades.

4716

BANK ONE.

4717

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(5)

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 5:17
(Office Use Only)
MC

Jose E Serrano
(Full Name)

910 Grand Concourse Bronx NY 10451
(Mailing Address)

(202) 225-4361
Daytime Telephone

Filer Status	✓ Member of the U.S. House of Representatives	State NY District 16	Officer Or Employee	Employing Office U.S. HOUSE OF REPRESENTATIVES
Report Type	✓ Annual (May 15)	Amendment	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓	No	VI	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes	No ✓	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes	No ✓
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III	Yes ✓	No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓	No
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV	Yes ✓	No	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes	No ✓		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Jo E Serrano	05/15/01

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SCHEDULE I - EARNED INCOME

Nam José E. Serrano

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
NYS Employee Retirement System	Pension	$14,000
Ridgewood Homes	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNE

Nam José E. Serrano

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Putman Capital Opportunities	$1,001 - $15,000		$1,001 - $2,500	
DC	MFS Strategic Growth	$1,001 - $15,000		$1,001 - $2,500	
DC	MFS New Discovery	$1,001 - $15,000		$1,001 - $2,500	
DC	Colonial/Stein Roe Young Investors	$1,001 - $15,000		$1,001 - $2,500	
SP	Putman Capital Opportunities IRA	$15,001 - $50,000		NONE	P

GIFT FROM GRANDPARENTS TO GRANDCHILDREN DEC. 1999.

4720

SCHEDULE IV - TRANSACTIONS

Nam José E Serrano

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Putman Capital Opportunities	X	*	$1,001 - $15,000
DC	MFS Strategic Growth	X	*	$1,001 - $15,000
DC	MFS New Discovery	X	*	$1,001 - $15,000
DC	Colonial/Stein Roe Young Investors	X	*	$1,001 - $15,000
SP	Putman Capital Opportunities IRA	P	**	$15,001 - $50,000

* ongoing contribution from grandparents
** ongoing contribution from spouse's parents and spouse contribution

SCHEDULE VIII - POSITIONS

Nam José E. Serrano

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors	Manhattan College

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(9)

2001 MAY 15 PM 6:05

MC

(Office Use Only)

PETE SESSIONS
PO BOX 38585
Full Name
Mailing Address

214.874.0225
Daytime Telephone

Filer Status ☑ Member of the U.S. House of Representatives — State: TEXAS District: 5 ☐ Officer or Employee — Employing Office

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you, or your spouse, have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260, and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such trusts benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, unearned income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete, and correct to the best of my knowledge and belief.	Pete Sessions	5.15.01

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Name PETE SESSIONS Page 1 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	CINGULAR WIRELESS	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
AMERICAN BANKERS Association Conference	Feb. 25-28	WDC to ORLANDO Return to WDC	Y	Y	Y	N
HIGHTECH FACT Finding TRIP	Oct. 2	WDC to Boston & Return	N	Y	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Executive Committee	Circle Ten Council Boy Scouts of America
Board Member	EAST DALLAS YMCA
Board Member	Disciples of Holy Trinity

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Pete Sessions

BLOCK A Asset and/or Income Source	BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For certain property or land, identify an address. Provide full names of any mutual funds. For a self-directed IRA plan, one where you have the power to select the specific investments, provide information on each asset in the amount that exceeds the reporting threshold and the income earned during the year. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for this reporting year. **Exclude:** Your personal residence(s) unless there is rental income, any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling, any liquidity totaling $5,000 or less in personal savings accounts, any financial interest in a money-denied fund, U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP), or dependent child (DC), or jointly held (JT). Do the opposite or do not list.	at close of reporting year If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."		For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
SP DC Example: Spouse's pension JT	None I $1 – $1,000 II $1,001 – $15,000 III $15,001 – $50,000 IV $50,001 – $100,000 V $100,001 – $250,000 VI $250,001 – $500,000 VII $500,001 – $1,000,000 VIII $1,000,001 – $5,000,000 IX $5,000,001 – $25,000,000 X $25,000,001 – $50,000,000 XI Over $50,000,000	DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED TRUST QUALIFIED BLIND TRUST Other Type of Income (Specify: Examples: Partnership income and gains, royalties)	None I $1 – $200 II $201 – $1,000 III $1,001 – $2,500 IV $2,501 – $5,000 V $5,001 – $15,000 VI $15,001 – $50,000 VII $50,001 – $100,000 VIII $100,001 – $1,000,000 IX $1,000,001 – $5,000,000 X Over $5,000,000	P, S, or P
SBC	X	X	X	
BellSOUTH	X		X	
Fidelity Advisor Tech	X	X	X	
Fidelity Growth	X	X	X	
A.G. Edwards Centennial	X	X	X	
AT&T	X		X	

For additional assets and unearned income, use next page

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Pete Sessions

Page 5 of 8

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction
	VERIZON (formerly GTE)			X										X								X										
	DALLAS TELCO CU		X													X						X										
	Lincoln Life 403B			X										X			X						X									
	SBC																															S
	SERVICE MASTER			X																		X										
	US House of Rep. Savings				X									X										X								
	American Century TIF				X									X			X								X							
JT	LUCENT			X										X								X										
JT	BRAZORIA TAX EXEMPT			X												X								X								
JT	AMERICAN Century			X										X			X					X										
JT	Commonwealth Credit				X											X								X								
JT	DREYFUS Intermun			X										X										X								
JT	EXXON				X									X								X										
JT	NUVEEN MUNI Bond				X											X						X										
JT	OPPENHEIMER Discovery			X												X						X										
JT	USAA TAX Exempt			X										X		X						X										
JT	USAA Money Market	X												X		X						X										
JT	Dallas Telco CU	X												X		X						X										
JT	Property in Hurst TX			X											Less TAN in Acct																	
JT	AG Edwards	X																			X											
	US Savings Bonds																															S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PETE SESSIONS

Page 6 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	AMERITECH/SBC			X										X								X										
SP	BELLSOUTH			X										X								X										
SP	SKYTEL/MCI		X											X								X										
SP	AGGOLWARDS		X													X						X										
SP	NATIONSBANK-IRA																															S
SP	SBC									X				X												X						
SP	SBC STOCK OPTIONS						X																									
SP	BELLSOUTH			X										X									X									
DC	EXXON			X										X																		S
DC	FIDELITY GROWTH 2				X									X										X								
DC	LUCENT			X										X								X										
DC	SBC			X										X									X									
DC	US WEST			X										X									X									
DC	AG EDWARDS		X													X						X										
DC	MEDIAONE			X										X								X										
DC	USAA TAXFREE		X													X	X					X										
DC	US SAVINGS BONDS		X													X																
DC	BELLSOUTH			X										X									X									
DC	EXXON																															S
DC	FIDELITY GROWTH 2				X									X										X								
DC	US WEST			X										X								X										
DC	MEDIAONE			X										X								X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Pete Sessions

Page 7 of 8

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
DC	AG Edwards Contrad	B $1 – $1,000	INTEREST	II $1 – $200	
DC	Service Master	B $1 – $1,000	DIVIDENDS	II $1 – $200	
DC	SBC	B $1 – $1,000		II $1 – $200	
DC	Fidelity Advisor Growth	D $15,001 – $50,000	DIVIDENDS	IV $1,001 – $2,500	
DC	Cisco	D $15,001 – $50,000		III $201 – $1,000	
DC	Cisco	D $15,001 – $50,000	DIVIDENDS	III $201 – $1,000	
	Fidelity Growth II	F $100,001 – $250,000	DIVIDENDS	IV $1,001 – $2,500	
	Cisco / Fla	D $15,001 – $50,000	DIVIDENDS	III $201 – $1,000	
SI	Cisco / Fla	D $15,001 – $50,000	DIVIDENDS	III $201 – $1,000	

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SCHEDULE IV — TRANSACTIONS

Name PETE SESSIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	SBC		X				X								
SP	NATIONS BANK IRA		X		7.10.00	X									
SP	EMC - IRA	X			7.10.00	X									
	US Savings Bonds		X		1.13.00	X									
DC	EXXON		X		1.5.00	X									
DC	EXXON		X		1.5.00	X									

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER (6)
2001 MAY 15 PM 6:11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HC

4732

John B. Shadegg
(Full Name)

432 Cannon HOB Washington, DC 20515
(Mailing Address)

(202) 225-3361
Daytime Telephone

(Office Use Only)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status	☑ Member of the U.S. House of Representatives	State: AZ District: 04	☐ Officer Or Employee — Employing Office:
Report Type	(✓) Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts"** approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	J. B. Shadegg	May 14, 2001

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Washington School District	Spouse Salary	N/S

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Arizona State Retirement, P O Box 33910, Phoenix AZ	$50,001 - $100,000	INTEREST	NA	NA
Raymond James Financial Services - Alliance Tech Fund - IRA	$15,001 - $50,000	DIVIDENDS	NA	NA
Raymond James Financial Services - Alliance Tech. Fund	$15,001 - $50,000	DIVIDENDS	NA	NA
Arizona Capital Group - Alliance Premier Growth Fund	$1,001 - $15,000	DIVIDENDS	NA	NA
One-Fourth Interest in family summer home, 925 Blue Jay, Prescott, AZ	$15,001 - $50,000	RENT	$201 - $1,000	NA

4734

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank One	Revolving charge account	$10,001 - $15,000
	Congressional Federal Credit Union	Revolving charge account	$10,001 - $15,000
	MBNA America	Revolving charge account	$10,001 - $15,000

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
National Water Resources Assoc	Nov 30-Dec 1	Phoenix - San Diego - Phoenix	Y	Y	N	N/A

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Arizona Economic Forum
Board of Directors	Salvation Army
Board of Directors	Arizona Demolay Foundation
Board of Directors	Goldwater Institute for Public Policy
Board of Directors	Friends of Lake Powell, Inc
Commissioner	Arizona Character Education Commission

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UNITED STATES HOUSE OF REPRESENTATIVES

Form A
For use by Members, officers, and employees

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

The Honorable E. Clay Shaw, Jr.
(Full Name)

2408 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

Daytime Telephone
202-225-3026

Filer Status: [X] Member of the U.S. House of Representatives — State: FL District: 22 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I** — Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule I** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV** — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS-Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL — DATE (Month/Day/Year): June 14, 2001

4738

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source**	BLOCK B **Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is self-directed, provide the required information on any underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residences (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) by appropriately filling in the optional column on the far left. For further information, see instructions, pages 14-21.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block.	For IRA's and retirement plans that are not self-directed, you may write in "NA" for income.	Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP Mega Corp. Stock DC *Examples* SP 123 Main St., Dover, Del. JT 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
Dania Farms, Inc., Wholesale Nursery, 706 N. Federal Highway, Dania, FL	$500,001 - $1,000,000	Divadend	$50,001 - $100,000	
Shaw Land Company, formerly Shaw Interstate Family Partnership Timberland located in Sumpter Co., ALA.; Lauderdale Co. & Kemper Co., Miss.	$1,000,001 - $5,000,000	Rent, Interest, Cap. Gains	$100,001 - $1,000,000	
Dania Farms Ltd - Assets include Real Estate 706 N. Federal Highway, Dania, FL (Interest in 40 acres) Farmland in South Dade County, Redlands area on Coral Reef Drive	$1,000,001 - $5,000,000	Rent	$5,001 - $15,000	

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SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

Congressional Federal Credit Union (checking)	$1,001 - $15,000	Interest	$1 - $200	
Northern Trust	$50,001 - $100,000	Interest	$201 - $1,000	
CMA Money Fund Merrill Lynch	$1 - $1,000	Interest	$1 - $200	Partially sold
Merrill-Lynch MIT	$15,001 - $50,000	Interest	$1,001 - $2,500	
Arvida JMB Partnership	$1,001 - $15,000	Capital Gains	$201 - $1,000	Publicly traded, Ltd partnership.
Munder Net net FD CLB	$15,001 - $50,000	Dividends	$201 - $1,000	
New River Land Co., Inc.,Office building @ 300 S.E. 17th Street	$500,001 - $1,000,000	Dividends	$15,001 - $50,000	
UPS	$1,001 - $15,000	Dividends	$1 - $200	
Microsoft Corp	$1,001 - $15,000	Dividends	$1 - $200	
First Union Corp	$15,001 - $50,000	Dividends	$201 - $1,000	
FedEx Corp	$1,001 - $15,000	Dividends	$1 - $200	
Timberland on Hwy. 80, Cuba, ALA	$100,001 - $250,000	Capital Gains	None	S
Vacation Home in Cashiers, N C	$250,001 - $500,000	Rent	$5,001 - $15,000	
NABI, Inc	$1,001 - $15,000	None	None	
Coca Cola	$1,001 - $15,000	Dividends	$201 - $1,000	
Ericson Lm Tel	$1,001 - $15,000	Capital Gains	$1 - $200	
AT & T	$15,001 - $50,000	Dividends	$201 - $1,000	
3 Com Corp	$1,001 - $15,000	Dividends	None	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

Palm Inc.	$1,001 - $15,000	Dividends	None	

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	*Purchase*	*10/12/1998*	*$15,001 - $50,000*
	3 Com	Purchase	1/07/00	$1,001 - $15,000
	Municipal Investment Accu. Program *Dividends and return of principle are reinvested by Merrill Lynch as received throught the year	Sold	4/06/00	$1,001 - $15,000
	Palm Inc	Purchase	1/07/00	$1,001 - $15,000
	Microsoft Corp	Purchase	4/25/00	$1,001 - $15,000
	First Union	Purchase	7/11/00	$15,001 - $50,000
	Ericsson Tel	Purchase	4/6/00	$15,001 - $50,000
	Coca Cola	Purchase	3/7/00	$1,001 - $15,000
	AT&T	Purchase	7/11/00	$1,001 - $15,000
	AT&T	Purchase	11/14/00	$15,001 - $50,000
	Palm Inc	Purchase	4/06/00	$1,001 - $15,000
	Bico	Purchase	3/10/00	$1,001 - $15,000
	Bico	Sold	12/28/00	0-$1,000
	Eli Lilly Co	Sold	7/11/00	$15,001 - $50,000
	Merck & Co	Sold	4/6/00	$15,001 - $50,000
	Pfizer Inc	Sold	7/11/00	$15,001 - $50,000
	AT&T	Sold	12/28/00	$1,001 - $15,000

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SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child of real property, stock, bond, commodity future, or other security when the amount of the transaction exceeds $1,000. Report also the purchase, sale, or exchange of any interest in property owned jointly or in common with a spouse or dependent child, or the purchase, sale, or exchange of your personal residence.

SP/DC/JT	Asset	Type of Transaction	Date	Amount of Transaction
[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
[illegible]	[illegible]	[illegible]	[illegible]	$1,001 - $15,000
[illegible]	[illegible]	[illegible]	[illegible]	$1,001 - $15,000
[illegible]	[illegible]	[illegible]	[illegible]	$1,001 - $15,000
[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
[illegible]	Home Depot	[illegible]	[illegible]	$1,001 - $15,000
[illegible]	Home Depot	[illegible]	[illegible]	$1,001 - $15,000
[illegible]	[illegible]	[illegible]	3/[illegible]	$1,001 - $15,000
[illegible]	[illegible]	[illegible]	12/[illegible]	[illegible]

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP DC JT	Creditor	Type of Liability	Amount of Liability
Example	*First Bank of Wilmington, Delaware*	*Mortgage on 123 Main St., Dover, Del.*	*$100,001 - $250,000*
	Nation's Bank	Note and mortgage on 300 S.E. 17th Street (by New River Land Co., Inc.) guarantor	$500,001 - $1,000,000
	Northern Trust	Note and mortgage on vacation house in Cashiers, N.C.	$100,001 - $250,000

Name The Honourable E[illegible] Shaw Jr. Page [illegible] of 8

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and [illegible] expenses totaling more than $[illegible] provided to you, your spouse, or a dependent child during the reporting period by a foreign government or a foreign educational or charitable organization. Indicate the amount of time, if any, that was not at the sponsor's expense. Check [illegible] to indicate whether the expenses were incurred in cash or kind by the sponsor.
Exclude Travel-related expenses provided by federal, state, and local governments, or foreign governments or international organizations specifically recognized under the Federal Foreign Gifts and Decorations Act (5 U.S.C. 7342). Travel provided to a spouse or dependent child [illegible] independent of his or her relationship to you.

Source *Examples: Chicago Chamber of Commerce; Mega Corporation*	Date(s) *Mo., Day, Year*	Point of Departure--Destination--Point of Return *Airport, City, Country (e.g., O'Hare, Chicago, Illinois, U.S.)*	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense *Y/N 4 Days*
Consumer Electronics Show	[illegible]	Ft. Lauderdale, FL-Las Vegas, NV	Y	Y	Y	None
Large Public Power Council	[illegible]	Las Vegas, NV-Phoenix, AZ-Fort Lauderdale, FL	Y	Y	Y	None
Wilderness Foundation-Environmental Conservation Mission	[illegible]	D[illegible] Republic of South Africa & Namibia, [illegible]	Y	Y	Y	None
Ripon Educational Fund Transatlantic Conference	[illegible]	Ft. Lauderdale, FL-Rome, Italy-Ft. Lauderdale, FL	Y	Y	Y	None

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization; partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise; any nonprofit organization; any labor organization; or any educational or other institution other than the United States.

Exclude Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization
Director/Officer	Dama Farms, Inc
Executor/Trustee	Estate of Irma Walker

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

Page 1 of 4

HAND DELIVERED

Christopher H. Shays
Full Name

37 Beacon Street Bridgeport CT 06605
Mailing Address

202-225-5541
Daytime Telephone

Office Or Employing Office

Termination Date

ANTI-RESOURCE CENTER

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U.S. HOUSE OF REPRESENTATIVES

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status ✓ Member of the U.S. House of Representatives — State: CT — District: 04 — Officer Or Employee

Report Type ✓ Annual (May 15) — Amendment — Termination

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ✓ No
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ✓ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes No ✓
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liabilities (more than $10,000) during the reporting period? — Yes No ✓
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? — Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursement for travel in the reporting period worth more than $260 from non-relatives? — Yes ✓ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the reporting period? — Yes No ✓
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "exempted trusts" need not be disclosed. Have you excluded from this report details of such a trust (including you, your spouse, or dependent child? — Yes No ✓

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the [illegible] and will be reviewed by the Committee on Standards of Official Conduct. [illegible] report may be subject to civil penalties and criminal sanctions [illegible]

Certification

Signature of Reporting Individual

Date (Month, Day, Year)

I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Christopher H. Shays

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SCHEDULE I - EARNED INCOME

Name Christopher H Shays

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Peace Corps	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Christopher [illegible] Strays

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (e.g., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "none."	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP Connecticut State Teachers Retirement System	$15,001 - $50,000	INTEREST	NA	
SP Connecticut State Employees Retirement System	$1,001 - $15,000	INTEREST	NA	
JT Astoria Federal escrow account	$1,001 - $15,000	INTEREST	$1 - $200	

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SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Christopher H Shays

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Aspen Institute	Jan. 13-16	Wash., D.C. -- Naples, FL -- Wash., D.C.	Y	Y	Y	N/A
Aspen Institute	Feb. 18-22	Wash , D.C. -- San Juan, PR -- Wash , D.C.	Y	Y	Y	N/A
Republican Mainstreet Partnership	Apl. 23-26	Wash., D.C. -- San Francisco -- Wash., D.C	N	N	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

7 HAND DELIVERED

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MC

(Office Use Only)

Bradley T. Sherman
(Full Name)

1524 Longworth HOB — 202-225-5911
(Mailing Address) — Daytime Telephone

Washington, DC 20515

Filer Status	[XX] Member of the U.S. House of Representatives	State CA District 28	[ ] Officer or Employee — Employing Office
Report Type	[XX] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	[ ]	[XX]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	[ ]	[XX]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	[XX]	[ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	[ ]	[XX]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	[ ]	[XX]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	[ ]	[XX]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	[ ]	[XX]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	[ ]	[XX]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	[ ]	[XX]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	[ ]	[XX]
EXEMPTION—Have you excluded from this report any other assets, unearned income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	[ ]	[XX]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Brad Sherman	May 15 2001

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Bradley J. Sherman

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP, DC, JT	SP Mega Corp. Stock	X (E)	X DIVIDENDS		P
Examples:	Simon & Schuster	Indefinite	Royalties		
	1st Bank of Paducah, KY accounts		X INTEREST		
	Dreyfus Fund		X DIVIDENDS	X (III)	P
	Dreyfus CA Intermediate Muni Bond Fund		X DIVIDENDS	X (VII)	P/S
	Dreyfus CA Tax Exempt Bond Fund (Address:		X DIVIDENDS	X (V)	P/S
	Dreyfus Fund Box 9387 Providence, RI 029401				

Block B: A None; C $1,001 – $15,000; E $50,001 – $100,000; G $250,001 – $500,000; I $1,000,001 – $5,000,000; K $25,000,001 – $50,000,000

Block D: I None; III $201 – $1,000; V $2,501 – $5,000; VII $15,001 – $50,000; IX $100,001 – $1,000,000; XI Over $5,000,000

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Bradley J. Sherman | Page 2 of 5

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of Income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Examples		Block A	Block B	Block C	Block D	Block E
SP		SP	Mega Corp. Stock				P
DC			Simon & Schuster	Indefinite	Royalties	X	
JT			1st Bank of Paducah, KY accounts				
	Beebee Draw/ Hambert			X	Royalties		
	Combined Properties Estimated Value!						

Block B	A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
			X								

Block C	DIVIDENDS	RENT	INTEREST	COMPENSATION	EXCEPTED TRUST	BUSINESS INCOME	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)
	X						
			X				Royalties
							Royalties

Block D	I None	II $200 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	XI
											P, S, E
									X		P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Bradley J. Sherman

Page 3 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 - $15,000	E $50,001 - $100,000	G $250,001 - $500,000	I $1,000,001 - $5,000,000	K $25,000,001 - $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENTS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 - $1,000	V $2,501 - $5,000	VII $15,001 - $50,000	IX $100,001 - $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	The address for the following 3 assets is: 555 S. Flower Street #4510 Los Angeles, CA 90071 (ATT: DAVID GOULD)																		
	Notes payable by Sherman for Congress			X									X						R
	Notes payable by Friends of Brad Sherman												X						R
	Notes payable by Sherman 1994 State Committee												X						
	See notes regarding valuation of above promisory notes																		

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R= Repayments Received

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Bradley J. Sherman

Page 4 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 - $15,000	E $15,001 - $50,000	G $50,001 - $100,000	I $1,000,001 - $5,000,000	K $25,000,001 - $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	RENTS/ROYALTIES	TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 - $1,000	V $2,501 - $5,000	VII $15,001 - $50,000	IX $100,001 - $1,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Value of CPA practice equipment, including furniture												X						
	Union Bank of CA (Los Angeles, CA)								X										P/S
	Bank of America (Santa Monica, CA)			X					X										P/S
	Cal Fed IRA			X								N/A				N/A			
	CA State Legislators Retirement Pension from service on the CA State Board of Equalization, valued on 6/30/06 (end of fiscal year)											N/A				N/A			

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SCHEDULE IV — TRANSACTIONS

Name Bradley J. Sherman

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	A $1,000–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H1 $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock		X		10–12–00		X								
	(See Notes)														

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Notes regarding personal financial disclosure of Congressman Brad Sherman for Calendar year 2000 *To accompany Schedule III*

Notes payable by "Friends of Brad Sherman" and by the "Sherman 1994 State Committee" are valued at face value of the principal plus one half of the accrued but unpaid interest. These two political committees expect to repay the principal that they borrowed and should have some ability to pay accrued interest.

Notes payable to the "Sherman for Congress" committee are valued at the stated principal amount excluding accrued but unpaid interest. Interest is subordinated to the repayment of principal.

The valuation of promissory notes payable by political committees has been done to the best of my ability and is consistent with the advice given by the staff of the Committee on Standards of Official Conduct.

To accompany *Schedule IV*

Pursuant to my conversation with the staff legal counsel of the House Committee on Standards of Official Conduct, the following are not disclosed on this Schedule (though they are reflected on *Schedule III*):

(i) partial loan repayments received from political committees, (ii) loans made to political committees, and (iii) deposits to and checks written on checking accounts, money market accounts and mutual funds, (iv) contributions to and roll-overs of IRAs. The IRAs were bank certificates of deposit and did not involve individual stocks.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 22 PM 2:57
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Bradley J. Sherman
(Full Name)

1524 Longworth HOB
(Mailing Address)

202-225-5911
Daytime Telephone:

Filer Status	[XX] Member of the U.S. House of Representatives	State: CA District: 24th	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[XX] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [XX]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [XX]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [XX]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [XX]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [XX]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [XX]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [XX]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [XX]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [XX]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [XX]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [XX]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Brad Sherman	May 21, '01

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug. 13, 2000	$500
	CAP - 12 (a group of property and casualty insurance companies)	Speech	March 2, 2000	$500

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Name Bradley J. Sherman | Page 2 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B									Block C						Block D									Block E
			A None	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENTS AND ROYALTIES	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	EXCEPTED INVESTMENT	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock			X								X																P
			Simon & Schuster		Indefinite															Royalties								X		
			1st Bank of Paducah, KY accounts													X														
	Dreyfus Fund													X								X								P
	Dreyfus CA Intermediate Muni Bond Fund													X											X					P/S
	Dreyfus CA Tax Exempt Bond Fund (Address:													X									X							P/S
	Dreyfus Fund Box 9387 Providence, RI 029401																													

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B		BLOCK C									BLOCK D										BLOCK E
			A None	B $1,001 - $15,000	C $15,001 - $50,000	E $50,001 - $100,000	G $100,001 - $500,000	I $500,001 - $1,000,000	K $1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	DIVIDENDS	RENTS AND ROYALTIES	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	III $201 - $1,000	V $1,001 - $2,500	VII $2,501 - $5,000	VIII $5,001 - $15,000	IX $15,001 - $50,000	X $50,001 - $100,000	XI $100,001 - $1,000,000	Over $1,000,000	P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock			X						X														P
			Simon & Schuster	Indefinite										X		Royalties						X				
			1st Bank of Paducah, KY accounts																							
	Beebee Draw/ Hambert				X											Royalties										
	Combined Properties (Estimated Value)																									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Bradley J. Sherman

Page 4 of 6

BP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $2,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	The address for the following 3 assets is: 555 S. Flower Street #4510 Los Angeles, CA 90071 (ATT: David Gould)																	
	Notes payable by Sherman for Congress			X								X						R
	Notes payable by Friends of Brad Sherman				X							X						R
	Notes payable by Sherman 1994 State Committee		X									X						
	See notes regarding valuation of above promisory notes																	

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R= Repayments Received

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Bradley J. Sherman

Page 5 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCLUDED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Value of CPA practice equipment, including furniture											X						
	Union Bank of CA (Los Angeles, CA)								X									P/S
	Bank of America (Santa Monica, CA)			X					X									P/S
	Cal Fed IRA			X							N/A				N/A			
	CA State Legislators Retirement Pension from service on the CA State Board of Equalization, valued on 6/30/00 (end of fiscal year)				X						N/A				N/A			

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SCHEDULE IV — TRANSACTIONS

Name Bradley J. Sherman

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction										
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	A $1,000 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock				10-12-00		X								
	(See Notes)															

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4764

Notes regarding personal financial disclosure of Congressman Brad Sherman for Calendar year 2000 ***To accompany Schedule III***

Notes payable by "Friends of Brad Sherman" and by the "Sherman 1994 State Committee" are valued at face value of the principal plus one half of the accrued but unpaid interest. These two political committees expect to repay the principal that they borrowed and should have some ability to pay accrued interest.

Notes payable to the "Sherman for Congress" committee are valued at the stated principal amount excluding accrued but unpaid interest. Interest is subordinated to the repayment of principal.

The valuation of promissory notes payable by political committees has been done to the best of my ability and is consistent with the advice given by the staff of the Committee on Standards of Official Conduct.

To accompany ***Schedule IV***

Pursuant to my conversation with the staff legal counsel of the House Committee on Standards of Official Conduct, the following are not disclosed on this Schedule (though they are reflected on *Schedule III*):

(i) partial loan repayments received from political committees, (ii) loans made to political committees, and (iii) deposits to and checks written on checking accounts, money market accounts and mutual funds, (iv) contributions to and roll-overs of IRAs. The IRAs were bank certificates of deposit and did not involve individual stocks.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

Don Sherwood
(Full Name)

41 Sherwood Ln
Tunkhannock PA 18657
(Mailing Address)

Daytime Telephone: 202 225 3731

Filer Status	☒ Member of the U.S. House of Representatives	State PA District 10	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Don Sherwood	5/15/01

Name Don Sherwood | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Sherwood Chevrolet Inc		10,000—

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Don Sherwood

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $250,000	E $250,001 – $500,000	F $500,001 – $1,000,000	G $1,000,001 – $5,000,000	H $5,000,001 – $25,000,000	I $25,000,001 – $50,000,000	J $50,000,001 – $1,000,000,000	K Over $1,000,000,000	L	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples	SP Mega Corp Stock					X								X										X								P
DC		Simon & Schuster			Indefinite																Royalties									X			
JT		1st Bank of Paducah, KY accounts						X									X									X							
		SBI Management Inc							X							■	X				K-1 S Corp							X					
		Krystow Chrysler					X										X				K1 SCorp						X						
		Abington Chevrolet							X												K1 Scorp							X					
		Walter Sherwood Chev	X																			X											
		Sherwood Chevrolet								X						X	X				K1 Scorp						X						
		Horlacher + Sherwood								X						X											X						

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Don Sherwood

Page 2 of 3

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Sherwood Farms Ltd					X											X												X			S
Sp	General Dynamics			X										X									X									
	People Ltd				X		X							X												X						
	General Motors					X								X											X							
Sp	Atlantic RichField			X										X									X									
	Emerson Electric			X										X										X								
Sp	PP&L Resources			X										X									X									
Sp	Merrill Lynch				X									X		X								X								
	Electronic Data Systems			X										X									X									
	First Union Corp							X						X		X										X						P
	Quaker Oats			X										X									X									
	Guaranty Bank			X																	X											
	Prudential Ins Co			X										X		X							X									
	People Nat'l Bank			X										X		X							X									
	Grunbel & Alc		X											X								X										
	Comm Bank Corp			X										X									X									
	Peoples Fin Services			X										X									X									
	PNC Bank Corp				X									X										X								
	Southern Union Pan Eng				X									X									X									E
	Progress Financial Corp			X										X									X									
	D Tupper Thomas Realty							X												Partnership								X				
	Western Star Trust	X												X			X										X					S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Don Sherwood

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Rite Aid			X																	X											P
DC	Sherwood Chrs Inc															X										X						

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SCHEDULE IV — TRANSACTIONS

Name Don Sherwood

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.	Type of Transaction			Date	Amount of Transaction									
SP, DC, JT Asset	PURCHASE	SALE	EXCHANGE	(MO-DAY-YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP *Example* Mega Corporation Common Stock	X			10-12-00		X								
First Union Corp	X			2/17/00		X								
Rite Aid	X			1/13/00	X									
Penna Ent for Southern Union			X	7/20/00		X								
Sherwood Farms Ho (Land) (½ Interest)		X		7/30/2000					X					
Western Star Trucks		X		6/15/00			X							

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Name Don Sherwood | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	PNC Bank	Line of Credit				X						
	First Union Bank	Line of Credit		X								
	First Union Bank	Mortgage 146 E Tiogo Tunkhannock PA			X							
	Mellon Bank	Bus Loan		X								

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SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
(1) President	Sherwood Auto Inc
(2) President	Horlacher-Sherwood
(3) President	Walter Sherwood Auto Body
(4) Corp Secretary	SBI Mangmt Inc
(5) Corp Secretary	Abington Chrs Inc
(6) Corp Secretary	Keystone Chrysler
(7) Director	Peoples State Bank
(8) Partner	D'Ayston Sherwood Realty
(9) V Pres	Sherwood Farm Ltd

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO:2001 70-729 (mac)

Use additional sheets if more space is required.

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(Office Use Only)

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

John Mondy Shimkus
(Full Name)

504 Sumner Boulevard Collinsville, IL 62234
(Mailing Address)

202/225-5271
Daytime Telephone

Filer Status ✓ Member of the U.S. House of Representatives — State IL District 20 — Officer Or Employee — Employing Office

Report Type (A ✓) Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I	Yes ✓	No	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II	Yes ✓	No	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ✓	No
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes	No ✓
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV	Yes	No ✓	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes	No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V	Yes ✓	No		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		May 15, 2001

SCHEDULE I - EARNED INCOME

Na John Mondy Shimkus

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Holy Cross Lutheran Church, Collinsville, IL (spouse)	Contractual	$230

SCHEDULE II - PAYMENTS IN LIEU OF HO

Na John Mondy Shimkus

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
K-Mart Kids Race Against Drugs	Road Race	January 21, 2000	$500

SCHEDULE III - ASSETS AND "U

Na John Mondy Shimkus

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	116 Kenwood, Collinsville, IL	$50,001 - $100,000	RENT	$2,501 - $5,000	
JT	440 Spring, Collinsville, IL	$15,001 - $50,000	RENT	$5,001 - $15,000	
JT	PIMCO Funds	$50,001 - $100,000	CAPITAL GAINS	NONE	
DC	Putnam Health Services Trust (David)	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Putnam Health Services Trust (Joshua)	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Putnam Health Services Trust (Daniel)	$1 - $1,000	DIVIDENDS	NONE	

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BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	American Century Growth Fund	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	
JT	Lutheran Brotherhood Money Market Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Lutheran Church Extension Fund	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Thrift Savings Plan	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	
JT	Putnam Investors Fund CLSA	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	

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SCHEDULE V - LIABILITIES

Na John Mondy Shimkus

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Collinsville Building & Loan Association	Mortgage on 116 Kenwood, Collinsville, IL	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS

Na John Mondy Shimkus

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Consumer Electronics Association	January 5-11	St. Louis-Las Vegas-St. Louis	Y	Y	Y	3 Days

Congressman John Shimkus' Year 2000 Gift List:

Listing of all gifts received by the Congressman in the DC and district offices

NEW

DATE	GIFT	FROM
1/3/2000	CD Rom	Carnegie Commission on Preventing Deadly Conflict

COST, IF ANY

ADDRESS
1779 Massachusetts Avenue Suite 715
Washington, DC 20036

NEW

DATE	GIFT	FROM
1/3/2000	calendar	Rep. Danny Davis

COST, IF ANY

ADDRESS
1222 LHOB
Washington, DC 20515

NEW

DATE	GIFT	FROM
1/3/2000	book, The Gentle Revolution	Rev. Robert Franzen

COST, IF ANY

ADDRESS
St. Joseph's Church
700 East Spruce St.
Chatham, IL 62629

NEW

DATE	GIFT	FROM
1/3/2000	book, Peril in Panama	Eugene Nichols

COST, IF ANY

ADDRESS
211 E. North St.
Mount Sterling, IL 62353

NEW

DATE	GIFT	FROM
1/3/2000	book, Brochure of Photographs	Lawrence Driscoll

COST, IF ANY

ADDRESS

910 Willow Street
Maple Park, IL 60151

NEW DATE	GIFT	FROM
1/3/2000	book, A Question of Balance	The National Academies

COST, IF ANY

ADDRESS
2101 Constitution Ave., NW
Washington, DC 20418

NEW DATE	GIFT	FROM
1/3/2000	calendar	Catepillar Inc.

COST, IF ANY

ADDRESS
Washington, DC

NEW DATE	GIFT	FROM
1/3/2000	claendar	American Society for the Prevention of Cruelty to Animals

COST, IF ANY

ADDRESS
1755 Massachusetts Ave., NW
Suite 418
Washington, DC 20036

NEW DATE	GIFT	FROM
1/6/2000	poster	Amtrak Marketing Division

COST, IF ANY

ADDRESS
PO Box 7717
1549 Glenlake Ave.
Itasca, IL 60143

NEW DATE GIFT FROM

1/6/2000	bead bracelet	Club Scout Pack No. 266

COST, IF ANY

ADDRESS
M.K. Rawlings Elementary School
610 Highway A1A South
Ponte Verda Beach, FL 32082

NEW DATE	GIFT	FROM
1/7/2000	video, GE Global Success - American Tradgedy	Coordinated Bargaining Committee

COST, IF ANY

ADDRESS
1125 Sixteenth St, NW
Washington, DC 20036

NEW DATE	GIFT	FROM
1/10/2000	poster	Rep. Lee Terry

COST, IF ANY

ADDRESS
1728 LHOB
Washington, DC 20515

NEW DATE	GIFT	FROM
1/10/2000	book, Ain't No Place Anybody Would Want to Be	Coalition for Juvenille Justice

COST, IF ANY

ADDRESS
1211 Conneticut Ave, NW
Suite 414
Washington, DC 20036

NEW DATE	GIFT	FROM
1/13/2000	book, A Question of Balance	The National Academies

COST, IF ANY

ADDRESS
2101 Constitution Ave, NW
Washington, DC 20418

NEW
DATE 1/13/2000
GIFT video, Kiwanis Christmas Party 1999
FROM Mt. Vernon Kiwanis Club
COST, IF ANY
ADDRESS
2215 Broadway
Mt. Vernon, IL 62864

NEW
DATE 1/17/2000
GIFT ticket to MLK breakfast
FROM Hope School
COST, IF ANY $15
ADDRESS
Springfield, IL

Washington, DC 20037

NEW
DATE 1/19/2000
GIFT book, The Gifts of Music
FROM Nat'l Assoc. of Music Education
COST, IF ANY
ADDRESS
1806 Robert Fulton Drive
Reston, VA 20191

NEW
DATE 1/19/2000
GIFT mug
FROM Campaign for Working Families
COST, IF ANY
ADDRESS
499 S. Capitol St, SW
Suite 410
Washington, DC 20003

NEW
DATE 1/21/2000
GIFT crayons and coloring book
FROM Children's Memorial Hospital

COST, IF ANY

ADDRESS
2300 Children's Plaza
Chicago, IL 60614

NEW

DATE	GIFT	FROM
1/21/2000	video, Census Ads	United States Dept. of Commerce

COST, IF ANY

ADDRESS
Washington, DC 20233-0001

NEW

DATE	GIFT	FROM
1/21/2000	book, The Road to Democracy	Taipei Economic and Cultural Representative Office

COST, IF ANY

ADDRESS
4201 Wisconsin Ave, NW
Washington, DC 20616

NEW

DATE	GIFT	FROM
1/21/2000	calendar	Celia Roberts

COST, IF ANY

ADDRESS
PO Box 5
Paonia, CO 81428

NEW

DATE	GIFT	FROM
1/21/2000	calendar	Peg and Frank Eldridge

COST, IF ANY

ADDRESS
3800 N. Fairfax Drive #709
Arlington, VA 22203

NEW

DATE	GIFT	FROM
1/21/2000	pencil	Rep Mike Thompson

COST, IF ANY

ADDRESS
415 Cannon
Washington DC 20515

NEW

DATE	GIFT	FROM
1/21/2000	book, Casualties of Progress	Alison Johnson

COST, IF ANY

ADDRESS
MCS Information Exchange
2 Oakland St.
Brunswick, ME 04011

NEW

DATE	GIFT	FROM
1/24/2000	book, How Boards of Education are Failing our Children	Russell Edwards

COST, IF ANY

ADDRESS
8627 Patricia Drive
Lyons, IL 60534

NEW

DATE	GIFT	FROM
1/24/2000	calendar	America's Blood Centers

COST, IF ANY

ADDRESS
725 15th Street, NW
Suite 700
Washington, DC 20005

NEW

DATE	GIFT	FROM
1/24/2000	book, Strategic Dynamics in the Nordic-Baltic Region	Instituet of Foreign Policy Analysis

COST, IF ANY

ADDRESS
675 Massachusetts Ave, 10th Floor

Cambridge, MA 02139

NEW
DATE 1/24/2000
GIFT Anti-Semitism Worldwide
FROM Anti-Defamation League

COST, IF ANY
ADDRESS
1100 Conneticut Ave, NW Suite 1020
Washington, DC 20036

NEW
DATE 1/24/2000
GIFT video, Road Predators
FROM The Century Council

COST, IF ANY
ADDRESS
1310 G Street, NW
Suite 600
Washington, DC 20005

NEW
DATE 1/24/2000
GIFT book, How the US Government Works
FROM Curol Campodonica

COST, IF ANY
ADDRESS
506 West Donna Drive
Merced, CA 95348

NEW
DATE 1/26/2000
GIFT book, The United States and the Americas
FROM The American Asembly Columbia University

COST, IF ANY
ADDRESS
475 Riverside Drive, Ste 456
New York, NY 10115

NEW
DATE 1/2/2000
GIFT calendar
FROM MCI

COST, IF ANY

ADDRESS

North Michigan Ave Ste 3700
Chicago, IL 60601

NEW DATE	GIFT	FROM
1/24/2000	calendar	Aetna

COST, IF ANY

ADDRESS
151 Farmington Ave
Hartford, CT 06156

NEW DATE	GIFT	FROM
1/28/2000	calendar	MADD

COST, IF ANY

ADDRESS
511 E John Carpenter Frwy, Suite 700
Irving, TX 75062

NEW DATE	GIFT	FROM
1/31/2000	patch & pin	United Space Alliance

COST, IF ANY

ADDRESS
1150 Gemini
Houston, TX 77058

NEW DATE	GIFT	FROM
2/2/2000	policy audio tape	University of Illinois

COST, IF ANY

ADDRESS
Institute of Govt./Public Affairs
1007 W. Nevada St
Urbana, IL 61801

NEW
DATE GIFT FROM
2/1/2000 2000 calendar Mutual of Omaha
1700 Pennsylvania Ave, NW, Suite 500
COST, IF ANY ADDRESS Washington, DC 20006

NEW
DATE GIFT FROM
2/1/2000 book-"The Brethren" Doubleday
by John Grisham
COST, IF ANY ADDRESS
1540 Broadway, New York, NY
10036

NEW
DATE GIFT FROM
2/2/2000 2000 calendar MS Society

COST, IF ANY ADDRESS
733 3rd Av
6th floor
NY,NY 10017-3288

NEW
DATE GIFT FROM The Harry Singer Foundation
2/3/2000 book:"Excerpts from the 1998
Hary Singer Foundation H.S. Essay
COST, IF ANY Contest" ADDRESS
PO Box 223159 Carmel, CA 93922

NEW
DATE GIFT FROM - -The Washington Times Foundation
2/2/2000 4-book set by Sun Myung Moon
"The Way of Unification in God's Providence"
"Blessed Family & the Ideal Kingdom Vol. 1 and 2"

COST, IF ANY

ADDRESS
3600 New York Ave NE
Washington, DC 20002

NEW

DATE	GIFT	FROM
2/3/2000	mouse pad	IBM

COST, IF ANY

ADDRESS
1301 K St., NW Ste. 1200

NEW

DATE	GIFT	FROM
2/3/2000	calendar	W.K. KELLOG FOUNDATION

COST, IF ANY

ADDRESS
1 Michigan Ave East
Battle Creek , MI 49017-4058

NEW

DATE	GIFT	FROM
2/4/2000	calendar	Association of Clinicians for the Underserved

COST, IF ANY

ADDRESS
501 Darby Creek Rd. Suite 20,
Lexington, KY 40509-1606

NEW

DATE	GIFT	FROM
2/7/2000	book "Understanding"	The Markle Foundation

COST, IF ANY

ADDRESS
75 Rockefeller Plaza, Ste. 1800

New York, NY 10019

NEW
DATE | GIFT | FROM
2/7/2000 | Book - "Baltic Art" (5 copies) | Baltic Fund

COST, IF ANY | ADDRESS
15 East 26th Street
New York, NY 10010

NEW
DATE | GIFT | FROM
2/7/2000 | 4 coasters in a wooden base | Tri-City Port District

COST, IF ANY | ADDRESS
2801 Rock Rd
Granite City, IL, 62040

NEW
DATE | GIFT | FROM
01/17/2000? | Green County Calendar | Green County

COST, IF ANY | ADDRESS
? (Nate)

NEW
DATE | GIFT | FROM
01/17/2000? | blue blanket | Pfizer

COST, IF ANY | ADDRESS
? (Nate)
White Hall

NEW
DATE | GIFT | FROM
2/8/2000 | Bumper Sticker | Read Em & Wink

"I was Republican before it was cool"

COST, IF ANY

ADDRESS
PO Box 324 Hampton, VA 23669

NEW
DATE
2/9/2000

GIFT
Blue Pillow and Godiva chocholates

FROM
American Hotel and Motel Association

COST, IF ANY

ADDRESS
1201 New York Ave, NW Suite 600
Washington, DC 20005-3931

NEW
DATE
2/9/2000

GIFT
button, bumper sticker and magnet

FROM
Voter.com

COST, IF ANY

ADDRESS
501 C Street, NE
Washington, DC 20002

NEW
DATE
2/9/2000

GIFT
bag of skittles

FROM
Family Research Council
(202) 393-2100

COST, IF ANY

ADDRESS

NEW
DATE
2/10/2000

GIFT
cookie

FROM
Business Leaders for
Sensible Priorities

COST, IF ANY

ADDRESS
1090 Vermont NW, STE 1200
Washington, DC 20005

NEW DATE	GIFT	FROM
2/10/2000	Cherry Blossom Run T-shirt	Nortel Networks

COST, IF ANY

ADDRESS
801 Pennsylvania Ave, NW
Washington, DC 20004

NEW DATE	GIFT	FROM
2/10/2000	UN Calendar	UN Peace Caucus

COST, IF ANY

ADDRESS
866 UN Plaza, Room 4053
New York, NY 10017-1822

NEW DATE	GIFT	FROM
2/10/2000	"Peace Ribbon"	UN Peace Caucus

COST, IF ANY

ADDRESS
866 UN Plaza Room 4053
New York, NY 10017-1822

NEW DATE	GIFT	FROM
2/10/2000	beanie baby moose	Kathleen Handy

COST, IF ANY

ADDRESS
1725 S. Noble Ave
Springfield, IL. 62704

NEW DATE	GIFT	FROM
2/14/2000	heart shaped cookies	American Heart Association

COST, IF ANY

ADDRESS

(202)785-7900

NEW
DATE GIFT FROM
2/14/2000 Box of Chocholate Dr. Sadiq Mohyuddin

COST, IF ANY ADDRESS
Mohyuddin Medical Center
1309 D'Adrian Professional Park
Godfrey, IL 62035

NEW
DATE GIFT FROM
2/15/2000 Shakespeare in Love Walt Disney Company
Tarzan (Disney)
COST, IF ANY ADDRESS
1150 17th St., NW Ste. 400
Washington, DC 20036

NEW
DATE GIFT FROM Patty Briggs
2/15/2000 coffee mug, nuts and chocholate IL. School Food Service Assn.

COST, IF ANY ADDRESS
4265 Lake
Granite City IL, 62040

NEW
DATE GIFT FROM
2/15/2000 2000 calendar Internat'l Assn. of Fire Fighters

COST, IF ANY ADDRESS
1750 New York, Ave, NW
Washington, DC 20006-5395

NEW
DATE GIFT FROM

2/15/2000	steak knives	Moton's Steakhouse/ Juv. Diabetes Foundation

COST, IF ANY ADDRESS

NEW

DATE	GIFT	FROM
2/15/2000	Ink Pen	grassroots.com

COST, IF ANY ADDRESS
1001 Bayhill Dr
Suite 200 San Bruno, CA 94066

NEW

DATE	GIFT	FROM
2/15/2000	mouse pad	grassroots.com

COST, IF ANY ADDRESS
see above

NEW

DATE	GIFT	FROM
2/15/2000	travel coffee mug	grassroots.com

COST, IF ANY ADDRESS
see above

NEW

DATE	GIFT	FROM
2/15/2000	wrist rest	grassroots.com

COST, IF ANY ADDRESS
see above

NEW
DATE GIFT FROM
2/15/2000 note pad grassroots.com

COST, IF ANY ADDRESS
see above

NEW
DATE GIFT FROM
2/22/2000 Book- The Almanac of Seapower 2000 Navy League of the US

COST, IF ANY ADDRESS
2300 Wilson Blvd.
Arlington, VA 22201

NEW
DATE GIFT FROM
o2/28/2000 seat cushion Cancer Research Foundation of America

COST, IF ANY ADDRESS
1600 Duke St.
Alexandria, VA 22314

NEW
DATE GIFT FROM
2/28/2000 framed poster & booklet A Call to Remembrance Inc

COST, IF ANY ADDRESS
PO Box 2474
Friendswood, TX 77549-2474

NEW
DATE GIFT FROM Honda of N. America
2/28/2000 3 highlighers (pink,green, yellow)

COST, IF ANY ADDRESS
955 L'Efnfant Plaza
Washington, DC 20024

NEW
DATE GIFT FROM
2/29/2000 Puerto Rico Calendar Westernbank

COST, IF ANY ADDRESS
PO Box 1180
Mayaguez, Puerto Rico 00681-1180

NEW
DATE GIFT FROM
2/29/200 book - "Understanding" Ovations

COST, IF ANY ADDRESS
8330 Boone Blvd. Suite 300
Vienna, VA 22182

NEW
DATE GIFT FROM
2/29/2000 Pin - in the shape of IL IL. Dept. of Natural Resources

COST, IF ANY ADDRESS
Bruce Clark, Director
524 S. 2nd St.
Spfld., IL 62701-1787

NEW
DATE GIFT FROM
2/29/2000 black ink pen Voter.com

COST, IF ANY ADDRESS

NEW

DATE	GIFT	FROM
3/1/2000	Vetrans Pin	Ronald Frillman, Administrator IL. Vetrans Hone @ Quincy

COST, IF ANY

ADDRESS
Il. Dept. of Vetrans Affairs
1707 N 12th St.
Quincy, IL 62301

NEW DATE	GIFT	FROM
3/2/2000	2- "Almanac of IL Politcs" books	U. of IL. - Springfield

COST, IF ANY

ADDRESS
PO Box 19243
Spfld., IL 62794-9243

NEW DATE	GIFT	FROM
3/2/2000	book "Nickellennium"	Nickelodeon

COST, IF ANY

ADDRESS
1515 Broadway
NY, NY 10036-8995

NEW DATE	GIFT	FROM
3/3/2000	2000 Calendar	L. Ron Hubbard PR Co.

COST, IF ANY

ADDRESS
349 W 48th St., 4th Floor
NY,NY 10036

NEW DATE	GIFT	FROM
3/3/2000	video - AirLifeLine	Air LifeLine

COST, IF ANY

ADDRESS
50 Fullerton Ct., Suite 200

Sacramento, CA 95825

NEW DATE	GIFT	FROM
3/7/2000	poster	Nat. Resturant Assoc.

COST, IF ANY

ADDRESS
1200 17th St, NW
Washington, DC 20036-3097

NEW DATE	GIFT	FROM
3/8/2000	Travel coffee mug	Am. Hotel/Motel Assoc.

COST, IF ANY

ADDRESS

NEW DATE	GIFT	FROM
3/13/2000	hourglass - timer	Am. Council of Life Insurers

COST, IF ANY

ADDRESS

NEW DATE	GIFT	FROM
3/13/2000	John & Liz T-shirt	WYMG FM

COST, IF ANY

ADDRESS
3501 Sangamon Ave
Springfield, IL 62707

NEW DATE	GIFT	FROM
3/1/2000	$50 gift Certificate to	Bond County Republican Party

Old Peking Resturant

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
3/10/2000 calender and cloth tote bag Neta Hill

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
3/14/2000 video-Return with Honor Ed Will

COST, IF ANY ADDRESS
Boeing
PO Box 516, St., L., MO 63166-00516

NEW
DATE GIFT FROM
3/14/2000 poster Ringling Bros. & Barnum Bailey Circus

COST, IF ANY ADDRESS
8607 Westwood Center Dr.
Vienna, VA 22182

NEW
DATE GIFT FROM U.S. Army
3/15/2000 video- "Army Experiment Six"

COST, IF ANY ADDRESS
DOD/AFIS, JVISDA
BLDG 3/Bay 3
Tobyhanna, PA 18466-5102

NEW
DATE GIFT FROM
3/15/2000 pillow Natl. Sleep Foundation

COST, IF ANY ADDRESS
1522 K Street, NW Ste 500
Washington, DC 20005

NEW
DATE GIFT FROM
3/16/2000 cross & rose pin Ms. Beverley Kline

COST, IF ANY ADDRESS
414 Maple St
Bunker Hill, IL 62014

NEW
DATE GIFT FROM
3/16/2000 books- Islam & America UASR
Middle East Affairs
COST, IF ANY ADDRESS
PO Box 1210 Annandale VA
22003-1210

NEW
DATE GIFT FROM
3/16/2000 purple coneflower seed packet Forest Preserve Dist. Of Cook County

COST, IF ANY ADDRESS
536 N harlem Ave
River Forest, IL 60305

NEW
DATE GIFT FROM St. Ambrose School
3/16/2000 book -An Educated Child:
A Parent's Guide, Bill Bennett
COST, IF ANY ADDRESS

Godfrey, IL 62035

NEW
DATE GIFT FROM
3/3/2000 Wine & Cheese basket Hancock Co. Gop Central Committee
est. value $50
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM www.beavoter.org
3/16/2000 t-shirt & cap;6 five minute phone cards
screen sweeper, 2 pins,mouse pad
COST, IF ANY ADDRESS
beavoter.org reception

NEW
DATE GIFT FROM
3/17/2000 5 legislative Directories Leadership Institute

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
3/18/2000 $100 (handed to him)
PIKE CO, LDD
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM

3/18/2000 Pike County Throw
Pike, Co, LDD
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
3/18/2000 3 stuffed animals for the kids
Pike Co. LDD
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
3/19/2000 4 editions of Landrum's Quincy

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM Holly Rich
3/18/2000 book- None Dare call it Education

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
3/20/2000 "EYE2K, WMAY" mug WMAY RADIO

COST, IF ANY ADDRESS
PO Box 460
Spfld, IL 62705

NEW
DATE GIFT FROM Renaissance Media
3/23/2000 book -"Vote.com" by Dick Morris

COST, IF ANY ADDRESS
1845 Brevard Rd.
Arden, NC 28704

NEW
DATE GIFT FROM Omar El Derini
3/23/2000 book - "The Complete Valley of the Kings

COST, IF ANY ADDRESS
Am. Chamber of Commerce in Egypt
33 Sliman Abaza St
Dokki, 12311

NEW
DATE GIFT FROM The Brookings institute
3/24/2000 book-Setting National Priorities

COST, IF ANY ADDRESS
1775 Massachusetts, NW
Wash., DC 20036-2188

NEW
DATE GIFT FROM
3/22/2000 coffee travel mug Boilermakers Local 483

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
3/27/2000 106th Congress guide America's Community bankers

COST, IF ANY

ADDRESS
900 19th St. NW, Suite 400
Washington, DC 20006

NEW

DATE	GIFT	FROM
3/27/2000	candle	ChildHelp USA

COST, IF ANY

ADDRESS
15757 N. 78th St
Scotsdale, AZ 85260

NEW

DATE	GIFT	FROM
3/30/2000	16 oz. of wild rice	Midwest Alliance of Soverign Tribes

COST, IF ANY

ADDRESS
PO Box 217
Cass Lake, Minnesota 56633

NEW

DATE	GIFT	FROM
3/30/2000	neck tie	Chicago Transit Authority

COST, IF ANY

ADDRESS

NEW

DATE	GIFT	FROM
3/31/2000	"with These Hands" book	Farmworker Justice Fund

COST, IF ANY

ADDRESS
1111 19th St., NE Suite 1000
Washington, DC 20036

NEW

DATE	GIFT	FROM
3/31/2000	book "Illinois"	Abrams

COST, IF ANY

ADDRESS
100 5th Ave
New York, NY 10011

NEW DATE	GIFT	FROM
4/3/2000	2 leatherette card holders	Speakout.com

COST, IF ANY

ADDRESS
1225 Eye St., NW Suite 400
Washington, DC 20005

NEW DATE	GIFT	FROM
4/4/2000	coffee mug	PBS General managers
	Childerns books- "Elliott's Emergency, George Shrinks, A pocket for Corduroy, Timothy Goes to School	

COST, IF ANY

ADDRESS

NEW DATE	GIFT	FROM Felicia Goekin
4/5/2000	lapel pin -"GOP w/ baby feet"	

COST, IF ANY

ADDRESS
412 Langdon St.
Alton, IL 62002

NEW DATE	GIFT	FROM
4/4/2000	"The Am. President" book	New York Life

COST, IF ANY

ADDRESS

NEW
DATE GIFT FROM
4/5/2000 book- "No Excuses" - Heritage Foundation

COST, IF ANY ADDRESS
214 Massachusetts, Ave., NE
Wash, DC 20002-4999

NEW
DATE GIFT FROM RAND
4/4/2000 book "Securing the US Defense Info. Infrastructure

COST, IF ANY ADDRESS
1333 H St., NW
Washington, DC 20005-4707

NEW
DATE GIFT FROM William Duff
4/4/2000 Bottomley-Ruffing-Schalik Basball Museum hat and pin

COST, IF ANY ADDRESS
PO Box 8
Ohlman, IL 62076

NEW
DATE GIFT FROM Don Koonce of ABC Framing
4/4/2000 framed signed picture of George W. Bush

COST, IF ANY ADDRESS
(Value is $44.00)

NEW
DATE GIFT FROM
4/5/2000 2 mouse pads Nat. Assn. Of Broadcasters

COST, IF ANY

ADDRESS
1771 N St, NW
Washington, DC 20036

NEW

DATE	GIFT	FROM
4/6/2000	mailing labels, sticker	Frateral Order of Police

COST, IF ANY

ADDRESS
1410 Donelson Pike A-17
Nashville, TN 37217

NEW

DATE	GIFT	FROM
4/7/2000	9 Beanie Phones	CITA

COST, IF ANY

ADDRESS
1250 Connecticut Ave, NW
Suite 800, Wash., DC 20036

NEW

DATE	GIFT	FROM
4/7/2000	book- Concepts & Issues 2000	US Marines

COST, IF ANY

ADDRESS

NEW

DATE	GIFT	FROM
4/10/2000	2 mouse pads	American Council of Life Insurers

COST, IF ANY

ADDRESS
1001 Pennsylvania Ave, NW
Washington, DC 20004-2599

NEW
DATE | GIFT | FROM
04\10\2000 | book- "Master Success" | Am. Success Institute

COST, IF ANY | ADDRESS
5 N. Main St.
Natick, MA 01760

NEW
DATE | GIFT | FROM
4\11\2000 | chocholate bar | Nat. Fed. Of the Blind

COST, IF ANY | ADDRESS
1800 Johnson St.
Baltimore, MD 21230

NEW
DATE | GIFT | FROM
4\11\2000 | Easter Egg | White House

COST, IF ANY | ADDRESS
1600 Pennsylvania Ave

NEW
DATE | GIFT | FROM
4\11\2000 | poster | NFIB

COST, IF ANY | ADDRESS
600 Maryland Ave, SE
Washington, DC 20024

NEW
DATE | GIFT | FROM
4\11\2000 | IL 4-H pin | IL. 4-H club

COST, IF ANY | ADDRESS

NEW DATE	GIFT	FROM
4/11/2000	pocket first aid kit	IL Academy of Family Physicians

COST, IF ANY ADDRESS

NEW DATE	GIFT	FROM
4/11/2000	magnets	WineShopper.com

COST, IF ANY ADDRESS

NEW DATE	GIFT	FROM
4/11/2000	20/20 video segment	Nat. Licensed Beverage Association

COST, IF ANY ADDRESS

NEW DATE	GIFT	FROM
4\11\2000	seedling	International Paper

COST, IF ANY ADDRESS

NEW DATE	GIFT	FROM National Reading Paenel

4\13\2000 video "Teaching Children TO Read"

COST, IF ANY ADDRESS
6100 Executive Blvd, Rm 4B05
Bethesda, MD 20892-7510

NEW
DATE GIFT FROM Harper's Magazines
8/13/2000 book-"The Selling of Free Trade"

COST, IF ANY ADDRESS
666 Broadway
New York, NY 10012

NEW
DATE GIFT FROM
4/14/2000 mouse pad Am. Council of Insurers

COST, IF ANY ADDRESS
1001 Pennsylvania Ave, NW
Washington, DC 20004-2599

NEW
DATE GIFT FROM
4/13/2000 cuff links West Point

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM St. Louis Univ.
4/14/2000 book- Public Law Review Vol.18, No.1-- Gun Contol

COST, IF ANY ADDRESS
3700 Lindell Blvd
St. Louis, MO 63108

NEW
DATE 4\14\00
GIFT sport bottle
FROM Travel Industry of Am.
COST, IF ANY
ADDRESS
1100 New York, Ave Suite 450
Washington, DC 20005-3934

NEW
DATE 4/17/2000
GIFT book - "The Educated Child"
FROM St. Ambrose School
COST, IF ANY
ADDRESS

NEW
DATE 4/17/2000
GIFT book - History of Blessed Sacrament Church and School
FROM Blessed Sacrament School
COST, IF ANY
ADDRESS

NEW
DATE 4/19/2000
GIFT video " Here I am , Send Me"
FROM Odessey Network
COST, IF ANY
ADDRESS
12700 Ventura Blvd, Suite 200
Studio City, CA 91604

NEW
DATE 4/19/2000
GIFT book -"Collective Defense of Democracy"
FROM Carnegie Commission on Preventing Deadly Conflict

COST, IF ANY

ADDRESS
1779 Massachusetts Ave., NW Suite 715
Washington, DC 20036-2103

NEW
DATE GIFT FROM Am. Enterprise Institute
4\19\2000 book - "God's Country: Taking the Declaration Seriously"

COST, IF ANY

ADDRESS
Washington, DC

NEW
DATE GIFT FROM
4/19/2000 "Ear pin" Language for the Hard of Hearing

COST, IF ANY

ADDRESS
71 West 23rd St
New York, NY 10010

NEW
DATE GIFT FROM
4\18\2000 flashlight N. Am. Insulation Manufactures Assoc.

COST, IF ANY

ADDRESS
44 Canal Center Plaza, Suite 310
Alexandria, VA 22314

NEW
DATE GIFT FROM
4/20/2000 book "The Civic Deal" Pericles Institute

COST, IF ANY

ADDRESS
1225 I St., SW Suite 500
Washington, DC 20005

NEW

DATE	GIFT	FROM
4/20/2000	CD-ROM "Love our Earth"	SONY

COST, IF ANY

ADDRESS
155 Tice Blvd.
Woodcliff Lake, NJ 07675

NEW

DATE	GIFT	FROM Am. Chiropractic Assoc.
4/20/2000	book - "The Chiropractic Profession"	

COST, IF ANY

ADDRESS
1701 Clarendon Blvd.
Arlington, VA 22209

NEW

DATE	GIFT	FROM Faith &Politics Institute
4\24\2000	copy of President's remarks on the 35th Anniversary of the 1965 voting rights march	

COST, IF ANY

ADDRESS
110 Maryland Ave, NE Suite 304
Washington, DC 20002

NEW

DATE	GIFT	FROM
4/24/2000	space shuttle patch & pin	UnitedSpace Alliance

COST, IF ANY

ADDRESS
1150 Gemini
Houston, TX 77058-2708

NEW

DATE	GIFT	FROM
4/25/2000	video of PNTR :30 second ads	The Business Roundtable

COST, IF ANY

ADDRESS
1615 L St.,NW, Suite 1100

Washington, DC 20036-5610

NEW
DATE GIFT FROM
4/26/2000 book - Policy Experts 2000 Heritage Foundation
book- A Guide to Smart Growth
COST, IF ANY ADDRESS
214 Massachusetts Ave NE
Washington, DC 20002-4999

NEW
DATE GIFT FROM
4/26/2000 book- Earth Report 2000 Competitive Enterprise Institute

COST, IF ANY ADDRESS
1001 Connecticut Ave, NW Suite 1250
Washington, DC 20036

NEW
DATE GIFT FROM
4/27/2000 poster Nat. Osteoporosis Foundation

COST, IF ANY ADDRESS
1233 22nd St., NW
Washington, DC 20037-1292

NEW
DATE GIFT FROM
5\2\00 2 lapel pins Assoc. of IL. Elec. Co-ops

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM Y-ME National Breast Cancer Organization
5/2/2000 breast cancer awareness lapel pin

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM IL. Library Assoc
5/2/2000 "We Interrupt This Broadcast" book

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
5/2/2000 audio tape message LA Peace Center Coalition

COST, IF ANY ADDRESS
8124 W. 3rd St
LA, CA 90048

NEW
DATE GIFT FROM
5/2/2000 post-it notes Nat. Center for Food Safety & Technology

COST, IF ANY ADDRESS
6502 S. Archer Rd. Summit-Argo, IL 60501-1933

NEW
DATE GIFT FROM
5/2/2000 2 balloons and fortune cookies United Airlines

COST, IF ANY ADDRESS

NEW
DATE
5/3/2000

GIFT
framed certificate "In God We Trust"

FROM
Am. Family Assoc.

COST, IF ANY

ADDRESS
227 Massachusetts, NE
Suite 100-A
Washington, DC 20002

NEW
DATE
5/1/2000

GIFT
2000 calendar

FROM
Nat. Wildlife Refuges

COST, IF ANY

ADDRESS

NEW
DATE
5/4/2000

GIFT
button and bumper sticker

FROM
Tax foundation

COST, IF ANY

ADDRESS
1250 H St., NW Suite 750
Washington, DC 20005-3908

NEW
DATE
5\4\00

GIFT
post-it note pads

FROM
Am. Heart Assoc

COST, IF ANY

ADDRESS
1150 Connecticut Ave, NW #810
Washington, DC 20036

NEW
DATE
5/8/2000

GIFT
fanny pack, toy van,seashell
water bottle, note holder, chip clip,travel clock,mousepads, jelly beans, train whistle, playing cards,2 tee shirts, book "Rock City

FROM
Travel Industry Assoc

COST, IF ANY

ADDRESS

NEW
DATE GIFT FROM
5/9/2000 gold coin & proclomation Army War College

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM The National Academies
5/9/2000 book - Securing Am's Industrial Strength

COST, IF ANY ADDRESS
2101 Constitution Ave, NW
Washington, DC 20418

NEW
DATE GIFT FROM RAND
5/9/2000 book - How Americans View World Population Issues

COST, IF ANY ADDRESS
1333 H Street, NW
Washington, DC 20005-4707

NEW
DATE GIFT FROM
5/10/2000 white rose

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM Inc. magazine

5/10/2000 Inc. magazine 2nd annual Inner City 100 issue

COST, IF ANY ADDRESS
477 Madison Ave
NY,NY 10022-5802

NEW
DATE GIFT FROM IRS
5/10/2000 CD-ROM "Small Business Resource Guide

COST, IF ANY ADDRESS
Washington, DC 20224

NEW
DATE GIFT FROM
5\12\2000 white ribbon pin White Ribbon Alliance

COST, IF ANY ADDRESS
1260 Eye St, NW
Washington, DC 20006

NEW
DATE GIFT FROM
5\12\2000 "Capitol Cards" Halsey Creative Srvs

COST, IF ANY ADDRESS
213 Crestrisge Dr
Burnsville, MN 55371

NEW
DATE GIFT FROM
5\12\2000 video ad for "Mutant Watch" Mutant Watch 2000

COST, IF ANY ADDRESS
PO Box 900
Beverly Hills, CA 90213-0900

NEW DATE	GIFT	FROM
5\12\2000	June, 2000 Esquire Magazine	Esquire

COST, IF ANY

ADDRESS
250 West 55th St
NY,NY 10019

NEW DATE	GIFT	FROM
5/13/2000	book "Stranger in the House"	Morality in Media

COST, IF ANY

ADDRESS
475 Riverside Drive
NY, NY 10115

**post filing

NEW
DATE GIFT FROM
5/16/2000 :30 sec. TV ad Republican Leadership
prescription drugs Coalition
COST, IF ANY ADDRESS
1800 K St, NW, Suite 1122
Wsahington, DC 20006

NEW
DATE GIFT FROM
5/16/2000 book "Earth and You" Charles Officer

COST, IF ANY ADDRESS
26 Occom Ridge Rd
Hanover, NH 03755

NEW
DATE GIFT FROM RAND
5/16/2000 book - How Americans View World
Population Issues
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
5/16/2000 book Autobiography of MLK Jr **Faith & Politics Institute**
hardcover and audio book - A Knock at Midnight
book -- Autobiography of MLK Jr
book -- The Children
COST, IF ANY ADDRESS
100 Maryland Ave, NE
Wsahington, DC 20002

NEW
DATE GIFT FROM Institute for Public Affairs

5/16/2000 "Illinois Issues" 2000 Govt. Roster

COST, IF ANY ADDRESS
University of Illinois at Springfield

NEW
DATE GIFT FROM
5/17/2000 lapel pin Salvation Army
stuffed bear
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
5/17/2000 pen light St. Anthony's Health Center

COST, IF ANY ADDRESS
4325 Alby
Alton, IL 62002

NEW
DATE GIFT FROM
5/18/2000 Race for the Cure golf shirt GE

COST, IF ANY ADDRESS
1299 Penn. Ave, NW, Suite 1100
Washington, DC 20004-2407

NEW
DATE GIFT FROM
5/18/2000 Architectual Record magazine Am. Institute of Architects

COST, IF ANY ADDRESS
1735 New York, Ave, NW
Washington, DC 20006-5292

NEW DATE	GIFT	FROM
5/18/2000	AOL@SCHOOL tee-shirt AOL @ SCHOOL video	AOL
COST, IF ANY	ADDRESS	

NEW DATE	GIFT	FROM
5/119/00	Inc. "State of Small Business" issue Vol 22, Issue 7	Inc. Mag
COST, IF ANY	ADDRESS	

NEW DATE	GIFT	FROM
5/22/2000	white ribbon pin	Nat. Center for Missing and Exploited Children
COST, IF ANY	ADDRESS	

NEW DATE	GIFT	FROM
5/22/2000	"Danny the Duckling" "Power Rangers Cosmic Journey" "Fun on the Farm" 2 boxes of crayons	Peggy Kaye, from Farm Credit Services
COST, IF ANY	ADDRESS	

NEW DATE	GIFT	FROM
5/23/2000	coin bank	American Institute of Physics
COST, IF ANY	ADDRESS	

NEW
DATE 5/22/2000
GIFT Elephant Beanie Baby
FROM Gary Sheilds

COST, IF ANY
ADDRESS Mt. Sterling

NEW
DATE 5\23\00
GIFT plastic tub containing 2 keychains
1 can of pepsi, I bottle of juice,
2 "D" batteries, 12 exposure of film
4 golf tees, 2 small bags of chips, poster
5-airplaine magnets, 1 toy loader, Mototala Magnet, 1 oz. Jar of jam
FROM The Agriculture Coalition &
The Business Coalition for US- China Trade

COST, IF ANY
ADDRESS

NEW
DATE 5\23\2000
GIFT foam puzzle
FROM CTIA

COST, IF ANY
ADDRESS
1250 Connecticut, Ave, NW
Suite 200
Washington, DC 20036

NEW
DATE 5/24/2000
GIFT poster
FROM Disabled Am. Veterans

COST, IF ANY
ADDRESS
PO Box 14301
Cincinatti, OH 45250-0301

NEW

DATE	GIFT	FROM
5/24/2000	book "State of the World 2000"	Turner Foundation

COST, IF ANY

ADDRESS
One CNN Center
Suite 1090, South Tower
Atlanta, GA 30303

NEW

DATE	GIFT	FROM
5/24/2000	video tape: "US Navy Sonar Testing	Society for Animal Protective Legislation

COST, IF ANY

ADDRESS
PO Box 3719
Washington, DC 20007

NEW

DATE	GIFT	FROM
5/24/2000	video of April 17th Event "Cable Campaign Strategies"	Cabletelevision Advertising Bureau

COST, IF ANY

ADDRESS
830 Third Ave.
NY, NY 10022

NEW

DATE	GIFT	FROM
5/24/2000	bumper sticker and button	Mutant Watch 2000

COST, IF ANY

ADDRESS
PO Box 900
Beverly Hills, CA 90213-0900

NEW

DATE	GIFT	FROM
5/25/2000	2 small teddy bears, bottle of water pocket tool kit, 3 golf balls, plastic watch, small photo album, notebook,phonecard, mousepad, bubbles	National Center for Missing & Exploited Children

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
5/25/2000 booklet " Our Founding Fathers Council on Religious Freedom

COST, IF ANY ADDRESS
110 N. Washington St., Suite 404
Rockville, MD 20850

NEW
DATE GIFT FROM
5/26/2000 tee-shirt Electronic industries Alliance

COST, IF ANY ADDRESS
2500 Wilson Blvd.
Arlington, VA 22201-3834

NEW
DATE GIFT FROM
5/26/2000 shirt Pochahantas Grade School

COST, IF ANY ADDRESS
PO Box 189
Pochantas, IL 62275

NEW
DATE GIFT FROM
5/30/2000 audio cassette CATO Institute

COST, IF ANY ADDRESS
1000 Massachusetts Ave., NW
Washington, DC 20001

NEW

DATE	GIFT	FROM
5/31/2000	book "What if everything you thought about AIDS was wrong?"	Act Up

COST, IF ANY

ADDRESS
1884 Market St
San Francisco, CA 94102

NEW

DATE	GIFT	FROM
5/31/2000	audio tape "Winning in the 21st Century"	GOPAC

COST, IF ANY

ADDRESS
122 C St., NW, Suite 505
Washington, DC 20001

NEW

DATE	GIFT	FROM
5/31/2000	"Religion and Ethics" viewer's guide	Religion & Ethics Newsweekly

COST, IF ANY

ADDRESS
1333 H Street, NW
Wasihngton, DC 20005

NEW

DATE	GIFT	FROM
5/31/2000	book "Internationale Politik"	Frankfurter Societat

COST, IF ANY

ADDRESS
Aluta Co.
5108 Wally Dr
El Paso, TX 79924-9906

NEW

DATE	GIFT	FROM
6/1/2000	video "In the Blink of an Eye'	Automotive Occupants Restraints Council

COST, IF ANY

ADDRESS
1000 Connecticut Ave. NW

Suite 304
Washington, DC 20036

NEW
DATE | GIFT | FROM
6/1/2000 | beach ball | Telecordia Technologies

COST, IF ANY | ADDRESS
8 Corporate Place Room 3A184
Piscataway, NJ 08854-4156

NEW
DATE | GIFT | FROM
5/31/2000 | shirt | First Cellular

COST, IF ANY | ADDRESS
417 S. 42nd St.
Mt. Vernon, IL 62864

NEW
DATE | GIFT | FROM
5/31/2000 | videotape | Dean Taylor

COST, IF ANY | ADDRESS
33 W Crownview
Mt. Vernon, IL 62864

NEW
DATE | GIFT | FROM
6/1/2000 | matchbox "Road Roller" | Assocated General Contractors of America

COST, IF ANY | ADDRESS
333 John Carlyle St., Suite 200
Alexandria, VA 22314

NEW
DATE | GIFT | FROM
6/1/2000 | "Safety Fits" Card Game | Honda

COST, IF ANY ADDRESS
955 L'enfant Plaza, SW, Suite 5300
Washington, DC 20024

NEW
DATE GIFT FROM
6/2/2000 t-shirt and mug & patch Springfield Fire Dept.

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM Tri-Township
6/2/2000 2 coloring books, 2 packs of colors Fire Dept.
2 play fireman hats
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
6/7/2000 magnet and thermometer Con Agra

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
6/7/2000 vdeo Center for Christian Startemenship

COST, IF ANY ADDRESS
214 Massa Washington, DC 20002

NEW
DATE GIFT FROM US Postal Service
6/8/2000 framed picture of adoption stamp
5 adoption stamp butons
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
6/7/2000 framed picture IL. Dept of Aging
"Aging should be Everybody's Business"
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
6/8/2000 2 hats IL Fish Farmers CO-OP

COST, IF ANY ADDRESS
PO Box 235
Pickneyville, IL 62274

NEW
DATE GIFT FROM
6/9/2000 Compact Reading Guide Dept. of Education

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM Jim Winter
6\13\00 book -"We're from the Government
abnd We're Here to Help"
COST, IF ANY ADDRESS
5417 Autemnbrook Tr. North
Jacksonville, FL 32258

NEW
DATE GIFT FROM

6\14\00	"Chicago Wilderness" poster "Sue" poster	Field Museum
COST, IF ANY	ADDRESS Chicago	

NEW DATE	GIFT	FROM
06\14\2000	cake and coin	US Army
COST, IF ANY	ADDRESS	

NEW DATE	GIFT	FROM
06\15\2000	pocket note pad	Amreican Chemisrty Council
COST, IF ANY	ADDRESS 1300 Wilson Blvd. Arlington, VA 22209	

NEW DATE	GIFT	FROM
6\15\2000	3 "Lambchop" toys	Samantha Birns
COST, IF ANY	ADDRESS 11413 Twining Lane Potomac, MD 20854	

NEW DATE	GIFT	FROM
06\15\2000	"Rare Friends" Educational Materials "Sue" post - it notes	Brookfeild Zoo
COST, IF ANY	ADDRESS Metcalf Federal Relations	

321 S. Washington St. , 2nd Floor
Alexandria, VA 22314

NEW
DATE GIFT FROM
6\16\2000 coffee mug Madison County
ball point pen Transit District
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
6\16\2000 t-shirt Route 66 Association of Illinois

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
6/16/2000 cap and golf shirt Korte Golf Classic 2000

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
06\19\2000 CD ROM "Illinois Gems" IL State Board of Education

COST, IF ANY ADDRESS
100 N. 1st Street
Springfield, IL 62777-0001

NEW
DATE GIFT FROM
6/19/2000 book - "Truth it's meaning to America" missionamerica@cleanweb.net
COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
06\20\00 Lactaid Ultra (12 caplets) McNeil Consumer Healthcare

COST, IF ANY ADDRESS
7050 Camp Hill Rd.
Fort Washington, PA 19034-2299

NEW
DATE GIFT FROM National Environmental Education & Training Foundation
06\21\2000 poster "National Public Lands Day"

COST, IF ANY ADDRESS
1707 H St., NW
Suite 900
Washington, DC 20006

NEW
DATE GIFT FROM
6/21/2000 book "The Virus Within"

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM National Heritage Foundation
6/22/2000 book" To Give is to Live" and
"Now That you have your foundation"
COST, IF ANY ADDRESS
6281 Beachway Drive
Falls Church, VA 22041

NEW
DATE GIFT FROM
6/23/2000 book "Flowers Forever" Louise Weder
homeade binder book of the history of Grandma Louise Weder

COST, IF ANY ADDRESS
12344 State Road 143
Highland, IL 62249

NEW
DATE GIFT FROM Discovery Communications, Inc
6/26/2000 book- "The Washington Monument: It Stands For All
COST, IF ANY ADDRESS
7700 Wisconsin Ave
Bethesda, MD 20814-3579

NEW
DATE GIFT FROM
6/27/2000 toothbrush, toothpaste, floss American Dental Hygenists' Association
COST, IF ANY ADDRESS
600 13th St, St. NW
Washington, DC 20005-3096

NEW
DATE GIFT FROM
6/27/2000 Blessed Mother Pendant Anonymous person

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
6\28\00 video "America at Risk" NDIA

COST, IF ANY ADDRESS
4090 S. Memorial Parkway
PO Box 400002
Huntsville, AL 35815-1502
NEW
DATE GIFT FROM
6\28\00 book - "Beating the Heat Berkeley Hills Books

COST, IF ANY ADDRESS
PO Box 9877
Berkeley, CA 94709

NEW
DATE GIFT FROM Jewish Council for Public Affairs
6/28/2000 books "Agenda for Public Affairs"
"Rethinking Jewish Politics"
" Building One Nation"
COST, IF ANY ADDRESS
443 Park Ave South
NY,NY 10016-7322

NEW
DATE GIFT FROM
6/28/2000 booklet of Constitution and Declaration of Independence CATO Institute
COST, IF ANY ADDRESS

1000 Massachusetts Ave., NW
Washington, DC 20001

NEW
DATE GIFT FROM
6/29/2000 book "Parenthood by Proxy" GOP Theme Team

COST, IF ANY ADDRESS

NEW
DATE GIFT FROM
6/29/2000 book " Future Advocate" Third Millenniun

COST, IF ANY ADDRESS
121 Avenue of the Amereicas, Suite 505
NY, NY 10013-1510

NEW

DATE	GIFT	FROM
6/29/2000	BeAVoter,org pin	Capitol Advantage

ADDRESS
PO Box 2018
Merrifield, VA 22116-2018

NEW DATE	GIFT	FROM
7\2\00	book "Did Microsoft Harm Consumers?"	American Enterprise Institute

ADDRESS
1150 17th St, NW
Washington, DC 20036

NEW DATE	GIFT	FROM
7\5\00	2 miniture flags coffee mug	Army Recruiters

ADDRESS

NEW DATE	GIFT	FROM
7/5/2000	book "Creating a Nation Under God"	David King, MD

ADDRESS
948 N. Main St.
Louisburg, NC 27549

NEW DATE	GIFT	FROM
7/5/2000	St. Louis Area arial photo	Tri-City Port District

ADDRESS
2801 Rock Road
Granite City, IL 62040

NEW DATE	GIFT	FROM	COMCAST

7/5/2000 pkg. of mixed herb seeds, pen
petunia seeds, "Old Bay" seasoning
wooden gavel
ADDRESS
11800 Tech Road
Silver Spring, MD 20904

NEW
DATE GIFT FROM
7/6/2000 jar of peppermint candy Verizon Communication

ADDRESS
Suite 400 West, 1300 I Street, NW
Washington, DC 20005

NEW
DATE GIFT FROM
6/7/2000 book "The Tyranny of Good Intentions" The Independent Institute
ADDRESS
100 Swan Way
Oakland, CA 94621-1428

NEW
DATE GIFT FROM
7/10/2000 homeade pillow Arthur Dial
ADDRESS
Vandalia, IL

NEW
DATE GIFT FROM
7/10/2000 golf shirt Mt. Vernon Airport Authority
ADDRESS
Mt. Vernon, IL

NEW

DATE	GIFT	FROM
7/10/2000	button " I am moving to NY to Vote against Hillary	Gant and Frances Redmond
	ADDRESS Alexandria, VA	

NEW DATE	GIFT	FROM
10-Jul	sucker	Toyota
	ADDRESS 1850 M Street, NW Washington, DC 20036	

NEW DATE	GIFT	FROM
7/10/2000	book "Labor Pains"	Internationale Politik
	ADDRESS Aluta Company 5108 Wally Dr El Paso, TX 79924-9906	

NEW DATE	GIFT	FROM
7/10/2000	book "Micropower"	Worldwatch
	ADDRESS 1776 Massachusetts Ave Washington, DC 20036-1904	

NEW DATE	GIFT	FROM
7/11/2000	bag of peaches	S. Carolina House Delegation
	ADDRESS	

NEW DATE	GIFT	FROM
7/13/2000	bumper sticker	idrather.com

"I'd rather have erectile dysfuntion that Gore in office"
ADDRESS

NEW

DATE	GIFT	FROM
7/13/2000	2 red wagon pins mousepad	America's Promise

ADDRESS

NEW

DATE	GIFT	FROM
7/13/2000	wind up walking feet	Nat. Childrens's Association

ADDRESS

NEW

DATE	GIFT	FROM
7/18/2000	"kindness" button	Stop Violence Coalition

ADDRESS

NEW

DATE	GIFT	FROM
7/18/2000	CD-ROM	Taiwan Tati Council

ADDRESS

NEW

DATE	GIFT	FROM
7/19/2000	book "America's National Interests"	Harvard University Kennedy School of Government

ADDRESS
79 JFK Street
Cambridge, MA 02138

NEW

DATE	GIFT	FROM
7/19/2000	book "Islam & Central Asia"	Center for Political and

Strategic Studies

ADDRESS
1050 17th St., NW Suite 600
Washington, DC 20036

NEW DATE	GIFT	FROM
7/19/2000	video - The Fastest Growing Music Audience	GMA Marketing

ADDRESS

NEW DATE	GIFT	FROM
7/20/2000	video "The US Congress and You"	Committee for Citizen Awareness

ADDRESS
601 13th St. NW
Washington, DC 20005

NEW DATE	GIFT	FROM
7/20/2000	book " Stranglehold"	National Right to Work Foundation

ADDRESS
8001 Braddock Rd
Spfld., VA 22160

NEW DATE	GIFT	FROM
7/20/2000	book - scaffolding the web"	RAND

ADDRESS
1700 Main St, PO Box 2138
Santa Monica, CA 90407-2138

NEW DATE	GIFT	FROM

7/21/2000	baseball cap video	Drumajor Institute

ADDRESS
110 E 59th St., 27th Floor
NY,NY 10022

NEW DATE	GIFT	FROM
7/24/2000	tee-shirt IL. Congressional Softball	IL. Governor's Office

ADDRESS

NEW DATE	GIFT	FROM
7/25/2000	Shimkus baseball cards Congressional Softball Game	Business Software Alliance

ADDRESS
1150 18th St., NW Suite 700
Washington, DC 20036

NEW DATE	GIFT	FROM
7/26/2000	30 Min prepaid phone card	AT&T

ADDRESS
32 Avenue of the Americas
NY,NY 10013-1000

NEW DATE	GIFT	FROM
7/26/2000	6 coffee mugs	Home Telephone Co.

ADDRESS

NEW DATE	GIFT	FROM
7/26/2000	video " How It Works"	The Seniors Coalition

ADDRESS
9001 Braddock Rd Suite 200
Spfld, VA 22151

NEW DATE	GIFT	FROM
7/26/2000	yellow bug wind up toy	Greater Pittsburgh Chamber of Commerce

ADDRESS
Regional Enterprise Tower
425 6th Ave.
Pittsburgh, PA 15219-1811

NEW DATE	GIFT	FROM
7/26/2000	box of cigars	Cigar Assn. Of America

ADDRESS
1707 H St., NW Suite 800
Washington, DC 20006

NEW DATE	GIFT	FROM
7/27/2000	juice box, mini muffin sweet roll	Valis Associates

ADDRESS

NEW DATE	GIFT	FROM
7/28/2000	book "It's Your Money"	E-Trade

ADDRESS
4500 Bohannon Dr
Menlo Park, CA 94025

NEW DATE	GIFT	FROM
7/28/2000	book -"The Future of Freedom	Republican Leadership Caolition

in Russia

Address
1800 K St, NW Suite 1122
Washington, DC 20006

NEW DATE	GIFT	FROM
8/1/2000	booklet "Matters of Faith"	The American Assembly at Columbia University

ADDRESS
475 Riverside Dr
NY,NY 10115

NEW DATE	GIFT	FROM
8/1/2000	George W. Bush button	Capitol Hill Club

ADDRESS
300 First St, SE
Washington, DC 20003

NEW DATE	GIFT	FROM
8/1/2000	book "Hillary Clinton & the Radical Left"	Center for the Study of Popular Culture

ADDRESS
9911 W. Pico Blvd.
Suite 1290
LA, CA 90035

NEW DATE	GIFT	FROM
8/1/2000	book "Improving Student Achievement	RAND

ADDRESS
1200 S. Hayes St
Arlington, VA 22202-5050

NEW DATE	GIFT	FROM
8/2/2000	audio tape	CATO

ADDRESS
1000 Massachusets Ave NW
Washington, DC 20001

NEW DATE	GIFT	FROM
8/2/2000	gift basket of chips, dip, soda and snacks	Philadelphia Magazine

ADDRESS

NEW DATE	GIFT	FROM
8/3/2000	book "Amazing Faith"	Campus Crusade for Christ International

ADDRESS
101 TDK Blvd, Suite B
Peachtree City, GA 30269

NEW DATE	GIFT	FROM
8/7/2000	CD-ROM "Everyday Science Vol.4	National Science Foundation

ADDRESS
4201 Wilson Blvd
Arlington, VA 2230

NEW DATE	GIFT	FROM
8/8/2000	Ethanol T-shirt	IL. Farm Bureau

ADDRESS
1701 N. Towanda

Bloomington, IL

NEW DATE	GIFT	FROM
8/9/2000	video of 2000 Congressional Charity baseball game	COMCAST

ADDRESS
11800 Tech Rd
Silver Spring, MD 20904

NEW DATE	GIFT	FROM
8/10/2000	book "Paying for University Research Facilities & Administration"	RAND

ADDRESS
1700 Main St, PO Box 2138
Santa Monica, CA 90407-2138

NEW DATE	GIFT	FROM
8/11/2000	leather portfolio	Jan Vranich

ADDRESS

NEW DATE	GIFT	FROM
8/11/2000	pint of Dutch Apple Preserves	Daughters of the Union Vetrans of the Civil War

ADDRESS

NEW DATE	GIFT	FROM
8/1/2000	book "Make a Difference	Annie E. Casey Foundation

ADDRESS
701 St. Paul St.
Baltimore, MD 21202

NEW DATE	GIFT	FROM
8/17/2000	book "Everything you Know About Politics and Why you are Wrong	Annenberg Public Policy Center
	ADDRESS 3620 Walnut Street Philadelphia, PA 19104	

NEW DATE	GIFT	FROM
8/21/2000	book - "Liivng Standards in the US	American Enterprise Institute
	ADDRESS 1150 17th St, NW Washington, DC 20036	

NEW DATE	GIFT	FROM
8/23/2000	book "Discovery & Innovation"	RAND
	ADDRESS	

NEW DATE	GIFT	FROM
8/28/2000	Cue Cat software	Forbes Magazine
	ADDRESS Forbes building 60 Fifth Ave. NY, NY 10011	

NEW DATE	GIFT	FROM
8/28/2000	key ring	Union Pen Company
	ADDRESS 70 Riverdale Ave	

Greenwich, CT 06831-5048

NEW DATE	GIFT	FROM
8/30/2000	book - "The Keys to a Successful Presidency"	Heritage Foundation

ADDRESS

NEW DATE	GIFT	FROM
9/6/2000	Key to the Lincoln Presidential Library	Il. Gov. Reorge Ryan

ADDRESS
Springfield, IL 62701

NEW DATE	GIFT	FROM
9/7/2000	2001 Calendar	Il Natural History Survey

ADDRESS
607 E Peabody Dr.
Champaign, IL 61820-4949

NEW DATE	GIFT	FROM
9/7/2000	red rode tea bag	National Association of Foster Grandparent Program Directors

ADDRESS
7400 Laurel Hill Oaks Circle
Orlando, FL 32818

NEW DATE	GIFT	FROM
9/8/2000	patch and pin	United Space Allianfce

ADDRESS
1150 Gemini

Houston, TX 77058-2708

NEW DATE	GIFT	FROM
9/11/2000	audio tape "Twilight"	Rep. Michael Capuano

ADDRESS
1232 LHOB
Washington, DC 20515

NEW DATE	GIFT	FROM
9/11/2000	cutting board chocholate chip cookie, recipies	Nat. Restaurant Association

ADDRESS
1200 17th St. NW
Washington, DC 20036-3097

NEW DATE	GIFT	FROM
9/11/2000	cigar	REIT

ADDRESS
1875 Eye St, NW
Suite 600
Washington, DC 20006-5413

NEW DATE	GIFT	FROM
9/11/2000	plastic lunchbox filled with crayons pencils, paper	NCTA

ADDRESS

NEW DATE	GIFT	FROM
9/12/2000	calendar	Am. Friends of Lubavitch

ADDRESS
2110 Leroy Place, NW
Washington, DC 20008

NEW DATE	GIFT	FROM
9/12/2000	pin and coin	Brigadier General Thomas Kane

ADDRESS
60 AMW/CC
400 Brennan Circle
Travis AFB CA 94535

NEW DATE	GIFT	FROM
9/12/2000	Bug Rack - It	Insect Aside

ADDRESS
PO Box 7
Farmington, WA 99128

NEW DATE	GIFT	FROM
9/14/2000	book - Spy TV	White Dot

ADDRESS
133 W 71st St.
Suite 8D
NY, NY 10023

NEW DATE	GIFT	FROM
9/14/2000	2 books - "100 Action Principles	American Success Institute

ADDRESS
5 N. Main St.
Natick, MA 01760-9509

NEW

DATE	GIFT	FROM
9/14/2000	pen, coffee mug, golf towwl	Elsa Birchwood
	ADDRESS US Army Reserves	

NEW DATE	GIFT	FROM
9/14/2000	mug, t-shirt, coasters letter opener, bag	Dynegy
	ADDRESS	

NEW DATE	GIFT	FROM
9/18/2000	poster-GOP convention issue'	Roll Call
	ADDRESS 50 F St. NW Suite 700 Washington, DC 20001	

NEW DATE	GIFT	FROM
9/18/2000	book "A Fresh Start for America: Policy Addresses of George W. Bush	
	ADDRESS	

NEW DATE	GIFT	FROM
9/18/2000	t-shirt	Hannibal Bridge Dedication
	ADDRESS	

NEW DATE	GIFT	FROM
9/19/2000	first aid kit	People for a Safe Workplace
	ADDRESS	

NEW DATE	GIFT	FROM
9/19/2000	bean bag air plane	Air Traffic Controllers
	ADDRESS	

NEW DATE	GIFT	FROM
9/20/2000	book "The Art of Political War"	David Horowitz
	ADDRESS	

NEW DATE	GIFT	FROM
9/20/2000	Constitution Declaration of Independence	CATO
	ADDRESS 1000 Massachusetts Ave, NW Washington, DC 20001	

NEW DATE	GIFT	FROM
9/21/2000	9/21/2000	Walker & Co.
	ADDRESS 435 Hudson St. NY, NY 10014	

NEW DATE	GIFT	FROM
9/21/2000	2 "CARA" keychains	IL. Dept. of Natural Resources
	ADDRESS	

NEW DATE	GIFT	FROM

9/25/2000	The 3 Journals of Lewis and Clark	The Pew Charitable Trusts

ADDRESS
One Commerce Square
2005 Market St, Suite 1700
Phiadelphia, PA 19103-7077

NEW DATE	GIFT	FROM
9/25/2000	"Beyond High Tech Survival" supplement to book	AMD

ADDRESS
1 AMD Pl.
PO Box 3453
Sunnyvale, CA 94008-3453

NEW DATE	GIFT	FROM
9/25/2000	poster of B1	Northrup Grumman Corp

ADDRESS
1000 Wilson Blvd
Suite 2300
Arlington, VA 22209-2278

NEW DATE	GIFT	FROM
9/27/2000	CD - 18th Annual Chef D'oeuvre Reception	Nat. Resturant Association

ADDRESS
1200 17th St., NW
Washington, DC

NEW DATE	GIFT	FROM
9/28/2000	video: Saving our Rural Hospitals	Nat. Rural Health Resource Center

ADDRESS
Suite 404
E. Superior St.

Duluth, MN 55802

NEW DATE	GIFT	FROM
9/28/2000	book: Always on Call	United Hospital Fund

ADDRESS
Empire State Building
350 5th Ave., 23rd Floor
NY, NY 10118-2399

NEW DATE	GIFT	FROM
9/28/2000	pen	Paul Darr

ADDRESS
7461 Leslie Dr
Edwardsville, IL 62025

NEW DATE	GIFT	FROM
9/28/2000	book: Genuine Value The John Deere Journey	John Deere

ADDRESS

NEW DATE	GIFT	FROM
9/28/2000	book - "Genome"	Harper Collins

ADDRESS
10 E 53rd St.
NY, NY 10022-5399

NEW DATE	GIFT	FROM
9/28/2000	ticket to Faith in Action Breakfast	Faith in Action

ADDRESS

NEW

DATE	GIFT	FROM
10/2/2000	2 coffee mugs	State Rep. Gwen Klingler

ADDRESS

NEW

DATE	GIFT	FROM
10/4/2000	2001 calendar	IL Dept. of Natural Resources

ADDRESS

NEW

DATE	GIFT	FROM
10/4/2000	poster "Life in the Everglades"	Florida Wildlife Federation

ADDRESS
PO Box 6870
Tallahassee, FL 32314-6870

NEW

DATE	GIFT	FROM
10/5/2000	patch	United Space Alliance

ADDRESS
1150 Gemini
Houston, TX 77058-2708

NEW

DATE	GIFT	FROM
10/5/2000	baseball cap	Voicestream Wireless

ADDRESS
3650 131st Ave, SE
Suite 200
Bellevue, WA 98006

NEW

DATE	GIFT	FROM
10/6/2000	insulated bag	NAIMA

ADDRESS
44 Canal Center Plaza
Suite 310
Alexandria, VA 22314

NEW

DATE	GIFT	FROM
10/6/2000	book - Speak Truth to Power	Agvar Chemicals

ADDRESS
96 Route 23
Little Falls, NJ 07424

NEW

DATE	GIFT	FROM
10/6/2000	pencil case 2 pencils	Comcast

ADDRESS
11800 Tech Rd
Silver Spring, MD 20904

NEW

DATE	GIFT	FROM
10/11/2000	booklet - The Death of the Civil Rights Movement	Center for the study of Popular Culture

ADDRESS
PO Box 67398
LA, CA 90067-9507

NEW

DATE	GIFT	FROM
10\11\00	golf shirt	Spfld. Airport Authority

ADDRESS
1200 Capital Airport Drive

Spfld, IL 62707-8419

NEW DATE	GIFT	FROM
10/12/2000	hard hat	Building and Trades Det.

ADDRESS
AFL-CIO
815 16th St, NW Suite 600
Washington, DC 20006-4104

NEW DATE	GIFT	FROM
10/12/2000	mini monkey wrench	Am. Health Care Partnership

ADDRESS
8105 Georgia St.
Merrillville, IN 46410

NEW DATE	GIFT	FROM
10/12/2000	mousepad book - Social Security: Your Taxes, Your Benefits , Some Alternatives	National Center for Policy Analysis

ADDRESS

NEW DATE	GIFT	FROM
10/13/2000	book - How to Improve Health and Health Care 2001	Robert Wood Johnson Foundation

ADDRESS
College Road
PO Box 2316
Princeton, NJ 08543-2316

NEW DATE	GIFT	FROM
10/17/2000	audio tape: Devolution of Power	Institute of Public Affairs

ADDRESS
U. of IL
Urbana, IL 61801

NEW DATE	GIFT	FROM
10/17/2000	Pin	Nat. Assocn. Of Foster Grandparent Program Directors

ADDRESS

NEW DATE	GIFT	FROM
10/18/2000	booklet: What is an Executive?	Project Integrity

ADDRESS
PO BOX 83
Auburn, AL 36831-0083

NEW DATE	GIFT	FROM
10/18/2000	Issues in School Choice booklet	Milton & Rose Friedman Foundation

ADDRESS
1 American Square
Suite 1750, Box 82078
Indianapolis, Indiana 46282

NEW DATE	GIFT	FROM
10/19/2000	book: The Digital Economy Fact Book:	The Progress & Freedom Foundation

ADDRESS
1301 K St. NW
Suite 550 East
Washington, DC 20005

NEW DATE	GIFT	FROM

10/19/2000	2001 calander	National Alliance of Postal & Federal Employees

ADDRESS
1628 11th St, NW
Washington, DC 20001

NEW DATE	GIFT	FROM
10/20/2000	US International Leadership in the 21st Century	The Potomac Foundation

ADDRESS
1311 Dolley Madison Blvd
McLean, VA 22101

NEW DATE	GIFT	FROM
10/20/2000	book: Vietnam	Barney Visser

ADDRESS
PO Box 50821
Indianapolis, IN 46250-0821

NEW DATE	GIFT	FROM
10/23/2000	book: New Century, New Deal	Skandia

ADDRESS
One Corporate Dr. PO Box 883
Shelton, CT 06484-0883

NEW DATE	GIFT	FROM
10/23/2000	book: Keeping the Edge	Preventative Defense Project

ADDRESS
Stanford Univ.
Center for International Security & Cooperation
Stanford, CA 94305-6165

NEW DATE	GIFT	FROM
10/24/2000	calander	The National Arbor Day Foundation

ADDRESS
100 Arbor Ave.
Nebraska City, NE 68410

NEW DATE	GIFT	FROM
10/24/2000	bumber sticker	Collum International

ADDRESS
PO Box 2010
Dallas, Texas 20515

NEW DATE	GIFT	FROM
10/24/2000	book: US & Asia Statistical Handbook 2000-2001	The Heritage Foundation

ADDRESS
214 Massachusetts Ave., NE
Washington, DC 20002-4999

NEW DATE	GIFT	FROM
10/25/2000	2001 calander	PETA

ADDRESS
504 Front St.
Norfolk, VA 23501

NEW DATE	GIFT	FROM
10/26/2000	The New Democrat Journal	Democrat Leadership Council

1988-2000

ADDRESS
600 Pennsylvania Ave., SE
Suite 400
Washington, DC 20003

NEW DATE	GIFT	FROM
11/14/2000	2001 calendar	Am. Registry of Pathology

ADDRESS
Building 54, Rm 1094
14th St. and Alaska Ave, NW
Washington, DC 20306-6000

NEW DATE	GIFT	FROM
11/15/2000	Olyimpic Pin	The Wexler Group

ADDRESS
1317 F St., NW
Suite 600
Washington, DC 20004

NEW DATE	GIFT	FROM
11/20/2000	2 video tapes "Waco - The Big Lie"	William Duff

ADDRESS
PO Box 8
Ohlman, IL 62076

NEW DATE	GIFT	FROM
11/22/2000	book "Allergan's First 50 Years	Allergan

ADDRESS
2525 Dupont Drive
PO Box 19534
Irvine, CA 92623-9534

NEW DATE	GIFT	FROM
22-Nov	audio tapes	U. of Illinios
	TIF- Winners and Losers	

ADDRESS
Institute of Govt. and Public Affairs
1007 W. Nevada St
Urbana, IL 61801

NEW DATE	GIFT	FROM
11/27/2000	picture fram and certificate	US Dept of Commerce

ADDRESS
US Census Bureau
Washington, DC 20233-0001

NEW DATE	GIFT	FROM
28-Nov	2001 calander	Nat. Air Traffic Controllers Assoc.

ADDRESS
1325 Massachusetts Ave, NW
Washington, DC 20005

NEW DATE	GIFT	FROM
11/29/2000	pin and patch	United Space Alliance

ADDRESS

1150 Gemi Houston, TX 77058-2708

NEW
DATE GIFT FROM
11/29/2000 Framed print of Lincoln's Gettysburg Address Illinois Historic Preservation Agency
ADDRESS

NEW
DATE GIFT FROM
12/4/2000 calendar Nat. Community Pharmacists Assn

ADDRESS
205 Daigerfield Rd
Alexandria, VA 22314-2885

NEW
DATE GIFT FROM
12/4/2000 wine Wine & Spirits Wholesalers

ADDRESS

NEW
DATE GIFT FROM
12/4/2000 pocket calender Verizon

ADDRESS

NEW
DATE GIFT FROM
12/5/2000 calendar Burlington Northern and Santa Fe Railway Co.

ADDRESS
1001 G St. NW
Washington, DC 20001-4555

NEW

DATE	GIFT	FROM
12/5/2000	2001 Calendar	RAND

ADDRESS
1700 Main St
PO Box 2138
Santa Monica, CA 90407-2138

NEW DATE	GIFT	FROM
12/6/2000	"The Gold Book"	Billy Paul Russel

ADDRESS

NEW DATE	GIFT	FROM
12/6/2000	video "Tutu & Franklin: A Journey Towards Peace"	Faith in Politics Institute

ADDRESS
110 Maryland, NE
Suite 304
Washington, DC 20024

NEW DATE	GIFT	FROM
12/7/2000	spaghetti sauce, pasta, bandaid	Rep. Marcy Kaptur

ADDRESS
2366 RHOB
Washington, DC 20515

NEW DATE	GIFT	FROM
12/8/2000	audio tape, CD, Video	Heritage Foundation

ADDRESS

NEW DATE	GIFT	FROM
12/8/2000	chocolate bar	Telecordia Technologies
	ADDRESS 8 Corporate Pl Room 3A-184 Piscataway, NJ 08854	

NEW DATE	GIFT	FROM
12/8/2000	foam puzzle	Cellular Telecom. Industry Assn
	ADDRESS	

NEW DATE	GIFT	FROM
12/8/2000	book China Briefing 2000	Asia Society
	ADDRESS 725 Park Ave NY, NY 10021-588	

NEW DATE	GIFT	FROM
12/11/2000	calculator and pocket portfolio	Interstate Natural Gas Assoc. of America
	ADDRESS 10 G St., NE Suite 700 Washington, DC 20002	

NEW DATE	GIFT	FROM
12/12/2000	Horseradish Sauce Set	IL Horseradish Association
	ADDRESS	

Collinsville, IL 62234

NEW DATE	GIFT	FROM
12/12/2000	2- ink pens	MAIA Port District

ADDRESS
4400 N. 24th St
Quincy, IL 62301

NEW DATE	GIFT	FROM
12/13/2000	Holiday CD	US Army

ADDRESS
101 Army Pentagon
Washington, DC 20310-0101

NEW DATE	GIFT	FROM
12/14/2000	highligher, pen, post-it notes	Cable & Wireless

ADDRESS

NEW DATE	GIFT	FROM
12/15/2000	bottle of Wild Turkey Bourbon	Distilled Spirits Council of the US

ADDRESS
1250 Eye St, NW
Suite 400
Washington, DC 20005-3998

NEW DATE	GIFT	FROM

12/15/2000	CD - USAF Band	Air Force

ADDRESS
1160 Air Force Pentagon
Washington, DC 20330-1160

NEW DATE	GIFT	FROM
12/15/2000	dictionary, thesauras world atls	Am. Council of Life Insurers

ADDRESS

NEW DATE	GIFT	FROM
12/15/2000	book - "The Line Cellar"	Executive Communications Group

ADDRESS
570 Grand Ave
Englewood, NJ 07631

NEW DATE	GIFT	FROM
12/18/2000	Toy Story 2 CD Disney Silly Songs CD Simon & Garfunkel "Old Friends" CD Set	Recording Industry Association

ADDRESS
1330 Connecticut, NW
Washington, DC 20036

NEW DATE	GIFT	FROM Paul Schenck
12/18/2000	book Today is Born - The Real Meaning Behind Christmas	

ADDRESS

NEW DATE	GIFT	FROM

12/18/2000	bottle of Meriot	ALTS

ADDRESS

NEW

DATE	GIFT	FROM
12/19/2000	2001 Calendar	Ducks Unlimited

ADDRESS
1301 Pennsylvania Ave, NW
Suite 402
Wash., DC 20004

NEW

DATE	GIFT	FROM
12/19/2000	2001 calendar	Nat. Assoc. of WIC Directors

ADDRESS
2001 S Street, NW
Suite 580
Washington, DC 20009-1125

NEW

DATE	GIFT	FROM
12/19/2000	2001 Calendar	Uniliver

ADDRESS
390 Park Ave.
NY, NY 10022

NEW

DATE	GIFT	FROM
12/20/2000	calendar	Consulate General of Japan

ADDRESS
737 N. Michigan Ave
Suite 1100
Chicago

NEW DATE	GIFT	FROM
12/20/2000	6 calendars	IL Historice Preservation Society

ADDRESS
1 Old State Capitol Plaze
Springfield, IL

NEW DATE	GIFT	FROM
12/20/2000	book - Lifting the Fog of War.	Admiral Bill Owens

ADDRESS

NEW DATE	GIFT	FROM
12/20/2000	calendar	Union Pacific Railroads

ADDRESS
600 13th St., NW
Suite 340
Washington, DC 20025

NEW DATE	GIFT	FROM
20-Dec	gift basket of chocolate and teas	US Chamber of Commerce

ADDRESS

NEW DATE	GIFT	FROM

12/21/2000	Clock/Picture Frame	Pax Communications
	ADDRESS	

NEW DATE	GIFT	FROM
12/22/2000	book - The Americanization of Edward Bok	James Donnelley
	ADDRESS Chicago	

NEW DATE	GIFT	FROM
12/22/2000	picture calendar	Wei Ruixing, Consul General
	ADDRESS People's Republic of China 100 West Erie St Chicago, IL 60610	

NEW DATE	GIFT	FROM
12/22/2000	2 mouse pads	Armbruster Tent maker
	ADDRESS	

NEW DATE	GIFT	FROM
12/22/2000	calendar	National law Enforcement Officers Memorial Fund
	ADDRESS 605 E Street. NW Washington, DC 20004	

NEW DATE	GIFT	FROM

12/22/2000	calendar	Reuters America & Instinet Corp.
	ADDRESS	

NEW DATE	GIFT	FROM
12/22/2000	CD "Friends Don't Let Friends Drive Drunk	Nation Association of Broadcasters
	ADDRESS 1771 N. Street NW Washington, DC 20036-2891	

NEW DATE	GIFT	FROM
	ADDRESS	

NEW DATE	GIFT	FROM
	ADDRESS	

NEW DATE	GIFT	FROM
	ADDRESS	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RESOURCE CENTER
01 JUN 12 PM 4:23
OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

(5)

Clifford Ronald Shows
(Full Name)

Route 2 Box 228A-1 Bassfield, MS 39421 202-225-5865
(Mailing Address) Daytime Telephone

HAND DELIVERED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives	State MS District 04	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	C Ronald Shows	6/12/01

4872

Name Clifford Ronald Shows | Page ___ of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C. XYZ Magazine	Speech Article	Feb. 2, 2000 Aug. 19, 2000	$2,000 $500
American Rental Association	Speech	Aug 18, 2000	$500
The Washington Times Foundation	Speech	Oct. 18, 2000	$200

This page may be copied if more space is required.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Clifford Ronald Shows

Page ___ of ___

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

Categories: A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000

BLOCK C — Type of Income

Categories: DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

Categories: I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year. (P, S, E)

SP, DC, JT	Asset and/or Income Source	Value of Asset	Type of Income	Amount of Income	Transaction
Examples: SP	Mega Corp. Stock	E	Dividends	IV	
	Simon & Schuster	Indefinite	Royalties	IX	P
	1st Bank of Paducah, KY accounts	F	Interest	VI	
	State of MS		Pension	VII	
	AG Edwards/	C	Stock		P
	Trustmark Bank		Interest	III	
	Hancock Holdings		Dividends	III	

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name Clifford Ronald Shows | Page ___ of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction		Date	Amount of Transaction									
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
	AG Edwards / Hancock Holdings	X			1/21/00		X								

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Name Clifford Ronald Shows | Page ___ of ___

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Small Business Administration	Farm Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

MAY 14 2001

LEGISLATIVE RESOURCE CENTER
2001 MAY 17 AM 11:17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(12)
MC

4877

ROBERT RAHL "RUB" SIMMONS
(Full Name)
268 NORTH MAIN STREET, PO. BOX 268 (860) 535-8378
(Mailing Address) Daytime Telephone
STONINGTON, CT 06378-0268

Filer Status	[X] Member of the U.S. House of Representatives	State CT District Second (2)	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Rob Simmons	5/14/01

Name ROB SIMMONS | Page 1 of 11

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
CONNECTICUT GENERAL ASSEMBLY, CT	SALARY	29,094.-
LEARN, REGIONAL MULTICULTURAL MAGNET SCHOOL, CT	SPOUSE SALARY	35,960.-
DONSON BOAT YARD, CT	DC SALARY	1,507.-

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name RUB SIMMONS

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A: Asset and/or Income Source	A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X																		
DC	Simon & Schuster		Indefinite																	Royalties												P
JT	1st Bank of Podunk, KY accounts															X																
	FLEET BANK, CT			X												X						X										
	20TH CENTURY GROWTH						X							X			X			IRA												
	SAGE HILL, VT							X												FARM	X											
JT	VANTAGE PT, VT						X									X									X							
JT	SIMMONS FAMILY LP, CT							X												Home												
JT	VERMONT NAT. BANK			X												X						X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ROB SIMMONS

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1-$1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1-$200	III $201 - $1,000	IV $1,001-$2,500	V $2,501 - $5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	FLEET BANK CT			X												X						X										
	T. ROWE PRICE				X									X			X			IRA				X								
	SPARTAN CT			X										X			X						X									S
	DEVON ENERGY				X									X												X						
	ALZUM TECH				X									X								X										
	AT+T			X										X								X										
	GILBERT SONS					X								X																		
	AMER. HOME PROD				X									X										X								
	BELLSOUTH				X									X									X									
	BRISTOL-MYERS SQ						X							X																		
	CENTURY TEL					X								X									X									
	COCA COLA					X								X									X									
	DUPONT						X							X												X						S
	EMERSON ELECTRIC					X								X										X								
	EXXON					X								X										X								
	GENERAL ELECTRIC						X							X										X								
	HEWLETT PACKARD					X								X									X									
	INGERSOLL RAND			X										X								X										
	IBM				X									X									X									
	JOHNSON + JOHNSON				X									X									X									
SP	KIMBERLY CLARK			X										X			X						X									

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ROB SIMMONS

Page 5 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	KIMCO REALTY												X																		
	LUCENT TECH			X									X																		
	MERCK + CO							X					X																		
	MONY GROUP												X										X								
	NE ELECTRIC			X									X									X									
	RUBBERMAID												X									X									
	PROCTOR GAMBLE												X																		
	ROYAL DUTCH												X										X								S
	SBC COMMO			X									X																		
	VODAFONE			X									X									X									
	WALMART					X							X																		
	US TREAS NOTES					X																		X							P
	SCH MUNI FUND														X									X							S
	MICROSOFT			X											X										X						
	YAHOO																			X											P
	MCI WORLD COM			X																X											P
																				X											P
	PAFFARD GST							X					X		X	X							X								
V	PAFFARD UNITRUST																														
SP	BLACK ROCK FD							X					X											X							

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Rob Simmons

Page 6 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: I DIVIDENDS	II RENT	III INTEREST	IV CAPITAL GAINS	V EXCEPTED TRUST	VI QUALIFIED BLIND TRUST	VII Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X $5,000,001 - $25,000,000	XI Over $25,000,000	BLOCK E Transaction: P, S, E
DC	FLEET BANK, CT															X																
	US BANK OR					X										X																
	DOVLE + LUX, MA					X								X		X								X								
														X											X							
	AVERY DENISON			X																			X									
	CENTURY TEL													X																		
	CINTAS CORP													X																		
	DISNEY			X										X																		
	IBM													X																		
	MERCK			X										X																		
	MONY GROUP			X										X																		
	NORTEL			X										X																		
	JOHNSON + JOHNSON													X																		
	ROYAL DUTCH													X																		
	SCHWAB MM			X										X										X								
	TEXAS INST.													X										X								
	WALMART			X										X																		
	WEINGARTEN													X																		P
	BERKSHIRE H WAY					X								X																		
	MICROSOFT			X																	X											
DC	SUN MICROSYSTEM																				X											P
																					X											S

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name RUB SIMMONS

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	COMMUNEDBENEFICIARY TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
DC	NATIONWIDE INC			X																	X											
	COX COMMUNICATION			X																	X											P
	QWEST COMMS			X																	X											
	WORLDCOM INC			X																	X											A
	DAFFARD TRUST																															
	BLACKROCK MMF															X																
	BRISTOL MYERS													X									X									
	CROWN CORK + SEAL			X										X																		
	DUPONT			X										X																		
	NSI			X										X									X									
	RUBBERMAID			X										X																		
↓	PPG INDUSTRIES			X										X																		
DC	BLACKROCK EQUITY													X									X									

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SCHEDULE IV — TRANSACTIONS

Name ROB SIMMONS Page 8 of 11

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H1 $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K over $50,000,000
SP	*Example* , Mega Corporation Common Stock	X			10-12-00		X								
SP	YAHOO! INC				11-22-00		X								
SP	MICROSOFT CORP	X			5-9-00	X									
SP	DUPONT		X		5-12-00	X									
SP	WALMART	X			2-29-00		X								
SP	PROCTOR + GAMBLE		X		2-29-00		X								
SP	MCI WORLDCOM	X			2-29-00		X								
SP	US TREASURY NOTE		X		11-15-00	X									
DC	YAHOO! INC	X			11-22-00	X									
DC	MICROSOFT	X			5-9-00	X									
DC	SUN MICROSYSTEM		X		5-9-00	X									
DC	SUN MICROSYSTEM		X		2-29-00		X								
DC	WALMART	X			2-29-00	X									
DC	MCI WORLDCOM	X			2-29-00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	CHELSEA GROTON BANK GROTON, CT	MORTGAGE ON SAGE HILL, VT				X					O	

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
NONE							

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TREASURER	SIMMONS FAMILY LIMITED PARTNERSHIP, PA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2001 70–729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

46

HAND DELIVERED

Michael (Mike) K. Simpson

Full Name

286 Hoff Drive, Blackfoot, Idaho 83221 (202) 225-5531

Mailing Address Telephone Number

NC

Office Use Only

Filer Status	X Member of the U.S. House of Representatives	State ID District 02	Officer / Employee / Employing Office
Report Type	X Annual (May 15)	Amendment	Termination / Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period (e.g., rent, any reportable asset worth more than $1,000 at the end of the period)? **If yes, complete and attach Schedule III.** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (e.g., aggregating more than $250 and not limited to gifts)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel reimbursement in the reporting period with more than $250 in travel expenses? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII. Did you hold any reportable positions as of before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and reported in an "excepted trust" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXCEPTION—Have you excluded from this report any information regarding income, transactions, or liabilities of your spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct in Washington. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mike Simpson	5/15/01

4889

Name Michael (Mike) K. Simpson	Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honorana; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Bechtel BWXT Idaho, LLC	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Michael (Mike) K. Simpson	Page ___ of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
X Y Z Magazine	Article	Aug. 13, 2000	$500
NA			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Michael (Mike) K. Simpson | Page of

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S) or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock					X								X										X							P
DC	Simon & Schuster		Indefinite																	Royalties											
JT	1st Bank of Paducah, KY accounts						X									X									X						
SP	Morgan Stanley IRA				X									X							N/A										
	Morgan Stanley IRA				X									X							N/A										
	Public Employees Retirement System of Idaho PERSI				X											X					N/A										
SP	INEEL Employee Investment Plan - Vangard					X								X			X				N/A										
	Edward Jones - Simpson Dental Profit Sharing						X							X			X									X					
SP	Piper Jaffray				X									X									X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael (Mike) K. Simpson

Page ___ of ___

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: Dividends	Rent	Interest	Capital Gains	Exempted Trust	Qualified Blind Trust	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Morgan Stanley				X									X		X							X										
	TSP				X									X							N/A												
	Simpson Properties						X								X									X									
	Dental Practice Contract					X										X										X							
SP	40 acres (farm ground)					X														crops					X								
	Blackfoot, IL. ½ interest																																

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SCHEDULE IV — TRANSACTIONS

Name Michael (Mike) K. Simpson

Page ___ of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10–12–00		X								
	N/A															

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Name Michael (Mike) K. Simpson | Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	MBNA America Wilmington, DE	credit card		X								
SP	American Express	credit card	X									
SP	MBNA America Wilmington, DE	credit card		X								
JT	Citibank (formerly Travelers Bank)	credit card		X								
SP	First American Title Co. (40 acres)	mortgage on ground			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

Name Michael (Mike) K. Simpson | Page ___ of ___

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
N/A							

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Name Michael (Mike) T. [illegible]	Page ___ of ___

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or understanding with respect to future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government, and continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A		

GPO:2001 70-729 (mac)

Use additional sheets if more space is required.

MORGAN STANLEY DEAN WITTER

STATEMENT OF YOUR ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2000

Your Financial Advisor
WILLIAM BROWN
MORGAN STANLEY DEAN WITTER
670 SOUTH WOODRUFF AVE
IDAHO FALLS, ID 83401
(208) 525-1301

Account Number FA
146 012447 010

KATHERINE SIMPSON 008466
786 HOFF DR
BLACKFOOT ID 83221 1553 146

ASSET DETAILS

Your Investments		Current Price	Value	Est Yrly Income	Current Yield
50	CISCO SYS INC	38.25000	1,912.50		
100	INTEL CORP	30.06250	3,006.25	8.00	.26%
100	ORACLE CORP	29.06250	2,906.25		
100	SINA.COM	3.12500	312.50		
100	SUN LIFE FINL SVCS CDA INC	26.62500	2,662.50	31.40	1.17%
50	VIRNETTE CORPORATION	18.00000	900.00		
Total Value of Priced Investments			$11,700.00	$39.40	.33%

Custodial Holdings		Symbol	Current Price	Date	Value	Custodian	Dividend Cap Gains Option	Fund Plan Number
3815.37	MSDW US GVT MYMKT	SGMT	1.00	12/29/00	3,815.37	MSDWT	Reinv/ N/A	511100437
Total Custodial Holdings					$3,815.37			

Asset Summary	Value	Est Yrly Income
Cash	6.75	
Total Account Valuation	$15,522.12	$39.40

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KATHERINE SIMPSON

Account Number 148 012437 — FA 010

DAILY ACTIVITY DETAILS

Date	Activity	Quantity	Description	Price	Amount	Cash Balance
			CLOSING BALANCE AS OF 11/30			.00
12/06	Dividend		MSDW US GVT MYMKT		+ .18	.00
	Direct Purchase	180	MSDW US GVT MYMKT	1.00	-.18	.00
			REINVESTMENT			.00
12/07	Bought	100	INTEL CORP	33.3125	-3,391.15	-3,391.15
	Sold	196.25	MSDW US GVT MYMKT	1.00	+196.28	-3,194.90
12/11	Deposit		FUNDS RECEIVED		+7,000.00	+3,805.10
12/12	Bought	3805.10	MSDW US GVT MYMKT	1.00	-3,805.10	.00
12/29	Dividend		MSDW US GVT MYMKT		+10.27	.00
	Direct Purchase	10.270	MSDW US GVT MYMKT	1.00	-10.27	.00
			REINVESTMENT			.00
12/31	Dividend		SUN LIFE FINL SVCS CDA INC		+6.75	+6.75
	Dividend		SUN LIFE FINL SVCS CDA INC		+ .00	+6.75
			ADJ GROSS DIV AMOUNT 1.19			+6.75
			FOREIGN TAX PAID IS 1.19			+6.75
			CLOSING BALANCE AS OF 12/31			+6.75

Morgan Stanley Dean Witter Fund Summary	YTD Dividends	YTD Cap Gains	YTD Taxes	Expires
MSDW US GVT MYMKT	30.00	0.00	0.00	Reinvest Dividends
Totals	450.09	40.00	40.00	

KATHERINE SIMPSON

Account Number FA
146 012447 010

Morgan Stanley Dean Witter Fund Summary

MSDW US GVT MYMKT

Following are the Fund's 30-day average annualized yields during the past quarter: October 5.93%, November 5.90% and December 5.85%.

Income Summary

	This Month	Year-to-Date		This Month	Year-to-Date
Dividends	18.39	38.03	Interest	.00	.00
Accrued Bond Interest	.00	.00	Margin Interest	.00	.00

Tax Information

	This Month	Year-to-Date
Foreign Tax Paid	1.19	1.19

Messages

If you wish to borrow, Morgan Stanley Dean Witter's Margin loan rate as of 12/31 is 9.87% to 11.62%.

4900

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MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2000

Your Financial Advisor
WILLIAM BROWN
MORGAN STANLEY DEAN WITTER
670 SOUTH WOODRUFF AVE
IDAHO FALLS, ID 83401
(208) 525-1301

Account Number FA
146 012442 010

Date of Birth
September 08, 1950

MICHAEL SIMPSON 000240
786 HOFF DR
BLACKFOOT ID 83221 1553 146

IRA Standard
Dated 05/26/99

Asset Summary

	Value	% of Asset
Money Market Funds	$381.66	1.1%
Stocks	0.00	0.0
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	34,298.83	98.9
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$34,680.49	100.0%
Cash	0.00	
Total Asset Value	$34,680.49	

Income Summary

	This Month	Year-To-Date
Money Market Funds	$1.76	$21.34
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$1.76	$21.34

Activity Summary

Total Asset Value September 30 2000		$47,319.05
Cash/Money Market Activity		
Closing Balance 9/30	$376.19	
Credits To Your Account		
Dividends and Interest	5.47	
Deposits	0.00	
Sales Proceeds Redemptions	0.00	
Other Credits	4,059.04	
Total Credits	4,064.51	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	-4,059.04	
Other Debits	0.95	
Total Debits	-4,059.04	
Closing Balance 12/31	381.66	
Net Change Cash/Money Market Activity		5.47
Changes in Asset Value		
Value of Priced Assets 9/30	$46,942.86	
Securities Bought	4,059.04	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change in Value of Priced Assets	-16,703.07	
Value of Priced Assets 12/31	34,298.83	
Net Change in Asset Value		-12,644.03
Total Asset Value as of December 31 2000		$34,680.49

Please see page 4 for your Account's Retirement Recap

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4901

MICHAEL SIMPSON
786 HOFF DR

Account Number FA
146 012442 010

ASSET DETAILS

Cash and Money Market Funds	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
MSDW LIQUID ASSET FUND	381.66	1.1%	23
Total Cash and Money Market Funds	$381.66	1.1%	$23

Net Change Since Last Statement $5.47

Annualized 30 Day Yields	
MSDW Liquid Asset Fund	6.07%
MSDW US Gvt Mymkt	5.85%

Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Other Mutual Funds								
358.833 ALLIANCE PREMIER GROWTH FD C	25.0000	8,970.82	25.9%	N/A	N/A		APGCX	Reinv/Reinv
179.222 VAN KAMPEN EMERGING GROWTH C	56.9900	10,213.86	29.5%	N/A	N/A		ACEFX	Reinv/Reinv
234.551 MFS EMERGING GROWTH FUND C	42.5800	9,987.18	28.8%	N/A	N/A		MFECX	Reinv/Reinv
150.307 HUNDER NET NET C	34.1100	5,126.97	14.7%	N/A	N/A		MNNCX	Reinv/Reinv
Total Mutual Funds		$34,298.83	98.9%	N/A	N/A			

Net Change Since Last Statement -12,644.03

Asset Summary	Value	Est Yrly Income
Total Asset Value	$34,680.49	$23

Total Net Change in Priced Assets Since Last Statement -12,644.03

4902

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MICHAEL SIMPSON
788 HOFF DR

Account Number FA
146 012442 010

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
10-31	Dividend	MSDW LIQUID ASSET FUND	1.92	Money Market Funds
11-30	Dividend	MSDW LIQUID ASSET FUND	1.80	Money Market Funds
12-29	Dividend	MSDW LIQUID ASSET FUND	1.75	Money Market Funds
		Total Dividends and Interest	5.47	

See Income Summary on page 1 for the month to date and year to date amounts per income category.

Other Credits

Date	Activity	Description	Amount	Additional Information	
10-15	LT Capital Gain	ALLIANCE PREMIER GROWTH FD C	863.65		
12-15	LT Capital Gain	VAN KAMPEN EMERGING GROWTH C	2,118.45		
12-18	LT Capital Gain	MFS EMERGING GROWTH FD C	1,076.94		
		Total Other Credits	$4,059.04	Year-To-Date	$4,129.54
Total Credits To Your Account			$4,064.51	Year-To-Date	$4,150.88

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information	
12-15	Bought	33.062	ALLIANCE PREMIER GROWTH FD C	26.1300	863.65	REINVESTMENT	
12-15	Bought	36.664	VAN KAMPEN EMERGING GROWTH C	57.7800	2,118.45	REINVESTMENT	
12-18	Bought	24.259	MFS EMERGING GROWTH FD C	44.4600	1,076.94	REINVESTMENT	
			Total Funds to Purchase Securities		-4,059.04	Year-To-Date	-4,129.54
Total Debits To Your Account					-4,059.04	Year-To-Date	-4,159.54

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4903

MICHAEL SIMPSON
788 HOFF DR

Account Number FA
146 012442 010

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Closing Balance 9/30	376.19	
10-31	Dividend Reinvestment	MSDW LIQUID ASSET FUND	1.92	
11-30	Dividend Reinvestment	MSDW LIQUID ASSET FUND	1.80	
12-29	Dividend Reinvestment	MSDW LIQUID ASSET FUND	1.75	
		Closing Balance 12/31	381.66	

Morgan Stanley Dean Witter Fund Summary

	YTD Dividends	YTD Cap Gains	YTD Taxes	Features
MSDW LIQUID ASSET FUND	21.34	0.00	0.00	Reinvest Dividends
Totals	$21.34	$0.00	$0.00	

For Morgan Stanley Dean Witter Mutual Fund Account Information, call 1-800-869-NEWS(6397).

MSDW LIQUID ASSET FUND
Following are the Fund's 30-day average annualized yields during the past quarter: October 6.06%, November 6.03% and December 6.07%.

Retirement Recap

	2000	1999
Account Valuation	34,680.49	48,035.15

Messages

Morgan Stanley Dean Witter offers a wide range of online services, including online investing.
Ask your Financial Advisor or visit www.msdw.com for details on the various online alternatives we offer.

4904

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519-62-8652

PERSI

Public Employee Retirement System of Idaho

HELPING YOU BUILD A SECURE RETIREMENT

April 24, 2001

MICHAEL SIMPSON
786 HOFF DR
BLACKFOOT IDAHO 83221
Fax (208) 785-5444

RE: ACCOUNT INQUIRY

Dear Mr Michael Simpson:

I have researched your PERSI records; the information you requested is listed below.

$10,564.64	–	Contributions as of December 31, 1998
$6,511.14	–	Interest as of December 31, 2000
$17,075.78	–	Total

Your account is currently earning interest at the rate of 12.86% per annum, compounded monthly (effective 1-1-2001).

If you have further questions or need further information, I can be reached at 334-2451, extension 241 or 1-800-451-8228.

Sincerely,

Kay E Prince

Kay Prince
Technical Records Specialist 1

Governor Dirk Kempthorne

Retirement Board
Jody B. Olson, Chairman
Susan K. Simmons
Dennis L. Johnson
J. Kirk Sullivan
Pamela I. Ahrens

Executive Director
Alan H. Winkle

BOISE
Mailing Address
P.O. Box 83720
Boise, ID 83720-0078

Office Address
607 North 8th Street
Boise, ID 83702-5518

208-334-3365
1-800-451-8228
FAX 208-334-3805

POCATELLO
Mailing Address
P.O. Box 1058
Pocatello, ID 83204

Office Address
850 East Center, Ste. "D"
Pocatello, ID 83201

208-236-6225
1-800-762-8228
FAX 208-236-6159

COEUR D' ALENE
Mailing & Office Address
2005 Ironwood Pkwy. #142
Coeur d'Alene, ID 83814

208-769-1474
1-800-962-8228
FAX 208-769-1476

Equal Opportunity Employer

BECHTEL BWXT IDAHO, L. L. C.

October 01, 2000 - December 31, 2000 Page 1 of 5

For information call:
Vanguard Participant Services
(800) 523-1188
Or via the internet at: www.vanguard.com

INEEL
IDAHO NATIONAL ENGINEERING & ENVIRONMENTAL LABORATORY

KATHERINE SIMPSON
786 HOFF DR
BLACKFOOT ID 83221-1553

A MESSAGE FROM YOUR PLAN SPONSOR

Great News! Effective January 1, 2001 you can now contribute up to 20% of your pay to the plan. Contributions can be made with before-tax dollars, after-tax dollars, or a combination of both. Before-tax contributions are subject to an annual limit set by the IRS ($10,500 for 2001). This enhanced ability to save for your future makes this a great time to consider increasing the amount you are contributing to the plan. If you would like to change your contribution amount, simply call Vanguard at 1-800-523-1188 or visit www.vanguard.com.

Finding online investment or financial information has never been easier. Vanguard's award winning web site "Vanguard.com" is now even more intuitive and easier to navigate. In response to user input, we've reorganized and redesigned whole sections to help you access the information you need--fast. But not to worry. Some things have stayed the same. If you're a registered user, you can still access personal account information by clicking on the new "Personal Investors" section and then "My Accounts." Visit us online soon. You'll like our new look.

Beginning with this quarter's statement, please notice your account information is printed on both sides of the statement paper. This is the result of a Vanguard initiative to conserve paper, reduce mailing costs and create greater efficiency. Page numbers appear at the top right hand corner as a guide. The "Commonly Asked Questions" module that formerly appeared on the reverse side of the statement pages has been moved to the end.

BECHTEL BWXT IDAHO, L. L. C.

October 01, 2000 - December 31, 2000 Page 2 of 5

For information call
Vanguard Participant Services
(800) 523-1188
Or via the internet at www.vanguard.com

KATHERINE SIMPSON

INEEL EMPLOYEE INVESTMENT PLAN
Plan No.: 091194
Soc. Sec. No.: 519-52-2011

Balance	$ 99,369.39

YOUR ACCOUNT ACTIVITY

		This quarter	Year-to-date
	Opening balance	$ 105,198.76	$ 99,375.53
Contributions	PRE-TAX	$ 1,547.28	$ 6,048.48
	COMPANY MATCH	928.38	3,629.10
Earnings	Dividends, capital gains	$ 300.78	$ 1,016.93
	Unrealized gain/loss	- 8,605.81	- 10,697.58
Other transactions	Plan administrative fees	$ 0.00	-$ 3.07
	Closing balance	$ 99,369.39	$ 99,369.39

This section provides you with general information about your individual plan account. Any information labeled as Year-to-Date represents activity since January 1 of the current calendar year. Contributions shown here represent money that was received during the statement period shown above. Earnings are defined as the net change in your account value due to the reinvestment of dividends and/or interest as well as the change in Fund prices. Dividends paid by a Fund may cause a drop in the Fund price. This change may cause an unrealized loss in your fund account, but it is offset by the dividend amount added to your account.

YOUR PLAN ALLOCATION

	Current asset mix	Contribution allocation
Stocks	100%	100.0%

Please note that Vanguard has changed the name of the short-term reserves category to cash reserves. The cash reserves category represents investments which seek to preserve the original amount that you invest while providing current income.

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October 01, 2000 - December 31, 2000

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HOW WE INVEST YOUR CONTRIBUTIONS AS OF 01/08/2001

Vanguard 500 Index Fund	100.0%

YOUR PAYROLL DEDUCTIONS AS OF 01/08/2001

PRE-TAX 8.00% of your salary

If you would like to change the amount you are contributing, please call Vanguard at the toll-free telephone number listed above.

MARKET VALUE BY FUND AND SOURCE

	PRE-TAX	COMPANY MATCH	TOTALS BY FUND
Vanguard 500 Index Fund	$ 65,855.82	$ 33,513.57	$ 99,369.39
Totals by source	**$ 65,855.82**	**$ 33,513.57**	**$ 99,369.39**

VALUE SUMMARY

	Opening balance	Contributions	Other transactions	Shares/units	Closing balance
Vanguard 500 Index Fund	$ 105,198.76	$ 2,475.66	-$ 8,305.03	815.439	$ 99,369.39
Total value	**$ 105,198.76**	**$ 2,475.66**	**-$ 8,305.03**		**$ 99,369.39**

TOTAL VESTED VALUE

	Closing balance	Vested percent	Vested value
PRE-TAX	$ 65,855.82	100.00%	$ 65,855.82
COMPANY MATCH	33,513.57	100.00	33,513.57
Total vested value			**$ 99,369.39**

- *Your vested balance is the amount of money you are entitled to if you terminate your service at your employer. The money you have had deducted from your pay is always 100% vested. The money contributed to the plan by your employer is vested based on your time in service. If you have questions regarding vesting or your vested balance, please call Vanguard at the toll-free telephone number shown above.*

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October 01, 2000 - December 31, 2000

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PERFORMANCE SUMMARY AS OF 12/31/2000

	VOICE™ fund number	Date fund started	3 months	1 year	3 years	5 years	10 years
Short-Term Investments							
Vanguard Prime Money Market Fund	0030	06/04/1975	1.61%	6.29%	5.56%	5.48%	5.02%
INEEL Stable Value Fund	1203	12/31/1990	1.57	6.40	6.04	6.74	7.22
Bonds							
Vanguard Total Bond Market Index Fund	0084	12/11/1986	3.98	11.39	6.28	6.35	7.80
Balanced (Stocks and Bonds)							
Vanguard Asset Allocation Fund	0078	11/03/1988	-1.16	4.93	11.46	15.33	15.26
Domestic Equity (Stocks)							
◆ Vanguard 500 Index Fund	0040	08/31/1976	-7.81	-9.06	12.30	18.31	17.35
Vanguard Windsor II Fund	0073	06/24/1985	6.40	16.86	8.60	16.06	16.81
Vanguard Morgan Growth Fund	0026	12/31/1968	-13.72	-12.51	12.78	18.27	16.75
Vanguard PRIMECAP Fund	0059	11/01/1984	-6.90	4.47	22.81	24.55	22.72
International Equity (Stocks)							
Vanguard International Growth Fund	0081	09/30/1981	-1.13	-8.60	16.53	10.02	10.30
Client Specific							
Lockheed Martin Stock Fund	1122	01/31/1995	3.37	57.11	-9.88	-1.01	9.38*

◆ Indicates the funds in which you have balances
--- Not available for this time period. See "Date fund started"
* Since the fund's inception

Since inception performance figures for Vanguard or non-Vanguard funds greater than 10 years old or for Vanguard-managed company stock accounts or stable value accounts greater than 10 years old are no longer printed on Vanguard statements. However, except for non-Vanguard funds, this information is available online at Access Vanguard™ at www.vanguard.com or by calling Participant Services.

Performance figures for periods of more than one year are expressed as average annual total returns.

Performance figures include the reinvestment of dividends and capital gains distributions.

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The total return data provided represent past performance, and the investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost.

An investment in a money market fund is neither insured nor guaranteed by the U.S. government, and there is no assurance that the fund will be able to maintain a stable net asset value of $1 per share.

Vanguard funds are neither insured nor guaranteed by the U.S. government.

Vanguard is a registered trademark, and all Vanguard fund names are trademarks of The Vanguard Group, Inc.

BECHTEL BWXT IDAHO, L. L. C.

October 01, 2000 - December 31, 2000 Page 5 of 5

For information call:
Vanguard Participant Services
(800) 523-1188
Or via the internet at: *www.vanguard.com*

INEEL
ENERGY NATIONAL LABORATORY

KATHERINE SIMPSON

COMMONLY ASKED QUESTIONS

What is the difference between total return and yield?

Total return is the standard way of measuring mutual fund performance. For bond and stock funds, total return consists of income (interest and dividends) paid by your investment, plus any change in the fund's share price. For money market funds and investment contracts, total return consists entirely of income.

Yield is a snapshot of an investment's interest and dividend income. It generally is based on earnings paid over the past 30 days (a money market fund's yield is based on earnings paid over the past seven days), projected for the coming year. Because it ignores price changes, yield is not a useful measure of overall performance for investments that fluctuate in value, such as stock or bond funds.

My statement shows that one or more of my funds has an unrealized gain/loss. What does this mean?

The unrealized gain/loss figure on your statement is the difference between the market value of a fund's shares at the beginning of the statement period and the market value at the end of the period. The gain or loss is not realized until you sell your fund shares.

I calculated the return on one of the investments in my plan, but the number did not match the total return reported for the fund. Why?

The total return reported by a fund will rarely match the return you get through your plan because a fund's reported total return is calculated based on the assumption that:

- A lump-sum purchase is made on the first day of the period.
- No additional purchases (aside from reinvested dividend and capital gain distributions) or withdrawals are made for the remainder of the period.

For example, assume that Fund A reported a total return of 12.5% for the year. This means that if you invested $1,000 in Fund A on December 31 of the previous year and reinvested all distributions (including dividend and capital gain distributions), but made no additional purchases or withdrawals, your total return for the year would be $125 (12.5%, or .125 x $1,000).

As a participant in a retirement savings plan, you continually buy shares at various prices, so your account's total return will differ from that reported by the fund. Computing total return for an account with multiple contributions requires specific data on each transaction, including the exact dollar amount of each contribution and the date on which the money was invested. Other account transactions, such as exchanges or withdrawals, also will affect your return.

Where can I get additional account or statement information?

If your plan offers online access, go to **www.vanguard.com** and click My Accounts. Or, call Vanguard's Participant Access Center at 1-800-523-1188. Vanguard Associates are available Monday through Friday, from 8:30 a.m. to 9:00 p.m. Eastern time. Those who are hearing impaired can call our TTY/TDD line at 1-800-523-8004 Monday through Friday, from 8:30 a.m. to 9:00 p.m. Eastern time.

Where can I get more information on individual accounts, such as an Individual Retirement Account or a Uniform Gift to Minors Act account?

For information on any of Vanguard's individual accounts, go to **www.vanguard.com** or call Vanguard's Investor Information Department toll-free at 1-800-662-7447.

Edward Jones

Watch the mail for your consolidated 1099 statement.
Edward Jones will mail your tax-reporting information by Jan. 31, 2001. Each account with tax-reportable activity or income will receive a separate 1099 statement. Accounts exempt from receiving 1099s (retirement accounts, corporations and banks) will not receive this mailing.

If we can be of service during tax time, please contact your Edward Jones investment representative.

SIMPSON DENTAL OFFICE 008410
MICHAEL K SIMPSON
786 HOFF DR
BLACKFOOT ID 83221-1553

December 2000

NEIL A. ANDERSON

208-785-3370
800-927-0837
PO BOX 778
BLACKFOOT ID 83221

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Keep your securities safe

You've worked hard to build financial security.
Here are some tips for keeping your assets and accounts safe:

Always make your checks payable to Edward Jones, not to your investment representative or any other Edward Jones associate.

Ask for receipts when you deposit checks or securities. Edward Jones does not accept cash deposits.

Hold your securities in firm name. With this service, Edward Jones holds your investments so that you don't have to worry about certificate theft, loss or damage, or costly certificate replacement.

Review statements and trade confirmations for accuracy, making sure they reflect the activity you have authorized. Keep these documents in a file or binder.

Make sure that all transactions, including deposits and withdrawals, appear on your statement. Your statement is mailed quarterly, or monthly if there has been activity in your account.

If an error or problem occurs, please bring it to our attention immediately.

Call your investment representative or our Customer Service Department at: 800-441-2357, Monday - Friday, 7 a.m. to 7 p.m. Central time.

You may also write to us at: Edward Jones; Attn: Compliance Dept.; 12555 Manchester Rd.; St. Louis, MO 63131.

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Account number: 823-90401-1-9
Statement type: Preferred
Nov 1, 2000 - December 31, 2000

[illegible] Parkway
[illegible] Heights, MO 63043-3042
[illegible]

Edward Jones
Serving individual investors

A Unique Understanding of Your Financial Needs

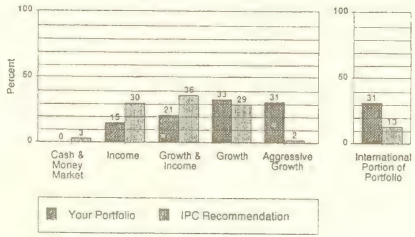
At Edward Jones, we believe the best investment recommendations are those tailored to your specific needs. That's why we work so hard to thoroughly understand your financial situation and your goals. The following is an overview of your investment and borrowing activity with Edward Jones. Working with your investment representative, use it to determine how we can help you meet other important financial goals. Call NEIL A. ANDERSON at 208-785-3370 or 800-927-0837.

Please refer to your account statement for the exact registration and more specific details regarding each account. Edward Jones statements are issued for each account in March, June, September and December, as well as any month for which account activity occurs.

Investment accounts	Account holder	Account number	Current value	Value one year ago
Profit sharing/separate account	MICHAEL K SIMPSON	823-90401-1-9	$430,418.37	$467,030.43

How do you approach today's stock market?
After a long streich of double-digit returns, the stock market's performance in 2000 was disappointing to some. While it may not be comfortable for investors, it's normal for the stock market to have down years. During these periods, it's more important than ever to remember the basics of successful equity investing: Stay focused on your long-term objectives, stick with companies that have strong fundamentals and buy high-quality companies with reasonable price-to-earnings ratios (P/Es).

Are you taking advantage of diversification?



The value of diversification
Diversification refers to the way you spread your assets among different types of investments. Over the long term, it can help reduce risk and improve returns so you reach your goals. This graph compares the way you currently diversify your investments to the recommendations of the Edward Jones Investment Policy Committee. Your investment representative can help you determine the right diversification strategy based on your individual situation. (Totals include all the assets in the investment and retirement accounts listed under "A Unique Understanding of Your Financial Needs" on page 1 of the statement.)

We hope this overview of your relationship with Edward Jones provides useful information as you consider your financial decisions. Information reported from this point forward is specific to your individual investment and/or retirement account(s).

Please refer to the Important Information and disclosures on the last page of this package.

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Account number [illegible]
Statement period
November 25 - December 29, 2000

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EDWARD D JONES & CO CUSTODIAN
SIMPSON DENTAL OFFICE
FBO MICHAEL K SIMPSON PS
786 HOFF DR
BLACKFOOT ID 83221-1553

NEIL A. ANDERSON
PO BOX 778
BLACKFOOT ID 83221
208-785-3370
800-927-0837

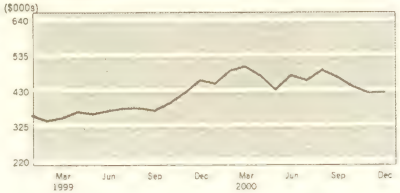
Value Summary (Held at Edward Jones)

Value on Dec 31	$430,418.37
Value on Nov 25	$429,152.33
Value one year ago	$467,030.43

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 25	Change in value
Cash & money market	$492.33	$489.13	$3.20
Mutual funds	429,926.04	428,663.20	1,262.84
Total at Edward Jones	$430,418.37	$429,152.33	$1,266.04

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

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Your Retirement Account Summary

	This period	Cumulative
2000 Contributions	$0.00	$0.00
1999 Contributions	$0.00	$0.00
Rollover contributions	$0.00	$459.91

Happy New Year!
Make building your retirement savings part of your New Year's resolution. Contact your investment representative for a free portfolio review.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	$3.20	$16.72
Dividends	2,183.50	8,352.88
Capital gain distributions	45,227.67	45,975.22
Total	$47,414.37	$54,344.82

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Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

Cash & money market funds	Quantity	2001 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
Money Market 5.69%	492	2	2	2	2	2	2	2	2	2	2	2	2	24
Mutual Funds														
AMERICAN HIGH INCOME TRUST*	2,572	269	269	269	269	269	269	269	269	269	269	269	269	3,228
BOND FUND OF AMERICA INC*	2,543	197	197	197	197	197	197	197	197	197	197	197	197	2,364
FUNDAMENTAL INVESTORS INC*	1,568		159			159			159			159		636
INCOME FUND OF AMERICA INC*	2,[illegible]22			484			484			484			484	1,936
NEW PERSPECTIVE FUND INC*	2,076												404	404
NEW WORLD FUND INC NEW*	364												135	135
Total		468	627	952	468	627	952	468	627	952	468	627	1,491	8,727

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Money market	5.59%	5.86%	$492.33
Total cash and money market funds			$492.33

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIM AGGRESSIVE GROWTH FD CL A Quote Symbol: AAGFX	Aggressive	$12.77	3,865.607	$49,363.80	—	—
AIM CONSTELLATION FUND CL A Quote Symbol: CSTGX	Aggressive	28.93	1,577.109	45,625.76	27,305.88	—
AIM GROUP VALUE FD CL B Quote Symbol: AVLBX	Growth	11.94	3,963.525	47,324.49	—	—
AIM INTERNATIONAL FUNDS INC GLOBAL AGGRESSIVE GROWTH CL B Quote Symbol: AGABX	Aggressive	17.00	2,348.855	39,930.54	28,273 32	—
AIM INTL EQUITY FUND CLASS B Quote Symbol: AIEBX	Growth	18.41	2,038.434	37,527.57	27,938.33	—
AMERICAN HIGH INCOME TRUST Quote Symbol: AHITX	Aggressive Income	12.14	2,572.515	31,230.33	26,584.05	—
BOND FUND OF AMERICA INC Quote Symbol: ABNDX	Income	12.79	2,543.994	32,537.68	26,572.52	—
FUNDAMENTAL INVESTORS INC Quote Symbol: ANCFX	Growth & Income	31.16	1,598.504	49,809.38	26,564.04	—
INCOME FUND OF AMERICA INC Quote Symbol: AMECX	Growth & Income	15.94	2,422.119	38,608.58	26,564.04	—
NEW PERSPECTIVE FUND INC Quote Symbol: ANWPX	Growth	24.05	2,076.293	49,934.85	26,564.03	—
NEW WORLD FUND INC NEW Quote Symbol: NEWFX	Growth	22.01	364.973	8,033.06	8,565.94	—
Total mutual funds				**$429,926.04**	—	—
Total estimated asset value				**$430,418.37**		

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Summary of Your Investment Activity

Total cash and money market funds on Nov 25	$189.13
Additions	
Income	$47,414.37
Total additions	$47,414.37
Subtractions	
Withdrawals to purchase securities	-$47,411.17
Total subtractions	-$47,411.17
Total cash and money market funds on Dec 31	**$492.33**

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	12/29	MONEY MARKET		41	5.73	$3.20	Money market
	Type	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	11/27	BOND FUND OF AMERICA INC AT DAILY ACCRUAL RATE	2507.828			$194.35	Reinvested
		11/29	AMERICAN HIGH INCOME TRUST AT DAILY ACCRUAL RATE	2521.323			264.74	Reinvested
		12/14	NEW PERSPECTIVE FUND INC	1828.551	0.195		356.57	Reinvested
		12/15	NEW WORLD FUND INC NEW	358.982	0.37		132.82	Reinvested
		12/18	INCOME FUND OF AMERICA INC	2318.791	0.20		463.76	Reinvested
		12/18	FUNDAMENTAL INVESTORS INC	1503.381	0.10		150.34	Reinvested

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Additions, continued

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	12/26	BOND FUND OF AMERICA INC AT DAILY ACCRUAL RATE	2523.265			$264.92	Reinvested
		12/29	AMERICAN HIGH INCOME TRUST	2543.094	0.02		50.86	Reinvested
		12/29	AMERICAN HIGH INCOME TRUST AT DAILY ACCRUAL RATE	2543.094			305.14	Reinvested
	Capital gains distributions Short-term	12/18	AIM GROUP VALUE FD CL B	3565.791	0.078		$279.20	Reinvested
	Capital gains distributions Long-term	12/14	NEW PERSPECTIVE FUND INC	1828.551	3.10		$5,668.51	Reinvested
		12/15	AIM INTL GLOBAL AGGR GR FD B	1850.932	4.737		8,768.42	Reinvested
		12/15	AIM INTL EQUITY FUND CLASS B	1887.335	1.484		2,801.37	Reinvested
		12/18	AIM GROUP VALUE FD CL B	3565.791	1.231		4,390.20	Reinvested
		12/18	AIM CONSTELLATION FUND CL A	1256.556	7.415		9,318.49	Reinvested
		12/18	AIM AGGRESSIVE GROWTH FD CL A	3063.488	3.301		10,114.72	Reinvested
		12/18	INCOME FUND OF AMERICA INC	2318.791	0.48		1,113.02	Reinvested
		12/18	FUNDAMENTAL INVESTORS INC	1503.381	1.845		2,773.74	Reinvested
		Total Income					**$47,414.37**	

Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	11/27	REINVESTMENT INTO BOND FUND OF AMERICA INC	15.437	12.59		-$194.35	Income
	11/29	REINVESTMENT INTO AMERICAN HIGH INCOME TRUST	21.771	12.16		-264.74	Income

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/14	REINVESTMENT INTO NEW PERSPECTIVE FUND INC	14.662	24.32		-$356.57	Income
	12/14	REINVESTMENT INTO NEW PERSPECTIVE FUND INC	233.08	24.32		-5,668.51	Income
	12/15	REINVESTMENT INTO AIM INTL EQUITY FUND CLASS B	151.099	18.54		-2,801.37	Income
	12/15	REINVESTMENT INTO AIM INTL GLOBAL AGGR GR FD B	497.923	17.61		-8,768.42	Income
	12/15	REINVESTMENT INTO NEW WORLD FUND INC NEW	5.991	22.17		-132.82	Income
	12/18	REINVESTMENT INTO AIM CONSTELLATION FUND CL A	320.553	29.07		-9,318.49	Income
	12/18	REINVESTMENT INTO AIM GROUP VALUE FD CL B	23.782	11.74		-279.20	Income
	12/18	REINVESTMENT INTO AIM GROUP VALUE FD CL B	373.952	11.74		-4,390.20	Income
	12/18	REINVESTMENT INTO INCOME FUND OF AMERICA INC	72.937	15.26		-1,113.02	Income
	12/18	REINVESTMENT INTO FUNDAMENTAL INVESTORS INC	4.891	30.74		-150.34	Income
	12/18	REINVESTMENT INTO FUNDAMENTAL INVESTORS INC	90.232	30.74		-2,773.74	Income
	12/18	REINVESTMENT INTO AIM AGGRESSIVE GROWTH FD CL A	832.119	12.61		-10,114.72	Income

Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/18	REINVESTMENT INTO INCOME FUND OF AMERICA INC	30.391	15.26		-$463.76	Income
	12/26	REINVESTMENT INTO BOND FUND OF AMERICA INC	20.729	12.78		-264.92	Income
	12/29	REINVESTMENT INTO AMERICAN HIGH INCOME TRUST	25.218	12.10		-305.14	Income
	12/29	REINVESTMENT INTO AMERICAN HIGH INCOME TRUST	4.203	12.10		-50.86	Income
	Total withdrawals to purchase securities					**-$47,411.17**	

Will Social Security be enough to cover your retirement expenses?
To answer this question, you need to know how much Social Security income you will receive. The Social Security Administration should send you this information three months before your birthday month. If the estimate of your retirement benefits isn't adequate to cover your anticipated retirement expenses, see your investment representative for ideas on the best ways to save now to make up for the shortfall.

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Serving Individual Investors Since 1871

Please refer to any account agreement forms for a complete discussion of terms and conditions governing your account. All transactions are subject to applicable rules of the exchange market and clearinghouse where the trade occurred, as well as of the Securities Exchange Commission, The Federal Reserve System and any applicable self-regulatory organization.

If your records differ from your statement or you believe an error has occurred in your account, contact your investment representative, write to Edward Jones, attn: Customer Reporting Dept., 201 Progress Parkway, Maryland Heights, MO 63043; contact us at www.edwardjones.com; or call us toll-free at 800-441-2357, Monday - Thursday, 7 a.m. - 9 p.m. and Friday, 7 a.m. - 7 p.m. Central time.

Account Protection

Securities held in custody for your account by Edward Jones (except annuities and insurance) are protected up to a total of $100,000,000 per account. Of this total, Securities Investor Protection Corporation (SIPC) provides $500,000 of protection, including $250,000 for claims for cash. The remaining $99,500,000 of protection on securities only is provided through Aetna Casualty and Surety Co. This protection does not guard against market loss.

REGULATORY DISCLOSURES

Rights to your Free Credit Balance

We are permitted to use your free credit balances to conduct our business, subject to the limitations of 17C.F.R 240.15c3-3 under the Securities Exchange Act of 1934. You have the right to demand and receive, during normal business hours, the free delivery of your free credit balances, any securities to which you are entitled and which have been fully paid for, upon full payment of any debit to us, any securities purchased on margin.

"Amount of money you can borrow"

"Amount of money you can borrow" displayed on this statement is not a commitment to loan funds. The amount available is typically 50% of the value of your investments, not all funds loan. Your loan value could change daily. Your investment representative has access to this daily value as well as more information on the "Amount of money you can borrow" should you wish to activate this account feature. The minimum portfolio value required to be eligible for this feature is $4,000. Single, joint and business account clients are eligible.

Loan/Margin Accounts

If you have a loan or margin account, this statement covers your general brokerage account as specified in recordkeeping account maintained for you under section 8(b)(3) of Regulation T issued by the Board of Governors of The Federal Reserve System. A separate account for securities you have sold short. The permanent record of the separate account is available for your inspection upon request.

Retirement Account Distributions

IRS Notice: 10 percent federal income tax will be withheld from distributions from all types of IRAs unless a higher percentage or no withholding is selected. Insufficient withholding may require an estimated tax payment to avoid IRS penalties. Once you advise us of your withholding, it will remain in effect until you change it.

Transaction/Settlement Dates

Securities transactions are listed on this month's statement on the settlement date shown on the transaction confirmation, except for transactions involving Daily Passport Cash Trust or Tax-Free Instruments Trust (the "Jones Money Market Funds") which are listed on the trade date. Additional sections report pending trades and open orders.

Contingent Deferred Sales Charge

Shares sold on certain classes of mutual funds may be subject to a contingent deferred sales charge.

Financial Statement

This month's financial statement is available for your inspection at your branch office or a copy will be mailed upon written request.

Investment Objectives

You must inform us promptly of any material change in your investment objectives or financial situation. Tax classifications for dividends, interest and other distributions are provided for general information and may not be applicable to your individual situation. A tax document will be provided at year-end.

Information for Investors

Edward Jones is a member of the New York Stock Exchange, the American Stock Exchange and the Chicago Board Exchange. The firm also transacts business with a variety of dealers in securities, including listed and over-the-counter stocks and bonds, government and agency issues and municipal securities. For equity securities, the firm monitors the performance of competing market centers and dealers and routes orders to those that consistently guarantee executions at the national best bid or offer or better. Routed market orders generally are directed to market centers or dealers that offer opportunity for better prices through either automated or manual systems. The firm receives from certain market centers and dealers other cash payments of credits against exchange fees or return for routing orders.

For a given trading day, the name of the other broker or party to the transaction will be furnished upon written request. For agency and principal transactions, the date and time of execution will be furnished upon written request. These time/date the firm may receive other compensation for agency trades from other sources; such information will be furnished upon written request.

For questions about your VISA credit card, please call toll-free at 800-336-9996.

For questions regarding your mortgage or home equity loans, please refer to the separate loan statement issued for a description of fees and charges.

TERMINOLOGY

Total Estimated Value

This approximate value of the assets held at Edward Jones and outside companies at the statement date shown or date specified in the detail of your Assets. This estimated as the prices used to value your securities are provided by an outside service and do not always represent the exact market price. Edward Jones cannot guarantee the accuracy of such values. If you need the exact price of a security, contact your investment representative. Values for many fixed income securities are estimates based on coupon rate and credit rating and may not represent the actual transaction price. Values do not include accrued interest or dividends and for some investments do not reflect applicable charges and fees.

Your Assets Held Outside Edward Jones

These balances are provided for informational purposes only to give you an overall picture of your investments with Edward Jones. No SIPC coverage is extended to assets held outside the custody of Edward Jones. Please refer to the statement received directly from these companies for details.

Cost Basis

Cost basis is the amount paid for a security, including commissions, reinvestments and original issue discount (OID). It includes adjustments for sales, principal returns, splits and spin offs. Some of the data may be unsubstantiated and should not be relied upon for tax preparation.

Amount Invested/Withdrawn

Amount Invested reflects all purchases and other additions to your holdings of a security, with the exception of dividend reinvestments. It helps track the long-term performance of your investment and is a reminder of its approximate cost. Amount Withdrawn shows how much of your investment has been sold, redeemed or transferred from your account. These figures should not be used for tax reporting or tax preparation purposes.

Ratings and Recommendations

Edward Jones' research opinions and Standard & Poor's and Moody's ratings may be shown for certain securities. The ratings or recommendations should not be considered an indication of future performance.

Account Activity

Entries appearing in Account Activity sections under the columns headed "Where Invested" or "Sources of Funds" are additions to or subtractions from your account. For clients who have signed an Edward Jones Full Service Agreement, entries therein indicating the "Jones Money Market" means the Tax-Free Instruments Trust and entries which indicate "Money Market" means the Daily Passport Cash Trust. Activity dates for entries appearing under "Sources of Funds" correspond to the day of sale of the designated Edward Jones Money Market Fund. Entries appearing under "Where Invested" will on the second business day after the date shown, be transacted as a purchase of the previously designated Edward Jones Money Market Fund, with the following exceptions which will be transacted as a purchase on the date indicated:

Source of Funds	Number of Days After Activity Date Shown Unsettled Purchase of Money Market Fund
Wire/Xpress, Wire/Bankhead Stock Transfer, Mutual Funds	1
Security Sale or Interest/Dividend Received*	3
Bond Maturities, Calls, Tendered Bonds*	*
Transfer from Wire Account or Money Market and Transfer Edward Jones Account	0

*For assets held within Edward Jones account.

usbancorp Piper Jaffray. STATEMENT of ACCOUNT

December 2000

KATHERINE SIMPSON
786 HOFF DRIVE
BLACKFOOT ID 83221

Account Number
870-663966-185
Taxpayer ID
On File

Financial Professional
ELLIS/HONG
506 SOUTH WOODRUFF AVE
IDAHO FALLS ID 83401-5298

Telephone Numbers
800-333-8794
208-522-3791

Nondeposit investment products are not insured by the FDIC, are not deposits or other obligations of or guaranteed by U.S. Bank National Association or its affiliates, and involve investment risks, including possible loss of the principal amount invested.

Wondering about what the latest market developments mean for you? *Your U.S. Bancorp Piper Jaffray financial professional can help you identify current investment opportunities based on your long-term plan. Contact your financial professional today to discuss market developments and to help you find the right balance between investment risks and rewards.*

Portfolio Assets Summary

	10/01/00	12/31/00
Cash Balance	.00	.00
Money Market Funds	1,525.72	1,590.62
Stocks	18,900.00	19,800.00
Mutual Funds	.00	.00
Fixed Income	.00	.00
Annuities	.00	.00
Portfolio Value*	**20,425.72**	**21,390.62**
Loan Balance	.00	.00
Portfolio Net Worth*	**20,425.72**	**21,390.62**

** Excludes unpriced securities*

Portfolio Income Summary

	10/01/00 to 12/31/00	Year to Date
Money Market Fund	22.90	82.00
Cash Dividends	42.00	168.00
Corporate Bond Interest	.00	.00
Government Bond Interest	.00	.00
Municipal Bond Interest	.00	.00
Capital Gains	.00	.00
Return of Capital/Principal	.00	.00
Total Cash Income	**64.90**	**250.00**
Reinvested Dividends	.00	.00
Reinvested Capital Gains	.00	.00
Reinvested Return of Capital	.00	.00
Total Portfolio Income	**64.90**	**250.00**

KATHERINE SIMPSON
786 HOFF DRIVE
BLACKFOOT ID 83221

4924

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December 2000	KATHERINE SIMPSON	Account Number	Financial Professional	Telephone Numbers
		870-663966-185	ELLIS/HONG	208-522-3791

Money Market Funds Current Yields

Money Market Fund	5.88
US Govt Money Mrkt	5.82
Tax-Exempt Money Mrkt	3.51
Federated Minn Mun Cash Ser	3.59
Federated Calif Mun Cash TR	3.37

Cash Flow Information

	10/01/00 to 12/31/00	Year to Date
Cash Deposits	.00	.00
Cash Withdrawals	.00	.00

Credit Account Summary

Buying Power	25,569.54
Available Cash	12,784.77
Credit Account Equity %	100.00
Loan Interest Charges	.00

Asset Allocation Summary

Asset Type	Amount	% of Holdings
Cash Balance & Money Market Funds	1,590.62	7.4
Stocks	19,800.00	92.6
Mutual Funds	.00	0.0
Fixed Income	.00	0.0
Annuities	.00	0.0
Portfolio Value	**21,390.62**	**100.0**

Your Portfolio

Your Holdings	Symbol	Quantity	Date Acquired	Purchase Price	Purchase Cost	Market Price	Market Value	Gain or Loss	% of Holdings	Est. Current Yield %	Est. Annual Income
Stocks											
BOEING COMPANIES	BA	300	02/13/98	Please Provide		66.00	19,800.00	NA	92.6	1.03	204.00
	Stocks Sub-Total						**19,800.00**		**92.6**		**204.00**
Cash Balance & Money Market Funds											
FIRST AMERN PRIME OBLIGS FD A	FMXX	1,590.620				1.00	1,590.62		7.4	5.88	93.53
	Cash & Money Market Sub-Total						**1,590.62**		**7.4**		**93.53**
	Portfolio Total						**21,390.62**		**100.0**		**297.53**

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usbancorp Piper Jaffray. STATEMENT of ACCOUNT

December 2000	KATHERINE SIMPSON	Account Number	Financial Professional	Telephone Numbers
		870-663966-185	ELLIS/HONG	208-522-3791

Recap of Account and Money Market Fund Activity

Money Market Fund Purchases/Sales

Date	Activity	Description	Price	Purchases	Sales
10/31/00	FUND PURCHASE	FIRST AMERN PRIME OBLIGS FD A MTD DIVIDEND REINVEST	1.00	7.61	
11/30/00	FUND PURCHASE	FIRST AMERN PRIME OBLIGS FD A MTD DIVIDEND REINVEST	1.00	7.41	
12/04/00	FUND PURCHASE	FIRST AMERN PRIME OBLIGS FD A	1.00	42.00	
12/29/00	FUND PURCHASE	FIRST AMERN PRIME OBLIGS FD A MTD DIVIDEND REINVEST	1.00	7.88	
		Money Market Purchases/Sales Total		**64.90**	**.00**

Portfolio Income

Date	Activity	Quantity	Description	Price	Deposits	Withdrawals
10/31/00	MONEY MRKT DIVIDEND		FIRST AMERN PRIME OBLIGS FD A MTD DIVIDEND REINVEST	1.00	7.61	
11/30/00	MONEY MRKT DIVIDEND		FIRST AMERN PRIME OBLIGS FD A MTD DIVIDEND REINVEST	1.00	7.41	
12/01/00	CASH DIVIDEND	300	BOEING COMPANIES		42.00	
12/29/00	MONEY MRKT DIVIDEND		FIRST AMERN PRIME OBLIGS FD A MTD DIVIDEND REINVEST		7.88	
			Cash Deposits/Withdrawals Total		**64.90**	**.00**
			Reinvest Income Total *		**.00**	
			Portfolio Income Total		**64.90**	

* Indicates the value of reinvested share transaction and therefore is not included in cash deposits to account.

Important fee changes to the U.S. Bancorp Piper Jaffray UBank® Check Card for International transactions: On Jan 1, 2001, U.S. Bancorp Piper Jaffray is raising the foreign currency conversion fee from 1 percent to 3 percent of the amount of an International U.S. Bancorp Piper Jaffray UBank Check Card transaction. The fee is added after the transaction is converted to U.S. dollars.

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December 2000	KATHERINE SIMPSON	Account Number	Financial Professional	Telephone Numbers
		870-663966-185	ELLIS/HONG	208-522-3791

On Feb. 1, 2001, U.S. Bank will begin charging a $2 fee for all UBank Check Card cash advances made at the teller. Withdrawals made at U.S. Bank UBank ATMs will remain free. New or replacement cards are still free, and an optional express delivery service is available for a $15 fee.

MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT

FOR MONTH ENDING DECEMBER 31, 2000

Your Financial Advisor
WILLIAM BROWN
MORGAN STANLEY DEAN WITTER
670 SOUTH WOODRUFF AVE
IDAHO FALLS, ID 83401
(208) 525-1301

Account Number FA
146 012443 010

Date of Birth
March 26, 1950

KATHERINE SIMPSON 000241
786 HOFF DR
BLACKFOOT ID 83221 1553 146

IRA Standard Spousal
Dated 05/28/99

Asset Summary

	Value	% of Asset
Money Market Funds	$135.86	0.2%
Stocks	10.93	0.1
Municipal Bonds	0.00	0.0
Corporate Fixed Income	0.00	0.0
Government Securities	0.00	0.0
Mutual Funds	46,533.38	99.7
Unit Investment Trusts	0.00	0.0
Certificates of Deposit	0.00	0.0
Annuities/Insurance	0.00	0.0
Other	0.00	0.0
Asset Value	$46,680.17	100.0%
Cash	0.00	
Total Asset Value	$46,680.17	

Income Summary

	This Month	Year-To-Date
Money Market Funds	$0.58	$7.37
Stocks	0.00	0.00
Municipal Bonds	0.00	0.00
Corporate Fixed Income	0.00	0.00
Government Securities	0.00	0.00
Mutual Funds	0.00	0.00
Unit Investment Trusts	0.00	0.00
Certificates of Deposit	0.00	0.00
Other	0.00	0.00
Total Income	$0.58	$7.37

Activity Summary

Total Asset Value September 30 2000		$63,930.44
Cash/Money Market Activity		
Closing Balance 9/30	$134.04	
Credits To Your Account		
Dividends and Interest	1.82	
Deposits	0.00	
Sales Proceeds/Redemptions	0.00	
Other Credits	5,503.24	
Total Credits	5,505.06	
Debits To Your Account		
Withdrawals	0.00	
Funds to Purchase Securities	-5,503.24	
Other Debits	0.00	
Total Debits	-5,503.24	
Closing Balance 12/31	135.86	
Net Change Cash/Money Market Activity		1.82
Changes In Asset Value		
Value of Priced Assets 9/30	$63,796.40	
Securities Bought	5,503.24	
Securities Received	0.00	
Securities Sold/Redeemed	0.00	
Securities Delivered	0.00	
Transactions at Fund Company	0.00	
Change In Value of Priced Assets	-22,755.33	
Value of Priced Assets 12/31	46,544.31	
Net Change In Asset Value		-17,252.09
Total Asset Value as of December 31, 2000		$46,680.17

Please see page 5 for your Account's Retirement Recap.

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MORGAN STANLEY DEAN WITTER

RETIREMENT ACCOUNT
FOR MONTH ENDING DECEMBER 31, 2000

KATHERINE SIMPSON
786 HOFF DR

Account Number FA
146 012443 010

ASSET DETAILS

Cash and Money Market Funds

	Amount	Pct of Assets	Est Yrly Income
CASH	.00	---	---
MSDW LIQUID ASSET FUND	135.86	0.2%	8
Total Cash and Money Market Funds	$135.86	0.2%	$8
Net Change Since Last Statement	$1.82		

Annualized 30 Day Yields

MSDW Liquid Asset Fund	6.07%
MSDW US Gvt Mymkt	5.85%

Stocks

	Current Price	Value	Pct of Assets	Est Yrly Income	Dividend Yield	Symbol	Additional Information
Common Stocks							
50 WOMEN COM NETWORKS INC	21875	10.93	0.1%	0	0.00%	WONN	
Total Stocks		$10.93	0.1%	0	0.00%		
Net Change Since Last Statement		-126.78					

Mutual Funds

	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend Cap Gains Option
Other Mutual Funds								
488.777 ALLIANCE PREMIER GROWTH FD C	25.0000	12,219.42	26.2%	N/A	N/A		APGCX	Reinv/Reinv
241.950 VAN KAMPEN EMERGING GROWTH C	56.9900	13,788.35	29.6%	N/A	N/A		ACEFX	Reinv/Reinv

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KATHERINE SIMPSON
788 HOFF DR

Account Number FA
148 012443 010

Mutual Funds	Current Price	Value	Pct of Assets	Est Yrly Income	Distribution Rate	Fund Plan Number	Symbol	Dividend/ Cap Gains Option
Other Mutual Funds								
519.489 MFS EMERGING GROWTH FUND C	42.5800	13,603.84	29.1%	N/A	N/A		MFECX	Reinv/Reinv
202.914 MUNDER NET NET C	34.1100	6,921.39	14.8%	N/A	N/A		MNNCX	Reinv/Reinv
Total Mutual Funds		46,533.38	99.7%	N/A	N/A			
Net Change Since Last Statement		-17,126.31						

Asset Summary	Value	Est Yrly Income
Total Asset Value	46,680.17	48
Total Net Change In Priced Assets Since Last Statement	-17,252.09	

CREDITS TO YOUR ACCOUNT

Dividends and Interest

Date	Activity	Description	Amount	Income Category
10-31	Dividend	MSDW LIQUID ASSET FUND	.64	Money Market Funds
11-30	Dividend	MSDW LIQUID ASSET FUND	.60	Money Market Funds
12-29	Dividend	MSDW LIQUID ASSET FUND	.58	Money Market Funds
		Total Dividends and Interest	1.82	

See Income Summary on page 1 for the month-to-date and year-to-date amounts per income category.

Other Credits

Date	Activity	Description	Amount	Additional Information
12-15	L/T Capital Gain	ALLIANCE PREMIER GROWTH FD C	1,176.40	
12-15	L/T Capital Gain	VAN KAMPEN EMERGING GROWTH C	2,859.91	
12-18	L/T Capital Gain	MFS EMERGING GROWTH FD C	1,466.93	

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KATHERINE S MPSON
786 HOFF DR

Account Number FA
146 012443 010

Date	Activity	Description	Amount	Additional Information	
		Total Other Credits	$5,505.24	Year-To-Date	$5,598.42
Total Credits To Your Account			$5,505.06	Year-To-Date	$5,605.79

DEBITS TO YOUR ACCOUNT

Funds to Purchase Securities

Date	Activity	Quantity	Description	Price	Amount	Additional Information
12-05	Bought	45.101	ALLIANCE PREMIER GROWTH FD C	26.1300	1,176.40	REINVESTMENT
12-15	Bought	49.497	VAN KAMPEN EMERGING GROWTH C	57.7800	2,859.91	REINVESTMENT
12-18	Bought	35.217	MFS EMERGING GROWTH FD C	41.4800	1,460.93	REINVESTMENT
			Total Funds to Purchase Securities		-5,505.24	Year-To-Date -5,598.42
Total Debits To Your Account					-5,505.24	Year-To-Date -5,618.42

ADDITIONAL ACCOUNT INFORMATION

Money Market

Date	Activity	Description	Amount	Additional Information
		Opening Balance 9-01	[illegible]	
10-31	Dividend Reinvestment	MORGAN STANLEY ASSET FUND	[illegible]	
11-30	Dividend Reinvestment	MORGAN STANLEY ASSET FUND	[illegible]	
12-29	Dividend Reinvestment	MORGAN STANLEY ASSET FUND	[illegible]	
		Closing Balance 12/31	135.00	

Morgan Stanley Dean Witter Fund Summary

	YTD Dividends	YTD Short Term Gains	YTD Long Term Gains	Activity
MORGAN STANLEY ASSET FUND	[illegible]	0.00	0.00	Reinvest Dividends
Totals	$7.57	$0.00	$0.00	

[illegible] Morgan Stanley Dean Witter Value Fund A [illegible] [illegible] [illegible] [illegible]

Morgan Stanley Dean Witter [illegible] Dean Witter [illegible] Dean Witter Reynolds Inc. member SIPC

KATHERINE SIMPSON
788 HOFF DR

Account Number FA
148 012443 010

Morgan Stanley Dean Witter Fund Summary

MSDW LIQUID ASSET FUND
Following are the Fund's 30-day average annualized yields during the past quarter: October 6.06%, November 6.03% and December 6.07%.

Retirement Recap

	2000	1999
Account Valuation	46,680.17	65,481.69

Messages

Morgan Stanley Dean Witter offers a wide range of online services, including online investing.
Ask your Financial Advisor or visit www.msdw.com for details on the various online alternatives we offer.

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THRIFT SAVINGS PLAN PARTICIPANT STATEMENT

For the period 05/01/2000 through 10/31/2000 **TSP-8-A**

Your Thrift Savings Plan (TSP) account statement is issued by the National Finance Center for the Federal Retirement Thrift Investment Board. For additional information or to correct your address or any of the following items, please contact your agency employing office.

Name: MIKE SIMPSON

Social Security Number: 519-62-8652

Date of Birth: 09/08/50

Retirement Coverage: FERS

Separation Status: Not separated

Beneficiary Designation on File*: No

Total Service Required for Vesting: 2 Years from 01/03/99 (TSP Service Computation Date)

*If you choose to submit a Beneficiary Designation (Form TSP-3), you are responsible for its correctness and completeness.

		Source of Contributions: Employee	Agency Automatic (1%)	Agency Matching	Total
G FUND Government Securities Investment Fund	Beginning Balance				
	Transactions This Period				
	Earnings This Period				
	Ending Balance				
F FUND Fixed Income Index Investment Fund	Beginning Balance				
	Transactions This Period				
	Earnings This Period				
	Ending Balance				
C FUND Common Stock Index Investment Fund	Beginning Balance	3,887.59	479.85	1,919.36	6,286.80
	Transactions This Period	5,724.00	706.50	2,826.00	9,256.50
	Earnings This Period	104.82-	12.92-	51.75-	169.49-
	Ending Balance	9,506.77	1,173.43	4,693.61	15,373.81
TOTAL ACCOUNT BALANCE	Beginning Balance	3,887.59	479.85	1,919.36	6,286.80
	Transactions This Period	5,724.00	706.50	2,826.00	9,256.50
	Earnings This Period	104.82-	12.92-	51.75-	169.49-
	Ending Balance	9,506.77	1,173.43	4,693.61	15,373.81

Rates of Return** (Numbers in parentheses are negative)		2000 May	June	July	August	September	October	Last 12 Months (Nov '99–Oct '00)
	G Fund	.54%	.53%	.53%	.52%	.49%	.51%	6.51%
	F Fund	(.03%)	2.07%	.89%	1.46%	.64%	.65%	7.36%
	C Fund	(2.05%)	2.44%	(1.56%)	6.19%	(5.27%)	(.40%)	6.01%

**Actual rates of return after administrative expenses. The monthly rates of return are used to compute the actual earnings on your account each month, as described on the back of this statement. The Last 12 Months rates show the investment performance of only that portion of your account that was invested for the entire 12 months. Because of the timing and amount of your transactions, you cannot use the Last 12 Months rates to calculate your actual earnings for the November 1999 - October 2000 period. There is no assurance that past rates of return will be repeated in the future.

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T-00005614 001459430

MIKE SIMPSON
786 HOFF DRIVE
BLACKFOOT ID 83221-1553

TSP Open Season is November 15 - January 31. To change your future payroll contributions, ask your personnel office for the TSP Election Form (TSP-1).

To change the way money already in your account is invested, use the ThriftLine or the TSP Web site. They are the most efficient ways to make, change, or cancel an interfund transfer request.

ThriftLine: (504) 255-8777 · Web site: www.tsp.gov

FORM TSP-8-A (Revised 11/2000)

DETAIL OF ACCOUNT ACTIVITY

For the period: 05/01/2000 through 10/31/2000 TSP-8-A

Name: MIKE SIMPSON Social Security Number: 519-62-8652 Date of Birth: 09/08/50

Activity Code	Payroll Office	Pay Date	Process Date	Source: Employee	Source: Agency Automatic (1%)	Source: Agency Matching	Investment Fund: G Fund	Investment Fund: F Fund	Investment Fund: C Fund	Total
MONTH-END BALANCE APR 2000				3,887.59	479.85	1,919.36	0.00	0.00	6,286.80	6,286.80
D	00004831	06/01/00	05/26/00	954.00	117.75	471.00	0.00	0.00	1,542.75	1,542.75
E				89.43-	11.03-	44.15-	0.00	0.00	144.61-	144.61
MONTH-END BALANCE MAY 2000				4,752.16	586.57	2,346.21	0.00	0.00	7,684.94	7,684.94
D	00004831	07/01/00	06/27/00	954.00	117.75	471.00	0.00	0.00	1,542.75	1,542.75
E				127.63	15.75	63.01	0.00	0.00	206.39	206.39
MONTH-END BALANCE JUN 2000				5,833.79	720.07	2,880.22	0.00	0.00	9,434.08	9,434.08
D	00004831	08/01/00	07/27/00	954.00	117.75	471.00	0.00	0.00	1,542.75	1,542.75
E				98.59-	12.17-	48.67-	0.00	0.00	159.43-	159.43
MONTH-END BALANCE JUL 2000				6,689.20	825.65	3,302.55	0.00	0.00	10,817.40	10,817.40
D	00004831	09/01/00	08/29/00	954.00	117.75	471.00	0.00	0.00	1,542.75	1,542.75
E				443.65	54.76	219.03	0.00	0.00	717.44	717.44
MONTH-END BALANCE AUG 2000				8,086.85	998.16	3,992.58	0.00	0.00	13,077.59	13,077.59
D	00004831	10/01/00	09/28/00	954.00	117.75	471.00	0.00	0.00	1,542.75	1,542.75
E				451.41-	55.71-	222.87-	0.00	0.00	729.99-	729.99
MONTH-END BALANCE SEP 2000				8,589.44	1,060.20	4,240.71	0.00	0.00	13,890.35	13,890.35
D	00004831	11/01/00	10/27/00	954.00	117.75	471.00	0.00	0.00	1,542.75	1,542.75
E				36.67-	4.52-	18.10-	0.00	0.00	59.29-	59.29
MONTH-END BALANCE OCT 2000				9,506.77	1,173.43	4,693.61	0.00	0.00	15,373.81	15,373.81

Activity Codes

D - Deposit
E - Earnings
L - Loan
P - Monthly loan payment summary
S - In-service withdrawal
T - Interfund transfer
F - Forfeited nonvested monies
R - Restored amounts
A - Adjustment
C - Earnings correction
Y - Earnings correction transfer
V - Reversal of earnings correction
B - Declared abandoned
O - Court-ordered payment
W - Post employment withdrawal
M - Minimum distribution
N - Refunded excess deferral

Monthly earnings are calculated by multiplying the rate of return for the month shown by the sum of your prior month-end balance and one-half of the total of deposits and loan repayments during the month shown. Earnings are credited at the end of the month shown. Adjustments, earnings corrections, forfeitures, loans, restored amounts, and withdrawals affect your account for the calculation of earnings at the end of the month shown. Interfund transfers also affect your account at the end of the month shown. **Pay date** is the date reported by your payroll office for deposits. **Process date** is the date deposits and loan payments were processed to your account by the TSP record keeper.

FORM TSP-8-A (Revised 11/2000)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

JOSEPH R. SKEEN
Name

2302 RAYBURN HOB
Mailing Address

WASHINGTON, D.C. 20515
City, State, Zip

(202)225-2365
Telephone (Daytime)

Filer Status: [X] Member of the House of Representatives, District NM 2ND [ ] Officer or Employee [ ] Employing Office

Report Type: [X] Annual (May 15) [ ] Amendment [ ] Termination Termination Date:

(Office Use Only)

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Did you or your spouse or dependent child receive any gifts or tokens of $200 or more from any single source in the reporting period? If yes, complete and attach Schedule I.	Yes [ ] No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period totaling, aggregating more than $250 and not qualifying for exemption? If yes, complete and attach Schedule VI.	Yes [ ] No [X]
II. Did you, your spouse, or a dependent child receive any reportable liability in excess of $10,000 at any time during the reporting period? If yes, complete and attach Schedule II.	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period worth more than $250 from one source? If yes, complete and attach Schedule VII.	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive unearned income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing of this report (for filer only)? If yes, complete and attach Schedule VIII.	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child receive honoraria, fees or exchange any reportable gifts in connection with a speech or appearance outside Congress during the reporting period? If yes, complete and attach Schedule IV.	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability incurred during the reporting period? If yes, complete and attach Schedule V.	Yes [X] No [ ]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "qualified blind trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you received from any trust income of more than $200 during the year for you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, income, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct for its discretion. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4; § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Joseph R. Skeen	5/10/01

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 AM 10:37
MC

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JOSEPH R. SKEEN

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A	Block B	Block C	Block D	Block E
		A None; B $1 - $1000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Examples, Partnership Income or Farm Income)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
SP	*Examples:* Mega Corp Stock	E	X	IV		P
DC	Simon &Schuster	Indefinite	X	Royalties	X	
JT	1st Bank of Paducah, KY accounts	E	X	VI	X	
JT	#7 DEBORAH DR-ROS.,NM (5%) OIL&GAS WORKING INT.	X	X	VI		
	SAVINGS-WRIGHT PATMAN FED.CREDIT UNTON	X	X	OIL & GAS SALES; IV	X	
JT	REAL ESTATE - RUIDOSO, NM 6-MILE HILL	X	X	Undeveloped Property (NOT INCOME PRODUCING)		
JT	REAL ESTATE-ROS.,NM	X	X	Undeveloped Property (NOT INCOME PRODUCING)		

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOSEPH R. SKEEN

Page 3 of 6

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: Dividends	RENT	INTEREST	CAPITAL GAINS	EXCEPTED Blind Trust	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P S E
JT	Farm Crdit Services Stock		X													X							X									
	COMMON STOCK-Wells Fargo				X									X									X									
SP	Common Stock-Bristol Myers			X										X								X										
SP	Common Stock-SeaGull	X												X							X											
JT	Prod. Credit Assoc.- STock			X												X							X									
JT	RANCH TO INCLUDE 15000 acres,land,improvements & livestock(58% holding)Picacho,NM-Lincoln County								X					RANCH INCOME							X(Net Loss)							X(Gross)			
	Hartford Variable Annunity(Paine Webber Tax Deferred				X									NO-Income Tax Deferred																		
	Common Stock - Allied Capitol-Comm. Corp			X										X									X									
	Common Stock-Mutual Funds - Euro-Pacific				X									X									X	Reinvested								
	Common Stock-Mutual Funds Fundam.Investors		X			X								X												X	Reinvested					

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOSEPH R. SKEEN

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1-$1,000	C $1,001-$15,000	D $15,001-$50,000	E $50,001-$100,000	F $100,001-$250,000	G $250,001-$500,000	H $500,001-$1,000,000	I $1,000,001-$5,000,000	J $5,000,001-$25,000,000	K $25,000,001-$50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1-$200	III $201-$1,000	IV $1,001-$2,500	V $2,501-$5,000	VI $5,001-$15,000	VII $15,001-$50,000	VIII $50,001-$100,000	IX $100,001-$1,000,000	X $1,000,001-$5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Merrill-Lynch Ready Assets Trust			X										X								X										
	Paine-Webber Strategy Fund			X										X								X										

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Name JOSEPH R. SKEEN | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						
JT	Farm Credit Services-Ros.,NM	Mortgage on Ranch Picacho,NM-Lincoln Cty					X					
JT	#7 Deborah Dr. - Ros., NM Roswell Escrow Co.-Real Estate	Mortgage on Roswell Property		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	MEMBER ONLY Wash. Group International,Inc. Participate in DOE ceremony at WIPP site	9/22/00	Wash.,DC-Carlsbad,NM.-Wash.,DC	N	Y	N	N
	SPOUSE ONLY Mary Skeen - New Mexico State University-Council Member Countil for Agriculture Research Extension & Training (CARET)	3/4-8/00	Ros.,NM-Wash.,DC-Ros., NM	Y	Y	N	N
		7/15-19/00	Ros,NM-Lake Tahoe,NV-Ros.,NM	Y	Y	N	N
		11/9-12/00	Ros.,NM-San Antonio,TX-Ros., NM	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 3:36

HAND DELIVERED

(Office Use Only)

Ike N Skelton
(Full Name)

2206 Rayburn HOB Washington, DC 20515
(Mailing Address)

202/225-2876
Daytime Telephone

Filer Status ✓ Member of the U S House of Representatives — State MO District 04 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ✓ No
If yes, complete and attach Schedule I

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes No ✓
If yes, complete and attach Schedule II

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ✓ No
If yes, complete and attach Schedule III

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ✓ No
If yes, complete and attach Schedule IV

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ✓ No
If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift (in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? — Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? — Yes No ✓
If yes, complete and attach Schedule VII

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ✓ No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes No ✓

CERTIFICATION - THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. This Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5-15-01

SCHEDULE I - EARNED INCOME

Na Ike N. Skelton

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Missouri State Retirement System	State Senate - 6 years	$7,008

SCHEDULE III - ASSETS AND "U

BLOCK A **Asset and/or Income Source**	BLOCK B **Year-End Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your Spouse or by your or your Spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income earned from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP), or dependent(s) (DC) or a joint asset (JU) in the optional column at left.	At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold, indicate in block D any income it generated. Income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income	**Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.**
SP Trust, Virginia B. Arnold Estate, United Missouri Bank (UMB), Kansas City, MO Assets: UMB Core Equity Stock Fund, UMB Tax-Free Fixed Income Fund	$250,001 - $500,000	DIVIDENDS/INTEREST	$5,001 - $15,000	
Trust, Carolyn B. Skelton Estate, Commerce Bank (CB) Kansas City, MO Assets: CB Tax Free Bond Funds, CB Institutional Liquid Assets Tax Exempt	$100,001 - $250,000	DIVIDENDS/INTEREST	$5,001 - $15,000	
SP McGowan Working Partners	$1 - $1,000	RENT	$1 - $200	

SCHEDULE III - ASSETS AND "U

Na Ike N. Skelton

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Fractional Interest in Store Building, Delhi, LA	$1 - $1,000		$1 - $200	
JT	Note & Contract for sale to Lafayette Co. Bankshares Inc., Lafayette Co. Bank, Lexington, MO ($105,840.00)	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Lafayette Co. Bankshares** Interest + Principle	$1,001 - $15,000	INTEREST+PRINCIPLE	$1 - $200	
JT	Congressional Federal Credit Union, Certificate of Deposit, Washington, DC	$1,001 - $15,000	INTEREST	$201 - $1,000	S
JT	Lexington Building & Loan Assoc. Certificate of Deposit, Lexington, MO	$1,001 - $15,000	INTEREST	$1 - $200	S

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SCHEDULE III - ASSETS AND "U

Na [illegible] Skelton Page [illegible] of 8

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example, Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Lexington Building & Loan Assoc. Certificate of Deposit, Lexington, MO	$1 - $1,000	INTEREST	$1 - $200	S
JT	Bank Midwest Certificate of Deposit, Lexington, MO	$1 - $1,000	INTEREST	$1 - $200	S
JT	Bank of America (formerly Nation's Bank) Certificate of Deposit, Lexington, MO	$1,001 - $15,000	INTEREST	$1 - $200	S

4945

SCHEDULE IV - TRANSACTIONS

Na Ike N. Skelton

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Congressional Federal Credit Union, Certificate of Deposit, Washington, DC	S	07-18-00	$1,001 - $15,000
JT	Lexington Building & Loan Assoc. Certificate of Deposit, Lexington, MO	S	02-02-00	$1,001 - $15,000
JT	Bank Midwest, Certificate of Deposit, Lexington, MO	S	08-02-00	$1,001 - $15,000
JT	Bank of America (formerly Nation's Bank) Certificate of Deposit, Lexington, MO	S	01-18-00	$1,001 - $15,000
JT	Lexington Building & Loan Assoc. Certificate of Deposit, Lexington, MO	S	07-31-00	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Na Ike N Skelton

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Lafayette Co. Bank, Lexington, MO	Open Note	$10,001 - $15,000
JT	Congressional Federal Credit Union, Washington, DC	Personal Loan	$10,001 - $15,000

SCHEDULE VIII - POSITIONS

Na Ike N. Skelton

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Ike Skelton Outstanding Junior Sigma Chi Award (Xi Xi Chapter, University of Missouri)

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Louise M Slaughter (Full Name)
14 Manor Hill Dr (Mailing Address)
Fairport, NY 14450
716-223-7518 Daytime Telephone

Filer Status [X] Member of the U.S. House of Representatives — State NY District 28 — [ ] Officer or Employee — Employing Office

Report Type [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g. salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report, may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Louise M. Slaughter	5-16-01

Name	Page
LOUISE M SLAUGHTER	1 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NEW YORK STATE	Pension for Assembly Service	7,562

For payments to charity in lieu of honoraria, use Schedule II.

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Name Louise M. Slaughter | Page 2 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

QUALIFIED RETIREMENT ACCOUNTS

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000
SP						X							
DC	Examples: SP Mega Corp. Stock												
	Examples: Simon & Schuster			Indefinite									
JT	Examples: 1st Bank of Paducah: KY accounts						X						
~~RETIREMENT ACCOUNTS~~													
	Fidelity Growth & Inc. Fund			X									
	Fidelity Rmnt Gro Fund					X							
	Vanguard Growth Inc Fund					X							
SP	401K EKCo Invest. Savings							X					
SP	Fidelity Growth & Inc Fund							X					
SP	Fidelity Rmnt Growth Fund				X								

BLOCK C — Type of Income

		DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)
SP		X						
DC	Examples: SP Mega Corp. Stock							
	Examples: Simon & Schuster							Royalties
JT	Examples: 1st Bank of Paducah: KY accounts			X				
~~RETIREMENT ACCOUNTS~~								
	Fidelity Growth & Inc. Fund	X			X			
	Fidelity Rmnt Gro Fund	X			X			
	Vanguard Growth Inc Fund	X			X			
SP	401K EKCo Invest. Savings	X						
SP	Fidelity Growth & Inc Fund	X			X			
SP	Fidelity Rmnt Growth Fund	X			X			

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

		I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000*	XI Over $5,000,000	P, S, E
SP					X								
DC	Examples: SP Mega Corp. Stock												P
	Examples: Simon & Schuster									X			
JT	Examples: 1st Bank of Paducah: KY accounts					X							
~~RETIREMENT ACCOUNTS~~													
	Fidelity Growth & Inc. Fund	NA											
	Fidelity Rmnt Gro Fund	NA											
	Vanguard Growth Inc Fund	NA											
SP	401K EKCo Invest. Savings	NA											
SP	Fidelity Growth & Inc Fund	NA											
SP	Fidelity Rmnt Growth Fund	NA											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Louise M. Slaughter

Page 3 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Mutual Qualified Fund							X						X			X					NA										
SP	Fidelity Gro Co Fund						X							X			X					NA										
SP	Fidelity Magellan Fund						X							X			X					NA										
	OTHER																															
	Clorox Stock			X										X									X									
	Procter + Gamble Stock						X							X										X	X							
JT	Citigroup Stock				X									X			X							X								
JT	Oppenheimer Gro Fund A				X									X			X								X							
JT	Fidelity Daily Inc. Trust					X								X												X						
JT	Eastman Savings + Loan			X										X									X									

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SCHEDULE IV — TRANSACTIONS

Name Louise M. Slaughter | Page 4 of 5

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out				Type of Transaction			Date	Amount of Transaction										
SP, DC, JT	Asset			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example*	Mega Corporation Common Stock		X			10-12-00		X								
	Vanguard Growth + Income Fund - IRA Required Minimum Distribution				X		3-6-00	X									

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	US Association of Former Members of Congress	Aug 18-20	Rochester - Niagra Falls - Rochester	Y	Y	Y	N
	University of Kentucky	May 5-7	Wash DC - Lexington - Wash DC	N	N	N	N

COMMITTEE ON RULES
SUBCOMMITTEE
RULES AND ORGANIZATION
OF THE HOUSE

CAUCUS FOR WOMEN'S ISSUES
CONGRESSIONAL ARTS CAUCUS
[illegible]

DEMOCRATIC LEADERSHIP
[illegible]

[illegible]

CONGRESS OF THE UNITED STATES
LOUISE M. SLAUGHTER
28TH DISTRICT, NEW YORK

2467 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515-3228
[illegible]

DISTRICT OFFICE
[illegible]
ROCHESTER, NY 14604
[illegible]

INSIDE MAIL

June 11, 2001

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2 The Capitol
Washington, DC 20515

To Whom It May Concern,

It has come to my attention that there is an error on my Financial Disclosure Statement for Calendar Year 2000. In Section VII, Travel Payments and Reimbursements, the trip with the U.S. Association of Former Members of Congress should be listed as April 18-20 instead of August 18-20. Please note this correction for the record. I regret any inconvenience that this may cause. If you have any questions, please contact Mary Beth Kotary on my staff at (202) 225-3615.

Sincerely,

Louise M. Slaughter

Louise M. Slaughter
Member of Congress

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
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U.S. HOUSE OF REPRESENTATIVES

Adam Smith
(Full Name)
116 Cannon House Office Building
(Mailing Address)
Washington, DC 20515
Daytime Telephone: (202) 225-8901

MC INSIDE MAIL
(Office Use Only)
(6)

Filer Status	☒ Member of the U.S. House of Representatives	State Washington District 9th	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4; § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/9/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Office of the Administrator for the Courts - Olympia, WA	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

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Name Adam Smith

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

		Block A	Block B											Block C						Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	SP Mega Corp Stock						X								X																
DC, Examples	Simon & Schuster		Indefinite														X				Royalties								X		P
JT	1st Bank of Peducah, KY account							X																							
JT	Washington Mutual - checking				X																	X									
JT	Washington Mutual - Money Market					X											X							X							
S	Washington St. Retirement				X																										
SP	Washington St. Retirement		X																												
SP	IRA (IBM stock)				X										X							X									
SP	IRA (Money Market)				X												X						X								

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Adam Smith

Page 4 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	XI	BLOCK E Transaction: P, S, E
JT	Cray (Tera) Stock	X															X					X											S
JT	Michsoft Stock	X															X							X									S

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SCHEDULE IV — TRANSACTIONS

Name Adam Smith

SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
JT	Microsoft Stock		X		2-23-00	X									
JT	E-Loan Stock		X		2-14-00	X									
JT	Triquint Stock	X			2-24-00	X									
JT	PNC Sierra Stock	X			2-24-00	X									
JT	F5 Networks Stock	X			4-28-00	X									
JT	Cisco Systems Stock	X			2-25-00	X									
JT	Cisco Systems Stock	X			4-10-00	X									

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Name Adam Smith | Page 6 of 6

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Democratic Leadership Council	Apr. 28-30	Seattle - New Orleans - Wash, DC	Y	Y	Y	N

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 16 PM 5:37
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Adam Smith
(Full Name)

116 Cannon House Office Building
(Mailing Address)

Washington DC 20515

Daytime Telephone (202) 225-8901

Filer Status	[X] Member of the U.S. House of Representatives	State Washington District 9th	[ ] Officer or Employee — Employing Office:
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [ ]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [ ]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5/16/01

4962

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Adam Smith

Page 1 of 2

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $15 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Trigulint Stock			X													X				X											P
JT	PNC Stock			X													X				X											P
JT	FS Networks Stock			X													X				X											P
JT	Cisco Systems Stock			X													X				X											P

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

01 MAY 15 PM 5:25

HAND DELIVERED

Christopher H. Smith
(Full Name)

90 Wyndham Place Robbinsville, NJ 08691
(Mailing Address)

202-225-3765
Daytime Telephone

Filer Status ☑ Member of the U.S. House of Representatives — State NJ District 4 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ☑ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Christopher H. Smith	May 15, 2001

4964

SCHEDULE III - ASSETS AND "U

Na Christopher H Smith

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Janus Mutual Funds PO Box 173375 Denver, CO 80217 Janus Money Market	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PSE
DC	Janus Money Market	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PSE
DC	Janus Money Market	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PSE
DC	Janus Money Market	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PSE
DC	Janus Money Market	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	PSE
JT	Janus Strategic Value	None	CAPITAL GAINS	Loss of $120	PS

BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Janus Enterprise	None	CAPITAL GAINS	Loss of $6,600	PS
DC	Janus Enterprise	None	CAPITAL GAINS	Loss of $1,641	PS
DC	Janus Enterprise	None	CAPITAL GAINS	Loss of $1,608	PS
DC	Janus Enterprise	None	CAPITAL GAINS	Loss of $1,029	PS
DC	Janus Enterprise	None	CAPITAL GAINS	Loss of $1,029	PS
JT	Janus Global Tech	None	CAPITAL GAINS	$1,001 - $2,500	PS

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BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC Janus Global Tech	None	CAPITAL GAINS	$1,001 - $2,500	PS
DC Janus Global Tech	None	CAPITAL GAINS	$1,001 - $2,500	PS
DC Janus Global Tech	None	CAPITAL GAINS	$201 - $1,000	PS
DC Janus Global Tech	None	CAPITAL GAINS	$201 - $1,000	PS
Janus Money Market (IRA)	$57,500	DIVIDENDS	$2,501 - $5,000	PSE
Janus Enterprise (IRA)	None	CAPITAL GAINS	Loss of $4,235	PS

SCHEDULE III - ASSETS AND "U

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see Instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Janus Global Tech (IRA)	None	CAPITAL GAINS	Loss of $1,250	PS
	Vanguard Funds (IRA) PO Box 7800 Philadelphia, PA 19101	Rollover to Janus $63,360			
	T. Rowe Price Brokerage PO Box 89000 Baltimore, MD 21289 Money Market	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PSE
JT	Home Depot	None	CAPITAL GAINS	Loss of $1,600	PS
JT	Intel	None	CAPITAL GAINS	Loss of $1,060	PS

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SCHEDULE III - ASSETS AND "U

Na Christopher H Smith

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
JT	Cisco Systems	None	CAPITAL GAINS	Loss of $850	PS
JT	Commerce One	None	CAPITAL GAINS	Loss of $3,400	PS
JT	L90	None	CAPITAL GAINS	Loss of $1,760	PS
JT	3Com	None	CAPITAL GAINS	Loss of $200	PS
JT	JDS Uniphase	None	CAPITAL GAINS	Loss of $3,390	PS
JT	Wireless Facilities	None	CAPITAL GAINS	Loss of $1,235	PS

SCHEDULE III - ASSETS AND "U

Na Christopher H. Smith

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		**Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	**Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	**Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	**Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Watchguard Technologies	None	CAPITAL GAINS	$2,501 - $5,000	PS
JT	Texas Instruments	None	CAPITAL GAINS	Loss of $800	PS
JT	Applied Micro Circuits	None	CAPITAL GAINS	Loss of $150	PS
DC	Certificate of Accrual Treasury Securities	$1,001 - $15,000	INTEREST	$201 - $1,000	E
DC	Certificate of Accrual Treasury Securities	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC	Certificate of Accrual Treasury Securities	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "U

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	

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SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Janus Money Market	PE	27 times, including redemptions, on various dates throughout the year	$15,001 - $50,000
JT	Janus Strategic Value Fund	P	04-07-00	$15,001 - $50,000
JT	Janus Strategic Value Fund	S	05-25-00	$15,001 - $50,000
JT	Janus Enterprise Fund	PS	8 times on various dates throughout the year	$15,001 - $50,000
JT	Janus Global Tech Fund	PS	10 times on various dates throughout the year	$15,001 - $50,000
DC	Janus Money Market (College Fund)	PE	12 times, including redemptions, on various dates throughout the year	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Janus Money Market (College Fund)	PE	12 times, including redemptions, on various dates throughout the year	$15,001 - $50,000
DC	Janus Money Market (College Fund)	PE	8 times, including redemptions, on various dates throughout the year	$15,001 - $50,000
DC	Janus Money Market (College Fund)	PE	8 times, including redemptions, on various dates throughout the year	$15,001 - $50,000
DC	Janus Enterprise (College Fund)	P	01-02-00	$15,001 - $50,000
DC	Janus Enterprise (College Fund)	S	01-04-00	$15,001 - $50,000
DC	Janus Enterprise (College Fund)	P	01-02-00	$15,001 - $50,000
DC	Janus Enterprise (College Fund)	S	01-04-00	$15,001 - $50,000
DC	Janus Enterprise (College Fund)	P	01-02-00	$15,001 - $50,000

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SCHEDULE IV - TRANSACTIONS

Na Christopher H. Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Janus Enterprise (College Fund)	S	01-04-00	$15,001 - $50,000
DC	Janus Enterprise (College Fund)	P	01-02-00	$15,001 - $50,000
DC	Janus Enterprise (College Fund)	S	01-04-00	$15,001 - $50,000
DC	Janus Global Tech (College Fund)	P	5 times on various dates throughout the year	$15,001 - $50,000
DC	Janus Global Tech (College Fund)	S	5 times on various dates throughout the year	$15,001 - $50,000
DC	Janus Global Tech (College Fund)	P	5 times on various dates throughout the year	$15,001 - $50,000
DC	Janus Global Tech (College Fund)	S	5 times on various dates throughout the year	$15,001 - $50,000
DC	Janus Global Tech (College Fund)	P	5 times on various dates throughout the year	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na Christopher H. Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Janus Global Tech (College Fund)	S	5 times on various dates throughout the year	$15,001 - $50,000
DC	Janus Global Tech (College Fund)	P	5 times on various dates throughout the year	$15,001 - $50,000
DC	Janus Global Tech (College Fund)	S	5 times on various dates throughout the year	$15,001 - $50,000
	Janus Money Market (IRA)		Rollover from Vanguard on 12-30-99 to Janus on 01-05-00	$63,000
	Janus Money Market (IRA)	PE	16 times, including redemptions, on various dates throughout the year	$50,001 - $100,000
	Janus Enterprise (IRA)	PS	8 times on various dates throughout the year	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Na Christopher H. Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Janus Global Tech (IRA)	PS	6 times on various dates throughout the year	$15,001 - $50,000
JT	Home Depot (HD)	P	02-29-00	$1,001 - $15,000
JT	Home Depot (HD)	S	03-14-00	$1,001 - $15,000
JT	Intel (INTL)	P	04-05-00	$1,001 - $15,000
JT	Intel (INTL)	S	04-13-00	$1,001 - $15,000
JT	CISCO (CSCO)	P	04-05-00	$1,001 - $15,000
JT	CISCO (CSCO)	S	04-13-00	$1,001 - $15,000
JT	Commerce One (CMRC)	P	09-13-00	$1,001 - $15,000
JT	Commerce One (CMRC)	S	09-18-00	$1,001 - $15,000
JT	Commerce One (CMRC)	P	11-17-00	$1,001 - $15,000
JT	Commerce One (CMRC)	S	11-22-00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Na Christopher H. Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	L90 (LNTY)	P	02-23-00	$1,001 - $15,000
JT	L90 (LNTY)	S	02-28-00	$1,001 - $15,000
JT	3Com (COMS)	P	03-07-00	$1,001 - $15,000
JT	3Com (COMS)	S	03-07-00	$1,001 - $15,000
JT	JDS Uniphase (JDSU)	P	03-16-00	$1,001 - $15,000
JT	JDS Uniphase (JDSU)	S	03-20-00	$1,001 - $15,000
JT	JDS Uniphase (JDSU)	P	03-31-00	$15,001 - $50,000
JT	JDS Uniphase (JDSU)	S	04-03-00	$15,001 - $50,000
JT	JDS Uniphase (JDSU)	P	04-11-00	$1,001 - $15,000
JT	JDS Uniphase (JDSU)	S	04-11-00	$1,001 - $15,000
JT	JDS Uniphase (JDSU)	P	09-13-00	$15,001 - $50,000
JT	JDS Uniphase (JDSU)	S	09-18-00	$15,001 - $50,000

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SCHEDULE IV - TRANSACTIONS

Na Christopher H. Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	JDS Uniphase (JDSU)	P	09-22-00	$1,001 - $15,000
JT	JDS Uniphase (JDSU)	S	09-25-00	$1,001 - $15,000
JT	Wireless Facilities (WFII)	P	03-29-00	$1,001 - $15,000
JT	Wireless Facilities (WFII)	S	03-30-00	$1,001 - $15,000
JT	Watchguard Technologies (WGRD)	P	02-09-00	$1,001 - $15,000
JT	Watchguard Technologies (WGRD)	S	02-10-00	$1,001 - $15,000
JT	Watchguard Technologies (WGRD)	P	02-11-00	$1,001 - $15,000
JT	Watchguard Technologies (WGRD)	S	02-11-00	$1,001 - $15,000
JT	Watchguard Technologies (WGRD)	P	02-14-00	$1,001 - $15,000
JT	Watchguard Technologies (WGRD)	S	02-16-00	$1,001 - $15,000
JT	Watchguard Technologies (WGRD)	S	02-25-00	$1,001 - $15,000
JT	Watchguard Technologies (WGRD)	P	03-31-00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Watchguard Technologies (WGRD)	S	04-03-00	$1,001 - $15,000
JT	Texas Instruments (TXN)	P	09-22-00	$1,001 - $15,000
JT	Texas Instruments (TXN)	S	10-12-00	$1,001 - $15,000
JT	Applied Micro Circuits (AMC)	P	10-12-00	$1,001 - $15,000
JT	Applied Micro Circuits (AMC)	S	10-12-00	$1,001 - $15,000
JT	JDS Uniphase (JDSU)	PS	03-29-00	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Notre Dame & St. Mary's College Right to Life Club	Apr. 7	Wash., D.C. -- Detroit -- SouthBend -- Wash., D.C.	N	N	N	N/A
Georgia Right to Life	Jun. 16	Wash., D.C. -- Atlanta -- Wash., D.C.	N	N	N	N/A
Campaign Life of Toronto, Ontario	Jul. 6	Wash., D.C. -- Toronto -- Wash., D.C.	N	N	N	N/A

SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors	Americans United for Life Legal Defense Fund
Member, Advisory Board	The Susan Breast Cancer Fund

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIV -

LEGISLATIVE RESOURCE CENTER
2001 MAY 14 PM 12: 09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
Office Use Only

Lamar Smith
(Full Name)

2231 Rayburn House Office Building
(Mailing Address)

202 225-4236
Daytime Telephone

Filer Status	X Member of the U.S. House of Representatives	State: Texas District: 21	Officer or Employee	Employing Office:
Report Type	X Annual (May 15)	Amendment	Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes X	No	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No X
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No X	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes	No X
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes X	No	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes X	No
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes X	No	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No X
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes	No X	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No X
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No X

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lamar Smith	May 10, 2001

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Name	Lamar Smith	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Christian Science Practitioner (spouse)	earnings	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Lamar Smith	Page 3 of 9

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
NA			

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Name Lamar Smith

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A		Block B	Block C							Block D										Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Examples	SP	Mega Corp. Stock				X									X										X								P
		Simon & Schuster			Indefinite												X				Royalties								X				
	JT	1st Bank of Paducah, KY accounts						X																		X							
		Life Tenant, undivided (25%) surface and mineral																															
		Interest of Lamar Seeligson Ranch, Premont, TX.								X						X					royalties						X		X				

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Lamar Smith

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E, M
	Trust:Stock owned by adult children;respondent is a life tenant and has an interest in dividends only.*				
	AT&T Corp *	B X	DIVIDENDS NA	III X	
	American Express*	D X	DIVIDENDS NA	III X	
	BP Amoco*	C X	DIVIDENDS NA	III X	
	Cullen Frost Bankers Inc.*	C X	DIVIDENDS NA	III X	
	General Electric*	C X	DIVIDENDS NA	III X	
	Lehman Bros*	C X	DIVIDENDS NA	III X	
	Pepsico*	C X	DIVIDENDS NA	III X	
	Topps*	C X	DIVIDENDS NA	III X	
	Vodafone Group*	C X	DIVIDENDS NA	III X	
	~~Wright Patman Federal Credit~~ Union	B X	INTEREST X	IV X	
	First Union National Bank	B X	INTEREST X	IV X	
	19 Warren Street, Barnstable County, MA	G X	RENT X	I X	

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SCHEDULE IV — TRANSACTIONS

Name Lamar Smith

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over-$50,000,000
SP	*Example*	Mega Corporation Common Stock	X			10-12-00		X								
	Pepsico *			X		7-18-00	X									
	Pepsico *			X		4-12-00	X									
	*Trust: Stock owned by adult children;															
	respondent is a life tenant and has an interest															
	in dividends only.															

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	NA											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NA		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
NA							

4989

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NA	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

LAMAR SMITH
21st District, Texas

JOHN W. LAMPMANN
Chief of Staff

2231 Rayburn House Office Building
Washington, DC 20515–4321
(202) 225–4236
Fax: (202) 225–8628

http://lamarsmith.house.gov

HAND DELIVERED

Congress of the United States
House of Representatives

COMMITTEE ON THE JUDICIARY
Chairman, Subcommittee on Crime
Subcommittee on the Constitution
Subcommittee on Immigration and Claims

COMMITTEE ON SCIENCE
Subcommittee on Space and Aeronautics
Subcommittee on Research

JOINT ECONOMIC COMMITTEE

May 29, 2001

01 JUN -7 AM 11:27
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MC

The Honorable Joel Hefley
Chairman of Committee on Standards of Official Conduct
HT-2 The Capitol
Washington, DC 20515-0605

Dear Joel:

The attached two pages are to amend my financial disclosure that I submitted on May 15, 2001. Please use these two pages to replace the pages submitted earlier.

Your interest in this matter is appreciated.

Sincerely,

Lamar Smith

Lamar Smith
Member of Congress

LS/jyb

01 JUN -6 AM 11:13
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Please reply to:

☐ 1100 N.E. Loop 410
Suite 640
San Antonio, TX 78209
(210) 821-5924
Fax: (210) 821-5947

☐ 904 S. Main Street
Georgetown, TX 78626
(512) 930-3500
Fax: (512) 868-9766

☐ 1006 Junction Highway
Kerrville, TX 78028
(830) 895-1414
Fax: (830) 895-2091

☐ 33 East Twohig Street
Suite 302
San Angelo, TX 76903
(915) 653-7911
Fax: (915) 655-4687

☐ 4305 North Garfield
Suite 2288
Midland, TX 79705
(915) 687-5232
Fax: (915) 687-5234

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

HAND-DELIVERED

For use by Members, officers, and employees

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01 JUN -7 AM 11:27

RECEIVED
01 JUN -6 AM 11:13
COMMITTEE ON STANDARDS

(Office Use Only)

Lamar Smith
(Full Name)

2231 Rayburn House Office Building
(Mailing Address)

202 225 4236
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State Texas District 21	[ ] Officer or Employee	Employing Office.
Report Type	[X] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lamar Smith	May 29, 2001

4992

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Lamar Smith

SP, DC, JT	BLOCK A Assct and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other: Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Trust: Stock owned by adult children; respondent is a life tenant and has an interest in dividends only.*			NA										X										X								
	AT &T Corp *		X											NA								UNKNOWN										
	American Express*				X									NA								UNKNOWN										
	BP Amoco *			X										NA								UNKNOWN										
	Cullen Frost Bankers Inc.*			X										NA								UNKNOWN										
	General Electric*			X										NA								UNKNOWN										
	Lehman Bros*			X										NA								UNKNOWN										
	Pepsico*			X										NA								UNKNOWN										
	Topps*			X										NA								UNKNOWN										
	Vodafone Group*			X										NA								UNKNOWN										
	Wright Patman Federal Credit Union		X													X									X							
	First Union National Bank		X													X									X							
	19 Warren Street, Barnstable, MA							X							X						X											

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 5:17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) 7

Nick Smith
(Full Name)

12780 Addison Road
(Mailing Address)

202.225.6276
Daytime Telephone

Addison, MI 49220

Filer Status	[X] Member of the U.S. House of Representatives — State MI District 07	[ ] Officer or Employee — Employing Office:	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Nick Smith	MAY 14, 2001

4994

Name Nick Smith | Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Michigan	Legislative Pension	$39,073

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Nick Smith

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s); (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000
Examples: Mega Corp. Stock					X							
Examples: Simon & Schuster				Indefinite								
Examples: 1st Bank of Paducah, KY accounts						X						
American Home Prod			X									
American Int'l Grp				X								
Apasco			X									
Audiocodes, Ltd			X									
Bank One Corp	X											
Bristol Myers Squibb				X								

BLOCK C — Type of Income

	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)
Examples: Mega Corp. Stock	X						
Examples: Simon & Schuster							Royalties
Examples: 1st Bank of Paducah, KY accounts			X				
American Home Prod	X						
American Int'l Grp	X						
Apasco	X						
Audiocodes, Ltd	X						
Bank One Corp							
Bristol Myers Squibb	X						

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction
Examples: Mega Corp. Stock				X								P
Examples: Simon & Schuster									X			
Examples: 1st Bank of Paducah, KY accounts						X						
American Home Prod	X											P
American Int'l Grp			X									
Apasco			X									
Audiocodes, Ltd	X											P
Bank One Corp												S
Bristol Myers Squibb				X								

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (If needed)

Name Nick Smith

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	CMS Energy				X									X										X								P
	Cisco Systems				X									X							X											
	ConAgra Inc			X										X									X									
	Cong Fed'l Credit Union				X											X							X									
	Consumers Energy Pfd				X									X		X	X							X								
	Eaton Vance Trust				X									X		X	X						X									
	Corning Inc				X									X								X										
	Genentech Inc			X										X								X										P
	General Electric				X									X									X									P
	HJ Heinz			X										X									X									
	IBM				X									X									X									
	Kellogg Company	X																														S
	Merrill Lynch IRA			X										X		X	X						X									
	Merrill Lynch IRA			X										X		X	X						X									
	Microsoft Corp			X										X			X					X										S
	MI Senate Thrift Sav					X								X		X	X							X								
	Motorola			X										X								X										
	Nexgrity			X										X								X										
	Old Kent Financial						X							X										X								
	Portage MI School Bond						X									X					X											P
	Byron School Bond				X											X								X								
	Society Bank - Addison			X												X							X									

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4997

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Nick Smith

BLOCK A Asset and/or Income Source	SP, DC, JT	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: m, p, p
Society Bank · farm						X										X								X								
Farm · Addison MI										X						Farming														X	(gross)	
" · "																					X	(net)										
Calif propty (vacant lot)															(vacant lot)						X											
Capra Mutual Fund							X							X		X	X							X								
Janus Mutual Fund							X							X		X	X							X								
Emerson Electric					X									X									X									
AG Edwards Cash Mgt						X								X		X	X							X								
TCI Communications					X									X										X								
Citizen Banking CP MI					X											X								X								

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SCHEDULE IV — TRANSACTIONS

Name: Nick Smith

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	American Home Products	X			6-6-00	X									
	Bank One Corp		X		9-29-00	X									
	CMS Energy	X			2-23-00	X									
	Genentech Inc	X			6-6-00	X									
	General Electric Co	X			2-23-00	X									
	Kellogg Corp		X		9-29-00	X									
	Microsoft Corp		X		12-29-00	X									
	Audiocodes Ltd	X			12-11-00	X									
	Portage MI School Bond	X			9-28-00		X								

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	State of Michigan	continuation of health care coverage

Use additional sheets if more space is required.

5000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 6:01
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

10

Victor Frederick SNYDER
(Full Name)
Longworth HOB 1319 501-324-5941
(Mailing Address) Daytime Telephone
Washington DC.

Filer Status	☒ Member of the U.S. House of Representatives	State AR District 2	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent chud receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Snyder	5/7/01

5001

Name Vic Snyder Page 2 of 10

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	None		

For payments to charity in lieu of honoraria, use Schedule II.

5002

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Vic Snyder

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B		BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP					X								X						Royalties				X								P
DC	*Examples*				Indefinite																									X			
JT								X									X									X							
	Janus Venture				X			($5,434)										X							X								P S
	Dreyfus Founders					X		($21,300)							X			X							X								P
	Vanguard Treasury Money Mkt					X		($23,597)							X									X									P
	Vanguard Total Stock						X	($9,467)							X			X							X								P
	Vanguard Treasury SEP IRA					X		($39,877)															N		A								E
	Vanguard Total Stk Sp IRA					X		($2,500)															N		A								E

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Vic Snyder

Page 5 of 10

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
	Amer Century Prime Money	X ($ 20,166)	X	X	P
	Invesco - Europe Eq	X ($ 15,646)		N/A (Ret)	P
	~~[illegible]~~				
	~~[illegible]~~				
	~~[illegible]~~				
	T. Rowe Price Europe	X ($ 8,640)	X X	X	
	T. Rowe Price New Asia	X ($ 17,041)			
	T. Rowe Price US Treasury	X ($ 270)	X	X	
	US Treasury Notes	X ($ 89,000)	X	X	P
	Montgomery Emerging Mkts	X ($ 6,196)			
	Scudder Latin American	X ($ 3,998)	X X	X	
	Valic Govt. Security Annuity	X ($ 34,433)		N/A - Retirement Annuity	

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SCHEDULE IV — TRANSACTIONS

Name Vic Snyder Page 6 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	Janus Venture		X		1/12/00			X	($50,000)						
	Janus Venture	X			monthly auto invest			X	(Cap Gains Reinvest)						
	Dreyfus Founders	X						X	(Dividend/Cap Gains Reinvest)						
	Vanguard Treasury Money Mkt	X			monthly auto invest			X	($13,000)						
	Vanguard Treasury Money Mkt	X			Income	Dividend Reinvestment									
	Vanguard Total Stock	X			monthly auto invest			X	(Dividend/Cap Gains Reinvest)						
	Vanguard Treasury (SEP-IRA)			X	1/12/00			X	(+$25,000)						
	Vanguard Total Stock (SEP-IRA)			X	1/12/00			X	(−$25,000)						
	Vanguard Treasury (SEP-IRA)			X	9/11/00			X	(+$10,283)						
	Vanguard Tot Stock (SEP-IRA)			X	9/11/00			X	(−$10,283)						
	Amer Century Prime Money Mkt	X						X	(Dividend Reinvest)						
	Invesco Europe IRA	X			4/13/00			X	($2,000 IRA Contribution)						
	T. Rowe Price Europe			X	1/12/00			X	(−$5,000)						
	T. Rowe Price US Treasury			X	1/12/00			X	(+$5,000)						
	T. Rowe Price New Asia	X			monthly auto invest	X									
	~~[illegible]~~	~~X~~				~~X~~			~~(Dividend Rein~~						

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SCHEDULE IV — TRANSACTIONS

Name Vic Snyder

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Montgomery Growth		X		1/12/00		X	(-$15,690)							
	US Treasury Notes	X			1/31/00			X							

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5007

Name Vic Snyder | Page 8 of 10

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

5008

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	None						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
None	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 AM 10:47

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

(8)

HAND DELIVERED

MC (Office Use Only)

(Full Name)
HILDA L. SOLIS

(Mailing Address)
11930 CHERRYLEE DRIVE EL MONTE, CA 91732

(Daytime Telephone)
202-225-5464

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 31	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Hilda L. Solis	5-15-01

5011

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF CALIFORNIA, SACRAMENTO, CA	SALARY	$89,000
	SAM'S FOREIGN AND DOMESTIC AUTO CENTER	SPOUSE'S BUSINESS INCOME	N/A

For payments to charity in lieu of honoraria, use Schedule II.

5012

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example: Partnership Income or Farm Income))	Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	P, S, E
SP	Mega Corp Stock	D	X	IV	P
DC	Simon & Schuster	Indefinite	Royalties	X	
JT	1st Bank of Paducah, KY accounts	F	X		
	SAM'S FOREIGN AND DOMESTIC AUTO CENTER	D	N/A		
	STATE OF CALIFORNIA SAVINGS PLUS PROGRAM	D	N/A		
	WELLS FARGO BANK MONEY MARKET ACCOUNT	C	X	II	
	PALM SPRINGS TENNIS CLUB TIME SHARE	C	X	I	
	LSW RETIREMENT ACCOUNT	D	N/A		

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name HILDA L. SOLIS | Page 5 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction										
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO.DAY.YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example*	Mega Corporation Common Stock	X			10-12-00		X								
	N/A															

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5015

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	CENTRAL LOAN ADMINISTRATION	MORGAGE ON 11930 CHERRYLEE DR., EL MONTE				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
N/A							

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 16 AM 9:46

HAND DELIVERED

(Office Use Only)

8

Mark E. Souder
(Full Name)

2427 Windsong Court
(Mailing Address)

Fort Wayne, IN 46804

Daytime Telephone: 202-225-4436 DC office

Filer Status	[X] Member of the U.S. House of Representatives	State IN District 4th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark E. Souder	May 15, 2001

5019

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
Historic Souder's of Grabill	Guaranteed payment to partner	$300
Diane K. Souder - Consultant of Discovery Toys	Spouse proprietorship	N/A
James A. Long II OD, Inc.	Spouse wage	N/A

For payments to charity in lieu of honoraria, use Schedule II.

5020

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e. one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A Asset and/or Income Source		BLOCK B Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
SP, DC, JT			A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Examples, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock		X	X	X	P
DC, *Examples*	Simon & Schuster		Indefinite	Royalties	X	
JT	1st Bank of Paducah, KY accounts		X	X	X	
	1. Souder's General Store	Partnership Interest	X		X	E

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark E. Souder

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P. S. E
	2. Historic Souder's of Grabill					X								Partnership income												X						
	Partnership Interest																															
	Activity - Real estate rental																															
	Assets - Buildings, Furnishings & Equipment																															
	Percentage ownership - 25%																															
SP	3. Certificate of Deposit				X											X							X									
	Grabill Bank																															
	4. Certificate of Deposit				X											X							X									
	Grabill Bank																															
	5. Guarantee Life Companies	X												X							X											
	Inc.																															
JT	6. Raymond James Investment			X										X								X										
	Account																															

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SCHEDULE IV — TRANSACTIONS

Name Mark E. Souder | Page 5 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Souder's General Store			X	1-1-00	X									
	(Liquidated partnership with rental activity transferred to Historic Souder's Partnership)														

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5023

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example. First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Grabill Bank, Grabill, Indiana	Mortgage - Historic Souder's of Grabill - Real Estate	X									
		Location - Grabill, IN Ownership % - 25%										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Singapore International Foundation	7/1-7/5	Wash., D.C. - Singapore	Y	Y	Y	N
Purpose: official visit to government leaders						
Chinese National Association of Industry & Commerce	7/5-7/10	Singapore - CKS Int'l - Wash., D.C.	Y	Y	Y	N
Purpose: official visit to government leaders						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Our Country Home, Inc.
Managing Partner	Historic Souder's of Grabill
Director	National Lighthouse Center and Museum
Director	Russian - American Christian University

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required.

01 MAY 15 PM 4:13

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

Form A
For use by Members, officers, and employees

Fortney Pete Stark
(Full Name)

239 Cannon House Office Building
(Mailing Address)

Washington DC 20515
Daytime Telephone 202/225-5065

HAND DELIVERED
HC
(Office Use Only)

9

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status: [X] Member of the U.S. House of Representatives — State CA District 13 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	X	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	X	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	X	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If yes, complete and attach Schedule IV.	X	
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	X	
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? If yes, complete and attach Schedule VI.		X
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? If yes, complete and attach Schedule VII.	X	
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	X	
IX. Did you have any reportable agreement or arrangement with an employer or entity? If yes, complete and attach Schedule IX.		X

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "Excepted Trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?		X
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?		X

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct in its discretion. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report, may be subject to civil monetary penalties (see 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL: Pete Stark — DATE (Month/Day/Year): 5/15/01

5027

SCHEDULE I – EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Deborah R. Stark, National Funding Collaborative on Violence Prevention	Spouse, Consulting Fee	NA
	Deborah R. Stark, MACRO International	Spouse, Consulting Fee	NA
	Deborah R. Stark, Georgetown University Child Development Center	Spouse, Consulting Fee	NA
	Deborah R. Stark, Maternal & Child Health Bureau, US DHHS	Spouse, Consulting Fee	NA
	Deborah R. Stark, Pal-Tech	Spouse, Consulting Fee	NA
	Deborah R. Stark, Pete Stark Re-election Committee	Spouse, Consulting Fee	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1998	$2,000
	XYZ Magazine	Article	Aug. 13, 1998	$ 500
	American Academy of Dematology, Schaumburg, IL	Speech	7/5/2000	$1,000.00
	American College of Emergency Physicians, Dallas TX	Speech	5/18/2000	$2,000.00

Name Fortney Pete Stark | Page 1 of 2

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source**	BLOCK B **Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is *self-directed* provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is *not* self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None".	If other than one of the listed categories, specify the type of income by writing a brief description in this block.	For IRA's and retirement plans that are *not* self-directed, you may write in "NA" for income.	Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT *Examples*	SP Mega Corp. Stock 123 Main St., Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
JT	Rental House 2nd St, SE, Washington, DC	$250,001 - $500,000	Rent	$15,001 - $50,000	NA
	Charles Schwab, CMA - Various	$100,001 - $250,000	Interest	$5,001 - $15,000	NA
JT	Note From Stark Invesment Co, LP	$50,001 - $100,000	Interest	$5,001 - $15,000	NA
SP	Chateau Properties Common Stock	$1,001 - $15,000	Dividends	$201 - $1,000	NA
SP	Felcor Ste Hotel Common Stock	$1,001 - $15,000	Dividends	$201 - $1,000	NA
SP	U.S. Savings Bonds	$1,001 - $15,000	Interest	$201 - $1,000	NA

Name Fortney Pete Stark	Page 2 of 2

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

SP	Pacific Gulf Properties Common Stock	$1,001 - $15,000	Dividends	$5,001 - $15,000	NA
SP	Starbucks Common Stock	$1,001 - $15,000	Dividends	None	NA
SP	Vanguard Growth Index Fund	$15,001 - $50,000	Dividends	$1,001 - $2,500	P
DC	Dodge & Cox Stock Fund	$15,001 - $50,000	Dividends	$2,501 - $5,000	P
DC	20th Century Gift Trust Fund	$1,001 - $15,000	Dividends	$201 - $1,000	NA
DC	Federal Realty Trust Common Stock	$1,001 - $15,000	Dividends	$201 - $1,000	NA
	** Asset of Stark Investment Co., LP (44%)				
	** Multi-Tennant Warehouse, Littlefield Dr., South San Francisco, CA	$5,000,001 - $25,000,000	Rent	$1,000,001 - $5,000,000	NA
	** Richey Oil Co., Texas	$15,001 - $50,000	Royalty	$5,001 - $15,000	NA
	** ElDorado Indus. Park (land) Stockton, CA	None	Vacant	None	NA
	**Whitney Development Co, Lafayette, CA	None	Misc	None	NA
	**Damson Oil Co., Texas	None	Royalty	None	NA
	**Note from J.P. Stark	$15,001 - $50,000	Interest	None	NA
SP	Dodge & Cox Stock Fund	$15,001 - $50,000	Dividends	$2,501 - $5,000	P
SP	Vanguard 500 Index Fund	$15,001 - $50,000	Dividends	$201 - $1,000	NA
DC	Vanguard Growth Index Fund	$15,001 - $50,000	Dividends	$1 - $200	NA
	**Note from J. Enberg	$15,001 - $50,000	Interest	$5,001 - $15,000	NA

Name Fortney Pete Stark

Page 1 of 1

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1998	$15,001 - $50,000
SP	Dodge & Cox Stock Fund	Purchase	Dividend Reinvest	$1,001 - $15,000
DC	Dodge & Cox Stock Fund	Purchase	Dividend Reinvest	$1,001 - $15,000
JT	Glenborough Pfd. Stock	Purchase	9/21/2000	$50,001 - $100,000

Name Fortney Pete Stark | Page 1 of 1

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.

Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the end of the reporting period exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
Example	*First Bank of Wilmington, Delaware*	*Mortgage on 123 Main St., Dover, Del.*	*$100,001 - $250,000*
JT	Independence Federal Savings Bank, Washington, DC	Mortgage on 2nd St., SE Washington, DC	$250,001 - $500,000
	**Indicate Obligations of Stark Investment Co. LP (44% Owned)	**	
	**Confederation Life Ins. Co	Mortgage on S. San Francisco Warehouse	$5,000,001 - $25,000,000
	**Fremont Bank, CA	Note	$100,001 - $250,000

Name Fortney Pete Stark | Page 1 of 1

SCHEDULE VII -- TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $250 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments; or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342); travel paid for by a Federal political committee; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
The Aspen Institute	1/13/00-1/16/00	Washington-Naples, Florida-Washingon	Y	Y	Y	0
The Aspen Institute	3/18/00-3/25/00	Washington-Puerto Rico-Washington	Y	Y	Y	3
Kaiser Permanente	11/27/00-11/28/00	Washington-San Francisco-Washington	N	N	N	0

Name Fortney Pete Stark	Page 1 of 1

SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature, and positions listed on Schedule I.

Position	Organization
President & Director	Stark Investment Company, Inc., Fremont, CA
President & Director	Pete Stark Foundation, Fremont, CA

5036

FORTNEY PETE STARK
THIRTEENTH DISTRICT, CALIFORNIA

CONGRESS OF THE UNITED STATES
HOUSE OF REPRESENTATIVES
WASHINGTON, D.C. 20515

COMMITTEES:
WAYS AND MEANS
JOINT ECONOMIC

MC
3

May 22, 2001

The Honorable Jeff Trandahl
Clerk of the House
US House of Representatives
H154 The Capitol
Washington, DC 20515
Attn: Ken Kellner

Dear Mr. Trandahl,

I would like to submit an amendment to my 2000 Financial Disclosure form to correct a minor omission from my SCHEDULE III – ASSETS AND "UNEARNED" INCOME. Kindly add the enclosed page to Schedule III.

If you have any questions, please contact me at 202/225-8626.

Sincerely,

Pete Stark

Pete Stark,
Member of Congress

Encl.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

Form A
For use by Members, officers, and employees

Fortney Pete Stark
(Full Name)

239 Cannon House Office Building
(Mailing Address)

Washington DC 20515
(City, State, Zip)

Daytime Telephone
202/225-5065

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U.S. HOUSE OF REPRESENTATIVES

Filer Status	[X] Member of the U.S. House of Representatives	State: CA District: 13	[ ] Officer or Employee	Employing Office
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries and fees) of $200 or more from any source in the reporting period?
If yes, complete and attach Schedule I. Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech or appearance or an honorarium in the reporting period?
If yes, complete and attach Schedule II. Yes [X] No [ ]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period (dividends, reportable asset worth more than $1,000 at the end of the period)?
If yes, complete and attach Schedule III. Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period?
If yes, complete and attach Schedule IV. Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period?
If yes, complete and attach Schedule V. Yes [X] No [ ]

VI. Did your spouse or a dependent child receive any reportable gifts in the reporting period (e.g., aggregating more than $250 and not otherwise exempt)?
If yes, complete and attach Schedule VI. Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel reimbursements for travel in the reporting period (worth more than $250 from one source)?
If yes, complete and attach Schedule VII. Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?
If yes, complete and attach Schedule VIII. Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with a prior employer?
If yes, complete and attach Schedule IX. Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified blind trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes [ ] No [X]

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct in its discretion. Any individual who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001).

SIGNATURE OF REPORTING INDIVIDUAL: Pete Stark

DATE (Month/Day/Year): 5/23/2001

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source**	BLOCK B **Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is self-directed provide the required information on each underlying asset that exceeds the value or income reporting threshold. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting year. **Exclude:** Your personal residence(s) (unless there rental income), any debt owed to you by your spouse; or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see instructions, pages 14-20	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block.	For IRA's and retirement plans that are not self-directed, you may write in "NA" for income.	Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC *Examples* SP 123 Main St., Dover, Del. JT 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
JT Glenborough Pfd. Stock	$50,001 - $100,000	Dividends	$5,001 - $15,000	P

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

CENTER
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(Office Use Only)

(16)

M/1

5039

Clifford Bundy Stearns
(Full Name)

2227 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

(202) 225-5744
Daytime Telephone

Filer Status ✓ Member of the U.S. House of Representatives — State FL District 06 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ✓ No
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ✓ No
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ✓ No
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $280 and not otherwise exempt)? Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $280 from one source)? Yes ✓ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ✓ No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Clifford B. Stearns	5-15-01

SCHEDULE I - EARNED INCOME

Name Clifford Bundy Stearns

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Central Florida Community College	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Bank of America, Ocala, FL	$1,001 - $15,000	Interest	$1 - $200	N/A
Congress Federal Credit Union	$1,001 - $15,000	Interest	$1 - $200	N/A
T. Rowe Price Equity Index	$15,001 - $50,000	Capital Gains and Dividends	$1 - $200	P<($1,000)
T. Rowe Price Media & Telecom	$1,001 - $15,000	Capital Gains and Dividends	$1,001 - $2,500	P<($1,000)
T. Rowe Price New Horizons	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P<($1,000)
T. Rowe Price Emerging Markets Bond	$1,001 - $15,000	Dividends	$201 - $1,000	P<($1,000)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Steams

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
T. Rowe Price High Yield	$1,001 - $15,000	Dividends	$201 - $1,000	P<($1,000)
T. Rowe Price Spectrum Income	$1,001 - $15,000	Dividends	$201 - $1,000	P<($1,000)
T. Rowe Price Summit Cash Reserves	$1,001 - $15,000	Dividends	$201 - $1,000	P<($1,000)
MSDW Liquid Asset Fund	$15,001 - $50,000	Dividends	$1,001 - $2,500	N/A
American Express	$1,001 - $15,000	Dividends	$1 - $200	N/A
Harris Corporation	$1 - $1,000	Dividends	$1 - $200	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse; or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U S Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Daimler-Chrysler Corporation	$1 - $1,000	Dividends	$1 - $200	N/A
Mobil-Exxon Corporation	$1,001 - $15,000	Dividends	$1 - $200	N/A
Keycorp	$1 - $1,000	Dividends	$1 - $200	N/A
71.70% Interest, Silver Springs Inn, Ltd., a Partnership, Silver Springs, FL (cash, mtg. receivable, loan costs, and debt)	$100,001 - $250,000	Interest (Gross)	$15,001 - $50,000	N/A
(Silver Springs Inn, Ltd. cont'd)	None	Memo: Int. (Net of Int. Exp.)	$15,001 - $50,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
(Silver Springs Inn, Ltd. cont'd)	None	Capital Gains and Dividends	$5,001 - $15,000	N/A
53.34% Interest, Rock Creek, Ltd., a Partnership, Silver Springs, FL (cash and mtg. receivable)	$50,001 - $100,000	Interest (Gross)	$2,501 - $5,000	N/A
(Rock Creek Ltd. cont'd)	None	Memo: Int. (Net of Int. Exp.)	$2,501 - $5,000	N/A
(Rock Creek Ltd. cont'd)	None	Capital Gains	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
100% Interest, First Silver Springs, Inc., a C Corporation, Silver Springs, FL (cash, mtg. receivable, other receivables, investments, and debt)	$500,001 - $1,000,000	NA	NONE	N/A
100% Interest, Stearns House, Inc. an S Corp, Silver Springs, Fl (cash, furniture, and debt)	$50,001 - $100,000	Dividends	$2,501 - $5,000	N/A
(Stearns House, Inc. cont'd)	None	Ordinary Income from S Corp	$15,001 - $50,000	N/A
Rental Building (Restaurant), 5545 E. Silver Springs Blvd., Silver Springs, FL	$250,001 - $500,000	Rent (Gross)	$15,001 - $50,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
(Rental Building cont'd)	None	Memo: Rent (Net of Exp.)	$2,501 - $5,000	N/A
Rental Building (Office), 2950 N.E. 55th Ave., Silver Springs, FL	$50,001 - $100,000	Rent (Gross)	$5,001 - $15,000	N/A
(Rental Building cont'd)	None	Memo: Rent (Net of Exp.)	$5,001 - $15,000	N/A
Mortgage Receivable, Shri Natjhi, Inc., Silver Springs, FL (sale of Triplex). Note: The entire sale was reported in a preceding year. The proportionate gain on the mortgage principal collection is reported this year.	$50,001 - $100,000	Interest	$5,001 - $15,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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(Mortgage Receivable, Shri Natjhi, Inc. cont'd)	None	Capital Gains	$1,001 - $2,500	N/A
Note Receivable, Silver Springs Inn, Ltd., Silver Springs, FL	$50,001 - $100,000	Interest	$2,501 - $5,000	N/A
Rental Building (Marina Towers), Alexandria, VA	$100,001 - $250,000	Rent (Gross)	$15,001 - $50,000	N/A
(Rental Building cont'd)	None	Memo: Rent (Net of Exp.)	NONE	N/A
Rental Building (Old Town Gateway Townhouse), Alexandria, VA	$250,001 - $500,000	Rent (Gross)	$5,001 - $15,000	N/A
(Rental Building cont'd)	None	Memo: Rent (Net of Exp.)	NONE	N/A

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
T. Rowe Price Science & Technology	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P>($1,000)
Loan Receivable, Stearns House, Inc., Ocala, FL	$1,001 - $15,000	N/A	NONE	N/A
MSDW Global Dividend Growth B (IRA Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P<($1,000)
MSDW Utilities Fund B (IRA Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P<($1,000)
MSDW Government Securities B (IRA Mutual Fund)	$1,001 - $15,000	Dividends	$201 - $1,000	P<($1,000)
MSDW American Opportunities B (IRA Mutual Fund)	$1,001 - $15,000	Capital Gains	$1,001 - $2,500	P>($1,000)

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

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MSDW Dividend Growth B (IRA Mutual Fund)	$1,001 - $15,000	Capital Gains and Dividends	$201 - $1,000	P<($1,000)
MSDW Liquid Asset Fund (IRA Asset)	$15,001 - $50,000	Dividends	$1,001 - $2,500	P>($1,000)
Ford Motor Company (IRA Asset)	$1,001 - $15,000	N/A	NONE	N/A
MSDW Health Sciences B (IRA Mutual Fund)	$1,001 - $15,000	Capital Gains	$201 - $1,000	P<($1,000)
MSDW Small Cap Growth B (IRA Mutual Fund)	$1,001 - $15,000	Capital Gains	$1 - $200	P<($1,000)
MSDW Mid-Cap Equity Trust B (IRA Mutual Fund)	$1,001 - $15,000	N/A	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford Bundy Stearns

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Unit Van Kampen Focus Port Tel Ecom & Bandwidth Series (IRA Asset)	$1,001 - $15,000	N/A	NONE	N/A
First USA Bank, NA, Wilmington, DE (IRA Asset)	$1,001 - $15,000	N/A	NONE	N/A

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SCHEDULE IV - TRANSACTIONS

Name: Clifford Bundy Stearns

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	T. Rowe Price Science & Technology	P	September 2000	$1,001 - $15,000
	MSDW American Opportunities B (IRA Mutual Fund)	P	Various	$1,001 - $15,000
	MSDW Liquid Asset Fund (IRA Asset)	P	Various	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	SunTrust Bank, Ocala, FL	Mortgage on Restaurant, Silver Springs, FL	$10,001 - $15,000
	Washington Mutual, Northridge, CA	Mortgage on Marina Towers Rental, Alexandria, VA	$100,001 - $250,000
	NationsBank Mortgage, Charlotte, NC	Mortgage on Old Town Gateway Apartment, Alexandria, VA	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
The Awakening, Sea Island, GA - Speech	January 7 - 9	Ocala-Sea Island-Ocala	Y	Y	Y	N
National Association Of Broadcasters	April 8 - 10	Washington DC-Las Vegas-Washington DC	Y	Y	Y	N
Information Technology Industry Council	April 15 - 19	Washington DC-San Francisco-Washington DC	Y	Y	Y	N
Cellular Telecommunications Industry Association	May 12 - 14	Washington DC-Hot Springs, VA-Washington DC	Y	Y	Y	N
Florida Association of Broadcasters	June 23 - 25	Orlando-Palm Beach-Orlando	Y	Y	Y	N
Florida Chamber of Commerce	August 11	Ocala-Lake Buena Vista-Ocala	Y	Y	Y	N

SCHEDULE VIII - POSITIONS

Name Clifford Bundy Stearns

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
General & Limited Partner	Silver Springs Inn, Ltd.
General & Limited Partner	Rock Creek Park, Ltd.
President	First Silver Springs, Inc.
President	Stearns House, Inc.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:56
(Office Use Only)

Charles W. Stenholm
(Full Name)

Room 1211 Longworth HOB U.S. House of Representatives Washington, DC 20515 — Mailing Address

202/225-6605 — Daytime Telephone

Filer Status: ✓ Member of the U.S. House of Representatives — State: TX — District: 17 — Officer Or Employee — Employing Office

Report Type: ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

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PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ✓ No

If yes, complete and attach Schedule I

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ✓ No

If yes, complete and attach Schedule II

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ✓ No

If yes, complete and attach Schedule III

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ✓ No

If yes, complete and attach Schedule IV

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ✓ No

If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? — Yes No ✓

If yes, complete and attach Schedule VI

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? — Yes ✓ No

If yes, complete and attach Schedule VII

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ✓ No

If yes, complete and attach Schedule VIII

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes No ✓

If yes, complete and attach Schedule IX

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct as deemed appropriate. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and on attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles W. Stenholm	5-15-01

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SCHEDULE II - PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

Name Charles W. Stenholm

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
The Washington Campus	Seminar participant/speech	Feb 14, 2000	$1,500
The Washington Campus	Speech	Feb 29, 2000	$500
The Washington Campus	Speech	June 13, 2000	$500
The Washington Campus	Speech	July 18, 2000	$500
The Washington Campus	Speech	Sept 12, 2000	$500
The Washington Campus	Speech	Sept 28, 2000	$500
The Connell Company	Speech	Nov 9, 2000	$2,000
Washington Research Group	Speech	Nov 10, 2000	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W. Stenholm

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JT	Ericksdahl Co-Op Gin	$1,001 - $15,000	Dividends	NONE	NA
JT	Jones-Haskell Grain Co-Op	$1,001 - $15,000	Dividends	$1 - $200	NA
JT	Stamford Electric Co-Op	$1,001 - $15,000	Dividends	NONE	NA
JT	Plains Cotton Co-Op	$1,001 - $15,000	Dividends	$201 - $1,000	NA
JT	Rolling Plains Co-Op	$1,001 - $15,000	Dividends	$201 - $1,000	NA
JT	S&S Feeders--50% partnership	$1 - $1,000	Dividends	none	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W. Stenholm

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JT	OK Oil & Gas Limited partnershp	$1,001 - $15,000	Royalties	$1 - $200	NA
JT	OK Oil & Gas Limited Partnershp	$1,001 - $15,000	Royalties	$1 - $200	NA
SP	Dessa Carmen Watson estate (*see addtl info at bottom of schedule III)	$100,001 - $250,000	trust income	$5,001 - $15,000	NA
JT	State National Bank, Stamford (previously First State Bank)	$1,001 - $15,000	Interest	$1 - $200	NA
JT	Congressional Fed Credit Union	$1 - $1,000	interest	$1 - $200	NA
JT	8300 John Deere tractor	$50,001 - $100,000	lease	$5,001 - $15,000	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W. Stenholm

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold ($), or exchanged (E) in reporting year.
JT	1997 Buick LeSabre	$1,001 - $15,000	reimbursmt	$5,001 - $15,000	NA
JT	1998 Chevy Blazer	$1,001 - $15,000	reimbursmt	$5,001 - $15,000	NA
JT	401K Thrift Savings Plan	$50,001 - $100,000	DIVIDENDS Interest	$5,001 - $15,000	NA
JT	IRA American Express Trust Co.	$50,001 - $100,000	Dividends/Interest	$5,001 - $15,000	NA
JT	840 acres-Jones County, TX(**see addtl info below)	$250,001 - $500,000	rent, ag payments	$15,001 - $50,000	NA
JT	Double S Farms (50% stock)	$50,001 - $100,000	Dividends	NONE	NA

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W. Stenholm

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	75 acres - Wheeler County, TX(***see addtl info below)	$15,001 - $50,000	ag paymnts, royalties	$2,501 - $5,000	NA
JT	S&S Enterprise - 40 acres, Jones Co TX	$15,001 - $50,000	rent	$201 - $1,000	NA
JT	160 acres-Jones County, TX	$50,001 - $100,000	rent	$5,001 - $15,000	NA
JT	Residence, Abilene, TX	$100,001 - $250,000	none	NONE	NA
JT	John Deere 6600 Sprayer	$15,001 - $50,000	lease	$15,001 - $50,000	NA
JT	First National Bank, Abilene	$1,001 - $15,000	Interest	$1 - $200	NA

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles W. Stenholm

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Steve Watson Estate	$1,001 - $15,000	Rent	$1,001 - $2,500	NA
JT	Independent Bank Shares	$1,001 - $15,000	Dividends	$1 - $200	S
* Dessa Carmen Watson estate	1/6 interest Vaughn + Jeff	$50,001 - 100,000	trust	No income	
	Mineral assets	$100,001 - 250,000	royalties	$5,001 - $15,000	
	Money Market fund	$1 - 1,000		$1 - 200	
	Capital Income Builder	$50,001 - 100,000		$2,501 - $5,000	
** 840 acres - Jones County	Rent	NA	rent	$5,001 - $15,000	
	CCC Payments	NA	Ag payments	$5,001 - $15000	
	Crop Insurance	NA	Ag payments	$5,001 - $15,000	
*** 75 acres - Wheeler County	Ag programs	NA	Ag payments	$2,501 - $5,000	
	Minerals	$15,001 - 50,000	Royalties	$2,501 - $5,000	

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SCHEDULE IV - TRANSACTIONS

Name Charles W Stenholm

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Independent Bank Shares	S	8-1-00	$1,001 - $15,000

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SCHEDULE V - LIABILITIES

Name Charles W. Stenholm

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	First Ntl Bank, Sweetwater, TX	Business-OK Oil & Gas	$10,001 - $15,000
JT	Federal Land Bank, Haskell, TX	Business-40 5 acres, Jones Co TX	$10,001 - $15,000
JT	Lend Lease	Business-840 acres, Jones Co TX	$100,001 - $250,000
JT	Rolling Plains PCA, Stamford, TX	Business - general farm operations	$15,001 - $50,000
JT	State National Bank, Stamford, TX (previously First State Bank)	Business - John Deere 6600	$15,001 - $50,000
JT	State National Bank, Stamford TX (previously First State Bank)	Business - OK Oil & Gas Partnership	$15,001 - $50,000
JT	Chase Advantage Credit	Personal - home improvements	$10,001 - $15,000
JT	Chase Manhattan, New York	Residence	$100,001 - $250,000
JT	First National Bank, Abilene Texas	Personal - Line of Credit	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Charles W. Stenholm

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments; or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Ntl Cotton Council	Jan 4-5, 2000	Dallas,TX-San Antonio,TX-Dallas	Y	Y	N	NA
Farm Foundation	Jan 7-8,2000	Abilene, TX-Corpus Christi, TX-Ab	Y	Y	N	NA
American Farm Bureau	Jan 10,2000	Abilene, TX-Houston-Ab	N	Y	N	NA
Aspen Institute	Jan 28-29,2000	Wash, DC -Queenstown, Md-DC	Y	Y	Y	NA
Ntl Grain Sorghum	Feb 20-21 2000	Abilene, TX-Corpus Christi, TX-Ab	Y	Y	N	NA
Am Crop Protection	Feb 26-28 2000	Abilene, TX-Tuscon, AZ-D.C.	Y	Y	Y	NA
Ntl Grain & Feed	Mar 30-31 2000	DC -SanDiego, CA- West Chester, OH	Y	Y	N	NA
Center for Intl Policy	April 23-26,2000	Abilene, TX-Havana Cuba-Ab	Y	Y	N	NA
Am Sugar Alliance	Aug 7-9,2000	Abilene,TX-Steamboat Springs,CO - Las Vegas,NV	Y	Y	Y	NA
Am Crop Protection	Sept 22-24, 2000	DC-White Sulpher Springs,WV-DC	Y	Y	Y	NA
The Connell Company	Nov 9, 2000	DC-Westfield, NJ- DC	N	Y	N	NA

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Charles W. Stenholm

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Interstate Oil & Gas Compact Commission	Dec 3-4, 2000	Abilene, TX-San Antonio,TX-DC	Y	Y	Y	NA

SCHEDULE VIII - POSITIONS

Name Charles W. Stenholm

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Double S Farms
Partner	S & S Feeders
Partner	S & S Enterprises

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2001 MAY 10 AM 10: 11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

(9)

INSIDE MAIL (Office Use Only)

Ted Strickland
(Full Name)

P.O. Box 580
(Mailing Address)

Daytime Telephone

Lucasville, OH 45648

Filer Status: ☑ Member of the U.S. House of Representatives — State OH, District 6th — ☐ Officer or Employee — Employing Office

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐ No ☑	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ted Strickland	May 5, 2001

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Name Ted Strickland	Page 2 of 3

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Smith Educational Enterprises, Inc. S. Corporation	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

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Name Ted Strickland | Page 3 of 3

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period and any other asset or source of income which generated more than $200 in "unearned" income during the period covered by the report. If any mortgage, pledge, security interest, or other lien on any asset, give the full name and address of the creditor. Include the full name of each beneficiary of any account that exceeds $1,000 in value and the full name and address of the trustee. If an IRA or retirement plan that is self-directed came into existence during the reporting period, provide its value at the end of the reporting period. For an asset business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information see the instructions booklet for the reporting year.

Exclude: Your personal residence unless there is rental income; any gift given to you by your spouse, or to you by your spouse's child, parent, or sibling; any liability under $5,000 or less; personal property; and any financial interest in or income from U.S. Government retirement systems.

If you so choose, you may include any asset or income of your spouse (SP) or dependent child (DC) or joint (JT), in the appropriate box below.

		A $1 – $1,000	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
SP				X								
DC	*Examples:*											
JT					X							
Jt Savings Acct, National City Bank					X							
IRA - National City Bank					X							

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

		Dividends	RENT	INTEREST	CAPITAL GAINS	BUSINESS TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: Qualified Blind Trust Income or Farm Income)
SP		X						
DC	*Examples:*							Farm Income
JT				X				
Jt Savings Acct, National City Bank				X				
IRA - National City Bank				X				

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income for all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

		I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000
SP					X							
DC	*Examples:*									X		
JT							X					
Jt Savings Acct, National City Bank				X								
IRA - National City Bank				NA								

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	P, S, E
SP	
DC	P
JT	
Jt Savings Acct, National City Bank	
IRA - National City Bank	

For additional assets and unearned income, use next page.

Name	Page ____ of ____

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
X.Y.Z. Magazine	Article	Aug. 13, 2000	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name | Page ___ of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E

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SCHEDULE IV — TRANSACTIONS

Name	Page ___ of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock	X			10–12–0^		X								

None

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Name	Page ____ of

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						

None

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Name	Page ____ of ____

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days

Name	Page ___ of ___

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service, continuation or deferral of payments by a former or current employer other than the U.S. Government, or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 AM 11:35

(5)

HAND DELIVERED
(Office Use Only)

BOB STUMP
(Full Name)

211 Cannon House Office Building
(Mailing Address)

Washington, D.C. 20515

Daytime Telephone 202-225-4576

Filer Status	[X] Member of the U.S. House of Representatives	State Arizona District Third	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-15-01

5076

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Vol. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	[illegible]	Farm Income	$15,000.00**

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name BOB STUMP

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instructor booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and only included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP	Mega Corp Stock	$15,001 – $50,000	CAPITAL GAINS	$1,001 – $2,500	P
DC, *Examples*	Simon & Schuster Publishing	$1,001 – $15,000	RENT; Royalties	$201 – $1,000	
JT	1st Bank of Paducah Ky accounts	$250,001 – $500,000	INTEREST	$2,501 – $5,000	
	Stump Farms	$100,001 – $250,000	DIVIDENDS	None	
	Community First Nat'l Bank/Tolleson, AZ	$15,001 – $50,000	INTEREST	$1,001 – $2,500	
	First Federal Credit Union/Phoenix, AZ	$1,001 – $15,000	INTEREST	$201 – $1,000	
	Wright Patman Congressional FCU	$100,001 – $250,000	INTEREST	$1,001 – $2,500	
	Life Ins: Union Bankers Ins.	$15,001 – $50,000	INTEREST	$201 – $1,000	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name [illegible]

Page 4 of 5

SP DEP JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: Interest/Dividends	RENT	ROYALTIES	CAPITAL GAINS	LIFE ESTATE TRUST	QUALIFIED BLIND TRUST	Other (Type of Income Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P S E
	Stock: County Bank			X										X							X											
	American Airlines						X							X							X											
	Tenneco				X									X								X										
	Delta Airlines					X								X								X										
	Federal Securities		X											X							X											
	I. G. Brown Properties		X											X							X											
	IRAs: Seligman Growth Info Fund/Prudential Bache:																															
	America West Airlines			X										X							X											
	Coordinated Exploration		X											X							X											
	Money Mart Assets			X													X					X										

This page may be copied if more space is required.

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner	Stump Farms

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — For use by Members, officers and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER (6)
2001 MAY 15 PM 3:43
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

MC

Bartholomew (Bart) T Stupak
(Full Name)

4101 Michigan Shores Drive Menominee, MI 49858
(Mailing Address)

202/225-4735
(Daytime Telephone)

Filer Status	✓ Member of the U.S. House of Representatives	State MI District 01	Officer Or Employee	Employing Office	
Report Type	✓ Annual (May 15)	Amendment	Termination	Termination Date	

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ✓ No
If yes, complete and attach Schedule I

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes No ✓
If yes, complete and attach Schedule II

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ✓ No
If yes, complete and attach Schedule III

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes No ✓
If yes, complete and attach Schedule IV

V. Did you, your spouse, or a dependent child have any reportable liabilities of more than $10,000 during the reporting period? — Yes ✓ No
If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? — Yes No ✓
If yes, complete and attach Schedule VI

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? — Yes ✓ No
If yes, complete and attach Schedule VII

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ✓ No
If yes, complete and attach Schedule VIII

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes No ✓
If yes, complete and attach Schedule IX

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet the three tests for exemption? — Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

"I hereby certify that the statements I have made on this form and all attached schedules are complete and accurate to the best of my knowledge and belief."

Signature: Bart Stupak

Date (Month, Day, Year): 5/15/2001

SCHEDULE I - EARNED INCOME

Nam Bartholomew (Bart) T. Stupak

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Michigan - State Police Pension	Disability Retirement	$16,661.37
City of Menominee, Michigan (Spouse - Mayor's Salary	Spouse Salary	$1,250.04

SCHEDULE III - ASSETS AND "UNE

Nam Bartholomew (Bart) T Stupak

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT); in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Bart T. Stupak, PC (stock)	$1,001 - $15,000	NA	NONE	
JT	Office - 817 Ninth Ave, Menominee MI	$50,001 - $100,000	Rent	$201 - $1,000	
JT	Savings Account	$1,001 - $15,000	Interest	$201 - $1,000	
DC	Savings Account - Northern Michigan National Bank	$1,001 - $15,000	Interest	$201 - $1,000	
JT	Home - Lots 3 & 4 - 4101 Michigan Shores Drive, Menominee, MI 49858	$250,001 - $500,000	NA	NONE	

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SCHEDULE V - LIABILITIES

Nam Bartholomew (Bart) T Stupak

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Stephenson National Bank	Mortgage - 817 9th Ave, Menominee, MI 49858	$15,001 - $50,000
JT	Wells Fargo Bank	Mortgage - Lots 3 & 4 - 4104 Michigan Shores Drive, Menominee, MI 49858	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AN

Nam Bartholomew (Bart) T Stupak

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Keystone Center	Feb 10 - 14	Wash DC to Denver, CO to Wash, DC	Y	Y	N	0

SCHEDULE VIII - POSITIONS

Nam Bartholomew (Bart) T. Stupak

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude. Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner/Director	Bart T. Stupak, PC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, Officers, and Employees

HAND DELIVE

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 2:18

HC

JOHN E SUNUNU

25 FRENCH DRIVE

BEDFORD, NH 03110

603 641 9536

Filer Status	X	Member of Congress	NH 1	Reporting Officer
Report Type	X	Annual (May 15)	Amendment	Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive any earned income of more than $200 in the reporting period, or hold a reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III** — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift during the reporting period (e.g., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes [ ] No [X]

VIII. Did you hold any reportable position on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Unless required by Schedule [illegible] to be disclosed, have you excluded from the reporting of such a trust benefiting you, your spouse, or dependent children? — Yes [ ] No [X]

EXEMPTION—Have you excluded [illegible] spouse [illegible] Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978 as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct. It is a felony for any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to disclose a fact required to be reported. (Ethics in Government Act of 1978, as amended, Sec. 9 U.S.C. App. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John E Sununu	5.14.01

5087

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JOHN E. SUNUNU

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP	SP Mega Corp Stock					X								X										X								P
DC Examples	Simon & Schuster			Indefinite																Royalties												
JT	1st Bank of Paducah, KY accounts						X									X										X						
	CITIZENS BANK MANCHESTER, NH ACCOUNTS				X											X							X									
	IRA - FIDELITY MAGELLAN				X									X		X	X				NA		IRA									
	IRA - FIDELITY LATAM			X										X		X	X				NA		IRA									
	IRA - FIDELITY CASH RES				X											X							X									

For additional assets and unearned income, use next page.

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(4)

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

JOHN E. SWEENEY
(Full Name)

1502 LOCKOUT LANE
(Mailing Address)

(518) 587-9800
Daytime Telephone

CLIFTON PARK, NY 12065

Filer Status	[X] Member of the U.S. House of Representatives	State NEW YORK District 2ND CONGRESSIONAL	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period, or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John E. Sweeney	5/15/01

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Name JOHN E. SWEENEY | Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontano County Board of Education	Spouse Salary	NA
	UNITED STATES HOUSE OF REPRESENTATIVES	SALARY	140,917
	STATE OF NEW YORK	SPOUSE SALARY	76,801

For payments to charity in lieu of honoraria, use Schedule II.

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Name JOHN E. SWEENEY | Page 1 of 1

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For real property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B												Block C						Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	SP Mega Corp Stock					X								X										X							P
DC	*Examples* Simon & Schuster			indefinite																Royalties								X			
JT	1st Bank of Paducah KY accounts						X									X									X						
	STATE EMPLOYEES FCU			X												X						X									
	FLEET NATIONAL BANK		X													X						X									
	TROY SAVINGS BANK			X												X							X								
	INV TR JOHN E SWEENEY			X												X						X									
	INV TR JOHN E SWEENEY						X							X									X								
	INV TR JOHN E SWEENEY						X										X								X						

For additional assets and unearned income, use next page.

Name	JOHN E. SWEENEY	Page 1 of 1

SCHEDULE V. — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	STATE EMPLOYEES FCU	MORTGAGE ON 18 MURIEL LANE SCHAGHTICOKE, NY				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

JUN 1 1 2001

LEGISLATIVE RESOURCE CENTER
2001 JUN 15 PM 3:45

Wojeski & Company CPAs, P.C.
MEMBER AICPA NSSCPA
75 TROY ROAD, BOX 339
CHESTNUT RIDGE EAST GREENBUSH, NEW YORK 12061
518-477-1102 • 518-477-1302 (FAX)

June 11, 2001

The Clerk, US House of Representatives
Legislative Resource Center
B106 Cannon House Office Building
Washington, DC 20515-6612

MC

To Whom It May Concern:

Enclosed please find the additional information required for John E. Sweeney's 2000 'Financial Disclosure Statement'.

Our original disclosure omitted details of the assets of a trust held by Congressman Sweeney (Inv. Tr. John E. Sweeney). The holdings of the trust include a common stock fund managed by Trustco Bank. Mr. Sweeney has the ability to request that his interst in the common stock fund be sold at any time, however, he has no control over the individual holdings of the common stock fund. I have enclosed a copt of his December 31, 2000 holdings in the trust as well as the holdings of the common stock fund at December 31, 2000.

Please call with any additional questions.

Very truly yours,

Jenny A. Dwileski

Jenny A. Dwileski, CPA

A/C 90-0149-00 TRUSTCO COMMON STOCK DISCRETIONARY COMMON FUND ASSETS HELD AS OF 06/06/01

REVIEW DATE

ADM OFFICER - WILLIAM M. MCCARTAN INV OFFICER - ROBERT SCRIBNER

PRINCIPAL ASSETS

UNITS DESCRIPTION	RATINGS INV	QLTY	BETA MEAS	RATING/ LOTS	COST OR BOOK/ SECURITY	% ACCT/ RATE	YIELD %/ PRICE DT	UNIT PRICE/ UNIT COST	MARKET VALUE/ UNREALIZED G/L	% OF ACCT	YIELD %/ YIELD MAT	ESTIMATED INCOME
-- CASH EQUIVALENTS --												
-- MASTER NOTES & MONEY MKT FUNDS --												
718,981.800 TRUSTCO SHORT-TERM INV ACCT					718,981.80 62590713	.95 .03710	3.71 04/07/97	 100.0000	718,981.80	.61	3.71	26,674.22
-- COMMON STOCK --												
-- COMMON STOCK INDUSTRIAL --												
-- AEROSPACE & AIRCRAFT --												
5,000 UNITED TECHNOLOGIES CORP					365,500.00 91301710	.48 .90000	1.23 06/06/01	82.500 73.1000	412,500.00 47,000.00	.35	1.09	4,500.00
-- AMUSEMENT, LEISURE, RECREATION --												
5,000 AOL TIME WARNER					241,150.00 00184A10	.32	 06/06/01	52.280 48.2300	261,400.00 20,250.00	.22		
51,718 DISNEY CO				M	1,420,987.49 25468710	1.88 .21000	.76 06/06/01	31.600 27.4756	1,634,288.80 213,301.31	1.39	.66	10,860.78
TOTAL AMUSEMENT, LEISURE, RECREATION					1,662,137.49 *	2.20	.65		1,895,688.80 *	1.61	.57	10,860.78
-- COSMETIC,TOILETRIES,HOME PRODS --												
56,420 COLGATE PALMOLIVE CO				M	1,491,270.02 19416210	1.97 .63000	2.38 06/06/01	58.450 26.4315	3,297,749.00 1,806,478.98	2.80	1.08	35,544.60
23,509 PROCTER & GAMBLE CO				M	865,712.10 74271810	1.14 1.40000	3.80 06/06/01	64.670 36.8247	1,520,327.03 654,614.93	1.29	2.16	32,912.60
TOTAL COSMETIC,TOILETRIES,HOME PRODS					2,356,982.12 *	3.12	2.90		4,818,076.03 *	4.09	1.42	68,457.20

A/C 90-0149-00 TRUSTEE COMMON STOCK DISCRETIONARY COMMON FUND ASSETS HELD AS OF 06/06/01

REVIEW DATE

ADM OFFICER WILLIAM M MAZARTAN INV OFFICER ROBERT SCHIBNER

PRINCIPAL ASSETS

UNITS DESCRIPTION	RATINGS INV	RATINGS EQTY	BETA MEAS	RATINGS DATE	COST OR BOOK SECURITY	% ACCT RATE	YIELD % PRICE AT	UNIT PRICE W/ UNIT COST	MARKET VALUE/ UNREALIZED G/L	% OF ACCT	YIELD %/ YIELD MAT	ESTIMATED INCOME
-- DRUGS, HOSPITAL, MEDICAL PRODS -												
5,000 AMGEN INC					327,137.50 [illegible]	.43 [illegible]		68.200 64.6275	341,000.00 17,862.50	.29		
45,000 BRISTOL-MYERS SQUIBB CO		M			2,441,808.94 11301210	2.52 [illegible]	1.96 [illegible]	54.600 [illegible]	2,547,000.00 114,808.94-	2.16	1.94	49,500.00
40,000 JOHNSON & JOHNSON		M			2,014,846.04 47816010	2.66 [illegible]	2.86 [illegible]	103.100 [illegible]	4,124,000.00 2,109,151.96	3.50	1.40	57,600.00
85,000 MEDTRONIC INC		M			2,685,461.18 [illegible]	2.58 [illegible]	.63 [illegible]	44.700 [illegible]	3,799,500.00 1,110,033.82	3.23	.45	17,000.00
40,000 MERCK & CO INC		M			2,106,491.75 [illegible]	2.78 [illegible]	2.68 [illegible]	73.950 [illegible]	2,958,000.00 851,508.25	2.51	1.84	54,400.00
56,420 PFIZER INC		M			1,374,873.20 [illegible]	2.82 [illegible]	1.81 [illegible]	42.850 [illegible]	2,417,597.00 1,042,723.80	2.05	1.03	24,824.80
28,000 TYCO INTERNATIONAL LTD NEW COM		M			1,466,067.60 [illegible]	1.54 [illegible]	.10 [illegible]	56.620 [illegible]	1,585,360.00 119,292.40	1.35	.09	1,400.00
TOTAL DRUGS, HOSPITAL, MEDICAL PRODS					12,616,603.21 *	16.90	1.42		17,772,457.00 *	15.10	1.15	204,724.80
-- ELECTRONICS & ELECTRICAL PROD -												
83,734 CISCO SYSTEMS		M			579,500.67 [illegible]	.77 [illegible]		20.760 [illegible]	1,738,317.84 1,158,814.17	1.48		
80,000 GENERAL ELECTRIC CO		M			104,548.62 [illegible]	.14 [illegible]	48.93 [illegible]	38.750 [illegible]	3,000,000.00 1,795,469.38	3.31	1.31	51,200.00
79,032 INTEL CORP					171,400.65 [illegible]	.23 [illegible]	1.69 [illegible]	29.820 [illegible]	2,356,744.24 2,185,343.59	2.00	.27	6,322.56
61,121 MICROSOFT CORP					418,099.39 [illegible]	.59 [illegible]		72.350 [illegible]	4,422,715.56 4,004,622.17	3.76		
10,000 NOKIA AB		M			436,[illegible] [illegible]	.57 [illegible]	.44 [illegible]	45.310 [illegible]	300,100.00 128,973.00	.26	.70	2,110.00

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A/C 90-0149-00 TRUSTCO COMMON STOCK DISCRETIONARY COMMON FUND

ASSETS HELD AS OF 06/06/01

REVIEW DATE

ADM OFFICER - WILLIAM M. MCCARTAN

INV OFFICER - ROBERT SCRIBNER

PRINCIPAL ASSETS

UNITS DESCRIPTION	RATINGS INV	RATINGS QLTY	BETA MEAS	RATING/ LOTS	COST OR BOOK/ SECURITY	% ACCT/ RATE	YIELD %/ PRICE DT	UNIT PRICE/ UNIT COST	MARKET VALUE/ UNREALIZED G/L	% OF ACCT	YIELD %/ YIELD MAT	ESTIMATED INCOME
20,000					762,617.33	1.01		17.000	340,000.00	.29		
ORACLE SYSTEMS CORP				M	68389X10		06/06/01	38.1308	422,617.33-			
10,000					867,487.50	1.15		62.480	624,800.00	.53		
QUALCOMM INC				M	74752510		06/06/01	86.7487	242,687.50-			
5,000					128,362.50	.17		17.610	88,050.00	.07		
SUN MICROSYSTEMS INC					86681010		06/06/01	25.6725	40,312.50-			
TOTAL ELECTRONICS & ELECTRICAL PROD					3,491,128.66 *	4.61	1.71		13,770,717.64 *	11.70	.43	59,632.56
-- FOOD & ALLIED PRODUCTS --												
28,210					505,430.70	.67	4.02	46.750	1,318,817.50	1.12	1.54	20,311.20
COCA COLA				M	19121610	.72000	06/06/01	17.9167	813,386.80			
42,315					282,710.66	.37	8.68	44.600	1,887,249.00	1.60	1.30	24,542.70
PEPSICO INC				M	71344810	.58000	06/06/01	6.6810	1,604,538.34			
TOTAL FOOD & ALLIED PRODUCTS					788,141.36 *	1.04	5.69		3,206,066.50 *	2.72	1.40	44,853.90
-- OFFICE EQUIPMENT --												
4,703					189,072.35	.25	1.02	56.150	264,073.45	.22	.73	1,928.23
AUTOMATIC DATA PROCESSING INC					05301510	.41000	06/06/01	40.2024	75,001.10			
40,000					2,339,215.54	3.09		33.800	1,352,000.00	1.15		
EMC CORPORATION				M	26864810		06/06/01	58.4803	987,215.54-			
TOTAL OFFICE EQUIPMENT					2,528,287.89 *	3.34	.08		1,616,073.45 *	1.37	.12	1,928.23
-- OIL --												
18,807					388,928.76	.51	6.19	52.700	991,128.90	.84	2.43	24,072.96
BP AMOCO PLC SPONSORED ADR					05562210	1.28000	06/06/01	20.6800	602,200.14			
15,610					931,516.53	1.23	2.95	89.400	1,395,534.00	1.19	1.97	27,473.60
EXXON MOBIL CORP				M	30231G10	1.76000	06/06/01	59.6743	464,017.47			

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A/C 90-0149-00 TRUSTCO COMMON STOCK DISCRETIONARY COMMON FUND ASSETS HELD AS OF 06/06/01

REVIEW DATE

ADM OFFICER - WILLIAM M. MCCARTAN INV OFFICER - ROBERT SCRIBNER

PRINCIPAL ASSETS

UNITS DESCRIPTION	RATINGS INV	RATINGS QLTY	BETA MEAS	RATING/ LOTS	COST OR BOOK/ SECURITY	% ACCT/ RATE	YIELD 1/ PRICE DT	UNIT PRICE/ UNIT COST	MARKET VALUE/ UNREALIZED G/L	% OF ACCT	YIELD 3/ YIELD	ESTIMATED MAT INCOME
18,807					568,324.03	75	4 38	60.270	1,133,497.89	.96	2.20	24,919.27
ROYAL DUTCH PETROLEUM-NY SHARES					78025780	1 32500	06/06/01	30.2187	565,173.86			
33,210					1,594,784.16	2 11	1 56	61.900	2,055,699.00	1 75	1.21	24,907.50
SCHLUMBERGER LTD				M	80685710	75300	06/06/01	48 0212	460,914.84			
TOTAL OIL					3,483,553.48 *	4 60	2.91		5,575,859.79 *	4 74	1.82	101,373.33
-- PRINTING & PUBLISHING --												
18,807					559,237.89	.74	3.30	67.850	1,276,054.95	1 08	1.44	18,430.86
MCGRAW-HILL COMPANIES, INC.				M	58064510	.98000	06/06/01	29.7356	716,817.06			
-- RETAIL TRADE --												
5,000					232,050.00	.31	.34	49.510	247,550.00	.21	.32	800.00
HOME DEPOT INC					43707610	15000	06/06/01	46.4100	15,500.00			
2,000					70,520.00	09	62	37 340	74,680.00	.06	.59	440.00
TARGET CORP					87612E10	.22100	06/06/01	35.2400	4,160.00			
TOTAL RETAIL TRADE					302,570.00 *	.40	.41		322,230.00 *	.27	.38	1,240.00
-- MISCELLANEOUS INDUSTRIAL --												
28,210					470,190.66	.62		52.660	1,485,538.60	1.26		
APPLIED MATERIALS				M	03822210		06/06/01	16.6675	1,015,347.94			
TOTAL COMMON STOCK INDUSTRIAL					28,644,332.76 *	37.86	1.80		52,151,262.76 *	44.32	.99	516,001.66

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A/C 90-0149-00 TRUSTCO COMMON STOCK DISCRETIONARY COMMON FUND ASSETS HELD AS OF 06/06/01

REVIEW DATE

ADM OFFICER - WILLIAM M. MCCARTAN INV OFFICER - ROBERT SCRIBNER

PRINCIPAL ASSETS

UNITS DESCRIPTION	RATINGS INV	QLTY	BETA MEAS	RATING/ LOTS	COST OR BOOK/ SECURITY	% ACCT/ RATE	YIELD %/ PRICE DT	UNIT PRICE/ UNIT COST	MARKET VALUE/ UNREALIZED G/L	% OF ACCT	YIELD %/ YIELD MAT	ESTIMATED INCOME
-- COMMON STOCK FINANCIAL & INS --												
-- BANKS --												
32,912 BANK ONE CORP COM				M	1,219,612.97 06423A10	1.61 .84000	2.27 06/06/01	38.960 37.0567	1,282,251.52 62,638.55	1.09	2.16	27,646.08
43,881 CITIGROUP INC				M	1,899,945.57 17296710	2.51 .56000	1.29 06/06/01	51.860 43.2976	2,275,668.66 375,723.09	1.93	1.08	24,573.36
TOTAL BANKS					3,119,558.54 *	4.12	1.67		3,557,920.18 *	3.02	1.47	52,219.44
-- INSURANCE --												
50,000 AMERICAN INTERNATIONAL GROUP				M	2,213,117.08 02687410	2.92 .16800	.38 06/06/01	81.000 44.2623	4,050,000.00 1,836,882.92	3.44	.21	8,400.00
10,000 MARSH & MCLENNAN CO INC				M	1,166,875.00 57174810	1.54 2.12000	1.82 06/06/01	105.310 116.6875	1,053,100.00 113,775.00-	.89	2.01	21,200.00
TOTAL INSURANCE					3,379,992.08 *	4.47	.88		5,103,100.00 *	4.34	.58	29,600.00
-- SAVINGS & LOAN --												
37,813 FEDERAL NAT'L MTG ASSN				M	1,983,514.94 31358610	2.62 1.20000	2.29 06/06/01	82.300 52.4558	3,112,009.90 1,128,494.96	2.64	1.46	45,375.60
-- MISCELLANEOUS FINANCIAL --												
5,000 AMERICAN EXPRESS CO					195,600.00 02581610	.26 .32000	.82 06/06/01	41.880 39.1200	209,400.00 13,800.00	.18	.76	1,600.00
TOTAL COMMON STOCK FINANCIAL & INS					8,678,665.56 *	11.47	1.48		11,982,430.08 *	10.18	1.07	128,795.04

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A/C 90-0149-00 TRUSTCO COMMON STOCK DISCRETIONARY COMMON FUND

ASSETS HELD AS OF 06/06/01

ADM OFFICER - WILLIAM M. MCCARTAN

INV OFFICER - ROBERT SCRIBNER

REVIEW DATE

PRINCIPAL ASSETS

UNITS DESCRIPTION	RATINGS INV	RATINGS QLTY	BETA MEAS	RATING/ LOTS	COST OR BOOK/ SECURITY	% ACCT/ RATE	YIELD %/ PRICE DT	UNIT PRICE/ UNIT COST	MARKET VALUE/ UNREALIZED G/L	% OF ACCT	YIELD %/ YIELD MAT	ESTIMATED INCOME
-- COMMON STOCK UTILITY --												
-- COMMUNICATIONS --												
37,613 BELLSOUTH				M	1,197,374.57 07986010	1.58 .76000	2.39 06/06/01	40.630 31.8340	1,528,216.19 330,841.62	1.30	1.87	28,585.88
5,000 NORTEL NETWORKS CORP					159,200.00 65656810	.21 .07500	.24 06/06/01	13.130 31.8400	65,650.00 93,550.00-	.06	.57	375.00
9,404 SBC COMMUNICATIONS, INC.				M	363,958.30 78387G10	.48 1.02500	2.65 06/06/01	41.800 38.7024	393,087.20 29,128.90	.33	2.45	9,639.10
28,210 VERIZON COMMUNICATIONS				M	726,651.72 92343V10	.96 1.54000	5.98 06/06/01	54.430 25.7586	1,535,470.30 808,818.58	1.30	2.83	43,443.40
TOTAL COMMUNICATIONS					2,447,184.59 *	3.23	3.35		3,522,423.69 *	2.99	2.33	82,043.38
-- ELECTRIC --												
10,000 DUKE ENERGY CORPORATION (FORMERLY DUKE POWER CO)				M	436,142.50 26439910	.58 1.10000	2.52 06/06/01	41.370 43.6142	413,700.00 22,442.50-	.35	2.66	11,000.00
-- NATURAL GAS --												
9,000 ENRON CORP				M	535,303.05 29356110	.71 .50000	.84 06/06/01	52.330 59.4781	470,970.00 64,333.05-	.40	.96	4,500.00
TOTAL COMMON STOCK UTILITY					3,418,630.14 *	4.52	2.85		4,407,093.69 *	3.74	2.21	97,543.38

A/C 90-0149-00 TRUSTCO COMMON STOCK DISCRETIONARY COMMON FUND

ASSETS HELD AS OF 06/06/01

REVIEW DATE

ADM OFFICER - WILLIAM M. MCCARTAN

INV OFFICER - ROBERT SCRIBNER

PRINCIPAL ASSETS

UNITS DESCRIPTION	RATINGS INV	RATINGS QLTY	BETA MEAS	RATING/ LOTS	COST OR BOOK/ SECURITY	% ACCT/ RATE	YIELD %/ PRICE DT	UNIT PRICE/ UNIT COST	MARKET VALUE/ UNREALIZED G/L	% OF ACCT	YIELD %/ YIELD	ESTIMATED MAT INCOME
-- COMMON STOCK INVESTMENT CO. --												
-- MUTUAL FUNDS --												
315,496.755 FEDERATED SEC: MAX CAP FUND FUND#39				M	4,115,219.64 31420E10	5.44 .29100	2.23 06/06/01	25.810 13.0436	8,142,971.25 4,027,751.61	6.92	1.13	91,809.55
251,319.178 FIDELITY SPARTAN US EQUITY INDEX FD				M	4,955,422.41 31591120	6.55 .51000	2.59 06/06/01	45.090 19.7176	11,331,981.74 6,376,559.33	9.63	1.13	128,172.78
63,952.073 GOLDMAN SACHS CORE LARGE CAP GROWTH FUND INSTITUTIONAL SHARES FUND# 854				M	987,334.63 38142B38	1.30 .09000	.58 06/06/01	13.720 15.4386	877,422.44 109,912.19-	.75	.66	5,755.68
415,411.026 NORTHERN INS EQUITY INDEX - A				M	4,915,492.76 66527852	6.50 .26700	2.26 06/06/01	17.370 11.8328	7,215,689.52 2,300,196.76	6.13	1.54	110,914.74
135,058.476 VANGUARD INSTITUTIONAL INDEX FUND #94				M	10,864,367.71 92204010	14.36 1.38000	1.72 06/06/01	116.380 80.4419	15,718,105.44 4,853,737.73	13.36	1.19	186,380.69
140,000 VANGUARD EXTENDED MARKET INDEX FND INSTITUTIONAL SHARES FND # 856				M	6,114,032.00 92290888	8.08 .31200	.71 06/06/01	25.210 43.6716	3,529,400.00 2,584,632.00-	3.00	1.24	43,680.00
TOTAL MUTUAL FUNDS					31,951,869.15 *	42.23	1.77		46,815,570.39 *	39.78	1.21	566,713.44
-- INTERNATIONAL INVESTMENT FUND --												
97,559.750 VANGUARD INTERNATIONAL GROWTH PORT FUND #81				M	2,293,574.07 92191020	3.03 .22000	.94 06/06/01	16.890 23.5094	1,647,784.18 645,789.89-	1.40	1.30	21,463.14
TOTAL COMMON STOCK INVESTMENT CO.					34,245,443.22 *	45.26	1.72		48,463,354.57 *	41.18	1.21	588,176.58
TOTAL COMMON STOCK					74,987,071.68 **	99.10	1.77		117,004,141.10 **	99.42	1.14	1330,516.66
TOTAL PRINCIPAL ASSETS					75,706,053.48 ***	100.05	1.79		117,723,122.90 ***	100.04	1.15	1357,190.88

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A/C 90-0149-00 TRUSTCO COMMON STOCK DISCRETIONARY COMMON FUND

ASSETS HELD AS OF 06/06/01

REVIEW DATE

ADM OFFICER - WILLIAM M. MCCARTAN

INV OFFICER - ROBERT SCRIBNER

PRINCIPAL ASSETS

UNITS DESCRIPTION	RATINGS INV	RATINGS QLTY	BETA MEAS	RATING/ LOTS	COST OR BOOK/ SECURITY	% ACCT/ RATE	YIELD %/ PRICE DT	UNIT PRICE/ UNIT COST	MARKET VALUE/ UNREALIZED G/L	% OF ACCT	YIELD %/ YIELD MAT	ESTIMATED INCOME
TOTAL ASSETS					75,706,053.49	***100.05	1.79		117,723,122.90	***100.03	1.15	1357,190.88
PRINCIPAL CASH					41,028.70 *	.05-			41,028.70-*	.03-		
GRAND TOTAL ASSETS					75,665,024.78	***100.00	1.79		117,682,094.20	***100.00	1.15	1357,190.88

--INVESTMENT REVIEW SUMMARY--

A/C 90-0149-00 TRUSTCO COMMON STOCK DISCRETIONARY COMMON FUND

ASSETS HELD AS OF 06/06/01

REVIEW DATE

ADM OFFICER - WILLIAM M. MCCARTAN

INV OFFICER - ROBERT SCRIBNER

	COST OR BOOK VALUE	% OF ACCOUNT	MARKET VALUE	% OF ACCOUNT	YIELD %	ESTIMATED INCOME
PRINCIPAL ASSETS						
-- CASH EQUIVALENTS --						
MASTER NOTES & MONEY MKT FUNDS	718,981.80	.95	718,981.80	.61	3.71	26,674.22
TOTAL CASH EQUIVALENTS	718,981.80 *	.95	718,981.80 *	.61	3.71	26,674.22
-- COMMON STOCK --						
COMMON STOCK INDUSTRIAL	28,644,332.76	37.86	52,151,262.76	44.32	.99	516,001.66
COMMON STOCK FINANCIAL & INS	8,678,665.56	11.47	11,982,430.08	10.18	1.07	128,795.04
COMMON STOCK UTILITY	3,418,630.14	4.52	4,407,093.69	3.74	2.21	97,543.38
COMMON STOCK INVESTMENT CO.	34,245,443.22	45.26	48,463,354.57	41.18	1.21	588,176.58
TOTAL COMMON STOCK	74,987,071.68 *	99.10	117,004,141.10 *	99.42	1.14	1,330,516.66
TOTAL PRINCIPAL ASSETS	75,706,053.48 **	100.05	117,723,122.90 **	100.04	1.15	1,357,190.88
TOTAL ASSETS	75,706,053.48 **	100.05	117,723,122.90 **	100.03	1.15	1,357,190.88
PRINCIPAL CASH	41,028.70-	.05-	41,028.70-	.03-		
GRAND TOTAL ASSETS	75,665,024.78 ***	100.00	117,682,094.20 ***	100.00	1.15	1,357,190.88

----INVESTMENT REVIEW----

A/C 32-2503-10 INV TR JOHN E OWENEY

ASSETS HELD AS OF 06/06/01

REVIEW DATE

ADM OFFICER WILLIAM M. MCCARTAN

INV OFFICER PETER L. GREGORY

PRINCIPAL ASSETS

UNITS DESCRIPTION	RATINGS INV	RATINGS QLTY	BETA MEAS	RATINGS [illegible]	COST OR [illegible] SECURITY	% ANN. RATE	YIELD % PRICE 01	UNIT PRICE UNIT COST	MARKET VALUE/ UNREALIZED G/L	% OF ACCT	YIELD %/ YIELD MAT	ESTIMATED INCOME
CASH EQUIVALENTS												
- MASTER NOTES & MONEY MKT FUNDS -												
1,894.740 SHORT TERM MUNI FUND					1,894.74 625-0710	.44 62486	.49	100.0000	1,894.74	3.26	2.49	47.14
-- COMMON FUNDS --												
-- STOCK FUNDS --												
596 COMMON STOCK DISCRETIONARY COMMON FD M					82,865.60 CFCSDCF0	184.83 1.27800	.58 05/31/01	106.54200 134.0363	63,499.03 19,366.63-	109.11	1.20	762.22
TOTAL PRINCIPAL ASSETS					84,760.40	***109.[illegible]	.35		65,393.77	***112.36	1.24	809.36

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A/C 32-2503-10 INV TR JOHN E SWEENEY ASSETS HELD AS OF 06/06/01

REVIEW DATE

ADM OFFICER - WILLIAM M. MCCARTAN INV OFFICER - PETER L. GREGORY

INCOME ASSETS

UNITS DESCRIPTION	RATINGS INV	RATINGS QLTY	BETA MEAS	RATING/ LOTS	COST OR BOOK/ SECURITY	% ACCT/ RATE	YIELD %/ PRICE DT	UNIT PRICE/ UNIT COST	MARKET VALUE/ UNREALIZED G/L	% OF ACCT	YIELD %/ YIELD MAT	ESTIMATED INCOME
-- CASH EQUIVALENTS --												
-- MASTER NOTES & MONEY MKT FUNDS --												
805.750 SHORT TERM MUNI FUND					805.75 62590710	1.04 .02488	2.49	100.0000	805.75	1.38	2.49	20.04
TOTAL INCOME ASSETS					805.75 ***	1.04	2.49		805.75 ***	1.38	2.49	20.04
TOTAL ASSETS					85,566.15 ***	110.31	.97		66,199.52 ***	113.75	1.25	829.40
INCOME CASH					.00 *				.00 *			
PRINCIPAL CASH					8,000.00-*	10.31-			8,000.00-*	13.75-		
GRAND TOTAL ASSETS					77,566.15 ***	100.00	1.07		58,199.52 ***	100.00	1.43	829.40

--INVESTMENT REVIEW SUMMARY-

A/C 32-2503-10 INV TR JOHN E SWRENEY

ASSETS HELD AS OF 06/06/01

ADM OFFICER - WILLIAM M. MCCARTAN

INV OFFICER - PETER L. GREGORY

REVIEW DATE

	COST OR BOOK VALUE	% OF ACCOUNT	MARKET VALUE	% OF ACCOUNT	YIELD %	ESTIMATED INCOME
PRINCIPAL ASSETS						
-- CASH EQUIVALENTS --						
MASTER NOTES & MONEY MKT FUNDS	1,894.74	2.44	1,894.74	3.26	2.49	47.14
TOTAL CASH EQUIVALENTS	1,894.74 *	2.44	1,894.74 *	3.26	2.49	47.14
-- COMMON FUNDS --						
STOCK FUNDS	82,865.66	106.93	63,499.03	109.11	1.20	762.22
TOTAL COMMON FUNDS	82,865.66 *	106.93	63,499.03 *	109.11	1.20	762.22
TOTAL PRINCIPAL ASSETS	84,760.40 **	109.27	65,393.77 **	112.36	1.24	809.36
INCOME ASSETS						
-- CASH EQUIVALENTS --						
MASTER NOTES & MONEY MKT FUNDS	805.75	1.04	805.75	1.38	2.49	20.04
TOTAL CASH EQUIVALENTS	805.75 *	1.04	805.75 *	1.38	2.49	20.04
TOTAL INCOME ASSETS	805.75 **	1.04	805.75 **	1.38	2.49	20.04
TOTAL ASSETS	85,566.15 **	110.31	66,199.52 **	113.75	1.25	829.40
INCOME CASH	.00		.00			
PRINCIPAL CASH	8,000.00	10.31	8,000.00-	13.75-		
GRAND TOTAL ASSETS	77,566.15 ***	100.00	58,199.52 ***	100.00	1.43	829.40

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY -2 AM 10: 02
OFFICE OF THE CLERK

(7)
HAND DELIVERED
MC
(Office Use Only)

Thomas Gerard Tancredo
(Full Name)

5471 S. Estes St
Littleton, CO 80123
(Mailing Address)

303-932-6521
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: CO Distrct: 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Tancredo	4-20-01

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Name Tancredo | Page 2 of 2

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Public Employees' Retirement Hys.	Spouse Retirement	29,000

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D C	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
St. John's University	Speech	Nov 19, 2000	2000

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Angelo

Page 4 of 7

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

Categories: A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000

BLOCK C — Type of Income

Categories: DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify. For Example, Partnership Income or Farm Income)

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

Categories: I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

P, S, E

SP, DC, JT	Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP (Examples)	Mega Corp. Stock	E ($50,001 – $100,000)	Dividends	IV ($1,001 – $2,500)	P
DC (Examples)	Simon & Schuster	Indefinite	Royalties	IX ($100,001 – $1,000,000)	
JT (Examples)	1st Bank of Paducah, KY accounts	F ($100,001 – $250,000)	Interest	VI ($5,001 – $15,000)	
	Greenberg & Assoc.				
SP	Golden American (IRA)	F ($100,001 – $250,000)			
	Penn Mutual (IRA)	F ($100,001 – $250,000)			
	Putnam (IRA)	E ($50,001 – $100,000)			
SP	Skandia (IRA)	F ($100,001 – $250,000)			
	Kemper IRA	D ($15,001 – $50,000)			

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name TANCREDO

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Thrift Savings Plan				X																											
	Charles Schwab			X										X									X									P
Sp	Jeffco Employees Credit Union			X															G													

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SCHEDULE IV — TRANSACTIONS

Name TANCREDO | Page 6 of 7

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	E-TRADE Common Stock	X			3-24-00	X									
	E-TRADE " "	X			4-04-00	X									
	E-TRADE " "	X			12-07-04	X									
	E-TRADE " "		X		12-28-04	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Council for National Policy	Mar 11	D.C. - San Francisco - D.C	Y	Y	Y	N
	St. John's University	Nov 18	D.C. - N.Y - Denver	Y	Y	Y	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 3:24

MC

HAND DELIVERED
(Office Use Only)

JOHN S. TANNER
(Full Name)

1226 LONGWORTH BLDG.
WASHINGTON D.C. 20515
(Mailing Address)

(202) 225-4714
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State TENNESSEE District 8	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jon Tanner	5/15/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
UNION CITY INSURANCE AGENCY (FAMILY OWNED BUSINESS)	FEE	8400.00
STATE OF TENNESSEE RETIREMENT	PENSION	10241.00
SHENNANDOAH SHUTTERS	INCOME - SPOUSE	
BETTY ANN TANNER ACCESSORIES	INCOME - SPOUSE	
UNION CITY INSURANCE AGENCY	INCOME - SPOUSE	

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JOHN. S. TANNER

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Examples: SP	Mega Corp. Stock	Simon & Schuster	1st Bank of Paducah, KY accounts	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	L DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
			Indefinite					X								X						Royalties			X								P
										X								X									X						
	UNION CITY INS. STOCK										X																						
	UNION PLANTERS BANK						X																										
SP	MEAGER MEANS INVT.						X																										
	MEDTRONIC														X	X								X									
	TASEKO MINES						X																X										
	ESAT INC.							X											X				X										S

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN S. TANNER

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	E-SAT INC IRA				X												X				X											S
SP	COCA COLA			X										X								X										
SP	MEDTRONIC INC.			X										X								X										
SP	PEPSICO INC.			X										X								X										
SP	MUNDER NET NET CLASSIC	X																			X											
SP	HEALTHSTREAM	X																				X										S
SP	CISCO			X																	X											P
SP	TRICON GLOBAL	X																														
	TANNER FARM LP						X																									
SP	PORTIS ESTATE					X																										

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SCHEDULE IV — TRANSACTIONS

Name JOHN S. TANNER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	PRISON REALTY CORP	X			01-04-00	X									
	TELESCAN INC.		X		01-04-00	X									
SP	LUCENT TECHNOLOGIES	X			01-12-00	X									
	ESAT		X		3-31-00		X								
	ESAT		X		12-22-00	X									
SP	HEALTH STREAM	X			01-13-00	X									
SP	HEALTHSTREAM		X		12-7-00	X									
SP	CISCO	X			01-13-00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,000–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				x						
	UNION PLANTERS BANK, TIPTONVILLE, TN.	PERSONAL NOTE		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
WINN DIXIE		JAN. 22-24	DC-JACKSONVILLE, FLA-DC	Y	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT	UNION CITY INSURANCE AGENCY (FAMILY OWNED BUSINESS)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2001 - 70-729 (Mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

9

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:37
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC
(For Clerk's Use Only)

Ellen O. Tauscher
(Full Name)

1801 North California Blvd.
(Mailing Address)

202-225-1880
Daytime Telephone

Walnut Creek CA 94596

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 10	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ellen Tauscher	5/15/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NONE		

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D C	Speech	Feb 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Ellen Tauscher

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A Asset and/or Income Source		BLOCK B Value of Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	BLOCK D Amount of Income	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP		SP	Mega Corp Stock					X									X																		P
DC	*Examples*		Simon & Schuster																				Royalties									X			
JT			1st Bank of Paducah, KY accounts					X											X										X						
	Summit Bank Account					X													X						X										
	Prudential Securities							X											X									X							
	OTHER ASSETS (Rough Estimate - Exact Value & List of Assets cannot be determined pending divorce & property settlement)												X																						

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Ellen Tauscher

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None B $1 – $1,000 C $1,001 – $15,000 D $15,001 – $50,000 E $50,001 – $100,000 F $100,001 – $250,000 G $250,001 – $500,000 H $500,001 – $1,000,000 I $1,000,001 – $5,000,000 J $5,000,001 – $25,000,000 K $25,000,001 – $50,000,000 L Over $50,000,000	DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED TRUST QUALIFIED BLIND TRUST Other Type of Income (Specify)	I None II $1 – $200 III $201 – $1,000 IV $1,001 – $2,500 V $2,501 – $5,000 VI $5,001 – $15,000 VII $15,001 – $50,000 VIII $50,001 – $100,000 IX $100,001 – $1,000,000 X $1,000,001 – $5,000,000 XI Over $5,000,000	P, S, E

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SCHEDULE IV — TRANSACTIONS

Name Ellen Tauscher | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.	Type of Transaction			Date	Amount of Transaction									
SP DC JT Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP *Example* Mega Corporation Common Stock	X			10-12-00		X								
None														

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Citibank	Line of Credit	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Democratic Leadership Council	April 28-30	San Francisco – New orleans - Washington, DC.	Y	Y	N	N

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director (unpaid, on leave)	Women's Policy Legacy Foundation
Director (unpaid, on leave)	Alumni Resources

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

W. J. "Billy" Tauzin
(Full Name)

2183 Rayburn Building Washington DC 20515
(Mailing Address)

202/225-4031
Daytime Telephone

Filer Status	✓ Member of the U.S. House of Representatives	State LA District 03	Officer Or Employee	Employing Office
Report Type	✓ Annual (May 15)	Amendment	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I	Yes ✓	No	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes	No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II	Yes ✓	No	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII	Yes ✓	No
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III	Yes ✓	No	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII	Yes	No ✓
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV	Yes ✓	No	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX	Yes	No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V	Yes ✓	No		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (see 5 U.S.C. app. 4 § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	W. J. Billy Tauzin	May 15, 2001

SCHEDULE I - EARNED INCOME

Na	W. J. "Billy" Tauzin	Page 2 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Cancer Research Foundation	Spouse Salary	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HO

Na W. J. "Billy" Tauzin

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Wiley, Rein & Fielding	Speech	Oct. 4, 2000	$500

SCHEDULE III - ASSETS AND "U

Na W J. "Billy" Tauzin

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
All America Life Insurance Co.	$15,001 - $50,000	Interest	$1,001 - $2,500	
All America Life Insurance Co.	None	Dividends	NONE	
Wright Patman Credit Union	$50,001 - $100,000	Dividends	$1,001 - $2,500	
Secor Bank IRA	$15,001 - $50,000	Interest	$201 - $1,000	
Putnam Bank IRA	$1,001 - $15,000	Interest	$201 - $1,000	
Hibernia Bank (formerly 1rst Interstate Bank)	$15,001 - $50,000	Interest	$201 - $1,000	

SCHEDULE III - ASSETS AND "U

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Cajun Treats, LLC Cook &Tell Cookbook	$15,001 - $50,000	Other: None	NONE	S
Investment Acreage, 2812 Falion Rd, Wingate, MD	$100,001 - $250,000	Rent	$5,001 - $15,000	

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SCHEDULE IV - TRANSACTIONS

Na W. J. "Billy" Tauzin

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Cajun Treats, LLC Cook & Tell Cookbook	S	09-15-00	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Mercantile Mortgage Corporation	Mortgage,Investment acreage,2812 Fallon Rd Wingate, MD	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS

Na W. J. "Billy" Tauzin

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
NAB	Jan.7-10	San Antonio-Palm Springs	Y	Y	Y	Jan. 9-10
SBC Telecommunications	Jan. 7-10	Palm Springs-San Diego	Y	Y	Y	Jan. 7-8
NY Stock Exchange	Jan. 14	DC-NYC, NY-DC	N	Y	Y	N/A
Citizens for a Sound Economy	Jan. 21	DC-Louisville, KY-New Orleans	N	N	N	N/A
ALTV	Jan. 22-27	New Orleans-Atlanta, GA	Y	N	Y	Jan. 25-27
NATPE	Jan. 22-27	New Orleans	Y	N	Y	Jan. 22-24
NADA	Feb. 2-7	DC-Houston-San Antonio-DC	Y	Y	N	Feb. 5-7
USTA	Feb. 19-21	Palm Springs, CA	Y	Y	Y	N/A
Cahner's Publishing	March 6-7	DC-NYC-DC	Y	N	Y	N/A
SIA	Mar. 30-Apr. 2	DC-Palm Beach, FL-DC	Y	Y	Y	N/A
Ziff-Davis Co. Comdex	Apr. 19-20	DC-Chicago-New Orleans	Y	Y	N	N/A

SCHEDULE VII - TRAVEL PAYMENTS

Na W. J. "Billy" Tauzin

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Natl. Cable TV Assn	May 7-8	DC-New Orleans-DC	Y	Y	N	N/A
Natl. Chamber Foundation	May 19-20	DC-Landsdowne, VA-DC	Y	Y	Y	N/A
Bell South	June 18-19	DC-New Orleans-DC	Y	Y	Y	N/A
Viacom	Sept. 8-11	DC-NYC-DC	Y	Y	Y	N/A
Winn Dixie Stores	Nov. 17-19	DC-Jacksonville, FL-DC	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

9

HAND DELIVERED
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U.S. HOUSE OF REPRESENTATIVES

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Charles H. Taylor
(Full Name)

231 Cannon House Office Building Washington, DC 20003
(Mailing Address)

202-225-6401
Daytime Telephone

Filer Status: ☑ Member of the U S House of Representatives — State: NC — District: 11 ☐ Officer Or Employee — Employing Office: Rep. Charles Taylor

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles H. Taylor	May 015, 2001

5140

SCHEDULE I - EARNED INCOME

Nam Charles H. Taylor

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Financial Guaranty Corporation	Spouse - Guaranty Fee	N/A
Financial Guaranty Corporation	Spouse - Director Fee	N/A

SCHEDULE III - ASSETS AND "UNE

Nam Charles H. Taylor

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Translyvania Tree Farms, Translyvania County, NC	$1,000,001 - $5,000,000	RENT	NONE	
SP	Southeastern Real Estate and Discount, Brevard NC	$1,000,001 - $5,000,000	RENT	$1,000,001 - $5,000,000	
	Cedar Creek Farms Partnership; note receivable from Thompson et al.	$1,001 - $15,000	DIVIDENDS	$5,001 - $15,000	
	Note receiveable from Maggie Valley Development Corporation	$250,001 - $500,000	INTEREST	$5,001 - $15,000	E
DC	RFD Old Highway 64, Brevard NC (House) see footnote	$15,001 - $50,000		NONE	

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SCHEDULE III - ASSETS AND "UNE

Nam Charles H. Taylor

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Blue Ridge Savings Accounts, Asheville NC	$15,001 - $50,000	INTEREST	$5,001 - $15,000	
SP	Blue Ridge Savings Bank stock	$1,000,001 - $5,000,000	DIVIDENDS	$100,001 - $1,000,000	
	Note receivable from Financial Guaranty Corp.	$500,001 - $1,000,000	INTEREST	$50,001 - $100,000	
	Financial Guaranty Corporation stock	$5,000,001 - $25,000,000	Other: guaranty fee	$15,001 - $50,000	P
	McCrae Industries stock	$1 - $1,000	DIVIDENDS	$1 - $200	
	Monte Vitsa Corp. Stock	$1,000,001 - $5,000,000		NONE	

5143

SCHEDULE III - ASSETS AND INCOME

Nam Charles H. Taylor

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Riverside Company Estates Partnership, Brevard, NC	$1,001 - $15,000		NONE	
	Haywood Savings Bank stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Gaybo Company Partnership	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	
	Major Land and Timber Partnership, Haywood Co. NC	$1,000,001 - $5,000,000		NONE	
JT	Taylor Family Trust (Farm in Transylvania County, NC)	$1,000,001 - $5,000,000		NONE	
	NC State Employees Pension Fund	$1,001 - $15,000		NONE	

SCHEDULE III - ASSETS AND "UNE

Nam Charles H Taylor

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i e , one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the Institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, In Block A state the nature of the business and its geographic location. For additional information, see Instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U S Government retirement programs. If you so choose, you may indicate that an asset or Income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated Income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of Income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific Investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even If reinvested, should be listed as Income.	BLOCK E **Transaction** Indicate If asset was purchased (P), sold (S), or exchanged (E) in reporting year.
IRA Blue Ridge Savings Bank	$1,001 - $15,000		NONE	
Mountain Bank, Hendersonville, NC stock	$50,001 - $100,000		NONE	
First Western bank stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
104 North Liberty Street, Asheville NC	$100,001 - $250,000		NONE	
Summit Bank, Grennville, SC	$1,001 - $15,000	DIVIDENDS	$1 - $200	
BB&T Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

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SCHEDULE IV - TRANSACTIONS

Nam Charles H Taylor

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Note receiveable from Maggie Valley Development Corporation Note Paid Off	E	10/01/2000	$100,001 - $250,000
	Financial Guaranty Corporation stock	P	10/24/2000 11/16/2000 11/16/2000 11/20/2000 12/20/2000	$500,001 - $1,000,000

SCHEDULE VIII - POSITIONS

Nam Charles H. Taylor

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Chairman (noncompensated)	Blue Ridge Savings Bank, Asheville, NC
Board Vice Chairman (noncompensated)	Financial Guaranty Corporation, Asheville, NC

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Dependent Child reached age of majority	RFD Old Highway 64, Brevard NC (House)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
01 MAY 21 PM 2:40
(5)
MAY 15 2001
(Office Use Only)

GARY EUGENE TAYLOR
(Full Name)

814 NORTH BEACH BLVD
BAY ST. LOUIS, MS 39520
(Mailing Address)

202-225-5772
Daytime Telephone
228-467-2894

Filer Status	☑ Member of the U.S. House of Representatives — State: MS Distrct: 05	☐ Officer or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gene Taylor	5-14-01

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Name Gary Eugene Taylor | Page 2 of 3

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Southern Graphics	Spouse sales comm.	NA

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Gary Eugene Taylor

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period; and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: Transaction P, S, or E
SP						X									X																	
SP	Mega Corp. Stock																															
DC. *Examples*	Simon & Schuster			indefinite																Royalties												P
JT	1st Bank of Paducah, KY accounts					X										X									X							
JT	Union Planters Bank			X																		X										

For additional assets and unearned income, use next page.

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(6)

LEE R. TERRY
(Full Name)

1323 Longworth
(Mailing Address)

Washington D.C. 20515
Daytime Telephone 202-225-4155

Filer Status	[X] Member of the U.S. House of Representatives	State: NE Distrct: 2nd	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lee Terry	5/15/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Law office of Terry Salerno P.C.	Residual Legal fees	189,284.49
	Law office of Mike Kratville P.C.	Residual legal fees	12,601.66
	U.S. House of Representatives.	Salary	135,079
	Law office Stephen Gerdes P.C.	Residual legal fees	293.65

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset (A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000)	Block C: Type of Income (DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other: Type of Income (Specify: For Example, Partnership Income or Farm Income))	Block D: Amount of Income (I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000)	Block E: Transaction (P, S, E)
SP, DC, JT	*Examples* Mega Corp. Stock	F: X	DIVIDENDS: X	VI: X	P
	Simon & Schuster	Indefinite	Other: Royalties	IX: X	
	1st Bank of Paducah, KY accounts	F: X	RENT: X	VI: X	
	AMERICAN EXPRESS stock	D: X		II: X	
	COCA COLA stock	D: X	DIVIDENDS: X	II: X	
	~~FANNIE MAE stock~~	D: X	DIVIDENDS: X	II: X	S
	GILLETTE CO Stock	D: X	DIVIDENDS: X	II: X	
	PROGRESSIVE CORP stock	D: X	DIVIDENDS: X	II: X	
	Citi Group	D: X	DIVIDENDS: X	II: X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other: Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	MID AMERICA ENERGY STOCK			X													X							X								S
	PINNACLE WEST STOCK			X										X								X										
	Level 3 STOCK				X															NA	X											P
	TRAVELERS PPTY CAS STOCK			X													X							X								S
	Motorola STOCK			X																NA	X											P
	ORACLE STOCK			X																NA	X											P
	QUALCOM STOCK				X															NA	X											P
	US BANCORP DEL STOCK			X																NA	X											P
	GREAT MAN MONEY MKT ACCT					X										X							X									
	CITY of Omaha 414A Pension			X												X						X										
	WOODMAN LIFE INS.			X												X							X									
DC	GOLDMAN SACHS FUNDS/SICKT			X																NA	X											P
DC	GOLDMAN SACHS FUNDS / RYAN T.			X																NA	X											P
DC	GOLDMAN SACHS FUNDS / NOLAN T			X																NA	X											P
	FIRST DATA STOCK			X													X				X											S
	FIRSTEN CORP STOCK			X																NA	X											P

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SCHEDULE IV — TRANSACTIONS

Name Lee Terry

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	MidAmerica Energy Stock		X		3/16/00	X									
	Travelers Prty Cas. Stock		X		4/25/00	X									
	Fannie Mae Stock		X		3/17/00	X									
	Level 3 Stock	X			11/10/00	X									
	Oracle Stock	X			10/20/00	X									
	Level 3 Stock	X			10/20/00	X									
	Firstar Corp Stock	X			10/20/00	X									
	Qual Comm Stock	X			7/14/00		X								
	Motorola Stock	X			7/14/00	X									
	Level 3 Stock	X			6/30/00	X									
	Level 3 Stock	X			4/10/00	X									
	First Data Corp		X		4/10/00	X									
DC	Jack Terry Custodial Acct / Goldman Sachs Fund	X			8/16/00	X									
DC	Nolan Terry Custodial Acct / Goldman Sachs Fund	X			6/29/00	X									
DC	Ryan Terry Custodial Acct / Goldman Sachs Fund	X			6/29/00	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
American ISRAEL Education fund	Feb 17-23	WASHINGTON DC, TEL AVIV, WASH DC	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 14 PM 5:18
OFFICE OF THE CLERK
(Office Use Only)

William Marshall Thomas
(Full Name)

2208 Rayburn House Office Building
(Mailing Address)

(202) 225-2915
Daytime Telephone

Washington, DC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State CA District 21	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X] No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William M. Thomas	5-14-01

5158

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Paul Mellon Estate (note: terminated in 2000 - retired)	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C XYZ Magazine	Speech Article	Feb. 2, 2000 Aug. 13, 2000	$2,000 $500
American Hospital Association Annual Meeting	speech	Jan. 31, 2000	$1,950
Baker & Hostetler, PriceWaterhouse Coopers annual Tax & ~~Budget Policy Seminar~~	~~speech~~	~~Mar. 9, 2000~~	~~$2,000~~
Federation of American Health Systems annual Convention	speech	Mar. 28, 2000	$2,000
ING Barings Healthcare Conference	speech	April 4, 2000	$2,000
International Strategy & Investment Group	speech	April 6, 2000	$ 500
Pharmaceutical Care Management System annual Conference	speech	May 17, 2000	$2,000
Merrill Lynch Health Services Conference	speech	April 10, 2000	$2,000
Publicly Traded Partnerships annual Legislative Meeting	speech	May 23, 2000	$1,000
Medtronic Patient Forum	speech	July 13, 2000	$2,000
National Orthotic Manufacturers Association	speech	Dec. 4, 2000	$2,000

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: William M. Thomas

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; J $1,000,001 – $5,000,000; K $5,000,001 – $25,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: Tax Exempt, Partnership, Income in Trust, Royalties)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E, P
SP	SP Mega Corp. Stock	F	DIVIDENDS	IV	P
DC (Examples)	Simon & Schuster	Indefinite	Royalties		
JT	1st Bank of Paducah, KY accounts	F	INTEREST	VI	
	15836 Mt. View, Nevada City (1/4 share of rent and 1/4 share of capital gain)	X	INTEREST; CAPITAL GAINS	VII	S

For additional assets and unearned income, use next page.

SCHEDULE IV — TRANSACTIONS

Name William M. Thomas

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10-12-00		X								
	15836 Mt. View, Nevada City (1/4 share)		X		10-11-00		X								

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Name William M. Thomas | Page 5 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
grant from Commonwealth Fund to Harvard University	Jan. 20-22	Bakersfield, CA - Aventura, FL - Bakersfield	Y	Y	N	N
Legislative Strategies & First Union	Jan. 28	Washington, DC - New York, NY - Washington, DC	N	Y	N	N
Paine Webber	Feb. 27-28	Bakersfield, CA - San Francisco, CA - Washington, DC	Y	N	N	N
Health Industry Manufacturers Association	Mar. 24 - 26	Washington, DC - Phoenix, AZ - St. Louis, MO	Y	N	N	N
Public Forum Institute	June 1 - 2	Bakersfield, CA - Boise, ID - Wash., D.C.	Y	N	N	N
Blue Cross Blue Shield Ass'n	Nov. 30	Bakersfield, CA - San Diego, CA - Bakersfield, CA	N	N	N	N

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Name	William M. Thomas	Page 7 of 7

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member of Board	American Council of Young Political Leaders (uncompensated)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 10 PM 3:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

9

MC

Bennie G. Thompson
(Full Name)

103 L.C. Turner Circle Bolton, MS 39041
(Mailing Address)

202-225-5876
Daytime Telephone

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives	State: MS District: 02	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/3/01

SCHEDULE I - EARNED INCOME

Name Bennie G Thompson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Hinds County Schools	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G. Thompson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Jackson Federal Credit Union	$1,001 - $15,000	Interest	$1 - $200	NA
SP	Jackson Federal Credit Union	$1,001 - $15,000	Interest	$201 - $1,000	NA
DC	Jackson Federal Credit Union	$1,001 - $15,000	Interest	$1 - $200	NA
SP	Union Planters (Formerly Magnolia Federal)	$50,001 - $100,000	Interest	$1,001 - $2,500	NA
	Mississippi State Retirement Plan	$50,001 - $100,000	Excepted Trust	NONE	NA
SP	Mississippi State Retirement Plan	$50,001 - $100,000	Excepted Trust	NONE	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G Thompson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None".	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Mississippi Deferred Compensation Plan	$15,001 - $50,000	Excepted Trust	NONE	NA
SP	The Pension Board NY,NY	$15,001 - $50,000	Excepted Trust	NONE	NA
SP	Valic Annuity Plan	$15,001 - $50,000	Interest	$1,001 - $2,500	NA
SP	Equitable Annuity Plan	$15,001 - $50,000	Interest	$1,001 - $2,500	NA
	Congressional Federal Credit Union	$50,001 - $100,000	Interest	$201 - $1,000	NA
JT	Union Planters (Formerly Magnolia Bancrop) Stock	$15,001 - $50,000	Dividends	$1,001 - $2,500	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G. Thompson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Lot 101 L.C. Turner Circle Bolton, MS	$1,001 - $15,000	Capital Gains	NONE	NA
JT	Lot 105 L.C. Turner Circle Bolton, MS	$1,001 - $15,000	Capital Gains	NONE	NA
JT	Lot Pearl Street Bolton, MS	$1,001 - $15,000	Capital Gains	NONE	NA
	Dwelling, 212 Sharon Street Bolton, MS	$50,001 - $100,000	Capital Gains	NONE	NA
	2 acres Northside Drive Bolton, MS	$1,001 - $15,000	Capital Gains	NONE	NA
	1 acre, Old Fairground Road Edwards, MS	$1,001 - $15,000	Capital Gains	NONE	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G. Thompson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	1 acre, Mount Olive Road Bolton, MS	$1,001 - $15,000	Capital Gains	NONE	NA
JT	3838 Brame, Jackson, MS	$50,001 - $100,000	Rent	$2,501 - $5,000	NA
JT	4257 Johnson Ct. Jackson, MS	$50,001 - $100,000	Rent	$2,501 - $5,000	NA
JT	Chalet Village Apt, Jackson, MS	$500,001 - $1,000,000	Rent	$100,001 - $1,000,000	NA
	West Capitol Jackson, MS	$100,001 - $250,000	Rent	$15,001 - $50,000	NA
SP	First American Bank Jackson, MS	$15,001 - $50,000	Interest	$201 - $1,000	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G. Thompson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Thrift Saving Plan	$50,001 - $100,000	Excepted Trust	$1,001 - $2,500	NA

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SCHEDULE V - LIABILITIES

Name Bennie G. Thompson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Deposit Guaranty National Bank, MS	Mortgage, Chalet Village	$500,001 - $1,000,000
	Trustmark National Bank, MS	Mortgage, Capital Street	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Bennie G. Thompson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	BLB Properties
Sole Proprietor	Chalet Village Apartment
Trustee	Tougaloo College Board of Trustee
Board Member	Tougaloo College Economic Development Crop.
Board Member	Housing Assistance Council
Board Member	Board of Governor-Democratic Club

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(9) **HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 1:09

MC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Mike Thompson
(Full Name)

413 A Street SE
(Mailing Address)

Washington, DC 20003

Daytime Telephone: 202-225-3711

Filer Status: [X] Member of the U.S. House of Representatives — State CA District 01 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mike Thompson	5/14/01

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Name	Mike Thompson	Page 1 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U.S. House of Representatives	Salary	$125,813.86
	Sibley Memorial Hospital	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Mike Thompson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."											BLOCK C **Type of Income**							BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.											BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
	A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP DC JT	SP Mega Corp. Stock *Examples* Simon & Schuster 1st Bank of Paducah, KY accounts			X	Indefinite								X						Royalties				X					X				P
JT	1/2 ownership, 1435 Fairview Fearney St., St.Helena, CA						X							X										X							
JT	20% interest, Travis Webb (General Partnership) 1540 Webster, Fairfield, CA				X									X	X									X							
JT	Parcel 17-100-2-, Colusa Co., CA					X								X										X							
JT	Pfizer Ins.			X									X								X										
JT	Wal Mart Stores, Inc.			X									X								X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Mike Thompson

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Johnson & Johnson (formerly Centocor Pharmacy)			X										X								X										
JT	Coca Cola			X										X								X										
JT	Exxon Corp.			X										X								X										
JT	General Electric			X										X								X										
JT	Beringer Wine				X									X							X											S
JT	6-Rivers National Bank			X										X							X											
JT	Wells Fargo Bank (formerly Napa National Bank)			X										X								X										
JT	Microelectric Pkg. (now called MPZ)		X											X							X											
JT	Ecolab Inc.			X										X								X										
JT	Golden One Credit Union	X														X						X										
JT	Wells Fargo Bank (formerly Napa National Bank)				X											X							X									
JT	Wells Fargo Bank			X												X						X										
JT	Silverado Credit Union					X										X							X									
SP	Adventist Health Care Retirement Plan				X									X							X											
	Insurance Investment Fund Plus				X									X							X											
	S&P 500 Equity Fund				X									X							X											
	Vanguard Wellington				X									X							X											
JT	American High Inc.		X											X			X					X										
JT	Euro Pacific Growth			X										X			X						X									
JT	Fundamental Investors				X									X			X						X									
JT	Income Fund of America			X										X			X						X									
JT	New Perspective Fund				X									X			X					X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mike Thompson

Page 4 of 8

BLOCK A Asset and/or Income Source	SP DC JT	BLOCK B Year-End Value of Asset: A None	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K $50,000,001 - $100,000,000	L Over $100,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAIN	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $200 - $1,000	III $1,001 - $2,500	IV $2,501 - $5,000	V $5,001 - $15,000	VI $15,001 - $50,000	VII $50,001 - $100,000	VIII $100,001 - $1,000,000	IX $1,000,001 - $5,000,000	X Over $5,000,000	XI	BLOCK E Transaction: P S E
Washington Mutual Inv. Fund	JT																																
Small Cap World Fund	JT																																
Starbucks	JT																				X												

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SCHEDULE IV — TRANSACTIONS

Name Mike Thompson

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
JT	Beringer Wine		X		10-04-00	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Bank now called Wells Fargo, Napa, CA Napa National Bank, Napa, CA	Mortgage on 1445/1449 Kearney St., St. Helena, CA			X							
JT	Continental Bank, Fairfield, CA	Mortgage on Travis Webb, 1500 Webster, Fairfield, CA			X							
JT	Federal Land Bank of Nebraska, Nebraska, NE	Mortgage on Parcel 12-100-24			X							
JT	Bank of America, Washington, DC	Mortgage on 413 A Street SE, Washington, DC				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C —Los Angeles—Cleveland	Y	Y	Y	2 Days
	Congressional Sportsmen's Foundation	Apr. 14-15	Wash. DC--San Antonio, TX--Wash. DC	Y	Y	N	N
	Congressional Program of Aspen Institute	Apr. 17-22	Wash. DC--Grand Cayman Island--Wash. DC	Y	Y	Y	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
General Partner	Travis Webb General Partnership, 5184 Gordon Valley Road, Suisun, CA (Travis Webb is name of partnership.)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

(5)

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U.S. HOUSE OF REPRESENTATIVES
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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

William McClellan Thornberry
(Full Name)

131 Cannon House Office Building Washington, DC 20515-4313
(Mailing Address)

202-225-3706
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: TX District 13	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William M. Thornberry	May 14, 2001

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SCHEDULE I - EARNED INCOME

Nam William McClellan Thornberry

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Embassy of Switzerland	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNE

Nam William McClellan Thornberry

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	1/3 ownership -- Thornberry Brothers Cattle Partnership -- Clarendon, Texas	$100,001 - $250,000	Partnership Income	$2,501 - $5,000	
DC	Merrill Lynch Fundamental Growth C Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	

5186

SCHEDULE V - LIABILITIES

Nam William McClellan Thornberry

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Amarillo National Bank -- Thornberry Brothers	Cattle loan	$50,001 - $100,000
	Hunsaker Trust of Albuquerque, New Mexico -- Thornberry Brothers	Real estate mortgage	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Nam William McClellan Thornberry

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Thornberry Brothers Cattle -- Clarendon, Texas

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 2:18
(6)
RECEIVED
(Office Use Only)
MR

John R Thune
(Full Name)

2612 S. Princeton
(Mailing Address)

Sioux Falls, SD 57106

(202) 225-2801
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives	State SD District AL	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes ☒ No ☐

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes ☐ No ☒

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes ☒ No ☐

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes ☒ No ☐

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes ☒ No ☐

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes ☐ No ☒

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? **If yes, complete and attach Schedule VII.** — Yes ☒ No ☐

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes ☐ No ☒

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes ☐ No ☒

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☒

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John Thune	5/15/01

5189

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Central Baptist Church	Spouse Salary	$6,936
	Community Wide Church Event - Chancellor	Spouse Speech	$ 55

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JOHN THUNE

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (e.g., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in BLOCK A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence unless there is rental income; any debt owed to you by your spouse or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Block A	Block B	Block C	Block D	Block E
	A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	Dividends; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other: Type of Income: Specific, E.g., Example: Partnership Income or Farm Income	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, or P
SP / DC / JT				
SP Mega Corp. Stock		X	X	P
DC Examples: Simon & Schuster	Indefinite			
JT 1st Bank of Podunk X Y Savings	X	X	X	
JT SDRS (State Retirement)		X	X	P (REINVEST)
Fidelity Magellan (IRA)	X	X	X	P (REINVEST)
Seligman Fund	X	X	X	P (REINVEST)
ICA (IRA)	X	X	X	P (REINVEST)
NORWEST CHECKING	X	X	X	
CONG. FED CREDIT UNION	X	X	X	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN THWE

BLOCK A Asset and/or Income Source	SP, DC, JT	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
Sathirat Security MA					X									X		X								X								P, S
NASDAQ 100 QQQ				X										X		X	X				X											P

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SCHEDULE IV — TRANSACTIONS

Name JOHN THUNE

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out	Type of Transaction			Date	Amount of Transaction									
SP, DC, JT Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP *Example* Mega Corporation Common Stock	X			10-12-00		X								
JT NASDAQ 100 QQQ #8201	X			4/17/00	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	BOND MARKET ASSOC.	JAN 30-31	SIOUX FALLS - NEW YORK - WASHINGTON, DC	Y	Y	N	N

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JOHN THUNE
SOUTH DAKOTA, AT LARGE

COMMITTEE ON AGRICULTURE

COMMITTEE ON SMALL BUSINESS

COMMITTEE ON TRANSPORTATION AND INFRASTRUCTURE

SOPHOMORE LEADERSHIP LIAISON

HAND DELIVERED

01 MAY 17 AM 11:23

Congress of the United States
House of Representatives
Washington, DC 20515–4101

1005 LONGWORTH BUILDING
WASHINGTON, DC 20515
(202) 225-2801
thune@mail.house.gov

DISTRICT OFFICES

SIOUX FALLS
2200 W. 41ST STREET, SUITE 101
SIOUX FALLS, SD 57105
(605) 331-1010
(800) 592-5646

RAPID CITY
520 W. MAIN STREET, SUITE 210
RAPID CITY, SD 57702
(605) 342-5515

ABERDEEN
104 4TH AVENUE SW
ABERDEEN, SD 57401
(605) 622-7188

May 16, 2001

The Honorable Jeff Trandahl
Clerk of the House of Representatives
H-154 the Capitol
Washington, DC 20515

Dear The Honorable Trandahl:

This letter is to advise you of a correction to my 2000 Financial Disclosure Form. On Form A, Preliminary Information, I inadvertently marked the yes box for question V. regarding reportable liability. This should have been marked no.

Thanks for you attention to this matter. Please contact Herb Jones of my staff at 225-2801 with any further questions.

Very truly yours,

JOHN THUNE
Member of Congress

JT:sp

THIS STATIONERY PRINTED ON PAPER MADE OF RECYCLED FIBERS

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED
(7)
LEGISLATIVE RESOURCE CENTER
2001 MAY 14 PM 12:53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Karen Thurman
(Full Name)

9067 SW 190th Avenue Road Dunnellon, FL 34432
(Mailing Address)

202.225 1002
Daytime Telephone

MC

(Office Use Only):

Filer Status: ✓ Member of the U.S. House of Representatives — State FL, District: 05 — Officer Or Employee — Employing Office

Report Type: ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓ No	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes No ✓	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓ No	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes No ✓	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ✓ No	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes No ✓	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes No ✓	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ✓ No	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ✓ No	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Karen L. Thurman	5-14-01

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SCHEDULE I - EARNED INCOME

Name Karen Thurman

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Florida	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Karen Thurman

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	IRA-Midstate Federal	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	IRA Midstate Federal	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Deferred Compensation: State of FL-Aetna Insurance	$100,001 - $250,000	NA	NONE	
SP	SunBank --Dunnellon, FL	$1 - $1,000	NA	NONE	
	Dunnellon State Bank	$1 - $1,000	NA	NONE	
DC	FL Prepaid Tuition Program (Two Accounts)	$1,001 - $15,000	NA	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Karen Thurman

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it has generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Wright-Patman CFCU	$1 - $1,000	Interest	$1 - $200	
4411 SW 34th St Gainesville FL	$15,001 - $50,000	Rent	$1,001 - $2,500	
Dunnellon State Bank	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

5199

SCHEDULE V - LIABILITIES

Name Karen Thurman

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Northwest Bank, Brooksville, FL	Mortgage on 4411 SW 34th Street, Gainesville, FL	$15,001 - $50,000
	Homeside Lending	1/3 Guarantor on Loan	$10,001 - $15,000
SP	NBNA	Revolving Charge Account	$10,001 - $15,000
	Wright-Patman FCU	Revolving Charge Account	$10,001 - $15,000

5200

SCHEDULE VIII - POSITIONS

Name Karen Thurman

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors (unpaid)	Florida Future Farmers of America Foundation
Member, Board of Directors (unpaid)	National Democratic Club

SCHEDULE IX - AGREEMENTS

Name Karen Thurman

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1982	Marion County School Board	Leave of absence during government service

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(14)

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 5:29
(Office Use Only)
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

A $200 penalty shall be assessed against anyone who files more than 30 days late.

William Todd Tiahrt
(Full Name)

401 Cannon Office Building Washington, DC 20515
(Mailing Address)

(202) 225-6216
Daytime Telephone

Filer Status ✓ Member of the U.S. House of Representatives — State KS District 04 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I	Yes ☐ No ✓	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ✓	VII	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ✓ No ☐
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓ No ☐	VIII	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ✓
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓ No ☐	IX	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ✓		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Todd Tiahrt	5/15/01

5203

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

5204

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold ($), or exchanged (E) in reporting year.
JT	Intrust Bank: Checking	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Intrust Bank: Maxmimizer	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Morgan Stanley Dean Witter Active Asset Account	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Morgan Stanley Dean Witter: Unit Msdw Equity Trust Comp. Edge Best Ideas 00-4	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Morgan Stanley Dean Witter: Boeing Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Morgan Stanley Dean Witter: Cisco Systems Inc. Stock	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

5205

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Morgan Stanley Dean Witter. Walmart Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Morgan Stanley Dean Witter Self Directed IRA (MSDW-IRA) American Online	$1,001 - $15,000	DIVIDENDS	NONE	
	MSDW-IRA American Home Products	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: American International Gp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA. ADP INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

5206

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
MSDW-IRA: Bank of New York	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: Boeing Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: Bowater Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: Broadcom Corp Class A	$1,001 - $15,000	DIVIDENDS	NONE	
MSDW-IRA: Cisco Systems Inc	$1,001 - $15,000	DIVIDENDS	NONE	
MSDW-IRA: Clear Channel Communication	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

5207

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see Instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
MSDW-IRA CVS Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA Diamond Offshore Drilling Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA Dow Chemical	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA DuPont Ei Nemours & Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA Ecolab	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA El Paso Energy Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	MSDW-IRA: Emc Corp Mass.	$1,001 - $15,000	DIVIDENDS	NONE	
	MSDW-IRA: Enron Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: Ford Motor Co. - New	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: General Electric Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: Gillette Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: Guidant Corp.	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
MSDW-IRA: Home Depot Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: Interpublic Group of Companies Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: Kohls Corporation Wisc	$1,001 - $15,000	DIVIDENDS	NONE	
MSDW-IRA: Koninklojke Phi El Sp Adr New	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: Lucent Technologies	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: McDonalds Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	MSDW-IRA: Medtronic Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: Mellon Financial Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: Microsoft Corp.	$1,001 - $15,000	DIVIDENDS	NONE	
	MSDW-IRA: Minnesota Mining & Manufacturing Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: Motorola Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: Oracle Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
MSDW-IRA: Pepsico Inc. Nc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: Pfizer Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: Royal Dutch Pete Ny 1 25 Gu	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: Schlumberger Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA: Solectron Cp	$1,001 - $15,000	DIVIDENDS	NONE	
MSDW-IRA: Sony Corp Adr 1974 New	$1,001 - $15,000	DIVIDENDS	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

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	MSDW-IRA: Sprint Pcs Series 1	$1,001 - $15,000	DIVIDENDS	NONE	
	MSDW-IRA: Target Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: Texaco Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: United Parcel Service Inc. Cl-B	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: United Technologies Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	MSDW-IRA: Wal Mart Stores Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	

5212

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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MSDW-IRA Walgreen Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	
MSDW-IRA Money Market Account	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
MSDW-IRA News Cp Ltd Pfr Spon Adr (preferred stock)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

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SCHEDULE IV - TRANSACTIONS

Name William Todd Tiahrt

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Dean Witter Account: Unit investment Trust	S	10/27/00	$1,001 - $15,000
JT	Raytheon Stock	S	7/25/00	$1,001 - $15,000
JT	MSDW-IRA: Cisco Systems Inc	P	7/25/00	$1,001 - $15,000
JT	Morgan Stanley Dean Witter Unit Mdsw Equity Trust Comp. Edge Best Ideas 00-4	P	10/27/00	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name William Todd Tiahrt

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Association Of Airport Executives	Feb. 18-25	Wash., D.C. -- Hawaii--Wash., D.C.	Y	Y	Y	N/A
National Chamber Foundation	May 19-20	Wash., D.C.-- Leesburg, VA--Wash., D.C.	Y	Y	N	N/A
Bombardier Aerospace	Aug. 14-16	Wash., D.C. -- Montreal, Canada-- Wash., D.C.	N	N	Y	2 DAYS

FOOTNOTES

Name William Todd Tiahrt

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Rollover from Boeing retirement funds	ALL MSDW-IRA accounts

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 JUN -5 PM 5:38
RECEIVED
(Office Use Only)

5217

Full Name: William Todd Tiahrt

Making Address: 401 Cannon HOB Washington, D.C. 20515

Daytime Telephone: (202) 225-6216

Filer Status: ✓ Member of the U.S. House of Representatives — State: KS — District: 04 — Officer Or Employee — Employing Office

Report Type: Annual (May 15) — ✓ Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g. salaries or fees) of $200 or more from any source in the reporting period? Yes ___ No ✓
If yes, complete and attach Schedule I

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ___ No ✓
If yes, complete and attach Schedule II

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No ___
If yes, complete and attach Schedule III

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ✓ No ___
If yes, complete and attach Schedule IV

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ___ No ✓
If yes, complete and attach Schedule V

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes ___ No ✓
If yes, complete and attach Schedule VI

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ✓ No ___
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ___ No ✓
If yes, complete and attach Schedule VIII

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ___ No ✓
If yes, complete and attach Schedule IX

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ___ No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ___ No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies or who knowingly and willfully fails to file the report may be subject to civil and criminal sanctions (See 5 U.S.C. Appendix, Section 104 and U.S.C. § 1001).

Certification: I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: Todd Tiahrt

Date (Month, Day, Year): 6/5/01

SCHEDULE III - ASSETS AN

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse; or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Intrust Bank: Checking	$1,001 - $15,000	INTEREST	$201 - $1,000	NA
JT	Intrust Bank: Maxmimizer	$1,001 - $15,000	INTEREST	$201 - $1,000	NA
JT	Morgan Stanley Dean Witter (MSDW): Active Asset Account	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA
JT	MSDW: Unit MSDW Equity Trust Comp. Edge Best Ideas Oct. 1999	None	DIVIDENDS	$1 - $200	E
JT	MSDW: Unit MSDW Equity Trust Comp. Edge Best ideas Oct. 2000	$1,001 - $15,000	DIVIDENDS	$1 - $200	E
JT	MSDW: Boeing Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA

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SCHEDULE III - ASSETS AN

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JT	MSDW: Cisco Systems Inc	$1,001 - $15,000	DIVIDENDS	NONE	P
JT	MSDW: Raytheon Stock	None	DIVIDENDS	$201 - $1,000	S
JT	MSDW: Walmart Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	NA
	Morgan Stanley Dean Witter Self-Directed IRA (MSDW-IRA): American Online	$1,001 - $15,000	DIVIDENDS	NONE	P
	MSDW-IRA: American Home Products	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	MSDW-IRA: American International GP	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SCHEDULE III - ASSETS AN

Na William Todd Tiahrt

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MSDW-IRA: ADP, INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Bank of New York	$1,001 - $15,000	DIVEDENDS	$1 - $200	P
MSDW-IRA: Boeing Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Bowater, Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Broadcom Corp. Class A	$1,001 - $15,000	DIVIDENDS	NONE	P
MSDW-IRA: Cisco Systems, Inc.	$1,001 - $15,000	DIVIDENDS	NONE	P

SCHEDULE III - ASSETS AN

Na William Todd Tiahrt

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MSDW-IRA: Clear Channel Communication	$1,001 - $15,000	DIVIDENDS	NONE	P
MSDW-IRA: CVS Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Diamond Offshore Drilling, Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Dow Chemical	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: DuPont E I De Nemours & Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Ecolab	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SCHEDULE III - ASSETS AN

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
MSDW-IRA: El Paso Energy Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: EMC Corp Mass	$1,001 - $15,000	DIVIDENDS	NONE	P
MSDW-IRA: Enron Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Ford Motor Co. - New	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: General Electric Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Gillette Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SCHEDULE III - ASSETS AN

No [illegible]

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence; personal property; rental income; any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or a jointly held asset in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is reported only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
MSDW-IRA: Guidant Corp.	$1,001 - $15,000	DIVIDENDS	NONE	P
MSDW-IRA: Home Depot Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: International Group of Companies Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Kimberly Corporation Wisc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Koninklijke Phs E Sp/Adr New	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Lucent technologies	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AN

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MSDW-IRA: McDonalds Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Medtronic Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Mellon Financial Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Microsoft Corp.	$1,001 - $15,000	DIVIDENDS	NONE	P
MSDW-IRA: Minnesota Mining & Manufacturing Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Motorola Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SCHEDULE III - ASSETS AN

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MSDW-IRA: Oracle Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Pepsico Inc NC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Pfizer Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Roya Dutch Pete NY 1.25 GU	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Schlumberger Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Solectron CP	$1,001 - $15,000	DIVIDENDS	NONE	P

5225

SCHEDULE III - ASSETS AN

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
MSDW-IRA: Sony Corp ADR 1974 New	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Sprint PCS Series 1	$1,001 - $15,000	DIVIDENDS	NONE	P
MSDW-IRA: Target Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Texaco Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: United Parcel Service Inc. Cl-B	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: United Technologies Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

5226

SCHEDULE III - ASSETS AN

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
MSDW-IRA: Wal Mart Stores Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Walgreen Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: News CP Ltd PFR Spon ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
MSDW-IRA: Money Market Account	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	P

5227

SCHEDULE IV - TRANSACTI

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	MSDW: Unit MSDW Equity Trust Comp. Edge Best Ideas Oct. 1999	E	10/27/00	$1,001 - $15,000
JT	MSDW: Unit MSDW Equity Trust Comp. Edge Best ideas Oct. 2000	E	10/27/00	$1,001 - $15,000
JT	MSDW: Cisco Systems Inc.	P	7/25/00	$1,001 - $15,000
JT	MSDW: Raytheon Stock	S	7/25/00	$1,001 - $15,000
	Morgan Stanley Dean Witter Self-Directed IRA (MSDW-IRA): American Online	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: American Home Products	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: American International GP	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: ADP, INC	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Bank of New York	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Boeing Co.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Bowater, Inc.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Broadcom Corp. Class A	P	12/08/00	$1,001 - $15,000

5228

SCHEDULE IV - TRANSACTI

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	MSDW-IRA Cisco Systems, Inc	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Clear Channel Communication	P	12/08/00	$1,001 - $15,000
	MSDW-IRA CVS Corp	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Diamond Offshore Drilling, Inc	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Dow Chemical	P	12/08/00	$1,001 - $15,000
	MSDW-IRA DuPont Ei De Nemours & Co	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Ecolab	P	12/08/00	$1,001 - $15,000
	MSDW-IRA El Paso Energy Corp	P	12/08/00	$1,001 - $15,000
	MSDW-IRA EMC Corp Mass	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Enron Corp	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Ford Motor Co - New	P	12/08/00	$1,001 - $15,000
	MSDW-IRA General Electric Co	P	12/08/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTI

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	MSDW-IRA: Gillette Co	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Guidant Corp.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Home Depot Inc.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Interpublic Group of Companies Inc.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Kohls Corporation Wisc.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Koninklojke Phi El Sp Adr New	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Lucent technologies	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: McDonalds Corp.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Medtronic Inc.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Mellon Financial Corp.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Microsoft Corp.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Minnesota Mining & Manufacturing Co.	P	12/08/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTI

Na William Todd Tiahrt

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	MSDW-IRA Motorola Inc	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Oracle Corp	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Pepsico Inc NC	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Pfizer Inc	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Royal Dutch Petroleum 1.25 ISU	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Schlumberger Ltd	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Solectron CP	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Sony Corp ADR 1/74 New	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Sprint PCS Group 1	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Target Corp	P	12/08/00	$1,001 - $15,000
	MSDW-IRA Texaco Inc	P	12/08/00	$1,001 - $15,000
	MSDW-IRA United Parcel Service Inc. Cl B	P	12/08/00	$1,001 - $15,000

SCHEDULE IV - TRANSACTI

Na William Todd Tiahrt

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	MSDW-IRA: United Technologies Corp.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Wal Mart Stores Inc.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Walgreen Co.	P	12/08/00	$1,001 - $15,000
	MSDW-IRA: Money Market Account	P	12/08/00	$100,001 - $250,000
	MSDW-IRA. News CP Ltd. PFR Spon ADR	P	12/08/00	$1,001 - $15,000
	Boeing Financial Security Plan	S	12/08/00	$15,001 - $50,000
	Boeing Voluntary Investment Plan	S	12/08/00	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYM

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342), political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
American Association of Airport Executives	Feb. 18-25	Wash., D.C. -- Hawaii -- Wash., D.C.	Y	Y	Y	NA
National Chamber Foundation	May 19-20	Wash., D.C. -- Leesburg, VA -- Wash., D.C.	Y	Y	N	NA
Bombardier Aerospace	Aug. 15-16	Wash., D.C. -- Montreal, Canada -- Wash., D.C.	N	N	Y	2 Days

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Rollover from Boeing retirement funds	All MSDW-IRA accounts

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

Page 1 of 1

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HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 AM 11:31

OFFICE OF THE CLERK

(OFFICE USE ONLY)

MC

Patrick Joseph Tiberi

(Full Name)

5208 Honeytree Loop West Columbus, OH 43229

(Mailing Address)

614-523-2555

Daytime Telephone

Filer Status	✓ Member of the U.S. House of Representatives	State OH District 12	Officer Or Employee	Employing Office
Report Type	✓ Annual (May 15)	Amendment	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I	Yes ✓ No	
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II	Yes No ✓	
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III	Yes ✓ No	
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV	Yes No ✓	
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V	Yes ✓ No	
VI	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI	Yes No ✓	
VII	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII	Yes No ✓	
VIII	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII	Yes ✓ No	
IX	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX	Yes No ✓	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the committee on standards of official conduct. Any individual who knowingly and willfully falsifies or knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (5 U.S.C. App. 4, § 104; 18 U.S.C. § 1001).

Certification	Signature (Reporting Individual)	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attachments thereto are true, complete and correct to the best of my knowledge and belief.	Patrick J. Tiberi	5-14-01

5235

SCHEDULE I - EARNED INCOME

Name Patrick Joseph Tiben

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Ohio, Ohio General Assembly	Salary	$60,000
ReMax	Real Estate Agent	$2,826
Victoria Secret Catalogue	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Joseph Tiberi

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	2210 & 2212 Tupsfield Rd with spouse	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	5596 & 5598 Parkville Rd (with father)	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	5604 & 5606 Parkville Rd (with father)	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Fifth Third Bank	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Fifth Third Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Amcap Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	

5237

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Joseph Tiberi

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left		BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Income Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Investment Co./America	$1,001 - $15,000	DIVIDENDS IRA	NA	
	Ohio Deferred Comp.	$15,001 - $50,000	DIVIDENDS	NA	
JT	Northwestern Mutual Ins.	$15,001 - $50,000	DIVIDENDS	NA	
	P.E.R.S. Ohio	$15,001 - $50,000	DIVIDENDS	NA	
SP	New Economy Fund	$15,001 - $50,000	DIVIDENDS IRA	NA	

5238

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Joseph Tiberi

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Banc Stock Group	$1,001 - $15,000	DIVIDENDS IRA	NA	
SP	Intimate Bands	$1,001 - $15,000	DIVIDENDS	NA	
	Robert W Baird Co	$1,001 - $15,000	INTEREST SEP	NA	

5239

SCHEDULE V - LIABILITIES

Name Patrick Joseph Tiberi

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Fifth Third Bank (with spouse)	Mortgage-2210/12 Tupsfield Rd.	$15,001 - $50,000
JT	Fifth Third Bank (with father)	Mortgage-5596/98 Parkville Rd.	$15,001 - $50,000
JT	Fifth Third Bank (with father)	Mortgage-5604/06 Parkville Rd.	$15,001 - $50,000

5240

SCHEDULE VIII - POSITIONS

Name Patrick Joseph Tiben

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	American Red Cross
Board Member	Prevent Blindness America
Board Member	Court Appointed Special Advocates-Franklin County, Ohio

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 16 PM 1:10

(10)

HAND DELIVERED
(Office Use Only)

John F Tierney
(Full Name)

120 Cannon Building Washington, DC 20515
(Mailing Address)

202-225-8020
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: MA District 06	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John F Tierney	5/16/01

5242

SCHEDULE III - ASSETS AND "U

Na John F. Tierney

5243

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investment(s) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	America Online Corp. Stock	$1,001 - $15,000		NONE	P
JT	Cisco Corp. Stock	$15,001 - $50,000		NONE	
JT	Compaq Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Disney Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Texaco Corp. Stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Nike Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "U

Na John F Tierney

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		**Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	**Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	**Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	**Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Parametric Corp Stock	$1,001 - $15,000		NONE	P
JT	Sprint Corp. Stock	$1,001 - $15,000		NONE	P
JT	Sunair Corp. Stock	$1 - $1,000		NONE	P
JT	Verizon Corp. Stock (formerly GTE Corp, converted on 6/30/00)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
JT	Chase Cap. IV CUM PFD Stock	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "U

Na John F. Tierney

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	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Freeport McMoran Pfd Stock (was listed as Freeport McMoran Copper and Gold on last report)	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
JT	Georgia Power Pfd Stock	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Mass St. Cons Ln Bonds	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
JT	Mass Wtr Poll Bond	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Mass St. Port Authority Bond	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	SB Money Fund Cash Port CL A	$50,001 - $100,000	INTEREST	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "U

Na John F. Tierney

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Talk Realty Trust (1/4 interest), 133 Washington, St., Salem, MA 01970	$100,001 - $250,000	RENT	$5,001 - $15,000	
Emanon Trust (1/8 interest) N.Pine Street, Salem, MA 01970	$15,001 - $50,000	RENT	NONE	
Personal Promissery Notes (not interest bearing)	$50,001 - $100,000	None	NONE	
SB/IRA-Money Funds Gov't Port CIA	$1,001 - $15,000	INTEREST	$1 - $200	
SB/IRA-STRIPS-TINT US Treasury	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SB/IRA-STRIPS-TINT US Treasury	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "U

Na John F. Tierney

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SB/IRA-CATS PRIN-SER R-PRIN PMT ONN	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SB/IRA-STRIPS TINT US Treasury	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Smith Barney Growth and Income Fund CL P (tax free merger with SB/IRA-Growth & Income Fund CL B from last reporting period)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
Smith Barney Growth and Income Fund CL B (tax free merger with SB/IRA- Large Cap Blend Growth & Income Fund CL A from last reporting period)	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "U

Na John F. Tierney

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Wright Patman Federal Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Eastern Bank	$1 - $1,000	INTEREST	$1 - $200	
SP	Wright Medical Tech Inc Shares	None	NA	NONE	
JT	Mass G.O. Bond	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	Resolution Trust	None	None/None	NONE	

SCHEDULE IV - TRANSACTIONS

Na John F. Tierney

eport any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities ıtures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not ıport a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Parametric Corp. Stock	P	2-24-00	$1,001 - $15,000
JT	Sprint Corp. Stock	P	2-24-00	$1,001 - $15,000
JT	Sunair Corp. Stock	P	2-24-00	$1,001 - $15,000
JT	American Online Corp. Stock	P	2-24-00	$1,001 - $15,000
JT	Mass G.O. Bond	P	2-17-00	$1,001 - $15,000
	SB/IRA-RES FDG Corp. Fed. Book (matured and deposited into SB/IRA-Money Funds Gov't Port CLAO)	E	7-15-00	$1,001 - $15,000
	Smith Barney Growth and Income Fund CL P (tax free merger with SB/IRA-Growth & Income Fund CL B from last reporting period)	E	12-8-00	$1,001 - $15,000
	Smith Barney Growth and Income Fund CL B (tax free merger with SB/IRA- Large Cap. Blend Growth & Income Fund CL A from last reporting period)	E	12-8-00	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Na John F. Tierney

eport liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest mount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, r appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at e close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Eastern Bank, Salem/Lynn, MA (1/4)	Mortgage, 133 Washington Street, Salem, MA	$10,001 - $15,000
	Heritage Co-op Bank, Salem, MA (1/8)	Mortgage, Emanon Trust Property	$15,001 - $50,000

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SCHEDULE VIII - POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors	Salem State College Alumni Association

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 JUN 15 PM 4:09
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

PATRICK J. TOOMEY
(Full Name)

5250 WHITEHALL DR
ZIONSVILLE PA 18092
(Mailing Address)

202-225-6411
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State PA, District 15	[ ] Officer or Employee — Employing Office	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you, or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Pat Toomey	6/12/01

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	BLOCK B											BLOCK C						BLOCK D									BLOCK E
		None	A $1 - $1,000	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	L	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	
SP															X																			P
DC	Examples: SP: Mega-Corp Stock; Spouse & Schooler						X														Royalties													S
JT	Indefinite; Hardware Wholesaler IRA accounts			Indefinite																														E
	FIRST UNION CASH ACCOUNTS				X												X							X										
	SALOMON SMITH BARNEY CASH ACCT								X								X										X							
	BSCH FIN LTD NON COM PFD					X											X								X									
	PARTNERRE LTD COM PFD					X											X								X									
	MANULIFE (U-YUD) CORP PFD					X											X									X								
	ELY LILLY STOCK				X													X					X				X							

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PATRICK TOOMEY

Page 3 of 6

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
TEXAS INSTRUMENTS STOCK				X									X			X					X										
SELIGMAN COM D FD			X													X				X											
SELIGMAN COM A FD			X													X				X											
ADP STOCK				X									X			X						X									
GENERAL ELECTRIC STOCK			X										X			X					X										
GENERAL MOTORS STOCK			X										X			X						X									
JOHNSON & JOHNSON			X										X			X					X										P
JOHN HANCOCK RES DA FD				X									X			X						X									
JOHN HANCOCK FIN IND FD				X									X			X					X										
IDEX SCC GROWTH FD			X													X				X											P
M L DIVIDEND MUT FD				X												X				X											
PUTNAM ASIA PAC. FUND			X													X				X											P
PUTNAM INTERNATIONAL FUND A			X													X				X											
PUTNAM INTERNATIONAL FUND M			X													X				X											P
PUTNAM INT L VOYAGER C				X												X				X											P
EFT SCUDDER A FUND UTS				X									X			X						X									
DELAWARE SELECT GROWTH			X													X				X											P
QUMM CREDIT U				X											X								X								P
PIONEER GROWTH FUND				X												X				X											P
SPASE ST ROSENELLI SAVINGS				X												X				X											P
TILGHMAN ST ENTER LOAN						X									X					X											
TILGHMAN ST ENTER STOCK				X									X			X									X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name [illegible] | Page 4 of 6

SP DC JT	BLOCK A Asset and/or Income Source	Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $5,000	D $5,001 – $15,000	E $15,001 – $50,000	F $50,001 – $100,000	G $100,001 – $250,000	H $250,001 – $500,000	I $500,001 – $1,000,000	J $1,000,001 – $5,000,000	K Over $5,000,000	L Over $50,000,000	Type of Income: RENT	CAPITAL GAINS	DIVIDENDS	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P S F
	[illegible]						X												X											
	[illegible]			X										X	X				X											
	[illegible]				X										X				X											

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SCHEDULE IV — TRANSACTIONS

Name PATRICK TOOMEY

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	CADBURY SCHWEPPS PFD		X		7/13/00		X								
	CON EDISON PFD		X		7/13/00		X								
	PACIFIC TEL. CUM PFD		X		7/13/00	X									
	LEHMAN BROS HOLDING NOTE		X		7/13/00		X								
	TRAVELERS PROP STOCK		X		4/25/00	X									
	DELPHI AUTOMOTIVE SYS		X		4/17/00	X									
	JOHNSON + JOHNSON STOCK	X			5/30/00	X									
	IDEX JCC GROWTH FUND	X			4/25/00	X									
	PUTNAM ASIA PACIFIC FUND	X			4/25/00	X									
	PUTNAM HLTH SCI TRUST M	X			7/19/00	X									
	DELAWARE SELECT GROWTH FUND	X			4/25/00	X									
	CUMM CREDIT CO. NOTE	X			7/3/00		X								
	PIONEER GROWTH FUND	X			5/31/00		X								
	STATE ST. RESEARCH AURORA FUND	X			7/03/00		X								

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I.

Position	Name of Organization
Trustee	Allentown YMCA + YWCA
Director	Toomey Enterprises, Inc., Allentown, PA
Director	Tilghman St. Entertainment, Inc., Allentown, PA
Director	Tilghman St. Properties, Inc., Allentown, PA
Director	Rookies Restaurant Lancaster, Inc., Lancaster, PA
Board of Directors	Muhlenberg College, Allentown, PA
Executive Committee	Minsi Trails Council, Boy Scouts of America

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(8)

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 5:37

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Edolphus Towns
(Full Name)

286 Highland Boulevard
(Mailing Address)

Brooklyn, New York 11207

Daytime Telephone (202) 225-5936

Filer Status	[X] Member of the U.S. House of Representatives	State: NY District: 10th	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Interfaith Medical Center	Spouse Salary	NA
	New York City Board of Education	Spouse Pension	NA
	Mt. Sinai Baptist Church	Approved Religious Service	
	Zion Shiloh Baptist Church	" "	
	Christian Community Baptist Church	" "	
	New Canaan Baptist Church	" "	
	Mt. Ollie Baptist Church	" "	
	First AME Church	" "	
	Messiah Baptist Church	" "	
	Berean Missionary Baptist Church	" "	
	Liberty Baptist Church	" "	
	Friendship Baptist Church	" "	

For payments to charity in lieu of honoraria, use Schedule II.

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Name	Towns, E	Page 3 of 3

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranum. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
Connell Company	Speech	June 2000	2000
Jackson,Consumanc Law Firm	Speech	December 2000	2000

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Towns, E.

BLOCK A — Asset and/or Income Source

Identify all each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and any other asset or source of income which generated more than $200 of "unearned" income during the year. For rental property, list and provide an address. Provide full names of any mutual funds. For a self-directed IRA, i.e., one where you have the power to select the specific investments, provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instructions pertaining to the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. government retirement programs.

If you do choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or a spouse and (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None".

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset: A Name	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: Name	Dividends	RENT	INTEREST	CAPITAL GAINS	DEFERRED INCOME	QUALIFIED BLIND TRUST	Other Type of Income	Specify Tax Exempt, Partnership, Income or Type of Income	BLOCK D Amount of Income: I Name	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	SP Weight Watchers						X									X																		
DC Farmington	Grace & Stevens				Dividend																	Hospital												
JT	1st Federal Savings & Loan						X																				X							
	Prudential Insurance			X												X										X								
	Mutual of America			X													X									X								
	NY Municipal Bond Fund			X												X										X								
	Wright-Patman FCU			X												X										X								
	East NY Savings Bank			X													X									X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Towns, E.

Page 5 of 8

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
SP. DC. JT	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E
National Wstminister			X												X							X								
Queens County Savings				X											X							X								

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Name	Page 6 of 8
[illegible]	

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on Vacation Beach Home				X						
	Countrywide Home Loans, [illegible]	Mortgage on [illegible] Residence [illegible]				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25) [illegible] prohibits acceptance of gifts except as specifically provided in [illegible].

Source	Description	Value
Example Mr. Joseph or Sally Anybody, Anytown	Dinner with Chairman [illegible] Company	$275

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source		Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Mt. Moriah Missionary Baptist Church		2/26-2/28	Wash.,DC-RaleighNC	Y	Y	N	
Mt. Zoar Baptist Church		10/14-10/16	WDC-Raleigh NC	Y	Y	N	

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities, positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Brookdale Medical Center
Board Member	Wyckoff Medical Center

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment, a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

RECEIVED
LEGISLATIVE RESOURCE CENTER
01 MAY 14 AM 9:23
(9)
HOUSE OF REPRESENTATIVES
MC

JAMES A TRAFICANT, JR.
(Full Name)

429 NORTH MAIN STREET (330) 743-1914
(Mailing Address) Daytime Telephone

POLAND OHIO 44514

(Office Use Only)
MAY 08 2001

Filer Status	[X] Member of the U.S. House of Representatives — State ____ District ____	[ ] Officer or Employee — Employing Office ____	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[ ] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date ____

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		

5266

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
LE IMAGE SALON	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JAMES H TRAFICANT JR. Page 3 of 8

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property on land, provide an address. Provide full names of any mutual funds. Name self-directed IRA (e.g., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution, helping the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block C state the nature of the business and its geographic location. For additional information, see the instructions booklet for the reporting year.

Exclude: Your personal residence (unless there is rental income); any debt owed to you by your spouse or by your or your spouse's child, parent, or relative; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.

SP — Spouse
DC — Dependent
JT — Joint

BLOCK B — Value of Asset at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None".

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

SP	Asset and/or Income Source	B: None	C: $1 – $1,000	D: $1,001 – $15,000	E: $15,001 – $50,000	F: $50,001 – $100,000	G: $100,001 – $250,000	H: $250,001 – $500,000	I: $500,001 – $1,000,000	J: $1,000,001 – $5,000,000	K: $5,000,001 – $25,000,000	L: Over $25,000,000	Type of Income: RENT	Rent Equity	CAPITAL GAINS	EXCEPTED	QUALIFIED BLIND TRUST	Type of Income	Amount of Income: II: None	III: $1 – $200	IV: $201 – $1,000	V: $1,001 – $2,500	VI: $2,501 – $5,000	VII: $5,001 – $15,000	VIII: $15,001 – $50,000	IX: $50,001 – $100,000	X: $100,001 – $1,000,000	XI: $1,000,001 – $5,000,000	Over $5,000,000	Transaction (P, S, E)
SP	SAE WELL		X															GAS		X										
	ACETO CORP SHCL		X										X							X										
	LONG REP CREDIT UNION			X											X						X									
	LOT #34 MATTHEWS RD				X													NONE	X											

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JAMES A. TRAFICANT, JR. Page 4 of 8

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	ESCROW TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P. S. E.
	NONE																													

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SCHEDULE IV — TRANSACTIONS

Name JAMES A TEHRICHANT, JR. Page 5 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO DAY YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-00		X								
	NONE														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	*First Bank of Wilmington, Delaware*	*Mortgage on 123 Main St., Dover, Del.*				X						
	INTERNAL REVENUE SERVICE	TAX	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example *Mr. Joseph H. Smith, Anytown, Anystate*	*Silver Platter (determination on personal friendship received from Committee on Standards)*	*$270*
NONE		

Use additional sheets if more space is required.

5272

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	EVENING OF MUSIC, INC.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

5274

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 16 PM 5:08

(9)

HAND DELIVERED
(Office Use Only)

JAMES A TRAFICANT, JR
(Full Name)

429 NORTH MAIN STREET
POLAND, OH 44514
(Mailing Address)

Daytime Telephone: 330-743-1914

Filer Status	[X] Member of the U.S. House of Representatives	State OHIO District 17	[ ] Officer or Employee	Employing Office
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	[illegible]

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Name JAMES A. TRAFICANT, JR. Page 1 of 3

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	LE IMAGE	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranum. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JAMES A TRAFICANTE JR

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: Eg. Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P S E
SP, DC, JT	Examples: SP Mega Corp Stock					X								X																		P
	Examples: Simon & Schuster																			Royalties									X			
	Examples: 1st Bank of Paducah, KY accounts						X																		X							
SP	GAS WELL - 6908 Salisbury Rd Sheen OH 44460			X																GAS			X									
	ACETO CORP STOCK		X											X								X										
	CONG FED CREDIT UNION			X												X							X									
	LOT #24 MATTHEWS RD. BRADDOCK TWP OH 44512		X		X															NONE	X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JAMES A. TRAFICANT, JR

Page 4 of 5

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; ROYALTIES; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, or E
	None				

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SCHEDULE IV — TRANSACTIONS

Name JAMES A. TRAFICANT, JR. Page 5 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	NONE														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	INTERNAL REVENUE SERVICE	TAX	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

	Source	Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
	NONE		

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	EVENING OF MUSIC, INC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 5:30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(9)

MC

(Office Use Only)

James (Jim) William Turner
(Full Name)

203 Gordon Drive
(Mailing Address)

Crockett Tx 75835

Daytime Telephone
936-544-8644

Filer Status	[X] Member of the U.S. House of Representatives	State: Texas District: 2nd	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jim Turner	May 15, 2001

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Texas Employee Retirement System	pension	28,983.84

For payments to charity in lieu of honoraria, use Schedule II.

5285

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Jim Turner

Page 2 of 8

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP	Mega Corp. Stock	$15,001 – $50,000	Dividends	$1,001 – $2,500	P
DC	Simon & Schuster	Indefinite	Royalties	$200 – $1,000	
JT	1st Bank of Paducah, KY accounts	$100,001 – $250,000	Interest	$5,001 – $15,000	
	Turner Hardware & Const Inc stock - Crockett Tenn	$100,001 – $250,000	Dividends	$200 – $1,000	
	Citizens National Bank stock Crockett Tn	$15,001 – $50,000	Dividends	$5,001 – $15,000	
	U.S. Treasury Secs & Bonds	$50,001 – $100,000	Interest	$5,001 – $15,000	
	37 ac Martin Spring Crockett	$250,001 – $500,000	Rent	$5,001 – $15,000	
	603 E College St bldg & personal property Crockett Tn	$100,001 – $250,000	Rent	$50,001 – $100,000	
	Duplex - Homewood Dr Crockett	$100,001 – $250,000	Rent	$2,501 – $5,000	

Block A	None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000
SP					X							
DC		Indefinite										
JT						X						
Turner Hardware & Const Inc stock - Crockett Tenn						X						
Citizens National Bank stock Crockett Tn				X								
U.S. Treasury Secs & Bonds					X							
37 ac Martin Spring Crockett							X					
603 E College St bldg & personal property Crockett Tn						X						
Duplex - Homewood Dr Crockett						X						

Block C	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: Partnership Income or Farm Income)
SP	X						
DC							Royalties
JT			X				
Turner Hardware & Const Inc stock - Crockett Tenn	X						
Citizens National Bank stock Crockett Tn	X						
U.S. Treasury Secs & Bonds			X				
37 ac Martin Spring Crockett		X					
603 E College St bldg & personal property Crockett Tn		X					
Duplex - Homewood Dr Crockett		X					

Block D	None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000
SP				X							
DC									X		
JT						X					
Turner Hardware & Const Inc stock - Crockett Tenn		X									
Citizens National Bank stock Crockett Tn						X					
U.S. Treasury Secs & Bonds						X					
37 ac Martin Spring Crockett						X					
603 E College St bldg & personal property Crockett Tn								X			
Duplex - Homewood Dr Crockett					X						

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Jim Turner

Page 3 of 6

BLOCK A Asset and/or Income Source (SP, DC, JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: LIVELIHOOD	RENT	ROYALTIES	CAPITAL GAINS	INTERESTS/DIVIDENDS	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P, S, E)
Jt 1 owner Houston Co Tx							X							X									X								
3.4% owner Houston Co Tx							X							X									X								
Hughes Inc retirement plan				X											X							X									
Congressional Federal Credit Union				X											X							X									
Waterhouse Money Market Acct					X								X		X								X								
Jt Waterhouse Money Market Acct						X							X		X										X						
Waterhouse Money Market - BLA Acct							X						X		X										X						
First National Bank acct				X											X								X								
SP Liberty Capital Bonds						X									X										X						
SP 200 sh Dell			X										X							X											
200 sh Dell			X										X							X											
SD 45 sh ACC			X										X							X											P
SP 80 sh CISCO			X										X							X											P
SP 250 sh FCCG			X										X							X											P
SP 36 sh FSFSC			X										X									X									P
SP 595 sh JSWCK				X									X									X									P
SP 50 sh UBSA			X										X							X											P
SP 511 sh HUGSK				X									X									X									P
SP 551 sh PRSCK				X									X									X									P
SP 148 sh PRSCM			X										X								X										P
SP 915 sh RSLGK				X									X									X									P
SP 120 sh SUNW			X										X							X											P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	40rd YHOO		X											X							X											P
	14rd A		X											X							X											P
	70rd ADL			X										X							X											P
	70rd CSCO			X										X							X											P
	80rd HWP			X										X							X											P
	40rd IBM			X										X							X											P
	80rd INTC			X										X							X											P
	60rd MSFT			X										X							X											P
	90rd NOK			X										X							X											P
	40rd QCOM			X										X							X											P
	100rd SUNW			X										X							X											P

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SCHEDULE IV — TRANSACTIONS

Name Jim Turner

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	Lot Treemont subdivision, Austin Texas		X		10-9-00					X					
SP	85 sh AOL	X			3-00	X									
SP	80 sh CSCO	X			3-00	X									
SP	250 sh FLCG	X			3-00	X									
SP	595 sh JSWGX	X			3-00		X								
SP	50 sh UDSH	X			3-00	X									
SP	512 sh PUGSX	X			3-00										
SP	168 sh PRSGX	X			3-00	X									
SP	915 sh R SEGX	X			3-00		X								
SP	120 sh SUNW	X			3-00	X									
SP	40 sh YHOO	X			3-00	X									
	70 sh AOL	X			3-00	X									
	70 sh CSCO	X			3-00	X									

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SCHEDULE IV — TRANSACTIONS

Name

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	80 sh HWP	X			3-00	X									
	40 sh IBM	X			3-00	X									
	80 sh INTC	X			3-00	X									
	60 sh MSFT	X			3-00	X									
	50 sh NOK	X			3-00	X									
	40 sh QCOM	X			3-00	X									
	100 sh SUNW	X			3-00	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Texas Rural Water Association	Mar 17	Wash DC - Harlingen - Wash DC	N	Y	Y	

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Vice President	Turner Adv + Consult. Inc Crockett Tex

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	none	

GPO: 2001 70-729 (mac)

Use additional sheets if more space is required.

INSIDE MA

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A — Page 1 of 22

For use by Members, officers, and employees

Mark Emery Udall
(Full Name)

6255 Simmons Drive Boulder, CO 80303
(Mailing Address)

(303)457-4500
Daytime Telephone

(Office Use Only)

Filer Status	✓ Member of the U.S. House of Representatives	State CO District 2nd	Officer Or Employee	Employing Office
Report Type	✓ Annual (May 15)	Amendment	Termination	Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ✓ No
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ✓ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ✓ No
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes No ✓
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? Yes ✓ No
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ✓ No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes No ✓

Exemptions– Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ✓ No

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark Emery Udall	6/8/2001

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SCHEDULE I - EARNED INCOME

Nam Mark Emery Udall

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sierra Club	Spouse Salary	NA
Hewlett Foundation	Spouse Consulting	NA
Heinz Foundation	Spouse is compensated Board Member	NA

SCHEDULE III - ASSETS AND "UNE

Nam Mark Emery Udall

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $6,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Schwab Money Market Fund (Father's estate)	$1,001 - $15,000	Other: Cash	$1 - $200	
	Dell Computer	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Capital One Financial	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	AT&T Corp	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Fleet Boston Financial	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Honeywell International	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNE

Nam Mark Emery Udall

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Intel Corporation	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
SP	McGraw Hill COS	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Medtronic Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	National City Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
SP	Northern States Power (changed to XCEL Energy)	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
SP	Safeco Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNE

Nam Mark Emery Udall

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BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Vanguard High Yield Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Vanguard GNMA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Washington Mutual	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	XCEL Energy (Name changed from Northern States Power)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S
SP	Electronics For Imaging	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
SP	Pacific Bell	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNE

Nam Mark Emery Udall

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
SP	Time Warner Inc	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC	Adobe Systems	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC	Health Care REIT, Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC	Kimberly Clark Corp	$1 - $1,000	DIVIDENDS	$1 - $200	
DC	Linear Technology Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC	North Fork Bancorp	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SCHEDULE III - ASSETS AND "UNE

Nam Mark Emery Udall

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see Instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	Ohio Casualty Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
DC	St. Paul Companies	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC	Schwab Government Money Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Vanguard High Yield Corp	$1,001 - $15,000	DIVIDENDS	$2,501 - $5,000	
DC	Vanguard GNMA Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
DC	Washington Mutual	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNE

Nam Mark Emery Udall

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DC	Invesco Strategic Health Science Fund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
DC	T.Rowe Price Science and Technology Fund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
DC	Health Care REIT Stock	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
DC	Alltel Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
DC	Deere and Company	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	The Southern Company	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

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SCHEDULE III - ASSETS AND "UNE

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DC	Scudder GNMA Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Schering Plough Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Nortel Networks Corp	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Vanguard GNMA (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Schering Plough (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Computer Associates (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	S

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SCHEDULE III - ASSETS AND "UNE

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SP	Vanguard High Yield Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Schwab Money Market Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Vanguard GNMA (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Vanguard High Yield (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Schwab Government Money Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Invesco Strategic Health Sciences Fund (IRA)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNE

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	T Rowe Price Science and Technology Fund (IRA)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
SP	Rehabcare Group Inc	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Infocus Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC	Impath Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Oracle Stock	$1,001 - $15,000	DIVIDENDS	NONE	S
DC	Tellabs Stock	$1,001 - $15,000	DIVIDENDS	NONE	S

SCHEDULE III - ASSETS AND "UNE

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DC	Invesco Strategic Health Science & Tecgnology Fund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
DC	Oracle Stock	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	VALIC Retirement Fund	$100,001 - $250,000	INTEREST	NONE	
SP	Dionex Corp (IRA)	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	S
SP	Schering Plough Corp (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Sterling Software Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNE

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SP	Sun Microsystems (IRA)	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	S
SP	Vanguard Short-term Corp Fund	$1 - $1,000	CAPITAL GAINS/INTEREST	$201 - $1,000	
SP	Schwab Money Market Account	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Pacific Bell Bond	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
DC	Biomet Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Schwab Government Money Fund	$1,001 - $15,000	DIVIDENDS	NONE	

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SCHEDULE III - ASSETS AND "UNE

Nam Mark Emery Udall

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DC	McGraw Hill Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Carolina Power & Light	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	Fox Family Limited Partnership	$250,001 - $500,000	INTEREST	$201 - $1,000	
SP	Applied Materials (IRA)	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	Impath Inc. (IRA)	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	Infocus Corp (IRA)	$1,001 - $15,000	INTEREST	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNE

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SP	Patterson Dental (IRA)	$1,001 - $15,000	INTEREST	$201 - $1,000	P
SP	T Rowe Price Health Science and Technology (IRA)	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1,001 - $2,500	P
DC	Federal Signal	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Infocus Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC	Kimberly Clark	$1 - $1,000	DIVIDENDS	NONE	
DC	Patterson Dental	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNE

Nam Mark Emery Udall

BLOCK A Asset and/or Income Source		BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	Sun Microsystems	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	S
DC	Vanguard GNMA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Vanguard High Yield Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

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SCHEDULE IV - TRANSACTIONS

Nam Mark Emery Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Sun Microsystems	S	1-24-00	$1,001 - $15,000
SP	Sun Microsystems	S	2-16-00	$1,001 - $15,000
SP	Patterson Dental	P	1-28-00	$1,001 - $15,000
SP	Invesco Strategic Health Fund	P	3-10-00	$1,001 - $15,000
SP	T Rowe Price Science and Technology Fund	P	3-10-00	$1,001 - $15,000
SP	Impath Inc	P	4-24-00	$1,001 - $15,000
SP	Infocus Corp	P	4-24-00	$1,001 - $15,000
SP	T Rowe Price Science and Technology Fund	P	6-22-00	$1,001 - $15,000
SP	Applied Materials	P	6-22-00	$1,001 - $15,000
SP	Infocus Systems	P	6-22-00	$1,001 - $15,000
SP	Dionex Corp	S	6-22-00	$1,001 - $15,000
SP	Computer Associates	S	10-4-00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Nam Mark Emery Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	National City Corp	S	4-24-00	$1,001 - $15,000
SP	Safeco	S	4-24-00	$1,001 - $15,000
SP	Intel Corp	S	6-14-00	$1,001 - $15,000
SP	Electronics for Imaging	S	6-22-00	$1,001 - $15,000
SP	Intel Corp	S	10-4-00	$1,001 - $15,000
SP	XCEL Energy (Formerly Northern States Power)	S	10-4-00	$1,001 - $15,000
SP	Electronics for Imaging	P	4-24-00	$1,001 - $15,000
SP	Fleet Boston Financial	P	4-24-00	$1,001 - $15,000
SP	Honeywell International	P	4-24-00	$1,001 - $15,000
SP	Rehabcare Group	P	4-24-00	$1,001 - $15,000
SP	McGraw Hill COS	P	6-22-00	$1,001 - $15,000
SP	Medtronic Inc.	P	6-24-00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Nam Mark Emery Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Washington Mutual	P	6-27-00	$1,001 - $15,000
SP	Infocus Corp	P	7-19-00	$1,001 - $15,000
DC	Patterson Dental	P	2-11-00	$1,001 - $15,000
DC	Sun Microsystems	S	2-11-00	$1,001 - $15,000
DC	Ohio Casualty	S	3-2-00	$1,001 - $15,000
DC	Oracle Corp	S	3-24-00	$1,001 - $15,000
DC	Invesco Strategic Health Science Fund	P	3-10-00	$1,001 - $15,000
DC	Alltel Corporate Stock	S	2-28-00	$1,001 - $15,000
DC	Adobe Systems	P	4-24-00	$1,001 - $15,000
DC	Linear Technology	P	4-24-00	$1,001 - $15,000
DC	North Fork Bancorp	P	4-24-00	$1,001 - $15,000
DC	St Paul Companies	P	4-24-00	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Nam Mark Emery Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC	Tellabs Inc	S	4-24-00	$1,001 - $15,000
DC	Health Care REIT	P	4-24-00	$1,001 - $15,000
DC	In Focus Systems	P	4-24-00	$1,001 - $15,000
DC	Patterson Dental	P	4-24-00	$1,001 - $15,000
DC	Southern Company	P	4-24-00	$1,001 - $15,000
DC	Washington Mutual	P	6-22-00	$1,001 - $15,000
DC	Federal Signal Corp	P	9-7-00	$1,001 - $15,000
	T Rowe Price Science and Technology Fund	P	3-13-00	$1,001 - $15,000
	BMC Software	S	10-10-00	$1,001 - $15,000
	Computer Associates	S	10-10-00	$1,001 - $15,000
SP	Pacific Bell Bond	PS	7-31-96	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AN

Nam Mark Emery Udall

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Thompson's Island Outward Bound School	Feb 2-3	Wash ,D C -- Boston -- Thompson's Island -- Boston --Denver	Y	Y	N	N/A
American Israel Education Foundation	Feb 17-23	Wash ,D C -- Israel -- Denver	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Nam Mark Emery Udall

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Colorado School Mediation Project

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2001 MAY 15 AM 10: 19
(Office Use Only)

Full Name	Tom Udall
Mailing Address	308 East Capitol NE Washington DC 20003
Daytime Telephone	202 225 6190

Filer Status ✓ Member of the U.S. House of Representatives — State: NM — District: 3 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have earned income of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ✓ No	VI	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes No ✓
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ✓ No	VII	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ✓ No
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $260 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓ No	VIII	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes No ✓
IV	Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓ No	IX	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes No ✓
V	Did you, your spouse, or a dependent child have any reportable liability more than $10,000 during the reporting period? If yes, complete and attach Schedule V.	Yes No ✓		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes No ✓
Exemptions--	Have you excluded from this report any other assets, unearned income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. This Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report, may be subject to civil penalties and criminal sanctions. See 5 U.S.C. App. 4 § 104 and 18 U.S.C. § 1001.

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Udall	5-14-2001

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SCHEDULE I · EARNED INCOME

Na Tom Udall

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Presidential Advisory Commission on Holocaust Assets	Spouse Salary	N/A

SCHEDULE II - PAYMENTS IN LIEU OF HO

Na Tom Udall

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Politically Incorrect	panel appearance	Nov. 27, 2000	$500

SCHEDULE III - ASSETS AND "U

Na Tom Udall

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year
New Perspective Fund	$15,001 - $50,000	dividends and capital gains	$5,001 - $15,000	partial sale
Investment Company of America	$15,001 - $50,000	divdents and capital gains	$2,501 - $5,000	partial sale
Washington Mutual Investment	$15,001 - $50,000	dividends and capital gains	$2,501 - $5,000	partial sale
Capital Income Builder	$15,001 - $50,000	dividends and capital gains	$1,001 - $2,500	partial sale
Pacific Select Variable Annuity	$50,001 - $100,000	DIVIDENDS	N/A	transfer from Ameritas Variable annuity
Pacific Value Variable Annuity	$250,001 - $500,000	DIVIDENDS	N/A	

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SCHEDULE III - ASSETS AND "U

Na Tom Udall

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT) in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Ameritus Variable Annuity III	$50,001 - $100,000	DIVIDENDS	N/A	
CNL Capital Fund	$15,001 - $50,000	Distribution	$2,501 - $5,000	
Boston Capital	$1,001 - $15,000	tax credit	$201 - $1,000	
Wells Partnership	$1,001 - $15,000	distribution	$201 - $1,000	
NM Public Employees Retirement	$100,001 - $250,000	none	NONE	
Northwestern Mutual	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	

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SCHEDULE III - ASSETS AND "U

Na Tom Udall

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	PLF Life Insurance	$100,001 - $250,000	none	NONE	
	Davis Intermediate Term Bond Fund	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	transfer from Investment grade fund
	Davis High Income Municipal Bond Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	JMB Partnership	$1,001 - $15,000	distribution	$201 - $1,000	
	Merrill Lynch	$250,001 - $500,000	see attached	$5,001 - $15,000	

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Merrill Lynch

PRIORITY CLIENT CMA® ACCOUNT

TOM S UDALL AND

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
	CASH			126		126				
17,375	ML BANKING ADVANTAGE		1.00	17,375	1.00	17,375			1,070	6.16
	Total Cash/Money Accounts			17,501		17,501			1,070	6.16
	Municipal Bonds									
10,000	TORRANCE ETC CNTYS N MEX MUN SCH DT8 MORIARTY EBD JUN90 07.300%JUL01 01 MOODY'S: A3, S&P: ***	05/04/90	102.00	10,200	100.23	10,023	(176)	361	729	7.28
15,000 Δ	WELLFLEET MASS AMBAC EBD OCT91 06.400%OCT15 06 MOODY'S: AAA, S&P: AAA ORIGINAL UNIT COST: 100.00	10/15/91	100.00	15,000	103.61	15,541	541	197	959	6.17
25,000 Δ	FORT BEND TEX INDPT SCH DIST SCHLHSE PRF01 JAN91 06.500%FEB15 08 MOODY'S: ***, S&P: AAA CALL DT:02/15/01 CALL PR:100 ORIGINAL UNIT COST: 101.38	10/10/91	100.01	25,004	100.31	25,078	73	605	1,625	6.47

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Merrill Lynch

PRIORITY CLIENT CMA® ACCOUNT

TOM S UDALL AND

Current Portfolio

Quantity	Security Description	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Municipal Bonds									
25,000	CALIFORNIA ST SEP91 06.250%SEP01 08 MOODY'S: AA2, S&P: AA	10/17/91	99.47	24,868	113.74	28,435	3,566	512	1,562	5.49
25,000 B	ALBUQUERQUE NM EDL FACS RV ALB ACAD OID REF MBIA SEP92 05.750%OCT15 14 MOODY'S: AAA, S&P: AAA ORIGINAL UNIT COST: 90.67	11/16/94	91.63	22,909	102.50	25,625	2,716	295	1,437	5.60
25,000 B	ALBUQUERQUE NM JT WTR & SEW SYS REV OID PRF02 JAN92 05.500%JUL01 17 MOODY'S: AA3, S&P: AA CALL DT:07/01/02 CALL PR:100 ORIGINAL UNIT COST: 98.75	08/11/95	99.66	24,917	102.00	25,502	585	680	1,374	5.39
10,000 Δ	FARMINGTON NM PCR REV SO CA EDISON SER A RFDG OID APR91 07.200%APR01 21 MOODY'S: A1, S&P: A+ ORIGINAL UNIT COST: 104.28	09/16/91	100.82	10,082	99.45	9,945	(136)	176	720	7.23
10,000 Δ	FARMINGTON NM POLLTN CTL RV REF SOUTHN CA ED MBIA JUN93 05.875%JUN01 23 MOODY'S: AAA, S&P: AAA ORIGINAL UNIT COST: 101.06	10/03/95	100.48	10,048	103.30	10,330	282	46	587	5.68
	Total Municipal Bonds			143,030		150,482	7,451	2,872	8,997	5.98

Bonds purchased at a premium show amortization Δ
Bonds purchased at a discount show accretion B

*** Rating currently unavailable or not rated/unrated as provided by Rating Agency or recognized industry wide third party vendor source

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Merrill Lynch

PRIORITY CLIENT CMA® ACCOUNT

TOM S UDALL AND

Equity Weighting by Economic Sector

A - Basic Industries	0%	D - Consumer Cyclicals	0%	G - Credit Sensitive	100%
B - Capital Goods	0%	E - Consumer Growth	0%	H - Utilities	0%
C - Technology	0%	F - Energy	0%	I - Other	0%

Current Portfolio

Quantity		Security Description	Symbol	Sector	Date Acquired	Adjust/Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
		Equities										
400	**	PROLOGIS TRUST MD SBI	PLD	(G)	03/23/94	11.50	4,600	22.25	8,900	4,300	552	6.20
44	**	PROLOGIS TRUST MD SBI			06/21/94	15.12	665	22.25	979	313	60	6.20
112	**	PROLOGIS TRUST MD SBI			07/12/94	15.12	1,694	22.25	2,492	798	154	6.20
92	**	PROLOGIS TRUST MD SBI			09/28/95	15.37	1,414	22.25	2,047	632	126	6.20
648		Security Sub-Total					8,374		14,418	6,043	894	6.20
100		SOLV-EX CORP NEW COM	SVXC	(I)	N/A	N/A	N/A	.00		N/A		
33		WT08 02SOLV-EX CORP NEW USD	SVXCW	(I)	N/A	N/A	N/A	N/A	N/A	N/A		
		Total Equities					8,374		14,418	6,043	894	6.20
		Total of Long Portfolio					168,905*		182,401*	13,494 *	10,961	6.01
		Total of Estimated Accrued Interest							2,872			

* - Excludes N/A items

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Merrill Lynch

PRIORITY CLIENT SEP ACCOUNT

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Equity Weighting by Economic Sector

A - Basic Industries	0%	D - Consumer Cyclicals	0%	G - Credit Sensitive	100%
B - Capital Goods	0%	E - Consumer Growth	0%	H - Utilities	0%
C - Technology	0%	F - Energy	0%	I - Other	0%

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Equities										
600	** SECURITY CAP GROUP INC B	SCZ	(G)	09/18/97	28.00	16,800	20.06	12,037	(4,762)		
	Total Equities					16,800		12,037	(4,762)		
	Mutual Funds and Defined Asset Funds										
Mutual Funds - Equity											
501	ALLIANCE PREMIER GROWTH FUND INC CLASS A			05/28/99	33.46	16,767	26.71	13,381	(3,383)		
	(.2450 FRACTIONAL SHARE)			N/A	N/A	N/A	26.71	6	N/A		
	Total Client Investment			$15,062							
	Investment Return			($1,680)							
837	DAVIS NY VENTURE FD A			07/25/96	25.00	20,929	28.74	24,055	3,119	45	.18
	(.8430 FRACTIONAL SHARE)			N/A	N/A	N/A	28.74	24	N/A		.18
	Total Client Investment			$17,622							
	Investment Return			$6,432							

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Merrill Lynch

PRIORITY CLIENT SEP ACCOUNT

FBO JILL Z COOPER

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Cash and Money Accounts									
483	ML B&T RASP		1.00	483	1.00	483			30	6.27
201	RETIREMENT RESERVES CL I		1.00	201	1.00	20			12	6.22
	Total Cash/Money Accounts			685		685			42	6.26
	Government Securities									
5,000	U.S. TREASURY NOTE 07.500% NOV 15 2001	10/24/94	100.03	5,001	101.56	5,078	76	46	375	7.38
10,000	U.S. TREASURY NOTE 05.750% AUG 15 2003	01/29/97	97.29	9,729	101.45	10,145	415	213	575	5.66
	Total Government Securities			14,731		15,223	491	259	950	6.24

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Merrill Lynch

PRIORITY CLIENT RETIREMENT ACCOUNT

FBO THOMAS S UDALL

Current Portfolio

Quantity	Security Description	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield %
	Government Securities									
12,000	PRINCIPAL TREASURY RCPT ZERO% NOV 15 2002	11/20/92	49.34	5,921	90.43	10,851	N/A			
	Total Government Securities			5,921		10,851				

Equity Weighting by Economic Sector

A - Basic Industries	0%	D - Consumer Cyclicals	0%	G - Credit Sensitive	0%
B - Capital Goods	0%	E - Consumer Growth	93%	H - Utilities	0%
C - Technology	7%	F - Energy	0%	I - Other	0%

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Equities										
200	** DELL COMPUTER CORP	DELL	(C)	03/31/99	41.53	8,307	17.43	3,487	(4,819)		
150	** HOME DEPOT INC	HD	(E)	03/31/99	42.66	6,400	45.68	6,853	452	24	.35

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Merrill Lynch

PRIORITY CLIENT RETIREMENT ACCOUNT

FBO THOMAS S UDALL

Current Portfolio

Quantity	Security Description	Symbol	Sector	Date Acquired	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain or (Loss)	Estimated Annual Income	Current Yield %
	Equities										
40	** MERCK&CO INC	MRK	(F)	01/22/88	76.63	3,065	93.62	3,745	679	54	1.45
80	** MERCK&CO INC			06/03/88	.00	0	93.62	7,490	7,489	108	1.45
240	** MERCK&CO INC			06/02/92	.00	0	93.62	22,470	22,469	326	1.45
360	Security Sub-Total					3,065		33,705	30,637	489	1.45
108	** VIACOM INC CL B	VIAB	(F)	03/31/99	38.56	4,164	46.75	5,049	884		
	Total Equities					21,937		49,094	27,154	513	1.05
	Total of Long Portfolio					29,124		61,211	27,154 *	592	1.18

* - Excludes N/A Items

Monthly Activity

Date	Transaction	Quantity	Description	Price	Debit	Credit
	Dividends and Interest					
12/14	* Dividend		HOME DEPOT INC HOLDING 150.0000			6.00
	Income Total		RETIREMENT RESERVES CL I			4.98
	Income Total		ML B&T RASP			1.19
			Net Total			12.17

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Page	Statement Period	Account No
[illegible]	11/01/00 TO 12/29/00	456-50592

054957

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SCHEDULE IV - TRANSACTIONS

Na Tom Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	New Perspective Fund	S	monthly	$1,001 - $15,000
	Investment Company of America	S	monthlt	$1,001 - $15,000
	Washington Mutual Investment	S	monthly	$1,001 - $15,000
	Capital Income Builder	S	monthly	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS

Na Tom Udall PAGE 8/8

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342), political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Faith and Politics Institute	Mar. 3-5	Wash.,DC --Birmingham, Montgomery, Selma--Wash., DC	Y	Y	Y	N/A
Politically Incorrect	Nov. 27	Los Angles, CA	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2001 MAY 15 AM 10:50
MC
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Robert A. Underwood (Full Name)
U.S. House of Representatives
2428 Rayburn House Office Building (Mailing Address)
Washington, D.C. 20515
(202) 225-1188 Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State Guam Distict Guam At Large	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert A Underwood	May 15, 2001

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Government of Guam Retirement Fund	Pension	$71,092.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honoranum. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of Amencan Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug. 13, 2000	$500
	N/A			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Robert A. Underwood

Page 4 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investment(s), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C							Block D								Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples* SP Mega Corp Stock					X								X																		P
	Simon & Schuster			Indefinite																Royalties								X			
	1st Bank of Paducah, KY accounts						X									X															
	Gov of Guam Credit Union		X													X						X									
	Oppenheimer (403B)					X								N	A						N	A									
SP	Oppenheimer (IRA)			X										N	A						N	A									
SP	Oppenheimer (403B)			X										N	A						N	A									
	Bank of Guam stock			X										X								X									

For additional assets and unearned income, use next page.

Name Robert A. Underwood | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	USA Group Loan	Student	X									
	MBNA - Wilmington DE	Credit		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
Organization of Chinese American	Feb. 12-13	Washington, D.C./ Atlanta/Washington,DC	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(11)

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 3:39
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HC

Frederick Stephen Upton
(Full Name)

P.O. Box 900
ST. Joseph, MI 49085
(Mailing Address)

202/225-3761
Daytime Telephone

(Office Use Only)

Filer Status	☒ Member of the U.S. House of Representatives	State MI District 6	☐ Officer or Employee	Employing Office
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☒	No ☐	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5-15-01

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Westminster Weekday Preschool	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

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Name	Page
Upton	3 of 11

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
National Grocers Association - donated to Benton Harbor Soup Kitchen	Reception	6-20, '00	$50-

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Name Lepton

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded in Block A, state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP	Mega Corp. Stock				
DC	Examples: Simon & Schuster	Indefinite	Royalties		P
JT	1st Bank of Paducah, KY accounts	X			
JT	Whirlpool Stock	X	X (DIVIDENDS)	X ($50,001 – $100,000)	P
	US-CPS Family P'ership	X	Partnership income & losses revenue	X	
	Petroleum Revenue Service	X		X	
SP	Putnam Investments	X	X (DIVIDENDS); X (INTEREST)	X	
	Fifth Third Savings Acct	X	X (INTEREST)	X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Upton

Page 5 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
JT	Upton Family Trusts								X					X		X										X						
	Company of: • Stryker					X																										
	• F. Eth Third Mkt				X																											
	• Masters Select Equity						X																									
	• Sleddon			X																												
	• Cash					X																										
	• Smart Cap Wrld Fund				X																											
	• T. Rowe Price				X																											
	• Shareholder Fund			X																												
	• FMC Growth						X																									
	• PEBSI				X																											
	• Wrisley					X																										
	VIAL Family Trusts - These are bank managed trusts and I have no control - Company of:													X		X																
	• Cash					X																										
	• AT&T			X																												
	• Bell South				X																											
	• Exxon				X																											
	• Lucent			X																												
	• Quaker Oats				X																											
	• SBC				X																											
	• Verizon				X																											
	• Vodafone			X																												
	• Wrisley									X																						
	• BP Amoco				X																											
	• Kimberly-Clark				X																											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Upton

Page 6 of 11

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	VIAL Trusts Con't																															
	• Pfizer						X																									
	• Argon				X																											
	• Agilent Tech			X																												
	• American Express				X																											
	• Hewlett Packard			X																												
	• IBM			X																												
	• J. C. Penney			X																												
	• Providian Fin'l				X																											
	• MFB Neth. FDS US Govt			X																												
	• MFB Neth. FDS - Fixed inc			X																												
JT	Hilliard Lyons Accts: consolidated									X				X		X										X						
	• AT&T			X																												
	• Bell South				X																											
	• Chesapeake Energy					X																										P
	• CLTX				X																											P
	• Disney						X																									
	• Fannie Mae				X																											
	• G.E.						X																									
	• Jay Notes			X																												P
	• Lucent			X																												

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Upton

Page 7 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Hilford Lyons Cont																															
	• Pepsi							X																								
	• Pfizer							X																								
	• SBC					X																										
	• Shoelme Finl						X																									
	• Tivo			X																												P
	• Tricon				X																											
	• Wave Systems					X																										P, S
	• Wrigley								X																							
	• Selsman Fund				X																											
	• Moss. Inv. Growth					X																										
	• Stryker				X																											
	• Cash / Bmkt				X																											
	• Chase Manhattan			X																												
	• Pharmacia				X																											
	• Verizon			X																												

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SCHEDULE IV — TRANSACTIONS

Name: Upton

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
Sp	Raytheon		X		2-29-00	X									
	Donnelly Corp		X		2-29-00	X									
	Southern Comp.		X		2-29-00	X									
Sp	PanAmerican Beverage		X		2-29-00	X									
	Netplex Group		X		2-29-00	X									
JT	Collateral Therapeutics (CCLTX)	X			2-29-00		X								
	PanAmerican Beverage		X		3-30-00	X									
	Collateral Therapetics	X			3-30-00	X									
	BP Amoco		X		4-14-00		X								
	Gannett		X		4-14-00			X							
	Wave Systems	X			4-14-00		X								
	Mass. Investors Trust		X		6-27-00		X								
	Tivo	X			6-27-00		X								
	Shoreline Finl. Corp		X		8-25-00			X							
	Chesapeake Energy	X			8-25-00		X								
JT	Wave Systems		X		9-19-00			X							

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SCHEDULE IV — TRANSACTIONS

Name Upton

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
JT	Wave Systems	X			10-23-00			X							
JT	Jag Notes	X			11-1-00		X								

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C	N	N	N	N
Examples: Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
GOP Maintenance Partnership	4/24–4/26	Wash-DC → S. Francisco → D.C	Y	Y	N	N
Ripon Educational Fund and Congressional Economic Leadership Institute	11/24–12/4	D.C. → Rome → Venice → DC	Y	Y	Y	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Co-Partner	US-UPS (Upton Family)
General Partner	Petroleum Rev. Service
Family Representative	Upton JR. Fdn
Director	GOP Mainstreet Partnership
Steering Cmte	Cong. Conversations on Race w/in Faith & Politics Org.

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

HAND DELIVERED

Page 1 of 5 LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 5:41

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

Nydia M. Velazquez
(Full Name)

2241 Rayburn HOB Washington, DC 20515
(Mailing Address)

202-225-2361
Daytime Telephone

(Office Use Only)

Filer Status ✓ Member of the U.S. House of Representatives — State NY District 12 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I	Yes ✓	No
II	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II	Yes	No ✓
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓	No
IV	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV	Yes	No ✓
V	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ✓	No
VI	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI	Yes	No ✓
VII	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII	Yes ✓	No
VIII	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII	Yes	No ✓
IX	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available for any requester's examination and written appraisal and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or knowingly and willfully fails to file this reporting, is subject to civil penalties and criminal sanctions (See 5 U.S.C. App. 4 § 104 and 18 U.S.C. § 1001).

Certification

CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual

05/15/01
Date (Month, Day, Year)

5347

SCHEDULE I - EARNED INCOME

Name Nydia M. Velazquez

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
City Import	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Nydia M Velazquez

5349

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Durect Stock	$1 - $1,000	INTEREST	None	n/a
SP	Prudential Roth IRA Mutual Fund	$15,001 - $50,000	INTEREST	$2,501 - $5,000	n/a
SP	20th Century Roth IRA Mutual Fund	$50,001 - $100,000	INTEREST	$2,501 - $5,000	n/a
	Morgan Stanley Dean Witter Checking Account	$50,001 - $100,000	INTEREST	$15,001 - $50,000	n/a

SCHEDULE V - LIABILITIES

Name Nydia M. Velazquez

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependant child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	American Pioneer Bank, PA	198 Beaver Drive Lake, PA	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Nydia M. Velazquez

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
National Assoc. of Dev. Companies	April 27th - May 1st	New York - Georgia-Georgia-New York	Y	Y	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

HAND DELIVERED

(6)

LEGISLATIVE RESOURCE CENTER

2001 MAY 15 PM 3:24

(Office Use Only) CLERK U.S. HOUSE OF REPRESENTATIVES

Honorable Peter J. Visclosky
(Full Name)

Post Office Box 10847 Merrillville, IN 46411
(Mailing Address)

202-225-2461
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: IN, District: 01	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions–	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/01

5352

SCHEDULE III - ASSETS AND "U

Na Honorable Peter J. Visclosky

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	John D. Visclosky Minority Trust	$15,001 - $50,000	Interest	$201 - $1,000	
DC	Dodge and Cox Stock Fund	$15,001 - $50,000	Capital Gains and Dividends	$2,501 - $5,000	
DC	Mutual Qualified Fund	$15,001 - $50,000	Capital Gains and Dividends	$2,501 - $5,000	
DC	Dodge and Cox Stock Fund	$15,001 - $50,000	Capital Gains and Dividends	$2,501 - $5,000	
DC	Mutual Qualified Fund	$15,001 - $50,000	Capital Gains and Dividends	$2,501 - $5,000	
DC	Davis NY Venture Fund	$1 - $1,000		NONE	P

SCHEDULE III - ASSETS AND "U

Na Honorable Peter J. Visclosky

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
DC	Davis NY Venture Fund	$1 - $1,000		NONE	P
	The following items represent transactions within the Deutsche Bank, Alex Brown Cash Reserve of IRA	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Legg MasonValue Trust	None	CAPITAL GAINS	$201 - $1,000	PS
	AIM Value Fund	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
	D.R. Horton	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Northwest Indiana Bancorp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "U

Na Honorable Peter J. Visclosky

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Bank One Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
Citigroup, Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P

5865

SCHEDULE IV - TRANSACTIONS

Na Honorable Peter J. Visclosky

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Legg Mason Value Trust	P	3-20-00	$1,001 - $15,000
	Legg Mason Value Trust	P	5-5-00	$1,001 - $15,000
	Legg Mason Value Trust	P	6-8-00	$1,001 - $15,000
	Legg Mason Value Trust	S	11-17-00	$1,001 - $15,000
	AIM Value Fund	P	1-28-00	$1,001 - $15,000
	AIM Value Fund	P	2-16-00	$1,001 - $15,000
	Bank One Corp.	P	5-18-00	$1,001 - $15,000
	Northwest Indiana Bancorp	P	3-3-00	$1,001 - $15,000
	D R Horton Inc.	P	4-12-00	$1,001 - $15,000
	Associates First Capital, Corp.	P	4-5-00	$1,001 - $15,000
	Note: Per merger, I exchanged 500 shares of Associates First Capital, Corp. for 366 shares of Citigroup, Inc.	E	11-30-00	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Na Honorable Peter J. Visclosky

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	The Visclosky Foundation, PO Box 10847, Merrillville, IN 46411

HAND DELIVERED

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5358

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

DAVID B. VITTER
(Full Name)

202 EAST LIVINGSTON PLACE METAIRIE, LA 70005 — 504-832-0673
(Mailing Address) — Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: LA District: 01	☐ Officer Or Employee	Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/01

SCHEDULE II - PAYMENTS IN LIEU OF HO

Na DAVID B. VITTER

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
MANUFACTURERS ALLIANCE	SPEECH	DEC 7, 2000	$1,000

SCHEDULE III - ASSETS AND "U

Na DAVID B. VITTER

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
A T & T CORP	$15,001 - $50,000	Dividends	$201 - $1,000	
CHEVRON CORP	$100,001 - $250,000	Dividends	$2,501 - $5,000	
AVOYELLES PAR SCH 6.2 % BOND	$1,001 - $15,000	Interest	$1 - $200	
CENTENN GOVT FUND (AG EDWARDS)	$1 - $1,000	Dividends	$1 - $200	
LA STAD & EXP 5.75% BOND	$15,001 - $50,000	Interest	$201 - $1,000	
E BATON ROUGE MGT 6.1% BOND	$1,001 - $15,000	Interest	$201 - $1,000	

5360

SCHEDULE III - ASSETS AND "U

Na DAVID B VITTER

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GENERAL ELECTRIC	$100,001 - $250,000	Dividends	$1,001 - $2,500	
HEARST ARGYLE TV	$1,001 - $15,000	Dividends	NONE	
HEWLETT PACKARD	$15,001 - $50,000	Dividends	$201 - $1,000	
MERCK & CO	$100,001 - $250,000	Dividends	$1,001 - $2,500	
PULITZER, INC	$1,001 - $15,000	Dividends	$1 - $200	
ST PAUL CO	$1,001 - $15,000	Dividends	$1 - $200	

5361

SCHEDULE III - ASSETS AND "U

Na DAVID B VITTER

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	CENTENN GOVT FUND (AIREY)	$1,001 - $15,000	Dividends	$1 - $200	
	CHEVRON (AIREY)	$1,001 - $15,000	Dividends	$201 - $1,000	
	GOLDMAN SACHS (AIREY)	$1,001 - $15,000	Dividends	NONE	
	SELIGMAN GLOBAL (AIREY)	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	CENTENN GOVT (WENDY IRA)	$1,001 - $15,000	Interest	$201 - $1,000	
	CAMDEN TRUST (WENDY IRA)	$1,001 - $15,000	Dividends	$1 - $200	

5862

SCHEDULE III - ASSETS AND "U

Na DAVID B VITTER

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	HIBERNIA MONEY MARKET	$1,001 - $15,000	Interest	$201 - $1,000	
	CENTENN GOVT FUND (SOPHIE)	$1,001 - $15,000	Dividends	$201 - $1,000	
	CHEVRON CORP (SOPHIE)	$50,001 - $100,000	Dividends	$1,001 - $2,500	
	CENTENN GOVT FUND (JULIA)	$1,001 - $15,000	Dividends	$1 - $200	
	CHEVRON CORP (JULIA)	$1,001 - $15,000	Dividends	$201 - $1,000	
	FIDLITY GROWTH (JULIA)	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	

SCHEDULE III - ASSETS AND "U

Na DAVID B VITTER

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FHLMC BOND (WENDY IRA)	$1,001 - $15,000	Interest	$201 - $1,000	
FNMA BONDS (WENDY IRA)	$1 - $1,000	Interest	$1 - $200	
CENTENN GOVT (DAVID IRA)	$1,001 - $15,000	Interest	$201 - $1,000	
CAMDEN TRUST (DAVID IRA)	$1,001 - $15,000	Dividends	$1 - $200	
WISCONSIN ENERGY (DAVID IRA)	$1,001 - $15,000	Dividends	$201 - $1,000	
FNMA BOND (DAVID IRA)	$1 - $1,000	Interest	$1 - $200	

5364

SCHEDULE III - ASSETS AND "U

Na DAVID B. VITTER

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	OPPENHEIMER (DAVID IRA)	$1,001 - $15,000	Dividends	$2,501 - $5,000	
	PILGRIM TRUST (DAVID IRA)	$1,001 - $15,000	Dividends	$201 - $1,000	
	NOTE RECEIVABLE FROM VITTER FOR CONGRESS CAMPAIGN	$250,001 - $500,000	NON INTEREST BEARING	NONE	
	BANK ONE CHECKING	$1,001 - $15,000	INTEREST	$1 - $200	P
	LA G/O 5.625%	$1,001 - $15,000	INTEREST	$1 - $200	P
	AGILENT TECH	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	PS

5865

SCHEDULE IV - TRANSACTIONS

Na DAVID B VITTER

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	BANK ONE CHECKING	P	01-05-00	$1,001 - $15,000
	AGILENT TECH	PS	06-09-00	$1,001 - $15,000
	LA G/O 5.625%	P	02-02-00	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Na DAVID B VITTER

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	HIBERNIA BANK	SECURED BY INVESTMENT ASSETS	$100,001 - $250,000

COMMITTEES
ENERGY AND COMMERCE
ENERGY AND AIR QUALITY
COMMERCE, TRADE, AND CONSUMER PROTECTION
ENVIRONMENT AND HAZARDOUS MATERIALS
RESOURCES
WATER AND POWER
WEBSITE
http://www.walden.house.gov
E-MAIL
Greg.Walden@mail.house.gov

Congress of the United States
House of Representatives

GREG WALDEN
2D DISTRICT, OREGON
ASSISTANT MAJORITY WHIP
WASHINGTON, DC OFFICE
1404 LONGWORTH BUILDING
WASHINGTON, DC 20515-3702
TELEPHONE: (202) 225-6730
DISTRICT OFFICES
843 EAST MAIN STREET
SUITE 400
MEDFORD, OR 97504
TELEPHONE: (541) 776-4646
TOLL FREE: (800) 533-3303
JAMISON BUILDING
SUITE 211
131 NW HAWTHORNE STREET
BEND, OR 97701
TELEPHONE: (541) 389-4408

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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
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(10)

MC

May 15, 2001

The Honorable Jeff Trandahl
Clerk of the U.S. House of Representatives
H 154 Capitol
Washington, D.C. 20515

Dear Jeff:

Included in my 2000 Financial Disclosure (FD), which accompanies this letter, is an update of my 1999 FD that I wanted to bring to your attention.

In Section III (Assets and "Unearned Income") of my 2000 FD, I have updated in separate entries for myself and my spouse that Columbia Bancorp Stock is the exclusive holding in both of our IRAs administered by Prime Vest. In my 1999 FD, I listed both of our Prime Vest IRAs but not indicate that Columbia Bancorp stock was the holding in each of the Prime Vest IRAs. This was an inadvertent oversight.

I have informed the House Committee on Standards of Official Conduct of this update and notified them of my intentions to send this letter to you to make sure that you are informed.

Thank you. Please let me know if you have any questions.

Sincerely,

Greg Walden

GREG WALDEN
Member of Congress

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

Gregory Paul Walden
(Full Name)

1504 West Sherman Street Hood River, OR 97031
(Mailing Address)

202-225-6730
Daytime Telephone

(Office Use Only)

Filer Status ✓ Member of the U.S. House of Representatives — State OR, District 02 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ✓	No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes	No ✓
III	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes	No ✓
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ✓	No
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ✓	No
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $280 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes	No ✓
VII	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $280 from one source)? **If yes, complete and attach Schedule VII.**	Yes ✓	No
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ✓	No ☐
IX	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes	No ✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes	No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes	No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gregory Paul Walden	05/15/01

SCHEDULE I - EARNED INCOME

Name Gregory Paul Walden

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Columbia Gorge Broadcasters	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gregory Paul Walden

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
JT	Columbia Gorge Broadcasters, Inc.	$1,000,001 - $5,000,000	Stock-no income	NONE	
	State of Oregon PERS	$15,001 - $50,000	DIVIDENDS	N/A	
JT	Columbia Bancorp Stock	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
JT	Tower Property (land), 1190 22nd St., Hood River, OR 97031	$100,001 - $250,000	RENT	$15,001 - $50,000	
	Columbia Bancorp Stock-IRA-(administered by Prime Vest)	$1,001 - $15,000	DIVIDENDS & INTEREST	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gregory Paul Walden

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Oregon State Department Administrative Bond (proceeds rolled into Prime Vest Money Market)	$15,001 - $50,000	INTEREST	$201 - $1,000	S
JT	Investment Company of America	$1,001 - $15,000	Capital Gains & Dividends	$201 - $1,000	
	Investment Company of America - IRA	$15,001 - $50,000	Capital Gains & Dividends	$1,001 - $2,500	
	Growth Fund of America-IRA	$15,001 - $50,000	Capital Gains & Dividends	$5,001 - $15,000	
SP	Columbia River Bank - Savings	$1 - $1,000	INTEREST/INTEREST	$1 - $200	
	Oregon State General Obligation Baccalaureate Bond	$1,001 - $15,000	INTEREST	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gregory Paul Walden

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Prime Vest Money Market	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
SP	Columbia Bancorp Stock-IRA (administered by Prime Vest)	$1,001 - $15,000	DIVIDENDS & INTEREST	$201 - $1,000	
	Columbia River Bank DDA	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Investment Company of America - IRA	$15,001 - $50,000	Capital Gains & Dividends	$1,001 - $2,500	
SP	Growth Fund of America - IRA	$15,001 - $50,000	Capital Gains & Dividends	$5,001 - $15,000	

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SCHEDULE IV - TRANSACTIONS

Name Gregory Paul Walden

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Oregon State Department Administrative Bond (proceeds rolled into Prime Vest Money Market)	S	11-01-00	$15,001 - $50,000

SCHEDULE V - LIABILITIES

Name Gregory Paul Walden

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of your or your spouse. Report "revolving charge accounts" only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Grace Fey, Hood River, OR	Mortgage on 1190 22nd St. Tower Property, Hood River, OR, 97031	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Gregory Paul Walden

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Bates Investment Co.	July 8	Klamath Falls, OR--Mitchell, OR--The Dalles, OR	N	Y	N	N/A

SCHEDULE VIII - POSITIONS

Name Gregory Paul Walden

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Columbia Gorge Broadcasters
Director	Associated Oregon Industries
Director	Cascade Pacific Council, Boy Scouts of America
Director	Oregon Health Sciences Foundation
Secretary/Treasurer	MSW Communications, LLC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(4)
LEGISLATIVE RESOURCE CENTER
2001 MAY -9 PM 3:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC HAND DELIVERED
(Office Use Only)

JAMES T. WALSH
(Full Name)

400 BROADVIEW DR.
SYRACUSE, NEW YORK 13215
(Mailing Address)

Daytime Telephone (202) 225-3701

Filer Status	[X] Member of the U.S. House of Representatives	State: NEW YORK Distrct: 25TH	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James T. Walsh	5/8/01

5378

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	ONONDAGA COMMUNITY COLLEGE	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1 000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples* SP Mega Corp Stock					X								X										X								P
	Examples Simon & Schuster			Indefinite																Royalties									X			
	Examples 1st Bank of Paducah, KY accounts						X									X									X							
	PRUDENTIAL SECURITIES																															
	IRA ROLLOVER																															
	ACCOUNTS HOLDING THE																															
	FOLLOWING ASSETS:																															
	LUCENT TECHNOLOGIES, INC.			X										X							X											
	AVAYA INC.	X																														

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JAMES T. WALSH

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset										BLOCK C Type of Income						BLOCK D Amount of Income										BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	MERCK & CO.			X										X								X										S
	MICROSOFT CORP. WASHINGTON			X																												S
	SUN MICROSYSTEM, INC.			X																												S
	CISCO SYSTEMS, INC.			X																												
	GENERAL ELECTRIC CO.			X										X								X										
	ORACLE CORP.			X																												
	PRUDENTIAL VALUE FUND																															
	CLASS A (NOTE 1)			X										X									X									
	VAN KAMPEN GOVERNMENT																															
	SECURITIES FUND CL. A			X										X								X										
	PRUDENTIAL MONEYMART																															
	ASSETS FUND			X										X									X									
	U.S. SAVINGS BONDS	X														X							X									
	(1) FORMERLY PRUDENTIAL																															
	EQUITY INCOME FUND																															

This page may be copied if more space is required.

5981

SCHEDULE IV — TRANSACTIONS

Name JAMES T. WALSH

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	RED HAT, INC.		X		1/3/00	X									
	LUCENT TECHNOLOGIES, INC.		X		1/3/00	X									
	MERCK & CO.		X		1/3/00	X									
	MICROSOFT CORP. WASHINGTON		X		1/3/00	X									
	SUN MICROSYSTEMS, INC.		X		1/3/00,										
					1/7/00	X									
	PRUDENTIAL VALUE FUND CLASS A	X			VARIOUS *	X									
*	SHARES ACQUIRED UNDER DIVIDEND REINVESTMENT PLAN														

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	U.S. GOVERNMENT DEPARTMENT OF EDUCATION	DIRECT STUDENT LOAN		X								
	KEY EDUCATION RESOURCES, KEY BANK, SYRACUSE, NY	PERSONAL (STUDENT) LOAN		X								
	EDUCATIONAL FINANCE GROUP, SAN DIEGO, CA	PERSONAL (STUDENT) LOAN		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

5383

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	ERIE CANAL MUSEUM
DIRECTOR	KIDNEY FOUNDATION - CNY CHAPTER
TRUSTEE	VERA HOUSE
DIRECTOR	EVERSON MUSEUM

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	NEW YORK STATE LOCAL RETIREMENT SYSTEM	PENSION PLAN INTEREST AVAILABLE AT RETIREMENT AGE
N/A	VERIZON COMMUNICATIONS (FORMERLY BELL ATLANTIC)	PENSION PLAN INTEREST AVAILABLE AT RETIREMENT AGE

GPO 2001 70-729 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2001 MAY 14 PM 4:42
(3) OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MAY 11 2001
(Office Use Only)

ZACHARY P. WAMP
(Full Name)

2044 MARINA COVE DRIVE
(Mailing Address)

HIXSON, TN 37343

Daytime Telephone: 202/225-3271

Filer Status	[X] Member of the U.S. House of Representatives — State: TN, Distrct: 3	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ] No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Zachary P. Wamp	5/11/01

5885

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name ZACHARY P. WAMP

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse; or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

Owner	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	TENNESSEE VALLEY CREDIT UNION			X												X						X										
DC	AMERICAN EXPRESS FINANCIAL SERVICES			X										X									X									

For additional assets and unearned income, use next page.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	THE INTERNATIONAL FOUNDATION	FEB 17-18, 2010	WASH, DC - OMAHA, NE - CHATTANOOGA	Y	Y	N	N

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(Office Use Only)

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

MAXINE WATERS
(Full Name)

555 SOUTH FLOWER STREET, SUITE 4510
(Mailing Address)

LOS ANGELES, CA 90071

Daytime Telephone: (213) 489-4792

Filer Status	[X] Member of the U.S. House of Representatives	State: CA District: 35	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Maxine Waters	5/17/2001

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
STATE OF CALIFORNIA	~~Legislative Pension~~	$4,351.98
CVE Development, Inc.	Spouse Consulting Fee	NA
The Daniel C.Lawson Group, Inc.	Spouse Consulting Fee	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
Black Agenda Inc.	Speech	Dec.6,2000	$500

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Name MAXINE WATERS

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of Income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	A None	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	DIVIDENDS	RENT	INTEREST	ROYALTIES	EXCEPTED TRUST	BUSINESS INCOME	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	Block E Transaction
SP, DC, JT	*Examples:* SP		Mega Corp. Stock			X							X										X								P
			Simon & Schuster		Indefinite										X													X			
			1st Bank of Paducah, KY accounts				X												Royalties					X								
SP	POUNDERS NATIONAL BANK OF LOS ANGELES														X							X									
	CONGRESSIONAL FED.CRED.UNION			X											X																
SP	AMERICAN GOLF IT. VENTURE					X							X						Partnership Income						X						
SP	TRACT UNIT 3557,LOT 96,CA																				X										
SP	2201 KIRK ST.,HOUSTON,TX			X																X											
SP	1520-22 MEADOWBROOK:L.A.,CA							X							X																

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name MAXINE WATERS

Page 5 of 11

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B [redacted]	C $1,001 - $15,000	D [redacted]	E $50,001 - $100,000	F [redacted]	G $250,001 - $500,000	H [redacted]	I $1,000,001 - $5,000,000	J [redacted]	K $25,000,001 - $50,000,000	L [redacted]	BLOCK C Type of Income: M DIVIDENDS	N [redacted]	O INTEREST	P [redacted]	Q EXCEPTED TRUST	R [redacted]	S Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II [redacted]	III $201 - $1,000	IV [redacted]	V $2,501 - $5,000	VI [redacted]	VII $15,001 - $50,000	VIII [redacted]	IX $100,001 - $1,000,000	X [redacted]	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	417 PEARL ST., COMPTON, CA	X																														S
	3475 MT.VERNON: L.A., CA							X													X											
SP	701 W.BARSTOW:PALM SPRINGS, CA			X																	X											
	MERRILL LYNCH MONEY MKT. (IRA)			X										X																		
	EVERGREEN SMALL C.FUND (IRA)			X										X		X							X									
	MERRILL LYNCH CAP.FUND (IRA)				X									X		X								X								
	GLOBAL ALLOCATION FUND (IRA)			X										X		X																
SP	MER.LYNCH MONEY MKT. (IRA)													X																		
SP	US TREASURY TIGR SER.1 (IRA)			X												X					X											
SP	MER.LYNCH CAP FUND (IRA)						X							X		X																
SP	GLOBAL ALLOCATION FUND (IRA)					X								X		X																
SP	SMALL CAP WORLD FUND (IRA)			X										X		X							X									
SP	KEMPER CA TAX FREE FUND				X									X										X								
SP	MER.LYNCH MONEY MKT.													X									X									
SP	MER.LYNCH CAP FUND		X											X		X							X									
SP	GLOBAL ALLOCATION FUND													X		X							X									
SP	ECI HOLDINGS, INC.							X						X							X											
	(Formerly Essence Communications)																															

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SCHEDULE IV — TRANSACTIONS

Name MAXINE WATERS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock				10-12-00		X								
SP	417 S.Pearl Ave.,Compton,CA		X		09-21-00				X						

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	FOUNDERS NATIONAL BANK	Mortgage on 1520-22 Meadowbr Los Angeles, CA				X						
	FAMILY SAVINGS BANK	Mortgage on 3475 Mt.Vernon, Los Angeles, CA										
SP	METMOR FINANCIAL CORP.	Mortgage on 417 Pearl St., Compton, CA										
SP	FOUNDERS NATIONAL BANK	CREDIT LINE		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	AFL-CIO	Jan.14-15	Los Angeles-Atlanta-Los Angeles	Y	Y	N	N
	Interreligious Foun.for Comm.Org.	Jan.20-26	Los Angeles-Cuba-Wash.D.C.	Y	Y	Y	N
	Virginia Technology	Feb.3-4	Wash.,D.C.-Blacksburg,VA, Washington, D.C.	Y	Y	N	N
	Professional Bail Agents	Feb.23	Wash.,D.C.-Las Vegas-Los Angeles	N	N	Y	N
	Seattle Highline College Found.	Feb.24	Los Angeles-Seattle-Los Angeles	N	Y	N	N
	African Methodist Church,13th Dist.	Mar.20-21	Los Angeles-Louisville-Wash.,D.C.	Y	Y	Y	N
	Essence Communications	Apr.14-17	Wash.,D.C.-New York-Los Angeles	Y	Y	Y	N
	Dimock Comm.Health Center	May 11-12	Washinton,D.C.-Boston	Y	Y	Y	N
	Lincoln University	May 12-13	Boston-Columbia,MO-Chicago	Y	Y	Y	N
	Quentin B.Garth Scholarship Found.	May 13-15	Chicago-Wash.,D.C.	Y	Y	Y	N
	Nairobi Sister Cities Comm/U.S. Africa Sister Cities Conf.	Jun.30-Jul.1	Wash.,D.C.-Denver	N	N	Y	N
	Essence Communications	Jul.1-5	Denver-New Orleans-Los Angeles	Y	Y	Y	N
	Links,Inc.	Jul.7	Los Angeles-San Francisco-Los Angeles	N	Y	N	N
	United Steelworkers	Aug.9	Los Angeles-Las Vegas-Los Angeles	N	Y	N	N

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	National Women's Political Caucus	Aug.26	Los Angeles-Fresno-Los Angeles	N	Y	N	N
	New York Caribean News	Nov.8-12	Los Angeles-Jamaica-Baltimore	Y	Y	Y	N
	Louisiana Black Legislative Caucus	Nov.23-27	Los Angeles-New Orleans-Los Angeles	Y	Y	Y	N
	Blacks Educating Blacks About Sexual Health Issues	Dec.1-2	Wash.,D.C-Philadelphia	Y	Y	N	N

This schedule may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Formerly: Essence Communications): ECI Holdings, Inc.
President	Black Women's Forum
Director	Gourmet Services
Director	Transafrica Foundation
Director	National Commission for Economic Conversion & Disarmament
Director	Minority AIDS Project

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Clara Elizabeth Jackson Carter Foundation, Spellman College

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

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(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Wesley Wade Watkins
(Full Name)

711 Peaceable Acres
(Mailing Address)

202-225-4565
Daytime Telephone

Stillwater, OK 74075

Filer Status	[X] Member of the U.S. House of Representatives — State OK District C3	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. This Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Wesley Watkins	May 23, 2001

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Name	Page ___ of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	World Export Services, Inc.	Spouse Compensation	$12,000
	East Central University	Spouse Speech	$2,500

For payments to charity in lieu of honoraria, use Schedule II.

5400

Name	Page ___ of ___

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500

This page may be copied if more space is required.

Name [illegible] | Page ___ of ___

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investment(s), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E P, S, E
SP	Examples: SP Mega Corp. Stock					X								X										X								P
DC	Examples: Simon & Schuster		Indefinite																	Royalties									X			
JT	Examples: 1st Bank of Paducah, KY accounts						X									X									X							
JT	Personal loan to World Export Services, Inc., Stillwater, OK			X												X											X					S-loan repayment
JT	Vanguard Variable Annuity Kansas City, MO		X											X											X							NA
JT	Investco Mutual Fund Group, Denver, CO							X						Balance fund, total return Industrial Income, Finance Fund, Health Fund, Tech Fund, Worldwide Comm Fund, Cash reserve fund																			NA

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name [illegible]

Page ___ of ___

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P. S. E
SP	American Century Funds, Kansas City, MO						X												Growth funds, select ultra funds, int'l funds, income & growth funds, value funds	X												NA
JT	Stock Heartland Communication Richardson, TX			X															Reduced Value	X												NA
JT	Personal Savings; Edward Jones Stillwater, OK				X											X								X								
SP	TIAA/CREF, New York, NY				X														Education Retirement				X									NA
JT	World Export Services, Inc.				X														Repayment of Loan									X				NA
JT	JANUS Mutual Fund Group Denver, CO							X											IRA Balance Funds				X									NA
SP	CREF, New York, NY				X														Education Retirement		X											NA

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SCHEDULE IV — TRANSACTIONS

Name [illegible] | Page ___ of

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
		PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset														
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	World Export Services, Inc., Sold Assests and repaid personal loan		X		09/2000				X						

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Name	Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

5405

Name	Page of
[illegible]	

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
American Chiropractic Assoc.		Wash.,D.C.-Orlando-Wash.,D.C.	Y		Y	

Name [illegible] | Page ___ of ___

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
1995	World Export Services, Inc., Stillwater	Personal loan to purchase equipment and vehicle with annual repayment schedule
1993	World Export Services, Inc., Stillwater, OK	Company approved defined benefit pension for retirement investment

5407

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 JUN 12 PM 5:14

OFFICE OF THE CLERK
HOUSE OF REPRESENTATIVES

2

HAND DELIVERED

Wesley Wade Watkins
(Full Name)

711 Peaceable Acres
(Mailing Address)

(202) 225-4565
Daytime Telephone:

Stillwater, OK 74075
(City, State, ZIP)

Filer Status	[X] Member of the U.S. House of Representatives	State: OK District: 03	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[X] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Wes Watkins	June 12, 2001

5408

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

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Examples:	Chicago Chamber of Commerce	Mar 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
American Chirppractic Assoc.		July 21-24	Wash.,DC-Orlando, FL - DC	Y	N	Y	N

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

Form A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESPONSE CENTER

2001 JUN 14 PM 5:02

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MELVIN L. WATT
(Full Name)

515 N POPLAR STREET
(Mailing Address)

CHARLOTTE NC 28202

Daytime Telephone: (212) 225-1510

Filer Status	[X] Member of the U.S. House of Representatives	State: NC District: 12	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I. — Yes [X] No [ ]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III. — Yes [X] No [ ]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? If yes, complete and attach Schedule IV. — Yes [X] No [ ]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V. — Yes [X] No [ ]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $250 and not otherwise exempt)? If yes, complete and attach Schedule VI. — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $250 from one source)? If yes, complete and attach Schedule VII. — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. — Yes [X] No [ ]

IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS: Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? (See Instructions, pages 10-11.) — Yes [ ] No [X]

EXEMPTION: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? (See Instructions, page 11.) — Yes [ ] No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file the report may be subject to civil and criminal sanctions (See 5 U.S.C. app. 6, 104).

SIGNATURE OF REPORTING INDIVIDUAL: Melvin L. Watt

DATE (Month/Day/Year): 6-14-2001

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CHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. For further information, see instructions, pages 12-13.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	U.S DEPT OF EDUCATION	SPOUSE'S SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

08-4-01 12:50 FROM-LANCASTER MARTIN 704-342-3777 T-132 P.02/08 F-466

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
XYZ Magazine	Article	Aug. 13, 2000	$500
None			

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SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting year, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For an IRA or retirement plan that is self-directed, list the underlying assets worth more than $1,000. For an IRA or retirement plan that is not self-directed, name the institution holding the account. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totalling $5,000 or less in personal savings accounts; any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left. For further information, see Instructions, pages 14-20	BLOCK B **Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block	BLOCK D **Amount of Income** For IRA's and retirement plans that are *not* self-directed, you may write in "N/A" for income	BLOCK E **Transaction** Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP, DC, JT	*Examples:* SP Mega Corp. Stock SP 123 Main St., Dover, Del SP 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
	GENERAL MOTORS CORP	$1,001 - $15,000	Dividends	$201 - $1,000	
	BANK OF AMERICA	$100,001 - $250,000	Dividends	$2,501 - $5,000	
	CIRCUIT CITY	$1,001 - $15,000	Dividends	None - $200	
	KEMPER MUNICIPAL INCOME TRUST	$1,001 - $15,000	Dividends	$201 - $1,000	
	ARIEL APPRECIATION FUND - IRA	$1,001 - $15,000	Dividends	$201 - $1,000	
SP	ARIEL APPRECIATION FUND - IRA	$1,001 - $15,000	Dividends	$201 - $1,000	
SP					
	CENDENT CORP	$1,001 - $15,000	Dividends	None - $200	

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SCHEDULE III – ASSETS AND "UNEARNED" INCOME

TRANSAMERICA LIFE - IRA	$1,001 - $15,000	Interest	$201 - $1,000	
EAST TOWNE MANOR, INC. (NOTES)	None - $1,000		None - $200	
SP STATE EMPLOYEES RETIREMENT SYSTEM	$50,001 - $100,000	Interest	None - $200	
SP SCHOOLWORKERS FEDERAL CREDIT UNION	None - $1,000	Interest	None - $200	
SP PRUDENTIAL COMMAND MONEY FUND	$1,001 - $15,000	Dividends	None - $200	
SP DHEW FEDERAL CREDIT UNION	$1,001 - $15,000	Interest	$201 - $1,000	
SP HALLWOOD REALTY PARTNERS	None - $1,000	Dividends	None - $200	
HALLWOOD REALTY PARTNERS	None - $1,000	Dividends	None - $200	
WHEAT FIRST UNION SECURITIES	None - $1,000	Interest	None - $200	
LOT - LAKE HARTWELL, SC	$1,001 - $15,000		None - $200	
COLLEGE LIFE	None - $1,000	Interest	None - $200	
WESTSIDE PROFESSIONAL ASSOCIATES, LTD	None - $1,000	Dividends	None - $200	E
MIDSOUTH CAPITAL GROUP	None - $1,000			
EAST TOWNE MANOR, INC., REST HOME (SUB-S CORP.), CHARLOTTE, NC	$250,001 - $500,000	REINVESTED PROFITS	$201 - $1,000	
KEY WEST COMMUNICATIONS CORP.	None - $1,000			
SCHOOLWORKERS FEDERAL CREDIT UNION	None - $1,000	Interest	None - $200	
NC MEDICAL CARE REVENUE BONDS	$15,001 - $50,000	Interest	$201 - $1,000	3
NC EASTERN MUNICIPAL POWER BONDS	$1,001 - $15,000	Interest	$201 - $1,000	
FORSYTHE COUNTY GENERAL OBLIGATION BONDS	$1,001 - $15,000	Interest	$201 - $1,000	
AIM EQUITY FUND	$15,001 - $50,000	Dividends	$2,501 - $5,000	
			None - $200	
			$1,001 - $2,500	
PRUDENTIAL COMMAND MONEY FUND	None - $1,000	Dividends	$201 - $1,000	

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CHEDULE III -- ASSETS AND "UNEARNED" INCOME

MECHANICS & FARMERS BANK	$15,001 - $50,000	Dividends	$201 - $1,000	
ZWEIG STRATEGY FUND	$1,001 - $15,000	Dividends	$201 - $1,000	
MEDIAONE GROUP, INC	None - $1,000	Dividends	None - $200	S
PARAMETRIC TECHNOLOGY CORP	None - $1,000	Dividends	None - $200	
U S WEST, INC.	None - $1,000	Dividends	None - $200	
ZENITH ELECTRONICS CORP.	None - $1,000	Dividends	None - $200	S
SEA CONTAINERS, LTD	$1,001 - $15,000	Dividends	None - $200	
WESTSIDE 2000 LTD	$500,001 - $1,000,000	Capital Gains	None - $200	
ASTRONICS CORP.	$1,001 - $15,000	Dividends	None - $200	
RATHEON	None - $1,000	Dividends	None - $200	
CONGRESSIONAL FEDERAL CREDIT UNION	None - $1,000	Interest	None - $200	
T LOT - 515-517 N. POPLAR ST., CHARLOTTE, NC 1/2 INTEREST	$15,001 - $50,000		None - $200	
STATE EMPLOYEES RETIREMENT SYSTEM	$15,001 - $50,000	Interest	$1,001 - $2,500	
NATIONAL EQUITY TRUST	$1,001 - $15,000	Dividends	None - $200	
AOL	$1,001 - $15,000	Capital Gains	None - $200	P
BIOGEN, INC	$1,001 - $15,000	Dividends	None - $200	P
SUN MICROSYSTEMS, INC	$1,001 - $15,000	Dividends	None - $200	P
TEXAS INSTRUMENTS, INC	$15,001 - $50,000	Dividends	None - $200	P
PRUDENTIAL SECURITIES - IRA*				
PRUDENTIAL COMMAND MONEY FUND*	$15,001 - $50,000	Dividends	$1,001 - $2,500	

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SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

OFFICE DEPOT*	$1,001 - $15,000	Dividends	None - $200	
SENSORMATIC ELECTRONIC *	None - $1,000	Dividends	None - $200	S
SUMMIT PROPERTIES, INC*	None - $1,000	Dividends	$201 - $1,000	S
AIM EQUITY FUNDS*	$15,001 - $50,000	Dividends	$201 - $1,000	
PARK PLAZA OFFICE CONDO ASSOCIATES, LLC*	$15,001 - $50,000	Capital Gains	None - $200	
BREED TECHNOLOGIES, INC*	None - $1,000	Dividends	None - $200	
FIRST FINANCIAL FUND*	$1,001 - $15,000	Dividends	None - $200	
UTS NET LOW*	None - $1,000	Dividends	$201 - $1,000	S
GLENAYRE TECHNOLOGIES, INCCOMMERCIAL FEDERAL CORP*	$1,001 - $15,000	Dividends	None - $200	
HFS, INC*	$1,001 - $15,000	Dividends	None - $200	
3COM CORP*	None - $1,000	Dividends	$201 - $1,000	S
CENDENT CORP FELINE PRIDE*	$1,001 - $15,000	Dividends	$201 - $1,000	
COMPAQ COMPUTER CORP*	None - $1,000	Dividends	None - $200	S
INTEL CORP*	$15,001 - $50,000	Dividends	None - $200	
MCI WORLDCOM*	$15,001 - $50,000	Dividends	None - $200	
MODIS PROFESSIONAL SERVICES*	$1,001 - $15,000	Dividends	None - $200	
COMMERCIAL FEDERAL CORP	$1,001 - $15,000	Dividends	None - $200	
CORUS GRPUP PLC*	$1,001 - $15,000	Dividends	None - $200	
CRESCENT REAL ESTATE EQUITIES*	$1,001 - $15,000	Dividends	$201 - $1,000	
DELL COMPUTER*	None - $1,000	Dividends	None - $200	S
FOX ENTERTAINMENT GROUP*	None - $1,000	Dividends	None - $200	S

05-14-01 12:51 FROM-LANCASTER WARTIN 704-342-3777 T-132 P.06/08 F-466

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

	R & B FALCON CORP*	$1,001 - $15,000	Dividends	None - $200	[illegible]
	SAKS, INC*	$1,001 - $15,000	Dividends	None - $200	[illegible]
	FAMILY DOLLAR STORES*	$1,001 - $15,000	Dividends	None - $200	[illegible]
	*FIRST UNION CORP	$1,001 - $15,000	Dividends	None - $200	[illegible]
	JEFFERSON PILOT CORP*	$1,001 - $15,000	Dividends	None - $200	[illegible]
SP	ALLIANCE GROWTH FUND B	$1,001 - $15,000	Dividends	$1,001 - $2,500	[illegible]
SP	OPPENHEIMER MAIN ST. GROWTH FUND A	$1,001 - $15,000	Dividends	$201 - $1,000	[illegible]
	DELPHI AUTOMOTIVE SYSTEMS	$1,001 - $15,000	Dividends	None - $200	[illegible]
	IRIDIUM WORLD COM	None - $1,000	Dividends	None - $200	S
	TYCO INTERNATIONAL LTD	$1,001 - $15,000	Dividends	None - $200	
SP	EVERGREEN FUNDS	$1,001 - $15,000	Dividends	$1,001 - $2,500	
	EVERGREEN FUNDS	$1,001 - $15,000	Dividends	$1,001 - $2,500	
SP	NET EQUITY TRUST-FORBES 40	$1,001 - $15,000	Dividends	None - $200	P
SP	VAN KAMPEN TELECOMMUNICATIONS	$1,001 - $15,000	Dividends	$201 - $1,000	P
	A T & T	$1,001 - $15,000	Dividends	None - $200	P
	FAMILY DOLLAR STORES	$1,001 - $15,000	Dividends	$201 - $1,000	P
	FIRST UNION CORP	$1,001 - $15,000	Dividends	$201 - $1,000	P
	PRUDENTIAL SECURITIES - SELF-DIRECTED IRA *				
	ACTION PERFORMANCE CO*	$1,001 - $15,000	Dividends	None - $200	P
	AMAZON COM, INC*	$1,001 - $15,000	Capital Gains	None - $200	P
	CMGI*	$1,001 - $15,000	Dividends	None - $200	P

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SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

CISCO SYSTEMS, INC*	$1,001 - $15,000	Dividends	None - $200	P
GLENAYRE TECHNOLOGIES*	$1,001 - $15,000	Dividends	None - $200	P
KLA TENCOR CORP*	$1,001 - $15,000	Dividends	None - $200	P
LUCENT TECHNOLOGIES*	$1,001 - $15,000	Dividends	None - $200	P
MCI WORLDCOM, INC*	$1,001 - $15,000	Dividends	None - $200	P
MOTOROLA, INC*	$1,001 - $15,000	Dividends	None - $200	P
NOKIA CORP, ADR	$1,001 - $15,000	Dividends	None - $200	P
RED HAT, INC*	$1,001 - $15,000	Dividends	None - $200	P
3COM, CORP*	$1,001 - $15,000	Dividends	None - $200	P
VAN KAMPEN AGGRESSIVE GROWTH*	$1,001 - $15,000	Dividends	None - $200	P
WESTSIDE CAPITAL INVESTMENTS, LLC, CHARLOTTE, NC	$15,001 - $50,000	Capital Gains	None - $200	E

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SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. For further information, see instructions, pages 20-21.

SP,DC,J	Asset	Type of Transaction	Date	Amount of Transaction
	Exemplo Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
SP	NET EQUITY TRUST-FORBES 40	Purchase	01/18/00	$1,001 - $15,000
SP	VAN KAMPEN TELECOMMUNICATIONS	Purchase	04/19/00	$1,001 - $15,000
	AOL	Purchase	01/18/00	$1,001 - $15,000
	BIOGEN, INC	Purchase	04/17/00	$1,001 - $15,000
	SUN MICROSYSTEMS, INC	Purchase	04/17/00	$1,001 - $15,000
	TEXAS INSTRUMENTS, INC.	Purchase	04/17/00	$15,001 - $50,000
	MEDIA ONE GROUP, INC	Sold	07/21/00	$1,001 - $15,000
	IRIDIUM WORLDCOM	Sold	06/06/00	$1,001 - $15,000
	NC MEDICAL CARE REVENUE BONDS	Sold	10/02/00	$1,001 - $15,000
	ZENITH ELECTRONICS	Sold	07/18/00	$1,001 - $15,000
	A T & T	Purchase	04/25/00	$1,001 - $15,000
	FAMILY DOLLAR STORES	Purchase	01/02/00	$1,001 - $15,000
	FIRST UNION CORP	Purchase	01/02/00	$1,001 - $15,000
	WESTSIDE PROFESSIONAL ASSOCIATES, LTD - DISSOLVED	Exchange	04/06/00	$1,001 - $15,000
	ACTION PERFORMANCE	Purchase	03/22/00	$1,001 - $15,000
	AMAZON.COM, INC	Purchase	01/21/00	$1,001 - $15,000
	CMGI	Purchase	07/18/00	$1,001 - $15,000
	CYSCO SYSTEMS, INC	Purchase	04/14/00	$1,001 - $15,000
	GLENAYRE TECHNOLOGIES, INC	Purchase	04/19/00	$1,001 - $15,000
	KLA TENCOR CORP	Purchase	07/11/00	$1,001 - $15,000
	LUCENT TECHNOLOGIES, INC	Purchase	01/21/00	$1,001 - $15,000
	MCI WORLDCOM, INC	Purchase	04/19/00	$1,001 - $15,000

SCHEDULE IV -- TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child or the purchase or sale of your personal residence, unless it is rented out. For further information, see instructions, pages 20-21.

SP,DC,J	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/94	$15,001 - $50,000
	MOTOROLA, INC	Purchase	07/06/00	$1,001 - $15,000
	NOKIA, INC ADR	Purchase	07/06/00	$1,001 - $15,000
	RED HAT, INC	Purchase	04/19/00	$1,001 - $15,000
	3 COM CORP	Purchase	04/20/00	$1,001 - $15,000
	VAN KAMPEN AGGRESSIVE GROWTH	Purchase	01/24/00	$1,001 - $15,000
	COMPAQ COMPUTER	Sold	07/06/00	$1,001 - $15,000
	DELL COMPUTER CORP	Sold	07/17/00	$1,001 - $15,000
	FOX ENTERTAINMENT GROUP	Sold	06/23/00	$1,001 - $15,000
	UTS NET LOW	Sold	01/21/00	$1,001 - $15,000
	SENSORMATIC ELECTRONICS	Sold	03/14/00	$1,001 - $15,000
	SUMMIT PROPERTIES, INC	Sold	06/06/00	$1,001 - $15,000
	3 COM CORP	Sold	02/03/00	$1,001 - $15,000

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SCHEDULE V – LIABILITIES

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the end of the reporting period exceeded $10,000. For further information, see instructions, pages 21-22.

SP,DC,J	Creditor	Type of Liability	Amount of Liability
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del	$100,001 - $250,000
	REILLY MORTGAGE GROUP	MORTGAGE-EAST TOWNE MANOR, INC., CHARLOTTE, NC	$500,001 - $1,000,000
	WACHOVIA BANK	MORTGAGE - WESTSIDE 2000 LTD, CHARLOTTE, NC	$500,001 - $1,000,000

COMPUTATION OF VALUE FOR DISCLOSURE STATEMENT

MELVIN L. WATT

December 31, 2000

EAST TOWNE MANOR, INC.

OWNERSHIP INTEREST		33.33%
COMPUTATION OF FAIR VALUE OF BUSINESS:		
ESTIMATED ANNUAL NET CASH FLOW	$ 150,000	
CAPITALIZATION RATE	12%	
COMPUTED VALUE OF BUSINESS (GOING CONCERN BASIS)	$ 1,250,000	$ 416,667
MORTGAGES PAYABLE	$ 2,589,474	$ 863,158

WESTSIDE 2000, LTD./
/WESTSIDE CAPITAL INVESTMENTS, LLC

OWNERSHIP INTEREST		19%
COMPUTATION OF FAIR VALUE OF BUSINESS:		
LAND AND BUILDINGS AT COST	$ 3,984,449	$ 796,890
MORTGAGES PAYABLE	$ 3,679,056	$ 699,021

06-04-01 15:08 FROM-LANCASTER MARTIN 704-342-3777 T-082 P 12/13 F-347

Name Melvin L. Watt | Page 14 of 16

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: C $10,001-$50,000	E $50,001-$100,000	G $100,001-$250,000	I $250,001-$500,000	K $500,001-$1,000,000	Over $1,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.		X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None	—	—

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Aspen Institute	Jan. 13-16	Charlotte, NC - Ft. Myers, FL. - Charlotte, NC	Y	Y	Y	No
	The Aspen Institute	April 17-22	Charlotte, NC - Grand Cayman Islands. - Charlotte, NC	Y	Y	Y	No
	CBC Foundation	Aug. 11	Oakland, CA	Y	Y	Y	No

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SCHEDULE VIII -- POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. For further information, see instructions, pages 25-26.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature, and positions listed on Schedule I

Position	Organization
DIRECTOR	EAST TOWNE MANOR, INC, CHARLOTTE, NC
DIRECTOR	WESTSIDE 2000 LTD., CHARLOTTE, NC
DIRECTOR	WESTSIDE CAPITAL INVESTMENTS, LLC, CHARLOTTE, NC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

Form A
For use by Members, officers, and employees

HAND DELIVERED

(12)

LEGISLATIVE RESOURCE CENTER
2001 MAY 14 AM 10: 46
HC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Henry Arnold Waxman
(Full Name)

2204 Rayburn Building
(Mailing Address)

Washington DC 20515

Daytime Telephone: 202-225-3976

Filer Status	[X] Member of the U.S. House of Representatives	State: CA District: 29	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than $1,000 in the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS–Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, 104 and 18 U.S.C. 1001)

CERTIFICATION	SIGNATURE OF REPORTING INDIVIDUAL	DATE (Month/Day/Year)
I CERTIFY that the statements I have made on this form, and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Henry A Waxman	May 11, 2001

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SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria, list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Kappa State	Approved Teaching Fee	$10,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2bd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II -- PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committe on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 1999	$2,000
	XYZ Magazine	Article	Aug. 13, 1999	$ 500
	Center for Business Intelligence	speech	January 24, 2000	$2,000.00
	American Hospital Association	speech	January 31, 2000	$2,000.00
	Health Insurance Association of America	speech	June 20, 2000	$2,000.00
	Schwab Capital Markets Washington Research Group	speech	November 9, 2000	$2,000.00

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

BLOCK A **Asset and/or Income Source**	BLOCK B **Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1 000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e. one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year. **Exclude:** Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totalling $5,000 or less in personal savings accounts, any financial interests in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP), or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."	If other than one of the listed categories, specify the type of income by writing a brief description in this block.	For retirement plans that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	Indicate if asset was purchased(P), sold(S), or exchanged(E) in reporting year.
SP DC *Examples* JT SP Mega Corp. Stock SP 123 Main St. Dover, Del. 1st Bank of Paducah, KY accounts	$15,001 - $50,000 $250,001 - $500,000 $50,001 - $100,000	Dividends Rent Interest	$201 - $1,000 $1,001 - $2,500 $5,001 - $15,000	P
Fidelity Low Priced Fund (IRA)	$50 001 - $100 000	dividends, interest & capital gains	$2 501 - $5 000	
JT Seminole County FL (municipal bond)	$15 001 - $50 000	Interest	$1 001 - $2 500	
JT One US Treasury Bond	$15 001 - $50 000	Interest	$2 501 - $5 000	
JT Fidelity Contrafund	$15 001 - $50 000	dividends, interest, & capital	$201 - $1 000	
JT Fidelity Magellan	$15 001 - $50 000	dividends, interest, & capital gains	$201 - $1 000	

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SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

JT	Fidelity Fund	$15.001 - $50.000	dividends, interest, & capital gains	$1.001 - $2.500	
JT	T Rowe Price Value Fund	$15.001 - $50.000	dividends, interest, & capital gains	$1.001 - $2.500	
JT	TRowePrice International Stock Fund	$15.001 - $50.000	dividends, interest, & capital gains	$2.501 - $5.000	
JT	TRowe Price Equity -Income Fund	$15.001 - $50.000	dividends, interest, & capital gains	$1.001 - $2.500	
SP	RMA Money Market Portfolio	$1.001 - $15.000	Interest	$201 - $1.000	
SP	CA Health FFA Ref (Municipal Bond)	$15.001 - $50.000	Interest	$201 - $1.000	
SP	Deserts Sands Cal USD (Municipal Bond)	$15.001 - $50.000	Interest	$1 - $200	
SP	Los Angeles CA SRA (Municipal Bond)	$15.001 - $50.000	Interest	$201 - $1.000	
SP	Moulton Niguel CA Water (Municipal Bond)	$15.001 - $50.000	Interest	$201 - $1.000	
JT	Templeton foreign Fund	$15.001 - $50.000	dividends, interest, & capital gains	$2.501 - $5.000	
SP	Vallejo Ca Unified School Dist. Municipal Bond	$15.001 - $50.000	Interest	$201 - $1.000	
SP	CA State Insurance Board Municipal Bond	$15.001 - $50.000	Interest	$201 - $1.000	
SP	Concord CA Redevelopment Agency Municipal Bond	$15.001 - $50.000	Interest	$201 - $1.000	
JT	T.Rowe Price European Fund	$1.001 - $15.000	Capital Gains and Dividends	$201 - $1.000	
	Janus World Fund -IRA	$15.001 - $50.000	Capital Gains and Dividends	$1.001 - $2.500	
JT	Fidelity Spartan High Income Fund	$1 - $1.000	Interest	$201 - $1.000	

SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

JT	Vanguard California Insured Tax Free	$1.001 - $15.000	Interest	$1.001 - $2 500	
JT	T Rowe Price International Markets Fund	$1.001 - $15 000	Capital Gains and Dividends	$201 - $1 000	
SP	Federal Home Loan Mortgage	$15 001 - $50 000	Dividends	$201 - $1 000	
SP	Fannie Mae MTN	$15 001 - $50 000	Dividends	$201 - $1 000	
JT	Vanguard Emerging Markets Fund	$1.001 - $15 000	Capital Gains and Dividends	$201 - $1 000	
	Vanguard REIT Fund - IRA	$15 001 - $50 000	Dividends	$201 - $1 000	P
	Vanguard Small -Cap Index Fund - IRA	$1 001 - $15 000	Capital Gains and Dividends	$201 - $1 000	P
SP	One US Treasury Bond	$15 001 - $50 000	Interest	$201 - $1 000	
SP	One US treasury Bond	$15 001 - $50 000	Interest	$201 - $1 000	

SCHEDULE IV – TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, i purchase or sale of your personal residence, unless it is rented out.

SP,DC,JT	Asset	Type of Transaction	Date	Amount of Transaction
	Example: Mega Corporation Common Stock	Purchase	10/12/1999	$15,001 - $50,000
SP	Fidelity Magellan	Exchange	February 10, 2000	$1,001 - $15,000
	Janus Worldwide Fund - IRA	Exchange	January 12, 2000	$15,001 - $50,000
SP	US Treasury Bond	Sold	March 9, 2000	$1,001 - $15,000
JT	US Treasury Bond	Sold	February 14, 2000	$1,001 - $15,000
	Vanguard REIT - IRA	Purchase	February 4, 2000	$15,001 - $50,000
SP	Vanguard Small-Cap Index Fund - IRA	Purchase	February 7, 2000	$1,001 - $15,000
SP	Vanguard Small-Cap Index Fund - IRA	Purchase	April 14, 2000	$1,001 - $15,000
JT	Vanguard Emerging Markets Fund	Purchase	January 21, 2000	$1,001 - $15,000

SCHEDULE V -- LIABILITIES

Report liabilities of over $10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year.
Exclude: Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** only if the balance at the close of preceding calendar year exceeded $10,000.

SP,DC,JT	Creditor	Type of Liability	Amount of Liability
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.	$100,001 - $250,000

SCHEDULE VI – GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 26, clause 5) prohibits gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (waiver received from Committee on Standards)	$270

SCHEDULE VII – TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.
Exclude: Travel-related expenses provided by federal, state and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. 7342), political travel that is required to be reported under the Federal Election Campaign Act, travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	Wash., D.C.-Chicago-Wash., D.C.	N	N	N	N
Mega Corporation	Aug. 6-13	Wash., D.C.-Los Angeles-Cleveland	Y	Y	Y	4 Days
Commonwealth Fund to JFK School of Government	1/20-1/22, 2000	2r/t DC-FL-DC	Y	Y	Y	none
Aspen Institute	2/18-2/22, 2000	2 r/t DC-Puerto Rico-DC	Y	Y	Y	none
Warburg Dillon Read	5/22-5/23, 2000	2 r/t DC-NY-DC	Y	Y	N	none
Aspen Institute	8/20-26, 2000	2 r/t DC-Prague-DC	Y	Y	Y	three
American Israel Public Affairs Committee	11/28-29, 2000	2 r/t DC-FL-DC	Y	N	Y	none

SCHEDULE VIII – POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Organization

SCHEDULE IX – AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 12:25
(Office Use Only): HOUSE OF REPRESENTATIVES

Anthony Weiner
(Full Name)

1901 Emmons Avenue, #212 Brooklyn, NY 11235
(Mailing Address)

718-332-9001
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: NY District: 09	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/14/2001

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SCHEDULE I - EARNED INCOME

Nam Anthony Weiner

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
City of New York	Deferred Compensation	$63,163.22

SCHEDULE III - ASSETS AND "UNE

Nam Anthony Weiner

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	HNCS Software Stock	None	CAPITAL GAINS	$1 - $200	P,S
	Vaxgen Stock	None	CAPITAL GAINS	$201 - $1,000	P,S
	CR Bard Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Airborne Inc. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Apple Computer Stock	$1 - $1,000	NA		P
	AT&T Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

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SCHEDULE III - ASSETS AND "UNE

Nam Anthony Weiner

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Chase Manhattan Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Walt Disney Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Earthlink Stock	$1 - $1,000	NA		P
	General Motors Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Sabre Group Holdings Stock	$1,001 - $15,000	NA		P
	Washington Mutual Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Waste Management Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Alliance Capital Reserve	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Charter Communications Stock	$1,001 - $15,000	NA		P
	Ericsson Telephone Stock	$1 - $1,000	NA		P

SCHEDULE IV - TRANSACTIONS

Nam Anthony Weiner

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	HNCS Software Stock	S	03/29/2000	$1,001 - $15,000
	Vaxgen Stock	S	3/10/2000	$1,001 - $15,000
	Airborne Inc. Stock	P	8/22/2000	$1,001 - $15,000
	Apple Computer Stock	P	10/04/2000	$1,001 - $15,000
	AT&T Stock	P	8/22/2000	$1,001 - $15,000
	Chase Manhattan Stock	P	8/22/2000	$1,001 - $15,000
	Walt Disney Stock	P	11/14/2000	$1,001 - $15,000
	Earthlink Stock	P	8/22/2000	$1,001 - $15,000
	General Motors Stock	P	8/22/2000	$1,001 - $15,000
	Sabre Group Holdings Stock	P	8/22/2000	$1,001 - $15,000
	Washington Mutual Stock	P	8/22/2000	$1,001 - $15,000
	Waste Management Stock	P	8/22/2000	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Nam Anthony Weiner

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Sprint Corp. Stock	PS	8/22/2000 (9) 11/09/2000 (5)	$1,001 - $15,000

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

MAY 15 2001

LEGISLATIVE RESOURCE CENTER
2001 MAY 18 AM 11:42
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) MC

Wayne Curtis Weldon
(Full Name)

96 Tanguy Road
(Mailing Address)

Daytime Telephone: (202) 225-2011

Glen Mills, Pennsylvania 19342

Filer Status	[X] Member of the U.S. House of Representatives	State Pennsylvania District 7th	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Wayne Curtis Weldon	5/15/01

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Name	Wayne Curtis Weldon	Page 2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Drexel University	Teaching Fee	$20,000.
	Crozer-Chester Medical Center	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each* asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A Notes; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I Notes; II $201 – $1,000; III $1,001 – $2,500; IV $2,501 – $5,000; V $5,001 – $15,000; VI $15,001 – $50,000; VII $50,001 – $100,000; VIII $100,001 – $1,000,000; IX $1,000,001 – $5,000,000; X Over $5,000,000	P, S, E
SP	Examples: Mega Corp Stock	Intrinsic			P
DC	Examples: Simon & Schuster				
JT	Examples: 1st Bank of Paducah, Ky accounts		X	X	
	Cong. Federal Credit Union		X	X	
	Franklin Mint Federal Credit Union		X	X	
	Nationwide Life	X		X	

Royalties

Pension

For additional assets and unearned income, use next page.

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SCHEDULE IV — TRANSACTIONS

Name Wayne Curtis Weldon

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
	209 East 26th Avenue, Wildwood, NJ		X		3-10-00				X						

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Franklin Mint Federal Credit Union	Mortgage: 209 East 26th St. Wildwood, NJ			X							
	Joseph Larson	Mortgage: 209 East 26th St. Wildwood, NJ		X								
	Congressional Federal Credit Union	Loan (Tuition Costs)	X									
	Federal Plus Student Loans	Loan (Tuition Costs)										

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Patuxent River Partnership	March 6-7	Phila.,PA-Patuxent River,MD Washington, DC	Y	Y	N	N
	Harvard University	April 15-17	Phila.,PA-White Plains, NY Phila.,PA	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 3:58

(6)

HAND DELIVERED

HC

(Office Use Only)

DAVID J. WELDON
(Full Name)

1602 WILLARD ROAD, N.W.
(Mailing Address)

PALM BAY, FL 32907

Daytime Telephone (202) 225-3671

Filer Status	[X] Member of the U.S. House of Representatives	State District FL 15	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dave Weldon	5/15/01

5451

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Va'ue of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income	Block D: Amount of Income	Block E: Transaction
SP	Mega Corp Stock	X (A: None)	X (DIVIDENDS)	X (IV: $1,001 – $2,500)	P
DC	Simon & Schuster	Indefinite (B: $1,001 – $15,000)	Royalties (Other Type of Income)	X (VI: $5,001 – $15,000)	
JT	1st Bank of Paducah, KY accounts	X (F: $100,001 – $250,000)	X (INTEREST)	X (IX: $100,001 – $1,000,000)	
	Bank of America Jacksonville	X (C: $15,001 – $50,000)	X (INTEREST)	X (II: $1 – $200)	
	Congressional Federal Credit Union Account	X (E: $50,001 – $100,000)	X (INTEREST)	X (III: $201 – $1,000)	
	Merrill Lynch Cash Account	X (C: $15,001 – $50,000)	X (INTEREST)	X (II: $1 – $200)	
	Merrill Lynch IRA Account	X (D: $50,001 – $100,000)	X (DIVIDENDS)	N/A (V: $2,501 – $5,000)	
	Merrill Lynch IRA Account	X (A: None)	X (DIVIDENDS)	N/A (V: $2,501 – $5,000)	
	Merrill Lynch IRA Account	X (E: $50,001 – $100,000)	X (CAPITAL GAINS)	N/A (V: $2,501 – $5,000)	

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DAVID J. WELDON

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 - $1,000; C $1,001 - $15,000; D $15,001 - $50,000; E $50,001 - $100,000; F $100,001 - $250,000; G $250,001 - $500,000; H $500,001 - $1,000,000; I $1,000,001 - $5,000,000; J $5,000,001 - $25,000,000; K $25,000,001 - $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other Type of Income (Specify)	I None; II $1 - $200; III $201 - $1,000; IV $1,001 - $2,500; V $2,501 - $5,000; VI $5,001 - $15,000; VII $15,001 - $50,000; VIII $50,001 - $100,000; IX $100,001 - $1,000,000; X $1,000,001 - $5,000,000; XI Over $5,000,000	P, S, E
	Merrill Lynch IRA Account	F	DIVIDENDS X; INTEREST X; CAPITAL GAINS X	VI N/A	
	Boston Chicken Inc.	A		I X	E
	Nuveen Internet Feb, 99	A	CAPITAL GAINS X	III X	S
	Merrill Lynch Corp. High Yield Cl B	D	INTEREST X	III X	
	Merrill Lynch Bd High Income B	D	INTEREST X	IV X	
	SunAmerica High Inc. Fd Cl B	D	INTEREST X	VI X	
	Merrill Lynch Retirement Reserves	A	INTEREST X	II X	
	Zion Christian Fellowship	D	INTEREST X	IV X	
DC	Zion Christian Fellowship	C	INTEREST X	II X	
	Apt 154 D St SE Wash, D.C.		RENT X	VI X	S
	Merrill Lynch IRA Account	F	DIVIDENDS X; INTEREST X; CAPITAL GAINS X	VI N/A	
	Merrill Lynch IRA Account	F	DIVIDENDS X; INTEREST X; CAPITAL GAINS X	VI N/A	
	Munder Netnet Fd Cl B	C	DIVIDENDS X; CAPITAL GAINS X	VII X	P

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SCHEDULE IV — TRANSACTIONS

Name: DAVID J. WELDON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		x								
	154 D St SE Washington, D.C.		X		07-14-00					X					
	Boston Chicken Inc. - Bankrupt			X											
	Nuveen Internet Feb. 99		X		03-15-00		X								
	Munder Netnet Fd Cl B	X			03-23-00		X								

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	*First Bank of Wilmington, Delaware*	*Mortgage on 123 Main St., Dover, Del.*				X						
	Merrill Lynch Credit Corp.	Apt. 154 D St. SE Wash.	D.C.			X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	*Silver Platter, Determination on personal friendship received from Committee on Standards*	*$260*

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Association of Otolarygologists	Feb. 21	Melbourne-St. Petersburg-Melbourne	N	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 JUN 13 PM 4:54

HAND DELIVERED
(Office Use Only)

DAVID J. WELDON
(Full Name)

1602 WILLARD ROAD, N.W.
(Mailing Address)

PALM BAY, FL 32907

Daytime Telephone (202) 225-3671

Filer Status	[X] Member of the U.S. House of Representatives	State FL District 15	[ ] Officer or Employee — Employing Office
Report Type	[X] Annual (May 15)	[X] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [ ] No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [X] No [ ]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form	[signature]	6/12/01

5457

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name DAVID J. WELDON

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for this reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependant child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value of the sold asset should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

Asset and/or Income Source	Value of Asset (Block B)	Type of Income (Block C)	Amount of Income (Block D)	Transaction (Block E)
	A None; B $1,000-$1,000; C $1,001-$15,000; D $15,001-$50,000; E $50,001-$100,000; G $100,001-$250,000; H $250,001-$500,000; I $500,001-$1,000,000; K $1,000,001-$5,000,000; L $5,000,001-$25,000,000; M $25,000,001-$50,000,000; N Over $50,000,000	DIVIDENDS; RENT/ROYALTIES; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED TRUST; Other Type of Income (Specify: Partnership Income or Farm Income)	I None; II $201-$1,000; III $1,001-$2,500; IV $2,501-$5,000; V $5,001-$15,000; VI $15,001-$50,000; VII $50,001-$100,000; VIII $100,001-$1,000,000; IX $1,000,001-$5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — Examples: SP Mega Corp. Stock	Indefinite	X	X	P
Simon & Schuster				
JT 1st Bank of Paducah, KY account	X			
Royalties				
Bank of America Jacksonville	X	X	X	
Congressional Federal Credit Union Account	X	X	X	
Merrill Lynch Cash Account	X	X	X	
* Merrill Lynch IRA Account	X	X	N/A	
Merrill Lynch IRA Account	X	X	N/A	
** Merrill Lynch IRA Account	X	X X	N/A	

*ASSETS FROM PRIOR REPORTS

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DAVID J. WELDON

Page 3 of 7

	SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K $50,000,001 – $100,000,000	L Over $100,000,000	BLOCK C Type of Income: DIVIDENDS	RENTS, ROYALTIES, INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1,001 – $1,000	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transactio P, S, E
		Merrill Lynch IRA Account												X		X	X								N/A							
		Boston Chicken Inc.	X																		X											E
		Nuveen Internet Feb. 99	X														X						X									S
*		Merrill Lynch Corp. High Yield Cl B														X							X									
*		Merrill Lynch Bd High Income B															X								X							
*		SunAmerica High Inc. Fd Cl B															X								X							
		Merrill Lynch Retirement Reserves	X														X															
		Zion Christian Fellowship															X															
	DC	Zion Christian Fellowship			X												X															
		Apt. 154 D St SE Wash, D.C.	X																						X							S
		Merrill Lynch IRA Account													X	X	X								N/A							
		Merrill Lynch IRA Account													X	X	X								N/A							
		Munder Netnet Fd Cl B			X										X												X					P
		ASSETS FROM SELF-DIRECTED IRA																														

Continuation Sheet (if needed)

BLOCK A Asset and/or Income Source (SP, DC, JT)	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transactions (P, S, E)
AVAYA INC.		X																													
AMERICA ONLINE INC.			X										X							X											P
BIOGEN INC MASS			X										X							X											P
LUCENT TECHNOLOGIES			X											X						X											P
METROMEDIA FIB NETWK			X											X																	P
MICROSOFT CORP.			X											X						X											P
MOTOROLA INC.			X											X						X											P
NEON COMMUNICATIONS		X												X							X										P
NOKIA CORP.			X											X							X										P
ORACLE CORP.			X											X						X											P
PFIZER INC.			X										X								X										P
ASSETS FROM SELF-DIRECTED IRA																															

SCHEDULE IV — TRANSACTIONS

Name DAVID J. WELDON

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock				10-12-00		X								
	154 D St SE Washington, D.C.		X		07-14-00					X					
	Boston Chicken Inc. - Bankrupt			X											
	Nuveen Internet Feb. 99		X		03-15-00		X								
	Munder Netnet Pd Cl B	X			03-23-00		X								
	America Online Inc.				02-14-00										
	Biogen Inc. Mass				04-14-00										
	Lucent Technologies Inc.				02-14-00										
	Metromedia FIB Netwk				02-14-00										
	Microsoft Corp.				02-14-00										
	Motorola				02-14-00										
	Neon Communications Inc.	X			02-14-00										
	Nokia Corp.	X			02-14-00	X									
	Oracle Corp.	X			02-14-00	X									
	Pfizer Inc.	X			02-14-00	X									
	Microsoft Corp.	X			04 14-00	X									
	Best Buy Co. Inc.		X		03-23-00	X									

SCHEDULE V — LIABILITIES

Name DAVID J. WELDON

Report liabilities of over $10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report *revolving charge accounts* only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Merrill Lynch Credit Corp.	Apt. 154 D St SE Wash,				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Association of Otolarygologists	Feb. 21	Melbourne-St. Petersburg-Melbourne	N	Y	N	N

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED
(6) LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:52
MC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(For Use Only)

Gerald C. Weller
(Full Name)
1309 Union Street
(Mailing Address)
Morris, IL 60450
Daytime Telephone
815/835-3635

Filer Status	[X] Member of the U.S. House of Representatives	State ____ District ____	[ ] Officer or Employee — Employing Office
Report Type	(X) Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certificate	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gerald C. Weller	May 15, 2001

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Gerald C. Weller Page 2 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	Block B	Block C	Block D	Block E
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED TRUST; QUALIFIED BLIND TRUST; Other: Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
SP, DC, JT — *Examples*	SP Mega Corp Stock	Indefinite	X	X	P
	DC Simon & Schuster			X	
	JT 1st Bank of Paducah KY accounts	X	X	X	
Prudential Jennison Growth Fund		X	X	X	
1309 A (Morris, IL)		X	X	X	
Prudential Small Company Fund (IRA)		X	X	X	
Morris Community Credit Union		X	X	X	
Du Plex Deposit Grain (Morris)		X	X	X	

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Gerald C. Weller

Page 3 of 6

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Prudential Equity Fund				X									X			X							X								
	Prudential 2020 Growth fund			X										X			X					X										
	1801-C / 1803-C Larson Road Duplex					X									X										X							

This page may be copied if more space is required.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	First Financial Federal, Joliet, IL	mortgage on Deerpath + Quad Duplex				X						
	Morris Building + Loan, Morris, IL	mortgage on 1001/1005 Lisbon Rd.			X							
	Homecomings Financial Corp, Bolingbrook, IL	Home Equity Loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship) received from Committee on Standards	$270

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Ernst & Young	11/10-12/00	WDC - Palm Springs, CA - WDC	Y	Y	N	N
	IMDI	8/22-27/00	WDC - Helsinki, Finland - WDC	Y	Y	N	2 Days
	U.S. Chamber of Commerce	5/19-20/00	WDC - Leesburg, VA - WDC	Y	Y	N	N
	Assn. American Railroads	4/27-5/1 2000	WDC - Kiawah Is, SC - WDC	Y	Y	N	N
	Assn. of Imaging Technology + Sound	1/30-31/00	WDC - Key West, FL, WDC	Y	Y	N	N
	Entertainment Ind ~~Ass~~ Corp.	1/19/00	WDC - Los Angeles - WDC	Y	N	N	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	American Council of Young Political Leaders
Congressional Board of Advisors	Congressional Youth Leadership Council

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

RECEIVED
(14) 2001 MAY 10 PM 2:00
MC
(Office Use Only)

ROBERT WEXLER
(Full Name)
7370 VIALE CATERINA
Mailing Address
DELRAY BEACH FL 33446
202-225-3001
Daytime Telephone

Filer Status: [X] Member of the U.S. House of Representatives — State FLORIDA District 19 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Robert Wexler	5/8/01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	ASSOCIATION FOR INTERNATIONAL ROAD SAFETY	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE Ii — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source		Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug. 13, 2000	$500
	NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name ROBERT WEXLER

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling, any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP), or dependent child (DC), or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A	B	C	D	E	F	G	H	I	J	K	L	Dividends	Rent	Interest	Capital Gains	Excepted Trust	Qualified Blind Trust	Other Type of Income (Specify: For Example Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	Block E
		None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000							None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000		P, S, or E
SP					X								X										X									P
DC	*Examples* Simon & Schuster			Indefinite															Royalties										X			
JT	1st Bank of Paducah, KY accounts					X									X									X								
	BEAR STEARNS	X													X									X								
	BEAR STEARNS	X											X									X										
	LUKE OIL (LUKOI)				X															X												
	FUEL CELL (FCEL)			X																X												P
	TEMPLETON (TRF)			X																X												P
	AMAZON COM				X															X												P

For additional assets and unearned income, use next page.

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SCHEDULE III -- ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ROBERT WEXLER

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	IOMEGA CORP.				X																X											P
	DATAWARE TECHNOLOGY	X															X				X											PS
	MECHANICAL TECH	X															X								X							S
	IOMEGA CORP.	X															X				X											S
	MECHANICAL TECH	X															X									X						S
	IOMEGA CORP.	X															X							X								S
	IOMEGA CORP.	X															X						X									S
	IOMEGA CORP.	X															X								X							S
	COMPUSA INC.	X															X				X											S
	COMPUSA INC.	X															X				X											S
	COMPUSA INC.	X															X				X											PS
	CREATIVE BIOMOL.	X															X									X						PS
	CREATIVE BIOMOL.	X															X							X								PS
	I VILLAGE INC.	X															X									X						PS
	AMAZON COM	X															X									X						PS
	3 COM CORP.	X															X				X											PS
	CSUSDWTI	X															X				X											PS
	CSUSDWTI	X															X				X											PS
	RAMBUS INC. DEL.	X															X							X								PS
	YYYO INC.	X															X							X								PS
	IOMEGA W.RTS PU	X															X							X								S
	VISX INC.	X															X				X											PS

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ROBERT WEXLER | Page 6 of 14

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	AMAZON COM	X															X								X							PS
	AMAZON COM	X															X								X							PS
	AMAZON COM	X															X								X							PS
	CURIS INC	X															X								X							PS
	FANNIE MAE	X															X						X									PS
	FANNIE MAE	X															X				X											PS
	STRATOS LIGHTWA.	X															X					X										PS
	IVILLAGE INC.	X															X							X								PS
	VISX	X															X							X								PS
	PFIZER CORP.	X															X					X										PS
	AMAZON COM	X															X						X									PS
	PFIZER CORP.	X															X				X											PS
	IOMEGA CORP. R/W	X															X				X											PS
	PFIZER CORP.	X															X				X											PS
	ORBUS STOCK			X													X				X											
	4STATS. COM			X													X				X											
	U.S. HOUSE THRIFT SAVINGS				X																X											

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	FUEL CELL (FCEL)	X			12-13-00	X									
	TEMPLETON (TRF)	X			10-11-00	X									
	AMAZON COM.	X			8-17-00		X								
	IOMEGA CORP.	X			9-1-00		X								
	DATAWARE TECHNOLOGY	X			4-11-00	X									
	DATAWARE TECHNOLOGY		X		6-22-00	X									
	MECHANICAL TECH.		X		1-20-00	X									
	IOMEGA CORP. W/RTS PUR.		X		3-23-00	X									
	MECHANICAL TECH.		X		1-24-00		X								
	IOMEGA CORP. W/RTS PUR.		X		2-11-00	X									
	IOMEGA CORP. W/RTS. PUR.		X		1-24-00	X									
	IOMEGA CORP. W/RTS PUR.		X		3-23-00		X								
	COMPUSA INC.		X		1-26-00	X									
	COMPUSA INC.		X		1-26-00	X									
	COMPUSA INC.	X			1-20-00	X									
	COMPUSA INC.		X		1-26-00	X									

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SCHEDULE IV — TRANSACTIONS

Name ROBERT WEXLER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-00		X								
	CREATIVE BIOMOL.	X			3-1-00	X									
	CREATIVE BIOMOL.		X		3-29-00		X								
	CREATIVE BIOMOL.	X			3-27-00	X									
	CREATIVE BIOMOL.		X		3-29-00	X									
	I VILLAGE INC.	X			1-26-00	X									
	I VILLAGE INC.		X		4-4-00		X								
	AMAZON COM	X			2-10-00		X								
	AMAZON COM		X		5-4-00		X								
	3 COM CORP	X			5-1-00		X								
	3 COM CORP		X		5-5-00		X								
	CSUSDWTI	X			4-10-00	X									
	CSUSDWTI		X		5-12-00	X									
	CSUSDWTI	X			4-10-00	X									
	CSUSDWTI		X		5-24-00	X									
	RAMBUS INC. DEL	X			6-13-00		X								
	RAMBUS INC. DEL		X		6-13-00		X								

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SCHEDULE IV — TRANSACTIONS

Name ROBERT WEXLER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO.DAY.YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-00		X								
	VYYO INC.	X			6-14-00	X									
	VYYO INC.		X		6-22-00	X									
	IOMEGA W.RTS PU		X		6-23-00	X									
	VISX INC	X			2-29-00		X								
	VISX INC.		X		7-14-00		X								
	AMAZON COM	X			7-3-00		X								
	AMAZON COM		X		7-28-00		X								
	AMAZON COM	X			7-14-00		X								
	AMAZON COM		X		7-28-00		X								
	AMAZON COM	X			7-14-00		X								
	AMAZON COM		X		8-3-00		X								
	CURIS INC	X			6-5-00	X									
	CURIS INC		X		8-9-00	X									
	FANNIE MAE	X			5-23-00		X								
	FANNIE MAE		X		9-11-00		X								
	FANNIE MAE	X			6-1-00		X								

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SCHEDULE IV — TRANSACTIONS

Name ROBERT WEXLER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP DC JT Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO.DAY.YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP Example Mega Corporation Common Stock	X			10-12-00		X								
FANNIE MAE		X		9-11-00		X								
STRATOS LIGHTWA.	X			8-22-00		X								
STRATOS LIGHTWA.		X		9-14-00		X								
IVILLAGE INC.	X			6-14-00	X									
IVILLAGE INC.		X		9-18-00	X									
VISX INC	X			9-19-00		X								
VISX INC.		X		9-25-00		X								
PFIZER CORP.	X			9-25-00		X								
PFIZER CORP.		X		9-28-00		X								
AMAZON COM	X			8-17-00		X								
AMAZON COM		X		10-2-00		X								
PFIZER CORP	X			10-18-00		X								
PFIZER CORP		X		11-3-00		X								
IOMEGA CORP R/W	X			9-1-00	X									
IOMEGA CORP R/W		X		11-22-00	X									
PFIZER CORP	X			1-31-00		X								

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SCHEDULE IV — TRANSACTIONS

Name ROBERT WEXLER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
SP, DC, JT		Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example*	Mega Corporation Common Stock	X			10–12–00		X								
		PFIZER CORP		X		3-1-00		X								

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	MBNA AMERICA, DELAWARE	REVOLVING-CHARGE ACCOUNT		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
NONE		

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	CENTER FOR MIDDLE EAST PEACE	1/10-1/17	Wash.-Morroco-Egypt-Kuwait-United Arab Emirates-Oman-Wash.	Y	Y	Y (Spouse went to Egypt + Morroco)	N

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

W. EDWARD WHITFIELD
(Full Name)

236 CANNON HOUSE OFFICE BLDG.
(Mailing Address)

202-225-3115
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: KY District: # 1	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	W Edward Whitfield	5-14-01

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	CSX CORP.	RET. BENEFIT	16,000

For payments to charity in lieu of honoraria, use Schedule II.

Name W. EDWARD WHITFIELD | Page 3 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	XI	Block E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X																			P
	DC Simon & Schuster			Indefinite																Royalties													
	JT 1st Bank of Paducah, KY accounts						X									X																	
	AT+T				X																												
	AMERICAN INT'L GROUP				X																												
	~~COHEN AND STEERS~~				X																												
	CHEVRON						X																										
	COCA-COLA				X																												
	EXXON						X																										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name W. EDWARD WHITFIELD

SP DC JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P. S. E.
	FIDELITY INVESTMENTS						X																									
	GENERAL ELECTRIC				X																											
	HEWLETT-PACKARD			X																												
	INTEL						X																									
	JOHNSON + JOHNSON				X																											
	LUCENT TECHNOLOGIES			X																												
	MAY DEPT. STORES						X																									
	PAYLESS SHOESOURCE				X																											
	U.S. HOME + GARDEN			X																												
	MCI - WORLDCOM			X																												
	AOL - TIME WARNER				X																											
	CHASE MANHATTEN - JPM			X																												
	PFIZER, INC				X																											
	CSX CORP. DEBENTURES			X																												
	~~RIGGS NATIONAL BANK~~				X																											
	SCHWAB MONEY MKT			X																												
	CHARLES SCHWAB IRA (A)				X																											
	CHARLES SCHWAB IRA (B)				X																											
	CONGRESSIONAL CREDIT UNION			X																												
	CSX THRIFT						X																									
	(A) NORTHWESTERN ANNUITY			X																												
	(B) NORTHWESTERN ANNUITY			X																												

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name W. EDWARD WHITFIELD

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, or E
	COASTLINE FEDERAL		X																													
	U.S. GOV'T THRIFT					X																										
	VANGUARD INDEX 500					X																										
	" TAX MANAGED FUND				X																											
	ZEROX CORP.			X																												
	S+K DRILLING PARTNERSHIP			X																												
SP	RENTAL PROPERTY						X																									
	VISTA DEL LIDO, CALIF.																															
SP	COMMERCIAL REAL ESTATE						X																									
	CHEMICAL LANE - CALIF.																															
	VACANT LOT - KY			X																												
	VACANT LOT - KY			X																												
	UNITED BANK			X																												

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SCHEDULE IV — TRANSACTIONS

Name W. EDWARD WHITFIELD

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.	Type of Transaction			Date	Amount of Transaction									
SP, DC, JT. Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP Example Mega Corporation Common Stock	X			10-12-00		X								
GAP, INC		X		7-28-00	X									
WELLS FARGO		X		4-11-00		X								
GOODYEAR TIRE		X		1-11-00	X									
EASTMEN KODAK		X		5-11-00	X									
SEARS		X		1-11-00	X									
QUALCOMM, INC		X		5-11-00	X									
RIGGS NAT'L BANK	X			4-11-00		X								
COHEN - STEERS		X		2-10-00		X								
VANGUARD MONEY MARKET		X			X									
TIAFF-CREF MUTUAL FUND	X			4-11-00		X								
NOKIA	X			2-13-00	X									
AGILENT TECHNOLOGY SPINOFF				3-2-00	X									
AVAYA, INC SPINOFF				5-15-00	X									

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

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MC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Roger F. Wicker
(Full Name)

20c Cannon Building
Washington, DC 20515
(Mailing Address)

Daytime Telephone 202-225-4306

Filer Status	[X] Member of the U.S. House of Representatives	State MS District 01	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Roger F. Wicker	May 15, 2001

5490

Name Roger F. Wicker | Page 1 of ___

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Long Enterprises	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Roger F. Wicker Page 1 of ___

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	Block A	Block B											Block C						Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples* SP	Mega Corp Stock				X								X										X								P
		Simon & Schuster		Indefinite																Royalties									X			
		1st Bank of Paducah, KY accounts					X									X										X						
	511 Bashford La, Alexandria VA						X								X									X								
	602 Jefferson St, Tupelo MS					X									X								X									S
SP	Long Enterprises Stock				X													X			X											
SP	Peoples Bank Stock			X										X								X										
	Furniture Brands Int'l Stock			X										X							X											
JT	Schering-Plough Stock			X										X								X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Roger F. Wicker

Page 2 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
DC	Schering-Plough Stock				X									X								X										
	Lucent Technologies Stock			X										X								X										(from Lucent)
	Avaya Stock		X											X							X											
	Intel Stock		X											X								X										
	Amazon.Com Stock			X										X								X										
	Putnam Growth IRA				X															NA												
SP	American Funds IRA			X																NA												
	WorldCom Stock			X										X								X										
	Colonial Bank (Montgomery, AL) Certificate of Deposit			X												X										X						S
DC	SPX Stock			X										X								X										P
DC	Eaton Vance Growth Fund			X										X								X										P
DC	Eaton Vance Emergin Growth Fund			X										X								X										P
DC	Munder Net-Net Fund			X										X								X										P
DC	Nasdaq 100 Trust			X										X								X										P
DC	WorldCom Stock			X										X								X										P
DC	Friede Goldman Halter Stock			X										X							X											P
DC	Sutton State Bank (CD)			X																NA												P, S
DC	Ann Taylor Stores Stock			X										X								X										P
DC	Intel Stock			X										X										X								P

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SCHEDULE IV — TRANSACTIONS

Name Roger F. Wicker | Page 1 of ____

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-00		X								
	Avaya Stock (Spin off from Lucent Stock)				10-4-00										
	602 Jefferson St, Tupelo MS		X		04-06-00			X							
DC	SPY Stock	X			01-20-00	X									
DC	Eaton Vance Growth Fund	X			01-28-00	X									
DC	Eaton Vance Emerging Growth Fund	X			01-28-00	X									
DC	Munder Net-Net Fund	X			02-03-00	X									
DC	Nasdaq 100 Trust	X			03-16-00	X									
DC	WorldCom Stock	X			04-12-00	X									
DC	Friede Goldman Halter Stock	X			04-27-00	X									
DC	Friede Goldman Halter Stock	X			09-11-00	X									
DC	Sutton State Bank (CD)	X			06-14-00	X									
DC	Sutton State Bank (CD)		X		10-20-00	X									
DC	Ann Taylor Stores Stock	X			08-23-00	X									
DC	Colonial Bank (CD)		X		06-01-00	X									
DC	Intel Stock	X			05-28-00	X									

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Name	Roger F. Wicker	Page ___ of ___

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				x						
	AmSouth Bank, Tupelo, MS	Mortgage on 602 Jefferson		x								
	Bank of America	Mortgage on 511 Bashford Lane				x						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

Name Roger F. Wicker | Page ___ of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	North Mississippi Health Services	Mar 24-26	Wash., DC - Mobile, AL - Tupelo, MS	Y	Y	N	N

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 16 AM 9:45

(111)
MC

HAND DELIVERED
(Office Use Only)

Heather Ann Wilson
(Full Name)

625 Silver SW Suite 340
(Mailing Address)

Albuquerque NM 87102

Daytime Telephone 225-6316 (505)346-6781

Filer Status	[X] Member of the U.S. House of Representatives	State NM Distrct 01	[ ] Officer or Employee	Employing Office	
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date	

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period worth more than $260 from one source? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Heather Wilson	5-15-01

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Name	Page 1 of 1
Heather Wilson	

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	NM Air National Guard, Albuquerque NM	Spouse Salary	NA
	Hope Law Firm, P.C., Albuquerque NM	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Heather Wilson | Page 1 of 1

SCHEDULE II — PAYMENTS IN LIEU OF HONORARIA MADE TO CHARITY

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Wash., D.C.	Speech	Feb. 2, 2000	$2,000
	XYZ Magazine	Article	Aug. 13, 2000	$500
	None			

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Name Heather Wilson | Page 1 of 5

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source		Block B: Value of Asset	Block C: Type of Income							Block D: Amount of Income											Block E: Transaction
			A None	B $1,001 - $15,000	C $15,001 - $50,000	D $50,001 - $100,000	E $100,001 - $250,000	F $250,001 - $500,000	G $500,001 - $1,000,000	H $1,000,001 - $5,000,000	I $5,000,001 - $25,000,000	J $25,000,001 - $50,000,000	K Over $50,000,000	DIVIDENDS	RENTS	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples*	SP	Mega Corp. Stock				X							X																		P
			Simon & Schuster		Indefinite											X				Royalties									X			
	Checking Acct, Bank of America, ATG				X											X					X											
	Checking Acct, Bank of America, TX			X												X					X											
	Savings Account Citizens Bank, Kansas NH		X													X					X											
	Savings Acct, CBA Bidwell Guesthouse NH	X														X							X									
	White House Federal Credit Union Washington DC Savings Acct	X														X																
	Condo Apartment, 470 Crowlie Washington DC							X							X										X							

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Heather Wilson | Page 2 of 5

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 - $15,000	E $15,001 - $50,000	G $50,001 - $250,000	I $250,001 - $500,000	K $500,001 - $1,000,000	M $1,000,001 - $5,000,000	O $5,000,001 - $25,000,000	Q Over $25,000,000	BLOCK C Type of Income: CAPITAL GAINS	DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 - $1,000	V $1,001 - $5,000	VII $5,001 - $15,000	IX $15,001 - $50,000	XI $50,001 - $1,000,000	Over $5,000,000	BLOCK E Transaction P, S, E
	PERA # (All Retirement Fund)		X													X							
	[illegible]		X									X											
	[illegible] *		X																				
	Cellular [illegible] *		X																				
	[illegible] *		X																				
	Total [illegible] *		X																				
	[illegible] *		X																				
	[illegible] *		X																				
	[illegible] *		X																				
	[illegible] *	X																					
	[illegible] *		X																				
	[illegible] *		X																				
	[illegible] - Chase X				X											X							
P	[illegible]		X										X										
P	[illegible]		X										X										
P	[illegible]		X										X										
P	[illegible]		X										X										
P	[illegible]		X										X										
P	[illegible]		X										X										
P	[illegible] *			X																			
P	[illegible] Market *																						
P	[illegible] Corp *																						
P	[illegible] *			X																			

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* IRA Accounts

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Heather Wilson

Page 3 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	[illegible] *																															
SP	[illegible] *																															
SP	[illegible] *																															
SP	[illegible] *																															
SP	[illegible] Casualty *																															
SP	PSC Inc *																					See Attch										
SP	[illegible] Income Inc *													See Attch																		
SP	[illegible] *																															
SP	[illegible] Corp *																															
SP	[illegible] *																															
SP	[illegible] *																															
DC	[illegible]																															
DC	[illegible]																															
DC	[illegible]																															
DC	[illegible]																															
DC	[illegible]																															
DC	[illegible]																															
DC	[illegible]																															
DC	Thornburg Mortgage																															
SP	CBC Corporation																															
SP	[illegible]																															
SP	[illegible]																															
SP	[illegible]																															
SP	CIGNA Financial Mortgage *																															

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* IRA Retirement Accounts

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Heather Wilson

Page 4 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Cash Money Market Fund			X																	X											
SP	Intel Corp			X										X								X										
SP	4.5% Lafayette Int Held					X									X																	
SP	Unemployment Ins Vouchers Co NM				X																X											
SP	Unemployment Ins Certificate Co NM				X																X											
SP	Texas Long Term Pk Fd VI MM					X															X											
SP	Rodney Retirement 401(k) *					X															X											
SP	AT&T Corp *				X									X								X										
SP	Bausch & Lomb *			X										X									X									
SP	CIGNA Corp *			X										X									X									
SP	Cellstar Corp *			X																	X											
SP	Teledyne Inst Stock *			X																	X											
SP	Intel Corp *			X										X								X										
SP	Office Depot *			X																	X											
SP	Pro Consulting *				X									X									X									
SP	PSC Inc. *			X																	X											
SP	Tower Music.com *		X																		X											
SP	Texas Instruments *		X											X								X										
SP	Kittleson Federal Credit Union		X											X								X										
SP	AT&T Corp			X																												
SP	Home Depot		X												See Attch						See Attch											
SP	Intel Corp			X																												

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* I.R.S. - Retirement Accounts

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Heather Wilson

Page 5 of 5

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Local Space + Commun		X											See Atch							See Atch											
SP	PSC Inc			X																												
SP	Priceline.com		X																													
SP	Summit Bancorp			X																												
DC	Schwab Money Funds		X											See Atch							See Atch											
DC	Centennial Tech Inc			X																												
DC	Intel Corp		X																													
DC	PSC Inc		X																													

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SCHEDULE IV — TRANSACTIONS

Name Heather Wilson

Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP DC JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO DAY YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–00		X								
	See Attch														

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Name [illegible] | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	First Mortgage Corp., Milwaukee WI	Mortgage on 4701 Cean Ave DC			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

5507

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
JAN 14, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

Segregated Accounts US DOLLARS PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
1/13/00			ACCOUNT BALANCE -US DOLLARS FUNDS SEG		5,385.18	
			CK#104418 VOIDED HONE			444.91
CURRENT ACCOUNT BALANCE -US DOLLARS FUNDS					4,940.27 *	

- - - - - - - - - - - - - - - M A R G I N S U M M A R Y - - - - - - - - - - - - - - -

INITIAL MARGIN	.00
MARGIN DEFICIT	4,940.27-
MARGIN DEFICIT	4,940.27-

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE
JAN 31, 2000
ACCOUNT NUMBER
11638 D2884

CUSTOMER

PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY/OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
1/01/00			BALANCE FORWARD US DOLLARS SEG			.00
1/11/00	1	1	FEB00 NY CRUDE	25.000 C P&S		
1/11/00		1	FEB00 NY CRUDE	25.000 C CONF		444.91
1/13/00	CK#104418 CASH DISB HONE				444.91	
1/13/00	COMMISSION CHARGE				310.00	
1/13/00	2		JUL00 CBT SOYBNS	5.000 C CONF	5,075.18	
1/14/00	CK#104418 VOIDED HONE					444.91
1/19/00	LA DEP HONE PC 01/19/00					5,385.00
1/21/00	CK#104441 CASH DISB HONE				444.73	
1/31/00	ACCOUNT BALANCE -US DOLLARS SEG					.00*
NET REALIZED PROFIT OR LOSS FOR MONTH					4,630.27	*
			O P E N P O S I T I O N S			
12/21/99	2		JUL00 CBT SOYBNS 5.000 C .26			4,600.00
			OPT EXP 6/23/00 LAST TRD 7/21/00			
1/13/00	2		JUL00 CBT SOYBNS 5.000 C .35			4,600.00
			OPT EXP 6/23/00 LAST TRD 7/21/00			
	4*		OPTIONS MARKET VALUE			9,200.00*
			SETTLEMENT PRICE .46			
			IN THE MONEY 5,300.00			
10/26/99	2		JUN00 CME LNHOGS 60.000 C 4.10			6,740.00
			OPT EXP 6/14/00 LAST TRD 6/14/00			
	2*		OPTIONS MARKET VALUE			6,740.00*
			SETTLEMENT PRICE 8.42 1/2			
			IN THE MONEY 6,040.00			
8/27/99	2		MAY00 FROZEN OJ 100.000 C 12.00			240.00
			OPT EXP 4/21/00 LAST TRD 4/07/00			
	2*		OPTIONS MARKET VALUE			240.00*
			SETTLEMENT PRICE .80			
			IN THE MONEY .00			
11/19/99	1		APR00 NY CRUDE 24.000 C 2.20			3,080.00
			OPT EXP 3/16/00			
	1*		OPTIONS MARKET VALUE			3,080.00*
			SETTLEMENT PRICE 3.08			
			IN THE MONEY 2,720.00			
			TOTAL OPEN TRADE EQUITY			.00
			TOTAL EQUITY			.00
			TOTAL LONG OPTION MARKET VALUE			19,260.00
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDITY			19,260.00

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
FEB 11, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

Segregated Accounts   US DOLLARS   PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
1/21/00			ACCOUNT BALANCE -US DOLLARS FUNDS SEG			.00
			- - - - C O N F I R M A T I O N S - - - -			
			WE HAVE MADE THIS DAY THE FOLLOWING TRADES FOR YOUR ACCOUNT AND RISK.			
		1	APR00 NY CRUDE 24.000 C	4.15		4,150.00
	*	1*	PREMIUM COLLECTED			4,150.00 *
			EXP 3/16/00			
			COMM		155.00	
			FEES		.09	
	1		JUL00 UNLEAD GAS 78.000 C	6.00	2,520.00	
	1*	*	PREMIUM PAID		2,520.00	*
			EXP 6/27/00			
			COMM		1,134.00	
			FEES		.09	
			RECAP OF COMMISSIONS AND FEES.			
			COMM		1,289.00	
			NFA		.18	
			TOTAL OPTION PREMIUM ON CONFIRMATIONS			1,630.00 *
			COMMISSIONS AND FEES ON CONFIRMATIONS		1,289.18	
			- - - - P U R C H A S E & S A L E - - - -			
11/19/99	1		APR00 NY CRUDE 24.000 C	2.20		
2/11/00		1	APR00 NY CRUDE 24.000 C	4.15		
	1*	1*	OPTIONS P&L *FOR INFORMATION ONLY			1,950.00 *
			GROSS PROFIT OR LOSS FROM OFFSET TRADES			.00
			NET PROFIT OR LOSS FROM OFFSET TRADES			.00 *
			COMMISSION CHARGE		155.00	
			CURRENT ACCOUNT BALANCE -US DOLLARS FUNDS			185.82 *
			- - - - M A R G I N S U M M A R Y - - - -			
			INITIAL MARGIN		.00	
			EXCESS CASH		185.82	

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 206
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE
JAN 19, 2000
ACCOUNT NUMBER
11638 B2884

Segregated Accounts US DOLLARS PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
1/14/00			ACCOUNT BALANCE -US DOLLARS FUNDS SEG		4,940.27	
			LA DEP HONE PC 01/19/00			5,385.00
			CURRENT ACCOUNT BALANCE -US DOLLARS FUNDS			444.73 *

- - - - - - - - - - - - - - - M A R G I N S U M M A R Y - - - - - - - - - - - - - - -

INITIAL MARGIN	.00
EXCESS CASH	444.73
EXCESS CASH	444.73

Grains in 000's Retain for Tax Records Terms and Conditions on Reverse Side
Data Processing by Rolfe & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
FEB 29, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

CUSTOMER

PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
2/01/00			BALANCE FORWARD US DOLLARS SEG			.00
2/11/00			COMMISSION CHARGE		155.00	
2/11/00	1	1	APR00 NY CRUDE	24.000 C P&S		
2/11/00		1	APR00 NY CRUDE	24.000 C CONF		3,994.91
2/11/00	1		JUL00 UNLEAD GAS	78.000 C CONF	3,654.09	
2/23/00	CK#104578		CASH DISB HONE		185.82	
2/29/00			ACCOUNT BALANCE -US DOLLARS SEG			.00*
NET REALIZED PROFIT OR LOSS FOR MONTH						340.82*
- - - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - - -						
12/21/99	2		JUL00 CBT SOYBNS	5.000 C .26		3,900.00
			OPT EXP 6/23/00 LAST TRD 7/21/00			
1/13/00	2		JUL00 CBT SOYBNS	5.000 C .35		3,900.00
			OPT EXP 6/23/00 LAST TRD 7/21/00			
	4*		OPTIONS MARKET VALUE			7,800.00*
			SETTLEMENT PRICE .39			
			IN THE MONEY 4,150.00			
10/26/99	2		JUN00 CME LNHOGS	60.000 C 4.10		6,160.00
			OPT EXP 6/14/00 LAST TRD 6/14/00			
	2*		OPTIONS MARKET VALUE			6,160.00*
			SETTLEMENT PRICE 7.70			
			IN THE MONEY 5,580.00			
8/27/99	2		MAY00 FROZEN OJ	100.000 C 12.00		150.00
			OPT EXP 4/21/00 LAST TRD 4/07/00			
	2*		OPTIONS MARKET VALUE			150.00*
			SETTLEMENT PRICE .50			
			IN THE MONEY .00			
2/11/00	1		JUL00 UNLEAD GAS	78.000 C 6.00		3,129.00
			OPT EXP 6/27/00			
	1*		OPTIONS MARKET VALUE			3,129.00*
			SETTLEMENT PRICE 7.45			
			IN THE MONEY 1,197.00			
			TOTAL OPEN TRADE EQUITY			.00
			TOTAL EQUITY			.00
			TOTAL LONG OPTION MARKET VALUE			17,239.00
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDITY			17,239.00

Grains in 000's

Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Rotte & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE
MAR 3, 2000
ACCOUNT NUMBER
11638 B2884

Segregated Accounts US DOLLARS PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
3/02/00			ACCOUNT BALANCE -US DOLLARS FUNDS SEG		8,592.58	
			LA DEP HONE PC 03/03/00			8,593.00
			CURRENT ACCOUNT BALANCE -US DOLLARS FUNDS			.42 *

- - - - - - - - - - - - - - M A R G I N S U M M A R Y - - - - - - - - - - - - - - -

INITIAL MARGIN	.00
EXCESS CASH	.42

Grains in 000's Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Rollie & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
MAR 31, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

CUSTOMER

PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
3/01/00			BALANCE FORWARD US DOLLARS SEG			.00
3/02/00			COMMISSION CHARGE		310.00	
3/02/00	2		JUL00 UNLEAD GAS	83.000 C CONF	8,282.58	
3/03/00			LA DEP HONE PC 03/03/00			8,593.00
3/10/00			COMMISSION CHARGE		310.00	
3/10/00	2	2	JUN00 CME LNHOGS	60.000 C P&S		
3/10/00		2	JUN00 CME LNHOGS	60.000 C CONF		8,009.82
3/10/00	2		JUL00 COTTON	64.000 C CONF	4,495.18	
3/13/00			CK#104644 CASH DISB HONE		3,205.06	
3/31/00			ACCOUNT BALANCE -US DOLLARS SEG			.00*
NET REALIZED PROFIT OR LOSS FOR MONTH					4,767.94	*
- - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - -						
12/21/99	2		JUL00 CBT SOYBNS	5.000 C .26		6,350.00
			OPT EXP 6/23/00 LAST TRD 7/21/00			
1/13/00	2		JUL00 CBT SOYBNS	5.000 C .35		6,350.00
			OPT EXP 6/23/00 LAST TRD 7/21/00			
	4*		OPTIONS MARKET VALUE			12,700.00*
			SETTLEMENT PRICE .63 1/2			
			IN THE MONEY 11,500.00			
3/10/00	2		JUL00 COTTON	64.000 C 3.10		1,000.00
			OPT EXP 6/23/00 LAST TRD 6/09/00			
	2*		OPTIONS MARKET VALUE			1,000.00*
			SETTLEMENT PRICE 1.00			
			IN THE MONEY .00			
8/27/99	2		MAY00 FROZEN OJ	100.000 C 12.00		15.00
			OPT EXP 4/21/00 LAST TRD 4/07/00			
	2*		OPTIONS MARKET VALUE			15.00*
			SETTLEMENT PRICE .05			
			IN THE MONEY .00			
2/11/00	1		JUL00 UNLEAD GAS	78.000 C 6.00		2,402.40
			OPT EXP 6/27/00			
	1*		OPTIONS MARKET VALUE			2,402.40*
			SETTLEMENT PRICE 5.72			
			IN THE MONEY 315.00			
3/02/00	2		JUL00 UNLEAD GAS	83.000 C 6.80		3,234.00
			OPT EXP 6/27/00 LAST TRD 6/23/00			
	2*		OPTIONS MARKET VALUE			3,234.00*
			SETTLEMENT PRICE 3.85			
			IN THE MONEY .00			

- - - - - - C O N T I N U E D O N N E X T P A G E - - - - - -

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE
MAR 31, 2000
ACCOUNT NUMBER
11638 B2884

CUSTOMER

PAGE 2

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
- - - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - - -						
			TOTAL OPEN TRADE EQUITY			.00
			TOTAL EQUITY			.00
			TOTAL LONG OPTION MARKET VALUE			19,351.40
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDITY			19,351.40

Grains in 000's

Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Rolfe & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
APR 30, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

CUSTOMER

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
4/01/00			BALANCE FORWARD US DOLLARS SEG			.00
4/24/00	2		MAY00 FROZEN OJ 100.000 C EXPIRE			
4/30/00			ACCOUNT BALANCE -US DOLLARS SEG			.00*
NET REALIZED PROFIT OR LOSS FOR MONTH						.00*
			- - - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - - -			
12/21/99	2		JUL00 CBT SOYBNS 5.000 C	.26		4,425.00
			OPT EXP 6/23/00 LAST TRD 7/21/00			
1/13/00	2		JUL00 CBT SOYBNS 5.000 C	.35		4,425.00
			OPT EXP 6/23/00 LAST TRD 7/21/00			
	4*		OPTIONS MARKET VALUE			8,850.00*
			SETTLEMENT PRICE	.44 1/4		
			IN THE MONEY	7,850.00		
3/10/00	2		JUL00 COTTON 64.000 C	3.10		160.00
			OPT EXP 6/23/00 LAST TRD 6/09/00			
	2*		OPTIONS MARKET VALUE			160.00*
			SETTLEMENT PRICE	.16		
			IN THE MONEY	.00		
2/11/00	1		JUL00 UNLEAD GAS 78.000 C	6.00		2,150.40
			OPT EXP 6/27/00			
	1*		OPTIONS MARKET VALUE			2,150.40*
			SETTLEMENT PRICE	5.12		
			IN THE MONEY	630.00		
3/02/00	2		JUL00 UNLEAD GAS 83.000 C	6.80		2,578.80
			OPT EXP 6/27/00 LAST TRD 6/23/00			
	2*		OPTIONS MARKET VALUE			2,578.80*
			SETTLEMENT PRICE	3.07		
			IN THE MONEY	.00		
			TOTAL OPEN TRADE EQUITY			.00
			TOTAL EQUITY			.00
			TOTAL LONG OPTION MARKET VALUE			13,739.20
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDITY			13,739.20

Grains in 000's

Retain for Tax Records

Terms and Conditions Data Processing by

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
MAY 31, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

CUSTOMER

PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
5/01/00			BALANCE FORWARD US DOLLARS SEG			.00
5/03/00			COMMISSION CHARGE		310.00	
5/03/00	4	4	JUL00 CBT SOYBNS	5.000 C P&S		
5/03/00		4	JUL00 CBT SOYBNS	5.000 C CONF		14,779.64
5/03/00	2		DEC00 CBT MEAL	180.000 C CONF	5,945.18	
5/04/00	CK#104766 CASH DISB HONE				8,524.46	
5/09/00	1	1	JUL00 UNLEAD GAS	78.000 C P&S		
5/09/00		1	JUL00 UNLEAD GAS	78.000 C CONF		5,598.91
5/10/00	COMMISSION CHARGE				155.00	
5/10/00	1		DEC00 CBT WHEAT	2.800 C CONF	2,283.84	
5/11/00	CK#104810 CASH DISB HONE				3,160.07	
5/25/00	COMMISSION CHARGE				155.00	
5/25/00	2	2	JUL00 UNLEAD GAS	83.000 C P&S		
5/25/00		2	JUL00 UNLEAD GAS	83.000 C CONF		10,945.82
5/25/00	1		OCT00 UNLEAD GAS	80.000 P CONF	3,227.79	
5/26/00	CK#104892 CASH DISB HONE				7,563.03	

5/31/00 ACCOUNT BALANCE -US DOLLARS SEG 00*

NET REALIZED PROFIT OR LOSS FOR MONTH 19,867.56*

- - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - -

5/10/00	1	DEC00 CBT WHEAT 2.800 C .31 1/2		1,587.50
		OPT EXP 11/17/00 LAST TRD 11/17/00		
	1*	OPTIONS MARKET VALUE		1,587.50*
		SETTLEMENT PRICE .31 3/4		
		IN THE MONEY 1,087.50		
5/03/00	2	DEC00 CBT MEAL 180.000 C 20.50		1,800.00
		OPT EXP 11/17/00 LAST TRD 11/17/00		
	2*	OPTIONS MARKET VALUE		1,800.00*
		SETTLEMENT PRICE 9.00		
		IN THE MONEY .00		
3/10/00	2	JUL00 COTTON 64.000 C 3.10		690.00
		OPT EXP 6/16/00 LAST TRD 6/09/00		
	2*	OPTIONS MARKET VALUE		690.00*
		SETTLEMENT PRICE .69		
		IN THE MONEY .00		
5/25/00	1	OCT00 UNLEAD GAS 80.000 P 5.30		2,305.80
		OPT EXP 9/26/00 LAST TRD 9/22/00		
	1*	OPTIONS MARKET VALUE		2,305.80*
		SETTLEMENT PRICE 5.49		
		IN THE MONEY .00		
		TOTAL OPEN TRADE EQUITY		.00

- - - - - - C O N T I N U E D O N N E X T P A G E - - - - - -

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE
MAY 31, 2000
ACCOUNT NUMBER
11638 B2884

CUSTOMER

PAGE 2

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
- - - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - - -						
			TOTAL EQUITY			.00
			TOTAL LONG OPTION MARKET VALUE			6,383.30
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDITY			6,383.30

Grains in 000's

Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Rolfe & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,. NM 87114

DATE
JUN 7, 2000
ACCOUNT NUMBER
11638 B2884

Segregated Accounts US DOLLARS PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
6/06/00			ACCOUNT BALANCE -US DOLLARS FUNDS SEG		2,003.84	
			LA DEP HONE PC 06/07/00			2,004.00
			CURRENT ACCOUNT BALANCE -US DOLLARS FUNDS			.16 *

- - - - - - - - - - - - - - - M A R G I N S U M M A R Y - - - - - - - - - - - - - - -

INITIAL MARGIN	.00
EXCESS CASH	.16

Grains in 000's Retain for Tax Records Terms and Conditions on Reverse Side
Data Processing by Rolly & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE
JUN 14, 2000
ACCOUNT NUMBER
11638 B2884

Segregated Accounts US DOLLARS

PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
6/13/00			ACCOUNT BALANCE -US DOLLARS FUNDS SEG		3,127.43	
			LA DEP HONE PC 06/14/00			3,128.00
CURRENT ACCOUNT BALANCE -US DOLLARS FUNDS						.57 *

- - - - - - - - - - - - - - - M A R G I N S U M M A R Y - - - - - - - - - - - - - - -

INITIAL MARGIN	.00
EXCESS CASH	.57

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
JUN 21, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

Segregated Accounts US DOLLARS PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
6/19/00			ACCOUNT BALANCE -US DOLLARS FUNDS SEG			.57
			- - - - - CONFIRMATIONS - - - - -			
			WE HAVE MADE THIS DAY THE FOLLOWING TRADES FOR YOUR ACCOUNT AND RISK.			
	1		DEC00 CBT WHEAT 3.000 C	.23	1,150.00	
	1*	*	PREMIUM PAID		1,150.00	*
			EXP 11/17/00 LST TRD 11/17/00			
			COMM		517.50	
			FEES		.09	
			RECAP OF COMMISSIONS AND FEES.			
			COMM		517.50	
			NFA		.09	
			TOTAL OPTION PREMIUM ON CONFIRMATIONS		1,150.00 *	
			COMMISSIONS AND FEES ON CONFIRMATIONS		517.59	
			COMMISSION CHARGE		155.00	
			CURRENT ACCOUNT BALANCE -US DOLLARS FUNDS		1,822.02 *	
			- - - - - MARGIN SUMMARY - - - - -			
			INITIAL MARGIN			.00
			MARGIN DEFICIT			1,822.02-

Grains in 000's   Retain for Tax Records   Terms and Conditions on Reverse Side
Data Processing by Rolfe & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE
JUN 22, 2000
ACCOUNT NUMBER
11638 B2884

Segregated Accounts US DOLLARS

PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
6/21/00			ACCOUNT BALANCE -US DOLLARS FUNDS SEG		1,822.02	
			LADEP HONE PC 06/22/00			1,823.00
			CURRENT ACCOUNT BALANCE -US DOLLARS FUNDS			.98 *

- - - - - - - - - - - - - - M A R G I N S U M M A R Y - - - - - - - - - - - - - - -

INITIAL MARGIN	.00
EXCESS CASH	.98

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE	ACCOUNT NUMBER	
JUN 30, 2000	11638	B2884

CUSTOMER

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
6/01/00			BALANCE FORWARD US DOLLARS SEG			.00
6/06/00			COMMISSION CHARGE		155.00	
6/06/00	1		DEC00 CBT WHEAT	2.800 C CONF	1,848.84	
6/07/00			LA DEP HONE PC 06/07/00			2,004.00
6/13/00			COMMISSION CHARGE		155.00	
6/13/00	1		SEP00 IMM S-FRNC	.620 C CONF	2,972.59	
6/14/00			LA DEP HONE PC 06/14/00			3,128.00
6/19/00	2		JUL00 COTTON	64.000 C EXPIRE		
6/21/00			COMMISSION CHARGE		155.00	
6/21/00	1		DEC00 CBT WHEAT	3.000 C CONF	1,667.59	
6/22/00			LADEP HONE PC 06/22/00			1,823.00

6/30/00 ACCOUNT BALANCE -US DOLLARS SEG 98*

NET REALIZED PROFIT OR LOSS FOR MONTH 6,489.02 *

- - - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - - -

5/10/00	1	DEC00 CBT WHEAT 2.800 C .31 1/2		1,075.00
		OPT EXP 11/17/00 LAST TRD 11/17/00		
6/06/00	1	DEC00 CBT WHEAT 2.800 C .25 1/2		1,075.00
		OPT EXP 11/17/00 LAST TRD 11/17/00		
	2*	OPTIONS MARKET VALUE		2,150.00*
		SETTLEMENT PRICE .21 1/2		
		IN THE MONEY 850.00		
6/21/00	1	DEC00 CBT WHEAT 3.000 C .23		675.00
		OPT EXP 11/17/00 LAST TRD 11/17/00		
	1*	OPTIONS MARKET VALUE		675.00*
		SETTLEMENT PRICE .13 1/2		
		IN THE MONEY .00		
5/03/00	2	DEC00 CBT MEAL 180.000 C 20.50		520.00
		OPT EXP 11/17/00 LAST TRD 11/17/00		
	2*	OPTIONS MARKET VALUE		520.00*
		SETTLEMENT PRICE 2.60		
		IN THE MONEY .00		
6/13/00	1	SEP00 IMM S-FRNC .620 C 1.640		1,412.50
		OPT EXP 9/08/00 LAST TRD 9/08/00		
	1*	OPTIONS MARKET VALUE		1,412.50*
		SETTLEMENT PRICE 1.130		
		IN THE MONEY .00		
5/25/00	1	OCT00 UNLEAD GAS 80.000 P 5.30		1,062.60

- - - - - - C O N T I N U E D O N N E X T P A G E - - - - - -

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
JUN 30, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

CUSTOMER

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
- - - - - - - - - - - - - - - - O P E N			P O S I T I O N S - - - - - - - - - - - - - - - -			
			OPT EXP 9/26/00 LAST TRD 9/22/00			
	1*		OPTIONS MARKET VALUE			1,062.60*
			SETTLEMENT PRICE	2.53		
			IN THE MONEY	.00		
			TOTAL OPEN TRADE EQUITY			.00
			TOTAL EQUITY			.98
			TOTAL LONG OPTION MARKET VALUE			5,820.10
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDITY			5,821.08

Grains in 000's

Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Rolfe & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
JUL 31, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

CUSTOMER

PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
7/01/00			BALANCE FORWARD US DOLLARS SEG			.98
7/31/00			ACCOUNT BALANCE -US DOLLARS SEG			.98*
NET REALIZED PROFIT OR LOSS FOR MONTH						.00*
- - - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - - -						
5/10/00	1		DEC00 CBT WHEAT OPT EXP 11/17/00 LAST TRD 11/17/00	2.800 C .31 1/2		412.50
6/06/00	1		DEC00 CBT WHEAT OPT EXP 11/17/00 LAST TRD 11/17/00	2.800 C .25 1/2		412.50
	2*		OPTIONS MARKET VALUE			825.00*
			SETTLEMENT PRICE .08 1/4			
			IN THE MONEY .00			
6/21/00	1		DEC00 CBT WHEAT OPT EXP 11/17/00 LAST TRD 11/17/00	3.000 C .23		225.00
	1*		OPTIONS MARKET VALUE			225.00*
			SETTLEMENT PRICE .04 1/2			
			IN THE MONEY .00			
5/03/00	2		DEC00 CBT MEAL OPT EXP 11/17/00 LAST TRD 11/17/00	180.000 C 20.50		170.00
	2*		OPTIONS MARKET VALUE			170.00*
			SETTLEMENT PRICE .85			
			IN THE MONEY .00			
6/13/00	1		SEP00 IMM S-FRNC OPT EXP 9/08/00 LAST TRD 9/08/00	.620 C 1.640		362.50
	1*		OPTIONS MARKET VALUE			362.50*
			SETTLEMENT PRICE .290			
			IN THE MONEY .00			
5/25/00	1		OCT00 UNLEAD GAS OPT EXP 9/26/00 LAST TRD 9/26/00	80.000 P 5.30		2,242.80
	1*		OPTIONS MARKET VALUE			2,242.80*
			SETTLEMENT PRICE 5.34			
			IN THE MONEY 831.60			
			TOTAL OPEN TRADE EQUITY			.00
			TOTAL EQUITY			.98
			TOTAL LONG OPTION MARKET VALUE			3,825.30

- - - - - - C O N T I N U E D O N N E X T P A G E - - - - - -

Terms and Conditions on Reverse Side
Trade Processing by Rolfe & Nash

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
JUL 31, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

CUSTOMER

PAGE 2

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
- - - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - - -						
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDITY			3,826.28

Grains in 000's

Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Rolfe & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
AUG 31, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE	BOUGHT LONG	SOLD SHORT	CUSTOMER COMMODITY/OPTION DESCRIPTION	PRICE	DEBIT	CREDIT
- - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - -						
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDITY			1,818.98

Grains in 000's

Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Rolle & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
AUG 31, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

CUSTOMER

PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
8/01/00	BALANCE FORWARD		US DOLLARS SEG			.98
8/31/00	ACCOUNT BALANCE -US DOLLARS SEG					.98*
NET REALIZED PROFIT OR LOSS FOR MONTH						.00*
			O P E N P O S I T I O N S			
5/10/00	1		DEC00 CBT WHEAT OPT EXP 11/17/00 LAST TRD 11/17/00	2.800 C .31 1/2		425.00
6/06/00	1		DEC00 CBT WHEAT OPT EXP 11/17/00 LAST TRD 11/17/00	2.800 C .25 1/2		425.00
	2*		OPTIONS MARKET VALUE			850.00*
			SETTLEMENT PRICE	.08 1/2		
			IN THE MONEY	.00		
6/21/00	1		DEC00 CBT WHEAT OPT EXP 11/17/00 LAST TRD 11/17/00	3.000 C .23		218.75
	1*		OPTIONS MARKET VALUE			218.75*
			SETTLEMENT PRICE	.04 3/8		
			IN THE MONEY	.00		
5/03/00	2		DEC00 CBT MEAL OPT EXP 11/17/00 LAST TRD 11/17/00	180.000 C 20.50		680.00
	2*		OPTIONS MARKET VALUE			680.00*
			SETTLEMENT PRICE	3.40		
			IN THE MONEY	.00		
6/13/00	1		SEP00 IMM S-FRNC OPT EXP 9/08/00 LAST TRD 9/08/00	.620 C 1.640		6.25
	1*		OPTIONS MARKET VALUE			6.25*
			SETTLEMENT PRICE	.005		
			IN THE MONEY	.00		
5/25/00	1		OCT00 UNLEAD GAS OPT EXP 9/26/00 LAST TRD 9/26/00	80.000 P 5.30		63.00
	1*		OPTIONS MARKET VALUE			63.00*
			SETTLEMENT PRICE	.15		
			IN THE MONEY	.00		
			TOTAL OPEN TRADE EQUITY			.00
			TOTAL EQUITY			.98
			TOTAL LONG OPTION MARKET VALUE			1,818.00

- - - - - - C O N T I N U E D O N N E X T P A G E - - - - - -

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE
OCT 31, 2000
ACCOUNT NUMBER
11638 B2884

CUSTOMER

PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY/OPTION DESCRIPTION	PRICE	DEBIT	CREDIT
10/01/00			BALANCE FORWARD US DOLLARS SEG			.98
10/31/00			ACCOUNT BALANCE -US DOLLARS SEG			.98*
			NET REALIZED PROFIT OR LOSS FOR MONTH			.00*
			- - - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - - -			
5/10/00	1		DEC00 CBT WHEAT 2.800 C .31 1/2			25.00
			OPT EXP 11/17/00 LAST TRD 11/17/00			
6/06/00	1		DEC00 CBT WHEAT 2.800 C .25 1/2			25.00
			OPT EXP 11/17/00 LAST TRD 11/17/00			
	2*		OPTIONS MARKET VALUE			50.00*
			SETTLEMENT PRICE .00 1/2			
			IN THE MONEY .00			
6/21/00	1		DEC00 CBT WHEAT 3.000 C .23			6.25
			OPT EXP 11/17/00 LAST TRD 11/17/00			
	1*		OPTIONS MARKET VALUE			6.25*
			SETTLEMENT PRICE .00 1/8			
			IN THE MONEY .00			
5/03/00	2		DEC00 CBT MEAL 180.000 C 20.50			130.00
			OPT EXP 11/17/00 LAST TRD 11/17/00			
	2*		OPTIONS MARKET VALUE			130.00*
			SETTLEMENT PRICE .65			
			IN THE MONEY .00			
			TOTAL OPEN TRADE EQUITY			.00
			TOTAL EQUITY			.98
			TOTAL LONG OPTION MARKET VALUE			186.25
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDITY			187.23

Grains in 000's

Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Rothe & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
SEP 30, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY/OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
9/01/00			BALANCE FORWARD US DOLLARS SEG			.98
9/11/00	1		SEP00 IMM S-FRNC	.620 C EXPIRE		
9/27/00	1		OCT00 UNLEAD GAS	80.000 P EXPIRE		
9/30/00			ACCOUNT BALANCE -US DOLLARS SEG			.98*
NET REALIZED PROFIT OR LOSS FOR MONTH						.00*
- - - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - - -						
5/10/00	1		DEC00 CBT WHEAT	2.800 C .31 1/2		287.50
			OPT EXP 11/17/00 LAST TRD 11/17/00			
6/06/00	1		DEC00 CBT WHEAT	2.800 C .25 1/2		287.50
			OPT EXP 11/17/00 LAST TRD 11/17/00			
	2*		OPTIONS MARKET VALUE			575.00*
			SETTLEMENT PRICE	.05 3/4		
			IN THE MONEY	.00		
6/21/00	1		DEC00 CBT WHEAT	3.000 C .23		143.75
			OPT EXP 11/17/00 LAST TRD 11/17/00			
	1*		OPTIONS MARKET VALUE			143.75*
			SETTLEMENT PRICE	.02 7/8		
			IN THE MONEY	.00		
5/03/00	2		DEC00 CBT MEAL	180.000 C 20.50		420.00
			OPT EXP 11/17/00 LAST TRD 11/17/00			
	2*		OPTIONS MARKET VALUE			420.00*
			SETTLEMENT PRICE	2.10		
			IN THE MONEY	.00		
			TOTAL OPEN TRADE EQUITY			.00
			TOTAL EQUITY			.98
			TOTAL LONG OPTION MARKET VALUE			1,138.75
			TOTAL SHORT OPTION MARKET VALUE			.00
			NET LIQUIDITY			1,139.73

Grains in 000's

Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Rolfe & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
NOV 30 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

CUSTOMER COPY

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNTS DEBIT	CREDIT
11/01/00 BALANCE FORWARD US DOLLARS SEG						.98
11/20/00	2		DEC00 CBT WHEAT	2.800 C EXPIRE		
11/20/00	1		DEC00 CBT WHEAT	3.000 C EXPIRE		
11/20/00	2		DEC00 CBT MEAL	180.000 C EXPIRE		
11/30/00 ACCOUNT BALANCE -US DOLLARS SEG						.98*
NET REALIZED PROFIT OR LOSS FOR MONTH						.00*

Grains in 000's

Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Roffe & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
DEC 5, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

Segregated Accounts US DOLLARS PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
11/20/00			ACCOUNT BALANCE -US DOLLARS FUNDS SEG			.98

- - - - - - - - - - - - - - - - C O N F I R M A T I O N S - - - - - - - - - - - - - - -

WE HAVE MADE THIS DAY THE FOLLOWING TRADES FOR YOUR ACCOUNT AND RISK.

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
	1		APR01 NY CRUDE 29.000 P	2.35	2,350.00	
	1*	*	PREMIUM PAID		2,350.00	*
			EXP 3/15/01 LST TRD 3/20/01			
			COMM		1,057.50	
			FEES		.09	

RECAP OF COMMISSIONS AND FEES.

COMM	1,057.50	
NFA	.09	
TOTAL OPTION PREMIUM ON CONFIRMATIONS	2,350.00 *	
COMMISSIONS AND FEES ON CONFIRMATIONS	1,057.59	

- -

COMMISSION CHARGE	155.00	
CURRENT ACCOUNT BALANCE -US DOLLARS FUNDS	3,561.61 *	

- - - - - - - - - - - - - - - M A R G I N   S U M M A R Y - - - - - - - - - - - - - - -

INITIAL MARGIN	.00
MARGIN DEFICIT	3,561.61-

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 879 1000

DATE
DEC 13, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

Segregated Accounts US DOLLARS PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
12/05/00			ACCOUNT BALANCE -US DOLLARS FUNDS SEG		3,561.61	
			LA DEP HONE PC 12/13/00			3,562.00
			CURRENT ACCOUNT BALANCE -US DOLLARS FUNDS			.39 *

- - - - - - - - - - - - - - - M A R G I N S U M M A R Y - - - - - - - - - - - - - - -

INITIAL MARGIN	.00
EXCESS CASH	.39

Grains in 000's Retain for Tax Records

Terms and Conditions on Reverse Side
Data Processing by Rolfe & Nolan

SIEGEL TRADING COMPANY, INC
118 N. CLINTON STREET
SUITE 200
CHICAGO, IL 60661

TELEPHONE: 312 679 1000

DATE
DEC 31, 2000
ACCOUNT NUMBER
11638 B2884

J.R. HONE
9220 GUADALUPE TRAIL N.W.
ALBUQUERQUE,, NM 87114

CUSTOMER

PAGE 1

DATE	BOUGHT LONG	SOLD SHORT	COMMODITY / OPTION DESCRIPTION	PRICE	AMOUNT DEBIT	AMOUNT CREDIT
12/01/00			BALANCE FORWARD US DOLLARS SEG			.98
12/05/00			COMMISSION CHARGE		155.00	
12/05/00	1		APR01 NY CRUDE	29.000 P CONF	3,407.59	
12/13/00			LA DEP HONE PC 12/13/00			3,562.00
12/31/00			ACCOUNT BALANCE -US DOLLARS SEG			.39*
NET REALIZED PROFIT OR LOSS FOR MONTH					3,407.59	*

- - - - - - - - - - - - - - - O P E N P O S I T I O N S - - - - - - - - - - - - - - -

12/05/00	1	APR01 NY CRUDE 29.000 P 2.35			4,330.00
		OPT EXP 3/15/01 LAST TRD 3/20/01			
	1*	OPTIONS MARKET VALUE			4,330.00*
		SETTLEMENT PRICE 4.33			
		IN THE MONEY 3,410.00			
		TOTAL OPEN TRADE EQUITY			.00
		TOTAL EQUITY			.39
		TOTAL LONG OPTION MARKET VALUE			4,330.00
		TOTAL SHORT OPTION MARKET VALUE			.00
		NET LIQUIDITY			4,330.39

Grains in 000's

Retain for Tax Records

Terms and Conditions on Reverse Side.
Data Processing by Rolfe & Nolan

harles Schwab Statement

IRA ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4461-1603	DEC 1 - DEC 31 2000	NOV 2000	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
JAY R HONE CHARLES SCHWAB & CO INC.CUST SEP-IRA PO BOX 10565 ALBUQUERQUE NM 87184-0565	2400 LOUISIANA BLVD NE AFC #5 ALBUQUERQUE NM ** MAIL TO: P.O. BOX 173797 DENVER, CO 80217

FOR QUESTIONS, CALL SCHWAB SIGNATURE SERVICES 1-800-435-8804 *** ACCOUNT OPENED IN: 1999

STATUS OF KEY VALUES AS OF DEC 31 2000

Investments Owned	$9,056.25
Money Funds Balance	$35.63
Net Portfolio Value	$9,091.88
Ending Cash Balance	$.00
TOTAL ACCOUNT VALUE	$9,091.88
Change in Value from 11/00	($2,406.22)
Total Funds Available	$35.63

ACCOUNT TRANSACTION SUMMARY

OPENING CASH BALANCE	$.00
Investment Income	$6.28
Money Fund Purch/Reinvest	($6.28)
ENDING CASH BALANCE	$.00

INVESTMENT INCOME SUMMARY

SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
Cash Dividends	$6.00	$76.00
Money Funds Dividends	$.28	$54.86
TOTAL INVESTMENT INCOME	$6.28	$130.86

RATE SUMMARY AS OF 12/31

MMF Yield*	5.95%
TEF Yield*	3.97%
GSF Yield*	5.77%
STF Yield*	5.59%
Schwab 1 Yield	5.56%
Margin Loan Rate	9.25% to 10.75%

* 7 Day Annualized Yield. Please see reverse for more information.

SEP/IRA CONTRIBUTION SUMMARY

	EMPLOYER	REGULAR	ROLLOVERS
For 1999	$0.00	$0.00	$0.00
For 2000	$12,900.00	$0.00	$0.00

PORTFOLIO POSITION DETAIL

CATEGORY	ST	LONG/SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS	C	Long	INTC	300	INTEL CORP	30.0625	$9,018.75
	C	Long	TOHU	200	TAKEOUTMUSIC.COM HLDGS F	.1875	$37.50
MONEY FUNDS	C	Long		35.63	SCHWAB US TREAS MONEY FD	1.0000	$35.63
Net Portfolio Value					$9,091.88		

* * * CONTINUED ON NEXT PAGE * * *

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From 01 / 01 / 2000 to 12 / 31 / 2000
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All Transactions From 01/01/2000 To 12/31/2000
To sort, click on column title

Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
12/31/2000		0.2800	SWUXX	SCHWAB US TREAS MONEY FD type: DIVIDEND			
12/04/2000	Buy	6.0000	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	-$6.00	
12/01/2000			INTC	INTEL CORP type: DIVIDEND		$6.00	
10/16/2000		0.3600	SWUXX	SCHWAB US TREAS MONEY FD type: DIVIDEND			
10/04/2000	Sell	85.3300	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	$85.33	
09/29/2000	Buy	300.0000	INTC	INTEL CORP	$42.75000	-$12854.95	$29.95
09/28/2000	Sell	200.0000	PG	PROCTER & GAMBLE CO	$64.00000	$12769.62	$29.95
09/15/2000		0.6200	SWUXX	SCHWAB US TREAS MONEY FD type: DIVIDEND			
08/16/2000	Buy	70.0000	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	-$70.00	
08/15/2000			PG	PROCTER & GAMBLE CO type: DIVIDEND		$70.00	
08/15/2000		0.2900	SWUXX	SCHWAB US TREAS MONEY FD type: DIVIDEND			
07/17/2000		0.3200	SWUXX	SCHWAB US TREAS MONEY FD type: DIVIDEND			
06/15/2000		2.1800	SWUXX	SCHWAB US TREAS MONEY FD type: DIVIDEND			
06/02/2000	Sell	779.9500	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	$779.95	
05/30/2000	Buy	200.0000		TAKEOUTMUSIC.COM HLDGXXX1 FOR 25 REVERSE SPLIT	$3.75000	-$779.95	$29.95
05/15/2000		38.3500	SWUXX	SCHWAB US TREAS MONEY FD			

05/15/2000		38.3500	SWUXX	SCHWAB US TREAS MONEY FD type: DIVIDEND			
05/09/2000	Sell	12129.9500	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	$12129.95	
05/04/2000	Buy	200.0000	PG	PROCTER & GAMBLE CO	$60.50000	-$12129.95	$29.95
04/17/2000		12.4800	SWUXX	SCHWAB US TREAS MONEY FD type: DIVIDEND			
04/11/2000	Buy	12900.0000	SWUXX	SCHWAB US TREAS MONEY FD	$1.00000	-$12900.00	
04/10/2000				IRA REGULAR CONTRIB type: DEPOSIT		$12900.00	

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Account 4461-1603
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IRA ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4461-1603	DEC 1 - DEC 31 2000	NOV 2000	2

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
*****			Opening Cash Balance		$.00
12/01	Cash Dividend		INTEL CORP		$6.00
12/04	Bought 12/04		SCHWAB US TREAS MONEY FD	1.0000	($6.00)
*****			Ending Cash Balance		$.00

MONEY FUNDS DETAIL

DESCRIPTION	OPENING SHARES	SHARES PURCHASED	SHARES REDEEMED	NET DIVIDEND REINVESTED	CLOSING SHARES
SCHWAB US TREAS MONEY FD Div: 12/31 Yield 5.64%	29.35	6.00	.00	0.28	35.63

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CUSTODIAL ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4461-1718	DEC 1 - DEC 31 2000	NOV 2000	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
JAY R HONE CUST FOR JOSHUA PAUL HONE UNMUTMA PO BOX 10565 ALAMEDA NM 87114	2400 LOUISIANA BLVD NE AFC #5 ALBUQUERQUE NM ** MAIL TO: P.O. BOX 173797 DENVER, CO 80217

FOR QUESTIONS, CALL SCHWAB SIGNATURE SERVICES 1-800-435-8804 *** ACCOUNT OPENED IN: 1993

STATUS OF KEY VALUES AS OF DEC 31 2000

Investments Owned	$8,968.77
Money Funds Balance	$140.20
Net Portfolio Value	$9,108.97
Ending Cash Balance	$.00
TOTAL ACCOUNT VALUE	$9,108.97
Change in Value from 11/00	($1,621.52)
Total Funds Available	$140.20

ACCOUNT TRANSACTION SUMMARY

OPENING CASH BALANCE	$.00
Investment Income	$7.28
Total Credits	$7.28
Investment Purchases	($6.40)
Money Fund Purch/Reinvest	($.88)
Total Debits	($7.28)
ENDING CASH BALANCE	$.00

INVESTMENT INCOME SUMMARY

SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
FEDERALLY TAXABLE		
Cash Dividends	$6.40	$288.40
Money Funds Dividends	$.88	$12.94
TOTAL INVESTMENT INCOME	$7.28	$301.34

RATE SUMMARY AS OF 12/31

MMF Yield*	5.95%
TEF Yield*	3.97%
GSF Yield*	5.77%
STF Yield*	5.59%
Schwab 1 Yield	5.56%
Margin Loan Rate	9.25% to 10.75%

* 7 Day Annualized Yield. Please see reverse for more information.

PORTFOLIO POSITION DETAIL

CATEGORY	S T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS	C	Long	CENL	12	CENTENNIAL TECH INC NEW	11.3750	$136.50
	C	Long	DIS	30.7047	DISNEY WALT HLDG CO Dividends Reinvested	28.9375	$888.52
	C	Long	MESA	500	MESA AIR GROUP INC NEV	7.0000	$3,500.00
	C	Long	PSCX	2300	P S C INC	.7500	$1,725.00
	C	Long	THA	300	THORNBURG MORTGAGE INC	9.0625	$2,718.75
MONEY FUNDS	C	Long		140.20	SCHWAB MONEY MARKET FUND	1.0000	$140.20
Net Portfolio Value					$9,108.97		

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
*****			Opening Cash Balance		$.00
12/22	Div For Reinvest		DISNEY WALT HLDG CO		$6.40

* * * CONTINUED ON NEXT PAGE * * *

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CUSTODIAL ACCOUNT

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AQ 4461-1718	DEC 1 - DEC 31 2000	NOV 2000	2

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/26	Reinvested Shares	.2367	DISNEY WALT HLDG CO	27.0343	($6.40)
*****			Ending Cash Balance		$.00

MONEY FUNDS DETAIL

DESCRIPTION	OPENING SHARES	SHARES PURCHASED	SHARES REDEEMED	NET DIVIDEND REINVESTED	CLOSING SHARES
SCHWAB MONEY MARKET FUND Div: 12/31 Yield 5.95%	139.32	.00	.00	0.88	140.20

OPEN ORDERS AS OF DEC 31 2000

DATE ENTERED	ACTION	WILL EXPIRE	QUANTITY	DESCRIPTION	LIMIT PRICE	LATEST PRICE
12/14/00	Sell	02/12/01	1,000	P S C INC	7.5000	.7500

Orders expire as of close of business on expiration date listed above.

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All Transactions From 01/01/2000 To 12/31/2000
To sort, click on column title

Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
12/31/2000		0.8800	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
12/26/2000	Buy	0.2367	DIS	DISNEY WALT HLDG CO type: REINVEST DIVIDEND	$27.03430	-$6.40	
12/22/2000			DIS	DISNEY WALT HLDG CO type: DIV DISTRIBUTION		$8.40	
11/20/2000	Buy	75.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$75.00	
11/17/2000			TMA	THORNBURG MORTGAGE INC type: DIVIDEND		$75.00	
11/15/2000		0.3000	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
10/16/2000		2.5100	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
10/06/2000	Sell	704.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$704.95	
10/03/2000	Buy	300.0000	PSCX	P S C INC	$2.25000	-$704.95	$29.95
09/15/2000		4.3500	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
08/23/2000	Sell	3279.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$3279.95	
08/21/2000	Buy	3858.8500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$3858.85	
08/18/2000	Buy	500.0000	PSCX	P S C INC	$3.25000	-$1639.98	$14.98
08/18/2000	Buy	500.0000	PSCX	P S C INC	$3.25000	-$1639.97	$14.97
08/17/2000			TMA	THORNBURG MORTGAGE INC type: DIVIDEND		$69.00	
08/15/2000		0.8700	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
08/11/2000	Sell	600.0000		CENTENNIAL TECH INC	$12.25000	$7319.80	$29.95

				NXXXSTOCK MERGER			
08/11/2000	Buy	1000.0000	PSCX	P S C INC	$3.50000	-$3529.95	$29.95
07/17/2000		0.9600	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
06/15/2000		0.8700	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
05/22/2000	Buy	69.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$69.00	
05/17/2000			TMA	THORNBURG MORTGAGE INC type: DIVIDEND		$69.00	
05/15/2000		0.5600	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
04/28/2000		300.0000	TMA	THORNBURG MORTGAGE INC type: N/C AND SPLIT			
04/28/2000		300.0000		THORNBURG MTG ASSET CXXX N/C TO THORNBURG MORTGA type: N/C AND SPLIT			
04/17/2000		0.6600	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
03/15/2000		0.5200	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
02/22/2000	Buy	69.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$69.00	
02/17/2000				THORNBURG MTG ASSET CXXXN/C TO THORNBURG type: DIVIDEND		$69.00	
02/15/2000		0.2800	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
01/18/2000		0.1800	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			

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Account 4461-1718
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CUSTODIAL ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
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TITLE OF ACCOUNT

JAY R HONE CUST FOR
JOSHUA PAUL HONE UNMUTMA
PO BOX 10565
ALAMEDA NM 87114

OFFICE SERVING YOUR ACCOUNT

2400 LOUISIANA BLVD NE AFC #5
ALBUQUERQUE NM 87110
AQ BRANCH EXTENDED IRA HOURS
APRIL 12TH-15TH 8AM TO 7PM

*** FOR QUESTIONS ABOUT THIS STATEMENT: CALL 1-800-435-4000 *** ACCOUNT OPENED IN: 1993

STATUS OF KEY VALUES AS OF DEC 31 1999

Investments Owned	$12,167.19
Money Funds Balance	$40.31
Net Portfolio Value	$12,207.50
Ending Cash Balance	$.00
TOTAL ACCOUNT VALUE	$12,207.50
Change in Value from 11/99	$3,385.48
Total Funds Available	$40.31

ACCOUNT TRANSACTION SUMMARY

OPENING CASH BALANCE	$.00
Money Fund Redempts	$1,548.70
Investment Income	$15.81
Total Credits	$1,564.51
Investment Purchases	($1,555.05)
Money Fund Purch/Reinvest	($9.46)
Total Debits	($1,564.51)
ENDING CASH BALANCE	$.00

INVESTMENT INCOME SUMMARY

SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
FEDERALLY TAXABLE		
Cash Dividends	$6.35	$282.35
Money Funds Dividends	$9.46	$62.37
TOTAL INVESTMENT INCOME	$15.81	$344.72

RATE SUMMARY AS OF 12/31

MMF Yield*	5.31%
TEF Yield*	3.83%
GSF Yield*	4.88%
STF Yield*	4.63%
Schwab 1 Yield	4.70%
Margin Loan Rate	8.00%
to	9.50%

* 7 Day Annualized Yield. Please see reverse for more information.

PORTFOLIO POSITION DETAIL

CATEGORY	S T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS		C Long	CENL	612	CENTENNIAL TECH INC NEW	10.5000	$6,426.00
		C Long	DIS	30.4680	DISNEY WALT HLDG CO Dividends Reinvested	29.2500	$891.19
		C Long	MESA	500	MESA AIR GROUP INC NEV	4.7500	$2,375.00
		C Long	THA	300	THORNBURG MTG ASSET CORP	8.2500	$2,475.00
MONEY FUNDS		C Long		40.31	SCHWAB MONEY MARKET FUND	1.0000	$40.31
Net Portfolio Value					$12,207.50		

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
*****			Opening Cash Balance		$.00
12/17	Div For Reinvest		DISNEY WALT HLDG CO		$6.35
12/20	Reinvested Shares	.2268	DISNEY WALT HLDG CO	28.0000	($6.35)

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Charles Schwab — Statement

CUSTODIAL ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4461-1718	DEC 1 - DEC 31 1999	NOV 1999	2

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION		QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/29	Bought	12/23	300	CENTENNIAL TECH INC NEW	5.0625	($1,548.70)
12/29	Redeemed	12/29		SCHWAB MONEY MARKET FUND	1.0000	$1,548.70
*****				Ending Cash Balance		$.00

MONEY FUNDS DETAIL

DESCRIPTION	OPENING SHARES	SHARES PURCHASED	SHARES REDEEMED	NET DIVIDEND REINVESTED	CLOSING SHARES
SCHWAB MONEY MARKET FUND	1,579.55	.00	1,548.70		40.31
Div: 12/31 Yield 5.13%				9.46	

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IRA ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 9453-3994	DEC 1 - DEC 31 2000	NOV 2000	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
HEATHER ANN WILSON UTA CHARLES SCHWAB & CO INC SEP-IRA DTD 04/02/93 9220 GUADALUPE TRAIL NW ALBUQUERQUE NM 87114-1718	2400 LOUISIANA BLVD NE AFC #5 ALBUQUERQUE NM ** MAIL TO: P.O. BOX 173797 DENVER, CO 80217

*** FOR QUESTIONS ABOUT THIS STATEMENT: CALL 1-800-435-4000 *** ACCOUNT OPENED IN: 1993

STATUS OF KEY VALUES AS OF DEC 31 2000

Investments Owned	$57,417.02
Money Funds Balance	$907.29
Net Portfolio Value	$58,324.31
Ending Cash Balance	$.00
TOTAL ACCOUNT VALUE	$58,324.31
Change in Value from 11/00	($6,940.78)
Total Funds Available	$907.29

ACCOUNT TRANSACTION SUMMARY

OPENING CASH BALANCE	$.00
Investment Sales	$17,892.62
Investment Income	$258.52
Total Credits	$18,151.14
Investment Purchases	($17,602.09)
Money Fund Purch/Reinvest	($549.05)
Total Debits	($18,151.14)
ENDING CASH BALANCE	$.00

INVESTMENT INCOME SUMMARY

SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
Cash Dividends	$254.06	$633.22
Money Funds Dividends	$4.46	$404.69
TOTAL INVESTMENT INCOME	$258.52	$1,037.91

RATE SUMMARY AS OF 12/31

MMF Yield*	5.95%
TEF Yield*	3.97%
GSF Yield*	5.77%
STF Yield*	5.59%
Schwab 1 Yield	5.56%
Margin Loan Rate	9.25% to 10.75%

* 7 Day Annualized Yield. Please see reverse for more information.

SEP/IRA CONTRIBUTION SUMMARY

	EMPLOYER	REGULAR	ROLLOVERS
For 1999	$0.00	$0.00	$0.00
For 2000	$0.00	$0.00	$0.00

PORTFOLIO POSITION DETAIL

CATEGORY	ST	LONG/SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS	C	Long	T	150	A T & T CORP	17.3125	$2,596.88
	C	Long	CLST	1000	CELLSTAR CORP	1.4375	$1,437.50
	C	Long	CENL	800	CENTENNIAL TECH INC NEW	11.3750	$9,100.00
	C	Long	INTC	703.3716	INTEL CORP Dividends Reinvested	30.0625	$21,145.11
	C	Long	MUZE	2000	MUSE TECHNOLOGIES INC	.5625	$1,125.00
	C	Long	OCAS	1000	OHIO CASUALTY CORP	10.0000	$10,000.00
	C	Long	PSCX	3000	P S C INC	.7500	$2,250.00
	C	Long	Q	200	QWEST COMMUNS INTL INC	41.0000	$8,200.00
	C	Long	SHPH	1	SHAMAN PHARMACEUTCLS NEW	.0340	$.03

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IRA ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 9453-3994	DEC 1 - DEC 31 2000	NOV 2000	2

PORTFOLIO POSITION DETAIL

CATEGORY	ST	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
	C	Long	TOMU	3000	TAKEOUTMUSIC.COM HLDGS F	.1875	$562.50
	C	Long	UVEW	2000	UNIVIEW TECH CORP NEW	.5000	$1,000.00
BONDS	C	Long		10000	1ST UTD METHDIST 9%08 GENL MTG BD DUE 09/01/08 SERS 1995 A	N/A	N/A
	C	Long		10000	1ST UTD METHDIST 8.50%05 GENL MTG BD DUE 03/01/05 SER 1995 A	N/A	N/A
MONEY FUNDS	C	Long		907.29	SCHWAB MONEY MARKET FUND	1.0000	$907.29
Net Portfolio Value					$58,324.31		

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION		QUANTITY	DESCRIPTION	PRICE	AMOUNT
*****				Opening Cash Balance		$.00
12/01	Div For Reinvest			INTEL CORP		$14.06
12/04	Reinvested Shares		.4101	INTEL CORP	34.2817	($14.06)
12/11	Cash Dividend			OHIO CASUALTY CORP		$240.00
12/12	Bought	12/12		SCHWAB MONEY MARKET FUND	1.0000	($240.00)
12/13	Bought	12/08	800	CENTENNIAL TECH INC NEW	11.5000	($9,229.95)
12/13	Sold	12/08	250-	INTEL CORP	33.8125	$8,422.89
12/13	Bought	12/08	150	INTEL CORP	33.3125	($5,074.85)
12/13	Bought	12/08	100	INTEL CORP	33.3125	($3,343.23)
12/13	Sold	12/08	1000-	OHIO CASUALTY CORP	9.5000	$9,469.73
12/14	Bought	12/14		SCHWAB MONEY MARKET FUND	1.0000	($304.59)
*****				Ending Cash Balance		$.00

MONEY FUNDS DETAIL

DESCRIPTION	OPENING SHARES	SHARES PURCHASED	SHARES REDEEMED	NET DIVIDEND REINVESTED	CLOSING SHARES
SCHWAB MONEY MARKET FUND	358.24	544.59	.00		907.29
Div: 12/31 Yield 5.95%				4.46	

OPEN ORDERS AS OF DEC 31 2000,

DATE ENTERED	ACTION	WILL EXPIRE	QUANTITY	DESCRIPTION	LIMIT PRICE	LATEST PRICE
11/09/00	Sell	01/09/01	150	A T & T CORP	48.0000	17.3125
11/09/00	Sell	01/09/01	150	INTEL CORP	81.0000	30.0625
11/09/00	Sell	01/09/01	200	QWEST COMMUNS INTL INC	65.0000	41.0000
11/30/00	Sell	01/29/01	1,000	P S C INC	5.5000	.7500
11/30/00	Sell	01/29/01	2,000	UNIVIEW TECH CORP NEW	3.2500	.5000
12/08/00	Sell	02/06/01	1,000	CELLSTAR CORP	5.0000	1.4375
12/08/00	Sell	02/06/01	1,000	TAKEOUTMUSIC.COM HLDGS	6.0000	.1875
12/13/00	Sell	02/12/01	800	CENTENNIAL TECH INC NEW	17.5000	11.3750
12/18/00	Sell	02/16/01	1,000	P S C INC	7.5000	.7500

,Orders expire as of close of business on expiration date listed above.

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All Transactions From 01/01/2000 To 12/31/2000
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Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
12/31/2000		4.4600	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
12/14/2000	Buy	304.5900	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$304.59	
12/12/2000	Buy	240.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$240.00	
12/10/2000			OCAS	OHIO CASUALTY CORP type: DIVIDEND		$240.00	
12/08/2000	Buy	800.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$11.50000	-$9229.95	$29.95
12/08/2000	Sell	250.0000	INTC	INTEL CORP	$33.81250	$8422.89	$29.95
12/08/2000	Sell	1000.0000	OCAS	OHIO CASUALTY CORP	$9.50000	$9469.73	$29.95
12/08/2000	Buy	100.0000	INTC	INTEL CORP	$33.31250	-$3343.23	$11.98
12/08/2000	Buy	150.0000	INTC	INTEL CORP	$33.31250	-$5014.85	$17.97
12/04/2000	Buy	0.4101	INTC	INTEL CORP type: REINVEST DIVIDEND	$34.28170	-$14.06	
12/01/2000			INTC	INTEL CORP type: DIV DISTRIBUTION		$14.06	
11/15/2000		1.6400	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
11/02/2000	Buy	33.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$33.00	
11/01/2000			T	A T & T CORP EXCHANGE OFFER type: DIVIDEND		$33.00	
10/16/2000		8.4100	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
10/16/2000	Sell	3729.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$3729.95	
10/11/2000	Buy	100.0000	INTC	INTEL CORP	$37.00000	-$3729.95	$29.95

10/06/2000	Buy	3894.9100	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$3894.91	
10/03/2000	Buy	26.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$26.00	
10/02/2000	Sell	100.0000	BOL	BAUSCH & LOMB INC	$39.25000	$3894.91	$29.95
10/02/2000			BOL	BAUSCH & LOMB INC type: DIVIDEND		$26.00	
09/18/2000	Sell	4160.1800	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$4160.18	
09/15/2000		61.3300	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
09/13/2000	Buy	200.0000	INTC	INTEL CORP	$61.75000	-$12379.95	$29.95
09/13/2000	Sell	1000.0000	ODP	OFFICE DEPOT INC	$8.25000	$8219.77	$29.95
09/12/2000	Buy	240.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$240.00	
09/10/2000			OCAS	OHIO CASUALTY CORP type: DIVIDEND		$240.00	
09/08/2000	Sell	14079.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$14079.95	
09/05/2000	Buy	0.1134	INTC	INTEL CORP type: REINVEST DIVIDEND	$71.06250	-$8.06	
09/05/2000	Buy	100.0000	INTC	INTEL CORP	$70.25000	-$7039.98	$14.98
09/05/2000	Buy	100.0000	INTC	INTEL CORP	$70.25000	-$7039.97	$14.97
09/01/2000	Buy	14969.5500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$14969.55	
09/01/2000			INTC	INTEL CORP type: DIV DISTRIBUTION		$8.06	
08/30/2000	Sell	4241.1500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$4241.15	
08/28/2000	Sell	200.0000	INTC	INTEL CORP	$75.00000	$14969.55	$29.95
08/28/2000	Sell	8434.9000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$8434.90	
08/25/2000	Buy	1000.0000		TAKEOUTMUSIC.COM HLDGXXX1 FOR 25 REVERSE SPLIT	$0.62500	-$654.95	$29.95
08/25/2000	Buy	100.0000	BOL	BAUSCH & LOMB INC	$35.56250	-$3586.20	$29.95
08/24/2000	Sell	2279.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$2279.95	
08/23/2000	Buy	1000.0000	PSCX	P S C INC	$3.12500	-$3154.95	$29.95
08/23/2000	Buy	700.0000	OCAS	OHIO CASUALTY CORP	$7.50000	-$5279.95	$29.95
08/21/2000	Buy	300.0000	OCAS	OHIO CASUALTY CORP	$7.50000	-$2279.95	$29.95
08/18/2000	Buy	5167.8100	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$5167.81	
08/15/2000	Buy	11034.6500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$11034.65	
08/15/2000		34.1300	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
08/14/2000	Sell	400.0000	UVEW	UNIVIEW TECH CORP NEW	$2.75000	$1087.96	$12.00
08/14/2000	Sell	600.0000	UVEW	UNIVIEW TECH CORP NEW	$2.75000	$1631.94	$18.00
08/14/2000	Sell	900.0000	UVEW	UNIVIEW TECH CORP NEW	$2.75000	$2447.91	$27.00
08/11/2000	Buy	1000.0000	PSCX	P S C INC	$3.50000	-$3529.95	$29.95
08/09/2000	Sell	700.0000		CENTENNIAL TECH INC	$11.75000	$8194.77	$29.95

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All Transactions From 01/01/2000 To 12/31/2000

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Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
08/09/2000	Sell	200.0000	CPQ	COMPAQ COMPUTER CORP	$32.00000	$6369.83	$29.95
08/07/2000	Buy	54.7000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$54.70	
08/02/2000	Buy	33.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$33.00	
08/01/2000			T	A T & T CORP EXCHANGE OFFER type: DIVIDEND		$33.00	
07/31/2000	Sell	7144.3200	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$7144.32	
07/28/2000		201.0000	INTC	INTEL CORP WITH STOCK SPLIT SHARES type: STOCK SPLIT			
07/28/2000			INTC	INTEL CORP type: CASH IN LIEU		$54.70	
07/26/2000	Sell	400.0000	T	A T & T CORP EXCHANGE OFFER	32.50000	$12969.61	$29.95
07/26/2000	Buy	1000.0000	PSCX	P S C INC	$3.50000	-$3529.95	$29.95
07/26/2000	Buy	200.0000	INTC	INTEL CORP	$137.00000	-$27429.95	$29.95
07/26/2000	Sell	500.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$10.75000	$5344.87	$29.95
07/26/2000	Sell	200.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$11.06250	$2200.44	$11.98
07/26/2000	Sell	300.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$11.06250	$3300.66	$17.97
07/24/2000	Sell	7541.2300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$7541.23	
07/20/2000	Sell	4915.3400	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$4915.34	
07/20/2000			CPQ	COMPAQ COMPUTER CORP type: DIVIDEND		$5.00	
07/19/2000	Buy	1000.0000	OCAS	OHIO CASUALTY CORP	$9.75000	-$9779.95	$29.95
07/18/2000	Buy	500.0000		TAKEOUTMUSIC.COM	$1.25000	-$639.98	$14.98

				HLDGXXX1 FOR 25 REVERSE SPLIT			
07/18/2000	Sell	300.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$11.81250	$3513.68	$29.95
07/18/2000	Buy	500.0000		TAKEOUTMUSIC.COM HLDGXXX1 FOR 25 REVERSE SPLIT	$1.25000	-$639.98	$14.98
07/17/2000	Buy	400.0000	T	A T & T CORP EXCHANGE OFFER	$33.25000	-$13329.95	$29.95
07/17/2000		110.8000	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
07/17/2000	Buy	1000.0000	ODP	OFFICE DEPOT INC	$6.12500	-$6154.95	$29.95
07/14/2000	Sell	100.0000	INTC	INTEL CORP	$146.00000	$14569.56	$29.95
07/12/2000	Sell	10929.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$10929.95	
07/07/2000	Buy	200.0000	Q	QWEST COMMUNS INTL INC	$54.50000	-$10929.95	$29.95
07/06/2000	Sell	335.3300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$335.33	
07/05/2000	Sell	9279.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$9279.95	
06/30/2000	Sell	500.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$13.00000	$6484.80	$14.98
06/30/2000	Buy	100.0000	INTC	INTEL CORP	$130.25000	-$13054.95	$29.95
06/30/2000	Sell	500.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$12.50000	$6234.82	$14.97
06/29/2000	Buy	1000.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$9.25000	-$9279.95	$29.95
06/27/2000	Buy	41687.4000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$41687.40	
06/21/2000	Sell	300.0000	INTC	INTEL CORP	$139.06250	$41687.40	$29.95
06/15/2000		1.3300	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
06/05/2000	Sell	9.0500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$9.05	
06/02/2000	Buy	0.0680	INTC	INTEL CORP type: REINVEST DIVIDEND	$132.99610	-$9.05	
06/02/2000	Sell	389.7900	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$389.79	
06/01/2000			INTC	INTEL CORP type: DIV DISTRIBUTION		$9.05	
05/30/2000	Buy	2000.0000	UVEW	UNIVIEW TECH CORP NEW	$1.87500	-$3810.00	$60.00
05/30/2000	Sell	100.0000	UVEW	UNIVIEW TECH CORP NEW	$1.87500	$157.54	$29.95
05/30/2000	Buy	468.0000		TAKEOUTMUSIC.COM HLDGXXX1 FOR 25 REVERSE SPLIT	$3.75000	-$1769.02	$14.02
05/30/2000	Buy	532.0000		TAKEOUTMUSIC.COM HLDGXXX1 FOR 25 REVERSE SPLIT	$3.75000	-$2010.93	$15.93
05/30/2000	Buy	1000.0000	CLST	CELLSTAR CORP	$2.65620	-$2686.15	$29.95
05/25/2000	Sell	1000.0000		CAREY INTERNATIONAL	$9.75000	$9719.72	$29.95

				IXXXCASH MERGER @ $18.25/SH			
05/15/2000		19.9400	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
05/06/2000	Sell	6095.5800	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$6095.58	
05/03/2000	Buy	150.0000	T	A T & T CORP EXCHANGE OFFER	$40.43750	$6095.58	$29.95
04/24/2000	Buy	5.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$5.00	
04/20/2000			CPQ	COMPAQ COMPUTER CORP type: DIVIDEND		$5.00	

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All Transactions From 01/01/2000 To 12/31/2000
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Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
03/03/2000	Buy	15.0500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$15.05	
03/01/2000			INTC	INTEL CORP type: DIV DISTRIBUTION		$15.05	
02/15/2000		18.7600	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
02/02/2000		25.0000		SHAMAN PHARMACEUTCLS XXX 1 FOR 50 REVERSE SPLIT type: REVERSE SPLIT			
02/02/2000		1.0000	SRPH	SHAMAN PHARMACEUTCLS NEW type: REVERSE SPLIT			
01/21/2000	Buy	5.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$5.00	
01/20/2000			CPQ	COMPAQ COMPUTER CORP type: DIVIDEND		$5.00	
01/18/2000		12.0600	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			

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IRA ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 9453-3994	DEC 1 - DEC 31 1999	NOV 1999	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
HEATHER ANN WILSON UTA CHARLES SCHWAB & CO INC SEP-IRA DTD 04/02/93 9220 GUADALUPE TRAIL NW ALBUQUERQUE NM 87114-1718	2400 LOUISIANA BLVD NE APC #5 ALBUQUERQUE NM 87110 AQ BRANCH EXTENDED IRA HOURS APRIL 12TH-15TH 8AM TO 7PM

*** FOR QUESTIONS ABOUT THIS STATEMENT: CALL 1-800-435-4000 *** ACCOUNT OPENED IN: 1993

ATUS OF KEY VALUES AS OF DEC 31 1999

vestments Owned	$55,948.60
ney Funds Balance	$4,637.74
Net Portfolio Value	$60,586.34
ding Cash Balance	$.00
TOTAL ACCOUNT VALUE	$60,586.34
ange in Value from 11/99	$4,810.15
tal Funds Available	$4,637.74

ACCOUNT TRANSACTION SUMMARY

OPENING CASH BALANCE	$.00
Investment Income	$44.85
Total Credits	$44.85
Investment Purchases	($15.04)
Money Fund Purch/Reinvest	($29.81)
Total Debits	($44.85)
ENDING CASH BALANCE	$.00

VESTMENT INCOME SUMMARY

URCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
sh Dividends	$15.04	$71.15
ney Funds Dividends	$29.81	$204.44
TOTAL INVESTMENT INCOME	$44.85	$275.59

RATE SUMMARY AS OF 12/31

MMF Yield*	5.31%
TEF Yield*	3.83%
GSF Yield*	4.88%
STF Yield*	4.63%
Schwab 1 Yield	4.70%
Margin Loan Rate	8.00%
to	9.50%

* 7 Day Annualized Yield. Please see reverse for more information.

/IRA CONTRIBUTION SUMMARY

	EMPLOYER	REGULAR	ROLLOVERS
1998	$0.00	$0.00	$0.00
1999	$0.00	$0.00	$0.00

TFOLIO POSITION DETAIL

TEGORY	S T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
CKS	C	Long	CENL	50	CENTENNIAL TECH INC NEW	10.5000	$525.00
	C	Long	CPQ	200	COMPAQ COMPUTER CORP	27.0625	$5,412.50
	C	Long	INTC	501.6501	INTEL CORP Dividends Reinvested	82.3125	$41,292.07
	C	Long	OSSI	300	OUTBACK STEAKHOUSE INC	25.9375	$7,781.25
	C	Long	SHMN	25	SHAMAN PHARMACEUTCLS NEW	.0110	$.28
	C	Long	UVEW	200	UNIVIEW TECH CORP NEW	4.6875	$937.50
DS	C	Long		10000	1ST UTD METHDIST 9%08 GENL NTG BD DUE 09/01/08 SERS 1995 A	N/A	N/A

* * * CONTINUED ON NEXT PAGE * * *

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IRA ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 9453-3994	DEC 1 - DEC 31 1999	NOV 1999	2

TFOLIO POSITION DETAIL

EGORY	H T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
		C Long		10000	1ST UTD METHDIST 8.50%05 GENL MTG BD DUE 03/01/05 SER 1995 A	N/A	N/A
EY FUNDS		C Long		4637.74	SCHWAB MONEY MARKET FUND	1.0000	$4,637.74
. Portfolio Value					$60,586.34		

OUNT TRANSACTION DETAIL

TE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
***			Opening Cash Balance		$.00
/01	Div For Reinvest		INTEL CORP		$15.04
/02	Reinvested Shares	.1958	INTEL CORP	76.8169	($15.04)
***			Ending Cash Balance		$.00

NEY FUNDS DETAIL

SCRIPTION	OPENING SHARES	SHARES PURCHASED	SHARES REDEEMED	NET DIVIDEND REINVESTED	CLOSING SHARES
HWAB MONEY MARKET FUND Div: 12/31 Yield 5.13%	4,607.93	.00	.00	29.81	4,637.74

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Individual Retirement Account

Customer Statement

Client Name	Account Number	Office	RR Number	Period Ending	Prior Period	Page
JAY R HONE IRA R/O 9220 GUADALUPE TR, NW	81139785	898	E201	12/29/2000	11/30/2000	1 OF 5

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ACCOUNT SUMMARY

ACCOUNT VALUE	Market Value	Asset Allocation
Account Value Prior Period	121,703.45	
Cash & Money Market Funds	727.74	0.60%
Equities	104,158.60	85.17%
Mutual Funds	17,402.56	14.23%
Account Value This Period	122,288.90	100.00%

EARNINGS SUMMARY	Current	Year to Date
Income		
Dividends	333.59	2,392.99
Capital Gain Long Term	614.33	614.33
Total Income	947.92	3,007.32
Expense		
Total Expenses	0.00	0.00

TRANSACTION SUMMARY	
Opening Balance	482.69
Income	245.05
Closing Balance	727.74

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Customer Statement

Client Name	Account Number	Office	RR Number	Period Ending	Prior Period	Page
JAY R HONE IRA R/O 9220 GUADALUPE TR, NW	81139785	89B	E201	12/29/2000	11/30/2000	2 OF 5

ACCOUNT POSITIONS

EQUITIES

Type	Symbol	Quantity	Description	Estimated Market Price	Estimated Market Value	%	Cost Basis	Unrealized Gain (Loss)	Est. Annual Income
CASH	T	1,300	AT&T CORP	17.25	22,425.00	18.3	45,034.90	<22,609.90>	195.00
CASH	BOL	200	BAUSCH & LOMB INC	40.438	8,087.60	6.6	7,117.45	970.15	208.00
CASH	CI	24	CIGNA CORP	132.30	3,175.20	2.6	N/A	N/A	29.76
CASH	CLST	1,000	CELLSTAR CORP	1.438	1,438.00	1.2	2,686.20	<1,248.20>	
CASH	FD	400	FEDERATED DEPT STORES INC DEL	35.00	14,000.00	11.4	10,829.95	3,170.05	
CASH	INTC	100	INTEL CORPORATION	30.063	3,006.30	2.5	6,179.95	<3,173.65>	8.00
CASH	ODP	2,000	OFFICE DEPOT INC	7.125	14,250.00	11.7	13,732.43	517.57	
CASH	OCAS	2,000	OHIO CASUALTY CORP	10.00	20,000.00	16.4	19,529.95	470.05	960.00
CASH	PSCX	4,000	PSC INC	0.75	3,000.00	2.5	13,714.85	<10,714.85>	
CASH	TOMU	3,000	TAKEOUTMUSIC.COM HLDGS CORP	0.188	564.00	.5	8,184.90	<7,620.90>	
CASH	TXN	300	BB TEXAS INSTRUMENTS INCORPORATED	47.375	14,212.50	11.6	20,729.95	<6,517.45>	25.50
			TOTAL EQUITIES		104,158.60	85.2			1,426.26

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Individual Retirement Account

Customer Statement

Client Name	Account Number	Office	RR Number	Period Ending	Prior Period	Page
JAY R HONE IRA R/O 9220 GUADALUPE TR, NW	81139785	89B	E201	12/29/2000	11/30/2000	4 OF 5

ACCOUNT ACTIVITY

CASH ACCOUNT

Date	Transaction	Quantity	Description	Price	Net Funds	Cost	Gain (Loss)	Balance
			OPENING BALANCE					0.00
12/01/00	CASH DIVIDEND		INTEL CORPORATION ON 100		2.00			2.00
12/01/00	FUNDS TRANSFERRED		SWEPT TO CHARTER MONEY MKT RET AUTOMATIC PURCHASE		<2.00>			0.00
12/11/00	CASH DIVIDEND		OHIO CASUALTY CORP ON 2000		240.00			240.00
12/11/00	FUNDS TRANSFERRED		SWEPT TO CHARTER MONEY MKT RET AUTOMATIC PURCHASE		<240.00>			0.00
12/19/00	RECEIVED	.727	AMERICAN CENTURY INCOME AND GROWTH FUND INV CL DIV REINVST @ 29.710 12/15/00					0.00
12/29/00	RECEIVED	2.094	MAINSTAY INST SRVC INDEXED EQUITY FUND INCOME REINVST@ 31.97 12/27/00					0.00
12/29/00	RECEIVED	.117	MAINSTAY INST SRVC INDEXED EQUITY FUND SCG REINVST@ 31.97 12/27/00					0.00

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TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
898 00711653 00000477 3000 004/006 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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You purchased 1000 shares of CELLSTAR CORP at a trade reported price of 2 21/32 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST\HDL	NET AMOUNT
2656 25	29 95					2686 20

Trade Date:	05/30/2000	**Settle Date:**	06/02/2000	**Transaction #:**	34121

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date
This purchase will be settled in accordance with your account instructions.

This purchase
* was executed in the over-the-counter market on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
* was unsolicited

This security:
* has an assigned industry standard identification number of 150925105 .
* has a standard trading symbol of CLST .

Additional Information

Special Instructions:

PO Box 150476
Hartford, CT 06115-0476
CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
890 00711653 00000478 3000 005000 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : 8201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 2000 shares of TAKEOUTMUSIC.COM HLDGS CORP BB at a trade reported price of 3 3/4 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PSTHDL	NET AMOUNT
7500.00	29.95					7529.95

Trade Date: 05/30/2000	Settle Date: 06/02/2000	Transaction #: 34599

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date.
This purchase will be settled in accordance with your account instructions.

This purchase:
* was executed in the over-the-counter market on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal.
* was unsolicited

This security:
* has an assigned industry standard identification number of 87406R107
* has a standard trading symbol of TOMU.

Additional Information:

Special Instructions:

CIGNA SERVICES THROUGH FISERV SECURITIES, INC. MEMBER NYSE/NASD/SIPC

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Hartford, CT 06115-0476
CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
868 00711853 00000479 3000 006006 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 2000 shares of OFFICE DEPOT INC at a trade reported price of 7 9/16 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
15125.00	29.95					15154.95

Trade Date: 05/30/2000	Settle Date: 06/02/2000	Transaction #: 34799

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date
This purchase will be settled in accordance with your account instructions

This purchase
* was executed on the Philadelphia Stock Exchange on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
* was unsolicited

Additional Information:

This security
* has an assigned industry standard identification number of 676220106
* has a standard trading symbol of ODP .

Special Instructions

PO Box 150476
Hartford, CT 06115-0476
CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION
BUY

Brokerage Account Registration	Brokerage Account Information
CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account # : 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 1000 shares of AT&T CORP at a trade reported price of 35 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
35000.00	29.95					35029.95

Trade Date: 05/24/2000	Settle Date: 05/30/2000	Transaction #: 23453

Additional Comments

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date.
This purchase will be settled in accordance with your account instructions

This purchase:
- was executed on the Philadelphia Stock Exchange on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
- was unsolicited .

Additional Information:

This security:
- has an assigned industry standard identification number of 001957109
- has a standard trading symbol of T

Special Instructions

ACCOUNTS CARRIED WITH FISERV SECURITIES, INC. MEMBER NYSE/NASD/SIPC

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Hartford, CT 06115 - 0476
CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION
BUY

Brokerage Account Registration	Brokerage Account Information
CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account # : 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 4000 shares of UNIVIEW TECHNOLOGIES CORP COM PAR $0 10 at a trade reported price of 2 per share

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
8000 00	29 95					8029 95

Trade Date 05/24/2000	Settle Date: 05/30/2000	Transaction # 35040

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date. This purchase will be settled in accordance with your account instructions.

This purchase:
- was executed in the over-the-counter market on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal.
- was unsolicited .

Additional Information:

This security:
- has an assigned industry standard identification number of 915282206
- has a standard trading symbol of UVEW .

Special Instructions:

ACCOUNTS CARRIED WITH FISERV SECURITIES, INC. MEMBER NYSE/NASD/SIPC

PO Box 150476
Hartford CT 06115 - 0476
CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION
SELL

Brokerage Account Registration	Brokerage Account Information
CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account # : 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You sold 500 shares of CIGNA CORP at a trade reported price of 85 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
42500 00	29 95		1 42			42468 63

Trade Date	Settle Date	Transaction #
05/17/2000	05/22/2000	49378

Additional Comments:

Sufficient shares to cover this sale must be in your brokerage account by settlement date.
This sale will be settled in accordance with your account instructions.

This sell:
- was executed on the Philadelphia Stock Exchange on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
- was unsolicited

This security:
- has an assigned industry standard identification number of 125509109 .
- has a standard trading symbol of CI

Additional Information:
- CFS is owned by CIGNA

Special Instructions:

PO Box 150476
Hartford, CT 06115-0476
CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
888 0073452 0000868 0000 002/003 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above

You purchased 300 shares of AT&T CORP at a trade reported price of 33 1/4 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST\HDL	NET AMOUNT
9,975.00	29.95					10,004.95

Trade Date: 07/17/2000	Settle Date: 07/20/2000	Transaction #: 65065

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date.
This purchase will be settled in accordance with your account instructions.

This purchase:
- was executed on the Philadelphia Stock Exchange on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal.
- was unsolicited .

Additional Information

This security:
- has an assigned industry standard identification number of 001957109 .
- has a standard trading symbol of T .

Special Instructions:

ACCOUNTS CARRIED WITH FISERV SECURITIES, INC. MEMBER NYSE/NASD/SIPC

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TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
898 00731521 00000809 0000 000/000 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 1000 shares of OFFICE DEPOT INC at a trade reported price of 6 1/8 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
6,125.00	29.95					6,154.95

Trade Date:	07/17/2000	Settle Date:	07/20/2000	Transaction #:	65775

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date.
This purchase will be settled in accordance with your account instructions.

This purchase:
* was executed on the Philadelphia Stock Exchange on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal.
* was unsolicited.

This security:
* has an assigned industry standard identification number of 676220106.
* has a standard trading symbol of ODP.

Additional Information:

Special Instructions

P.O. Box 150476
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CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account information
89B 00745367 00000969 3000 003003 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 1000 shares of TAKEOUTMUSIC.COM HLDGS CORP BB at a trade reported price of 5/8 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
625 00	29 95					654 95

Trade Date: 08/25/2000	Settle Date: 08/30/2000	Transaction #: 61898

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date.
This purchase will be settled in accordance with your account instructions.

This purchase:
- was executed in the over-the-counter market on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
- was unsolicited .

This security:
- has an assigned industry standard identification number of 87406R107
- has a standard trading symbol of TOMU

Additional Information

Special Instructions:

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CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
888 007453567 00000968 3000 002/003 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : F201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 200 shares of BAUSCH & LOMB INC at a trade reported price of 35 7/16 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
7,087.50	29.95					7,117.45

Trade Date: 08/25/2000	Settle Date: 08/30/2000	Transaction #: 58561

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date.
This purchase will be settled in accordance with your account instructions.

This purchase:
* was executed on the Chicago Stock Exchange on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
* was unsolicited

This security:
* has an assigned industry standard identification number of 071707103
* has a standard trading symbol of BOL

Additional Information

Special Instructions

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TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
89B 00752359 00000685 2G00 0026002 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR. NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 1000 shares of PSC INC at a trade reported price of 3 1/8 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
3,125.00	29.95					3,154.95

Trade Date: 09/20/2000	Settle Date: 09/25/2000	Transaction #: 36564

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date.
This purchase will be settled in accordance with your account instructions.

This purchase:
- was executed in the over-the-counter market on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
- was unsolicited

This security:
- has an assigned industry standard identification number of 69361E107
- has a standard trading symbol of PSCX .

Additional Information.

Special Instructions

P.O.Box 150476
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CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account information
898 0073486 0000744 0/00 007007 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9225 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888)243-6217

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 2000 shares of PSC INC at a trade reported price of 3 1/2 per share.

TRADE AMOUNT	COMMISSION	ST TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
7,000.00	29.95					7,029.95

Trade Date:	Settle Date:	Transaction #:
07/28/2000	08/02/2000	46808

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date.
This purchase will be settled in accordance with your account instructions.

This purchase
* was executed in the over-the-counter market on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal.
* was unsolicited.

This security
* has an assigned industry standard identification number of 69361E107
* has a standard trading symbol of PSCX.

Additional Information:

Special Instructions:

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TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
898 00752287 00000702 2000 004/004 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 400 shares of FEDERATED DEPT STORES INC DEL at a trade reported price of 27 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
10,800.00	29.95					10,829.95

Trade Date: 07/19/2000	Settle Date: 07/24/2000	Transaction #: 66828

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date.
This purchase will be settled in accordance with your account instructions.

This purchase
- was executed on the Chicago Stock Exchange on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal.
- was unsolicited

Additional Information:

This security:
- has an assigned industry standard identification number of 31410H101
- has a standard trading symbol of FD

Special Instructions:

ACCOUNTS CARRIED WITH FISERV SECURITIES, INC. MEMBER NYSE/NASD/SIPC

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CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
898 00732267 00000701 2000 000004 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 2000 shares of OHIO CASUALTY CORP at a trade reported price of 9 3/4 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
19,500.00	29.95					19,529.95

Trade Date: 07/19/2000	Settle Date: 07/24/2000	Transaction #: 65277

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date.
This purchase will be settled in accordance with your account instructions.

This purchase
- was executed in the over-the-counter market on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
- was unsolicited

This security
- has an assigned industry standard identification number of 677240103
- has a standard trading symbol of OCAS .

Additional Information

Special Instructions

PO Box 150476
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CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
860 0074064 0000005/9 1/200 0046064 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 1000 shares of PSC INC at a trade reported price of 3 1/2 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
3,500 00	29 95					3,529 95

Trade Date: 08/14/2000	Settle Date: 08/17/2000	Transaction #: 27224

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date
This purchase will be settled in accordance with your account instructions

This purchase
* was executed in the over-the-counter market on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
* was unsolicited .

Additional Information

This security
* has an assigned industry standard identification number of 69361E107
* has a standard trading symbol of PSCX

Special Instructions

PO Box 150476
Hartford, CT 06115-0476
CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

SELL

Brokerage Account Registration	Brokerage Account Information
896 00740964 00000578 1G00 003/004 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You sold 4000 shares of UNIVIEW TECHNOLOGIES CORP COM PAR $0.10 at a trade reported price of 2 1/2 per share

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
10,000.00	29.95		35			9,969.70

Trade Date: 08/14/2000	Settle Date: 08/17/2000	Transaction #: 21262

Additional Comments:

Sufficient shares to cover this sale must be in your brokerage account by settlement date.
This sale will be settled in accordance with your account instructions.

This sell
* was executed in the over-the-counter market on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
* was unsolicited .

This security
* has an assigned industry standard identification number of 915282206
* has a standard trading symbol of UVEW

Additional Information

Special Instructions

ACCOUNTS CARRIED WITH FISERV SECURITIES, INC. MEMBER NYSE/NASD/SIPC

PO Box 150476
Hartford, CT 06115-0476
CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

SELL

Brokerage Account Registration	Brokerage Account Information
89B 00718415 00000768 1Q0 003/003 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : E201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested Please review the information on the front and back of this advice carefully If you have a question, please contact your brokerage representative at the phone number listed above

You sold 500 shares of CIGNA CORP at a trade reported price of 95 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST\HDL	NET AMOUNT
47500 00	29 95		1 59			47468 46

Trade Date: 06/13/2000	Settle Date: 06/16/2000	Transaction #: 21918
Additional Comments:		

Sufficient shares to cover this sale must be in your brokerage account by settlement date
This sale will be settled in accordance with your account instructions

This sell:
- was executed on the Philadelphia Stock Exchange on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
- was unsolicited

This security
- has an assigned industry standard identification number of 125509109
- has a standard trading symbol of CI

Additional Information
- CFS is owned by CIGNA

Special Instructions

ACCOUNTS CARRIED WITH FISERV SECURITIES, INC. MEMBER NYSE/NASD/SIPC

P.O Box 150476
Hartford, CT 06115-0476
CIGNA Financial Services, Inc

TRANSACTION CONFIRMATION

SELL

Brokerage Account Registration	Brokerage Account Information
[illegible]	Account # [illegible] Office : 89B Rep [illegible]
CO-TRUST CUSTODIAN FBO [illegible]	CIGNA FINANCIAL SERVICES
PAYE HOLL [illegible]	[illegible]
9220 GUADALUPE TR NW	
ALBUQUERQUE NM 87114	

Now you no longer have to be tied to someone else's idea of when the business day ends. CIGNATrade Online (www.cigna.com/trade) and CIGNATrade By Phone (1.888.872.3301) make it easy to invest anytime, anywhere

BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number noted above.

You sold 300 shares of CIGNA CORP at a trade reported price of 90 1/8 per share.

TRADE AMOUNT	COMMISSION	ST TAX/INTEREST	SEC FEE	MISC FEE	PST/HDL	NET AMOUNT
27037.50	[illegible]		[illegible]			27000.63

Trade Date	Settle Date	Transaction #:
06/12/2000	06/15/2000	06829

Additional Comments:

Sufficient shares to cover the sale must be in your Brokerage account by settlement date. This sale will be settled in accordance with your account instruction.

This sell
- was executed on the Philadelphia Stock Exchange on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal.
- was unsolicited.

This security
- has an assigned industry standard identification number of 125509109
- has a standard trading symbol of CI

Additional Information
- CTS reconciled by CIGNA

Special Instruction:

P.O. Box 150476
Hartford, CT 06115-0476
CIGNA Financial Services, Inc.

TRANSACTION CONFIRMATION

BUY

Brokerage Account Registration	Brokerage Account Information
89B 00725644 00000764 2500 003000 CG TRUST CUSTODIAN FOR JAY R HONE IRA R/O 9220 GUADALUPE TR, NW ALBUQUERQUE NM 87114	Account #: 81139785 Office : 89B Rep : 1201 CIGNA FINANCIAL SERVICES (888) 244-6237

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BROKERAGE ACCOUNT TRANSACTION DETAIL

This is to confirm that the following transaction was completed in your account as requested. Please review the information on the front and back of this advice carefully. If you have a question, please contact your brokerage representative at the phone number listed above.

You purchased 300 shares of TEXAS INSTRUMENTS INCORPORATED at a trade reported price of 69 per share.

TRADE AMOUNT	COMMISSION	ST. TAX/INTEREST	SEC FEE	MISC. FEE	PST/HDL	NET AMOUNT
20,700.00	29.95					20,729.95

Trade Date: 07/03/2000	Settle Date: 07/07/2000	Transaction #: 16470

Additional Comments:

Sufficient funds to cover the net amount of this purchase must be in your brokerage account by settlement date. This purchase will be settled in accordance with your account instructions.

This purchase
* was executed on the Chicago Stock Exchange on an agency basis by Fiserv Securities, Inc. Our affiliate may have acted as principal
* was unsolicited

This security
* has an assigned industry standard identification number of 882508104
* has a standard trading symbol of TXN

Additional Information

Special Instructions

TD WATERHOUSE INVESTOR SERVICES, INC.
Member NYSE/SIPC
6600 Indian School Rd., NE Suite I.
Albuquerque, NM 87110-4143
(800) 934-4448

TRADE CONFIRMATION

TRADE DATE	ACCOUNT NUMBER
10/06/2000	394-14073-1-8
PROCESSING DATE	ACCOUNT TYPE
10/06/2000	CASH
SETTLEMENT DATE	CUSIP NO.
10/12/2000	151392206000
	SECURITY NUMBER
	C009619
	INTERNAL USE ONLY
	18104 D 00639
	M L REC
	G 1 WEB

JAY R HONE
P O BOX 10565
ALBUQUERQUE NM 87184-0565

YOU BOUGHT	YOU SOLD	SYMBOL	PRICE
	625	CENL	19 1/2

DESCRIPTION

CENTENNIAL TECHNOLOGIES INC
COM NEW

GROSS AMOUNT	INTEREST	COMMISSION	STATE TAX	SEC FEE	SERVICE CHG	NET AMOUNT
12,187.50		15.00		0.41		$12,172.09

PLEASE NOTIFY US IMMEDIATELY IF CONFIRMATION IS NOT CORRECT.

DETACH HERE) (DETACH HERE)

FOR REMITTANCE ONLY (IF SUFFICIENT FUNDS ARE NOT ON DEPOSIT)

NET AMOUNT	ACCOUNT NUMBER	SETTLEMENT DATE
$12,172.09	394-14073-1-8	10/12/2000

SEC REGULATIONS REQUIRE FUNDS/SECURITIES (IF NOT ON DEPOSIT) BY SETTLEMENT DATE. PLEASE INCLUDE YOUR ACCOUNT NUMBER ON YOUR CHECK AND MAKE PAYABLE TO: **TD Waterhouse Investor Services, Inc.**

JAY R HONE
P O BOX 10565
ALBUQUERQUE NM 87184-0565

TD Waterhouse Investor Services, Inc.
PO Box 919039
San Diego, CA 92191-9779

TD WATERHOUSE INVESTOR SERVICES, INC.
Member NYSE/SIPC
6600 Indian School Rd., NE Suite L
Albuquerque, NM 87110-4143
(800) 934-4448

TRADE CONFIRMATION

TRADE DATE	ACCOUNT NUMBER
10/06/2000	394-14073-1-8
PROCESS DATE	ACCOUNT TYPE
10/06/2000	CASH
SETTLEMENT DATE	CUSIP NO.
10/12/2000	458140100000
	SECURITY NUMBER
	H551744
	INTERNAL USE ONLY
	55117 D 00161

M	C	R.R.
6	1	WEB

JAY R HONE
P O BOX 10565
ALBUQUERQUE NM 87184-0565

YOU BOUGHT	YOU SOLD	SYMBOL	PRICE
250		INTC	40 15/32

DESCRIPTION
INTEL CORP

GROSS AMOUNT	INTEREST	COMMISSION	STATE TAX	SEC FEE	SERVICE CHG	NET AMOUNT
10,117.19		15.00				$10,132.19

PLEASE NOTIFY US IMMEDIATELY IF CONFIRMATION IS NOT CORRECT.

(DETACH HERE) (DETACH HERE)

FOR REMITTANCE ONLY (IF SUFFICIENT FUNDS ARE NOT ON DEPOSIT)

NET AMOUNT	ACCOUNT NUMBER	SETTLEMENT DATE
$10,132.19	394-14073-1-8	10/12/2000

SEC REGULATIONS REQUIRE FUNDS/SECURITIES (IF NOT ON DEPOSIT) BY SETTLEMENT DATE. PLEASE INCLUDE YOUR ACCOUNT NUMBER ON YOUR CHECK AND MAKE PAYABLE TO: **TD Waterhouse Investor Services, Inc.**

JAY R HONE
P O BOX 10565
ALBUQUERQUE NM 87184-0565

TD Waterhouse Investor Services, Inc.
PO Box 919039
San Diego, CA 92191-9779

WHDAD1

Charles Schwab Statemen

CUSTODIAL ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4460-9017	DEC 1 - DEC 31 1999	NOV 1999	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
JAY R HONE CUST FOR CAITLIN ANN HONE UNMUTMA PO BOX 10565 ALBUQUERQUE NM 87184	2400 LOUISIANA BLVD NE AFC #5 ALBUQUERQUE NM 87110 AQ BRANCH EXTENDED TRA HOURS APRIL 12TH-15TH 8AM TO 7PM

*** FOR QUESTIONS ABOUT THIS STATEMENT: CALL 1-800-435-4000 *** ACCOUNT OPENED IN: 1997

STATUS OF KEY VALUES AS OF DEC 31 1999	
Investments Owned	$3,412.50
Money Funds Balance	$182.12
Net Portfolio Value	$3,594.62
Ending Cash Balance	$.00
TOTAL ACCOUNT VALUE	$3,594.62
Change in Value from 11/99	$1,770.79
Total Funds Available	$182.12

ACCOUNT TRANSACTION SUMMARY	
OPENING CASH BALANCE	$.00
Money Fund Redempts	$1,548.70
Investment Income	$10.12
Total Credits	$1,558.82
Investment Purchases	($1,548.70)
Money Fund Purch/Reinvest	($10.12)
Total Debits	($1,558.82)
ENDING CASH BALANCE	$.00

INVESTMENT INCOME SUMMARY

SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
FEDERALLY TAXABLE		
Money Funds Dividends	$10.12	$56.69

RATE SUMMARY AS OF 12/31	
MMF Yield*	5.31%
TEF Yield*	3.83%
GSF Yield*	4.88%
STF Yield*	4.63%
Schwab 1 Yield	4.70%
Margin Loan Rate	8.00%
to	9.50%

* 7 Day Annualized Yield. Please see reverse for more information.

PORTFOLIO POSITION DETAIL

CATEGORY	S T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS	C	Long	CENL	325	CENTENNIAL TECH INC NEW	10.5000	$3,412.50
MONEY FUNDS	C	Long		182.12	SCHWAB MONEY MARKET FUND	1.0000	$182.12
Net Portfolio Value					$3,594.62		

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION		QUANTITY	DESCRIPTION	PRICE	AMOUNT
****				Opening Cash Balance		$.00
2/29	Bought	12/23	300	CENTENNIAL TECH INC NEW	5.0625	($1,548.70)
2/29	Redeemed	12/29		SCHWAB MONEY MARKET FUND	1.0000	$1,548.70
****				Ending Cash Balance		$.00

* * * CONTINUED ON NEXT PAGE * * *

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CUSTODIAL ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4460-9017	DEC 1 - DEC 31 1999	NOV 1999	2

MONEY FUNDS DETAIL

DESCRIPTION	OPENING SHARES	SHARES PURCHASED	SHARES REDEEMED	NET DIVIDEND REINVESTED	CLOSING SHARES
SCHWAB MONEY MARKET FUND Div: 12/31 Yield 5.13%	1,720.70	.00	1,548.70	10.12	182.12

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All Transactions From 01/01/2000 To 12/31/2000
To sort, click on column title

Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
12/31/2000		1.0900	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
12/26/2000	Buy	402.4000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$402.40	
12/18/2000	Buy	196.6300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$196.63	
12/15/2000	Buy	400.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$13.50000	-$5429.95	$29.95
12/13/2000	Sell	350.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$16.75000	$5832.35	$29.95
12/11/2000				FUNDS RECEIVED * type: *DEPOSIT		$4000.00	
12/11/2000	Sell	76.1800	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$76.18	
12/06/2000	Buy	250.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$11.00000	-$2771.39	$21.39
12/06/2000	Buy	100.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$11.00000	-$1108.56	$8.56
12/01/2000			INTC	INTEL CORP type: DIVIDEND		$0.40	
11/15/2000		0.3000	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
10/16/2000		2.0700	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
09/27/2000	Sell	996.2000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$996.20	
09/22/2000	Buy	20.0000	INTC	INTEL CORP	$48.31250	-$996.20	$29.95
09/15/2000		3.5900	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
08/28/2000	Buy	877.4600	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$877.46	

08/23/2000	Buy	1000.0000	PSCX	P S C INC	$3.12500	-$3154.95	$29.95
08/21/2000	Sell	325.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$12.50000	$4032.41	$29.95
08/15/2000		0.8700	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
07/17/2000		0.9600	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
06/15/2000		0.9300	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
05/15/2000		0.8400	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
04/17/2000		0.9900	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
03/15/2000		0.8700	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
02/15/2000		0.8400	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
01/18/2000		0.5400	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			

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Account 4460-9017
Viewed as of: May 11, 2001 07:36PM ET

Charles Schwab — Statement

CUSTODIAL ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4460-9017	DEC 1 - DEC 31 2000	NOV 2000	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
JAY R HONE CUST FOR CAITLIN ANN HONE UNMUTMA PO BOX 10565 ALBUQUERQUE NM 87184	2400 LOUISIANA BLVD NE AFC #5 ALBUQUERQUE NM ** MAIL TO: P.O. BOX 173797 DENVER, CO 80217

FOR QUESTIONS, CALL SCHWAB SIGNATURE SERVICES 1-800-435-8804 *** ACCOUNT OPENED IN: 1997

STATUS OF KEY VALUES AS OF DEC 31 2000	
Investments Owned	$5,901.25
Money Funds Balance	$600.12
Net Portfolio Value	$6,501.37
Ending Cash Balance	$.00
TOTAL ACCOUNT VALUE	$6,501.37
Change in Value from 11/00	$3,851.44
Total Funds Available	$600.12

ACCOUNT TRANSACTION SUMMARY	
OPENING CASH BALANCE	$.00
Investment Sales	$5,832.35
Money Fund Redempts	$76.18
Investment Income	$1.49
Funds Received	$4,000.00
Total Credits	$9,910.02
Investment Purchases	($9,309.90)
Money Fund Purch/Reinvest	($600.12)
Total Debits	($9,910.02)
ENDING CASH BALANCE	$.00

INVESTMENT INCOME SUMMARY

SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
FEDERALLY TAXABLE		
Cash Dividends	$.40	$.40
Money Funds Dividends	$1.09	$13.89
TOTAL INVESTMENT INCOME	$1.49	$14.29

RATE SUMMARY AS OF 12/31	
MMF Yield*	5.95%
TEF Yield*	3.97%
GSF Yield*	5.77%
STF Yield*	5.59%
Schwab 1 Yield	5.56%
Margin Loan Rate	9.25% to 10.75%

* 7 Day Annualized Yield. Please see reverse for more information.

PORTFOLIO POSITION DETAIL

CATEGORY	S T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS	C	Long	CENL	400	CENTENNIAL TECH INC NEW	11.3750	$4,550.00
	C	Long	INTC	20	INTEL CORP	30.0625	$601.25
	C	Long	PSCX	1000	P S C INC	.7500	$750.00
MONEY FUNDS	C	Long		600.12	SCHWAB MONEY MARKET FUND	1.0000	$600.12
Net Portfolio Value					$6,501.37		

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
*****			Opening Cash Balance		$.00
12/01	Cash Dividend		INTEL CORP		$.40
12/11	Funds Received		FUNDS RECEIVED *		$4,000.00
12/11	Bought 12/06	250	CENTENNIAL TECH INC NEW	11.0000	($2,771.39)

* * * CONTINUED ON NEXT PAGE * * *

Charles Schwab Statement

CUSTODIAL ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4460-9017	DEC 1 - DEC 31 2000	NOV 2000	2

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION		QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/11	Bought	12/06	100	CENTENNIAL TECH INC NEW	11.0000	($1,108.56)
12/11	Redeemed	12/11		SCHWAB MONEY MARKET FUND	1.0000	$76.18
12/18	Sold	12/13	350-	CENTENNIAL TECH INC NEW	16.7500	$5,832.35
12/18	Bought	12/18		SCHWAB MONEY MARKET FUND	1.0000	($196.63)
12/20	Bought	12/15	400	CENTENNIAL TECH INC NEW	13.5000	($5,429.95)
12/26	Bought	12/26		SCHWAB MONEY MARKET FUND	1.0000	($402.40)
*****				Ending Cash Balance		$.00

MONEY FUNDS DETAIL

DESCRIPTION	OPENING SHARES	SHARES PURCHASED	SHARES REDEEMED	NET DIVIDEND REINVESTED	CLOSING SHARES
SCHWAB MONEY MARKET FUND Div: 12/31 Yield 5.95%	76.18	599.03	76.18	1.09	600.12

OPEN ORDERS AS OF DEC 31 2000,

DATE ENTERED	ACTION	WILL EXPIRE	QUANTITY	DESCRIPTION	LIMIT PRICE	LATEST PRICE
12/15/00	Sell	02/13/01	400	CENTENNIAL TECH INC NEW	17.2500	11.3750

,Orders expire as of close of business on expiration date listed above.

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Charles Schwab Statemen

SCHWAB ONE ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4461-1645	DEC 1 - DEC 31 1999	NOV 1999	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
JAY R HONE PO BOX 10565 ALBUQUERQUE NM 87184-0565	2400 LOUISIANA BLVD NE AFC #5 ALBUQUERQUE NM 87110 AQ BRANCH EXTENDED IRA HOURS APRIL 12TH-15TH 8AM TO 7PM

*** FOR QUESTIONS ABOUT THIS STATEMENT: CALL 1-800-435-4000 *** ACCOUNT OPENED IN: 1990

STATUS OF KEY VALUES AS OF DEC 31 1999

Investments Owned	$7,387.50
Net Portfolio Value	$7,387.50
Ending Cash Balance	$286.50
TOTAL ACCOUNT VALUE	$7,674.00
Change in Value from 11/99	$3,019.99
Margin Account Equity	$2,424.00
Funds Available Margin Account	$424.00
Checkwriting or VISA Limit	$423.00

ACCOUNT TRANSACTION SUMMARY

OPENING CASH BALANCE	$285.26
Investment Income	$1.24
ENDING CASH BALANCE	$286.50

INVESTMENT INCOME SUMMARY

SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
FEDERALLY TAXABLE		
Credit Interest Earned	$1.24	$11.26

RATE SUMMARY AS OF 12/31

TEF Yield*	3.83%
STF Yield*	4.63%
Schwab 1 Yield	4.70%
Margin Loan Rate	8.00% to 9.50%

* 7 Day Annualized Yield. Please see reverse for more information.

PORTFOLIO POSITION DETAIL

CATEGORY	S T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS	C	Long	CENL	500	CENTENNIAL TECH INC NEW	10.5000	$5,250.00
	M	Long	MESA	450	MESA AIR GROUP INC NEV	4.7500	$2,137.50
Total Mkt Value Cash Acct				$5,250.00	**** Total Mkt Value Margin Acct		$2,137.50
Net Portfolio Value					$7,387.50		

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
*****			Opening Cash Balance		$285.26
12/30	Credit Interest		SCHWAB1 INT 11/29-12/29		$1.24
*****			Ending Cash Balance		$286.50

* * * CONTINUED ON NEXT PAGE * * *

Charles Schwab Statemen

SCHWAB ONE ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4461-1645	DEC 1 - DEC 31 1999	NOV 1999	2

INTEREST CALCULATION DETAIL FROM NOV 29 1999 THROUGH DEC 29 1999,

DATE	NBR DAYS	NET BALANCE MARGIN ACCOUNT	CASH ACCT DEBIT OR FREE CREDIT	MARKET VALUE OF SHORT SALES	BALANCE SUBJECT TO INTEREST	RATE	INTEREST AMOUNT
11/29	9	285.26	.00	.00	285.43	4.500%	$.36
12/08	7	285.26	.00	.00	285.76	4.580%	$.28
12/15	15	285.26	.00	.00	286.20	4.600%	$.60

Credit Interest Earned $1.24
,All balances are average daily balances

SCHWAB ONE ACCOUNT SERVICES

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All Transactions From 01/01/2000 To 12/31/2000
To sort, click on column title

Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
12/28/2000				SCHWAB1 INT 11/29-12/27 type: INTEREST		$3.85	
12/26/2000		57.0000	PFE	PFIZER INCORPORATED type: TRANSFER ACCOUNT			
12/14/2000			HD	HOME DEPOT INC type: DIVIDEND		$12.00	
12/07/2000			PFE	PFIZER INCORPORATED type: DIVIDEND		$5.13	
12/06/2000				FUNDS RECEIVED * type: *DEPOSIT		$18000.00	
12/01/2000	Buy	500.0000	INTC	INTEL CORP	$35.75000	-$17904.95	$29.95
11/29/2000				SCHWAB1 INT 10/30-11/28 type: INTEREST		$3.80	
11/01/2000			T	A T & T CORP type: DIVIDEND		$220.00	
11/01/2000			SUB	SUMMIT BANCORP type: DIVIDEND		$70.00	
10/30/2000				SCHWAB1 INT 09/28-10/29 type: INTEREST		$4.02	
10/02/2000			BOL	BAUSCH & LOMB INC type: DIVIDEND		$52.00	
10/02/2000	Buy	250.0000	PCLN	PRICELINE.COM INC	$11.12500	-$2791.23	$9.98
10/02/2000	Buy	500.0000	PCLN	PRICELINE.COM INC	$11.12500	-$5582.47	$19.97
09/29/2000	Sell	175.0000	PEP	PEPSICO INCORPORATED	$46.75000	$8151.02	$29.95
09/29/2000			PEP	PEPSICO INCORPORATED type: DIVIDEND		$24.50	
09/28/2000				SCHWAB1 INT 08/30-09/27 type: INTEREST		$3.58	
09/14/2000			HD	HOME DEPOT INC type: DIVIDEND		$12.00	
09/07/2000			PFE	PFIZER INCORPORATED type: DIVIDEND		$5.13	
08/30/2000				SCHWAB1 INT 07/28-08/29		$19.88	

				type: INTEREST			
08/30/2000	Sell	200.0000	BOL	BAUSCH & LOMB INC	$34.31250	$6832.32	$29.95
08/30/2000	Buy	175.0000	PEP	PEPSICO INCORPORATED	$42.12500	-$7401.83	$29.95
08/25/2000	Buy	200.0000	BOL	BAUSCH & LOMB INC	$35.50000	-$7129.95	$29.95
08/21/2000				TOA ACAT 0438		$70.91	
				type: TRANSFER ACCOUNT			
08/15/2000	Buy	2000.0000	PSCX	P S C INC	$3.25000	-$6560.00	$60.00
08/14/2000	Sell	300.0000	PEP	PEPSICO INCORPORATED	$46.75000	$13994.58	$29.95
08/03/2000		300.0000	LOR	LORAL SPACE & COMMUN LTD			
				type: TRANSFER ACCOUNT			
08/03/2000		57.0000	PFE	PFIZER INCORPORATED			
				type: TRANSFER ACCOUNT			
08/03/2000				TOA ACAT 0438		$523.19	
				type: TRANSFER ACCOUNT			
08/03/2000		300.0000	PEP	PEPSICO INCORPORATED			
				type: TRANSFER ACCOUNT			
08/03/2000		200.0000	SUB	SUMMIT BANCORP			
				type: TRANSFER ACCOUNT			
08/01/2000			T	A T & T CORP		$220.00	
				type: DIVIDEND			
07/28/2000				SCHWAB1 INT 06/29-07/27		$8.12	
				type: INTEREST			
07/26/2000	Buy	500.0000	PSCX	P S C INC	$3.50000	-$1779.95	$29.95
06/29/2000			HD	HOME DEPOT INC		$12.00	
				type: DIVIDEND			
06/29/2000				SCHWAB1 INT 05/30-06/28		$23.15	
				type: INTEREST			
06/08/2000	Buy	200.0000	HD	HOME DEPOT INC	$50.25000	-$10069.97	$19.97
06/08/2000	Buy	100.0000	HD	HOME DEPOT INC	$50.25000	-$5034.98	$9.98
06/02/2000	Sell	100.0000	INTC	INTEL CORP	$132.71870	$13241.47	$29.95
05/30/2000				SCHWAB1 INT 04/27-05/29		$68.90	
				type: INTEREST			
05/10/2000	Sell	1000.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$8.25000	$8219.77	$29.95
05/10/2000	Sell	400.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$8.50000	$3369.93	$29.95
05/10/2000	Buy	100.0000	INTC	INTEL CORP	$109.31250	-$10961.20	$29.95
05/08/2000	Sell	500.0000	CENL	CENTENNIAL TECH INC NEW	$8.68750	$4328.60	$15.00
05/08/2000	Sell	100.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$7.50000	$744.98	$4.99
05/08/2000	Sell	500.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$7.50000	$3724.91	$24.96
05/08/2000	Buy	500.0000	T	A T & T CORP	$37.50000	-$18779.95	$29.95
05/08/2000	Sell	1000.0000	CENL	CENTENNIAL TECH INC NEW	$8.68750	$8657.21	$30.00
05/05/2000	Buy	2000.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$7.25000	-$14560.00	$60.00
05/03/2000	Buy	500.0000	T	A T & T CORP	$40.56250	-$20311.20	$29.95
04/27/2000				SCHWAB1 INT 03/30-04/26		$48.96	
				type: INTEREST			

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All Transactions From 01/01/2000 To 12/31/2000
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Date	Action	Quantity	Symbol	Description	Price	Amount	Co
04/25/2000	Sell	300.0000	INTC	INTEL CORP	$125.00000	$37468.80	$2
04/24/2000	Buy	300.0000	INTC	INTEL CORP	$111.03120	-$33339.31	$2
04/24/2000	Sell	450.0000	MESA	MESA AIR GROUP INC NEV	$6.06250	$2698.08	$2
04/20/2000	Buy	1000.0000	CENL	CENTENNIAL TECH INC NEW	$8.00000	-$8029.95	$2
04/14/2000				FUNDS RECEIVED type: DEPOSIT		$40000.00	
03/30/2000				SCHWAB1 INT 02/28-03/29 type: INTEREST		$0.66	
03/21/2000	Sell to Close	6.0000	ALLDE	CALL ALLSTATE CORP $25 EXP 04/22/00	$0.56250	$299.28	$3
02/28/2000	Buy to Open	4.0000	ALLDE	CALL ALLSTATE CORP $25 EXP 04/22/00	$0.31250	-$148.33	$2
02/28/2000	Buy to Open	2.0000	ALLDE	CALL ALLSTATE CORP $25 EXP 04/22/00	$0.31250	-$74.17	$1
02/28/2000				SCHWAB1 INT 01/28-02/27 type: INTEREST		$1.24	
01/28/2000				SCHWAB1 INT 12/30-01/27 type: INTEREST		$1.16	

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Account 4461-1645
Viewed as of January 30, 2001 11:41AM ET

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SCHWAB ONE ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4461-1645	DEC 1 - DEC 31 2000	NOV 2000	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
JAY R HONE PO BOX 10565 ALBUQUERQUE NM 87184-0565	2400 LOUISIANA BLVD NE AFC #5 ALBUQUERQUE NM ** MAIL TO: P.O. BOX 173797 DENVER, CO 80217

FOR QUESTIONS, CALL SCHWAB SIGNATURE SERVICES 1-800-435-8804 *** ACCOUNT OPENED IN: 1990

STATUS OF KEY VALUES AS OF DEC 31 2000	
Investments Owned	$57,503.13
Net Portfolio Value	$57,503.13
Ending Cash Balance	$972.19
TOTAL ACCOUNT VALUE	$58,475.32
Change in Value from 11/00	$8,574.60
Margin Account Equity	$56,600.00
Funds Available Margin Account	$35,869.00
Checkwriting or VISA Limit	$35,869.00

ACCOUNT TRANSACTION SUMMARY	
OPENING CASH BALANCE	$856.16
Investment Income	$20.98
Funds Received	$18,000.00
Total Credits	$18,020.98
Investment Purchases	($17,904.95)
Total Debits	($17,904.95)
ENDING CASH BALANCE	$972.19

INVESTMENT INCOME SUMMARY		
SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
FEDERALLY TAXABLE		
Cash Dividends	$17.13	$632.76
Credit Interest Earned	$3.85	$187.32
TOTAL INVESTMENT INCOME	$20.98	$820.08

RATE SUMMARY AS OF 12/31	
TEF Yield*	3.97%
STF Yield*	5.59%
Schwab 1 Yield	5.56%
Margin Loan Rate	9.25% to 10.75%

* 7 Day Annualized Yield. Please see reverse for more information.

PORTFOLIO POSITION DETAIL

CATEGORY	ST	LONG/SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS	M	Long	T	1000	A T & T CORP	17.3125	$17,312.50
	M	Long	HD	300	HOME DEPOT INC	45.6875	$13,706.25
	M	Long	INTC	500	INTEL CORP	30.0625	$15,031.25
	M	Long	LOR	300	LORAL SPACE & COMMUN LTD	3.1875	$956.25
	C	Long	PSCX	2500	P S C INC	.7500	$1,875.00
	M	Long	PCLN	750	PRICELINE.COM INC	1.3125	$984.38
	M	Long	SUB	200	SUMMIT BANCORP	38.1875	$7,637.50

Total Mkt Value Cash Acct $1,875.00 **** Total Mkt Value Margin Acct $55,628.13
Net Portfolio Value $57,503.13

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
*****			Opening Cash Balance		$856.16
12/06	Funds Received		FUNDS RECEIVED *		$18,000.00
12/06	Bought 12/01	500	INTEL CORP	35.7500	($17,904.95)

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Member New York Stock Exchange Inc an

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SCHWAB ONE ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
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ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/07	Cash Dividend		PFIZER INCORPORATED		$5.13
12/14	Cash Dividend		HOME DEPOT INC		$12.00
12/26	Account Transfer	57-	PFIZER INCORPORATED		
12/28	Credit Interest		SCHWAB1 INT 11/29-12/27		$3.85
*****			Ending Cash Balance		$972.19

INTEREST CALCULATION DETAIL FROM NOV 29 2000 THROUGH DEC 27 2000,

DATE	NBR DAYS	NET BALANCE MARGIN ACCOUNT	CASH ACCT DEBIT OR FREE CREDIT	MARKET VALUE OF SHORT SALES	BALANCE SUBJECT TO INTEREST	RATE	INTEREST AMOUNT
11/29	13	902.40	.00	.00	903.25	5.470%	$1.75
12/13	15	967.54	.00	.00	970.35	5.420%	$2.10

Credit Interest Earned $3.85
,All balances are average daily balances

OPEN ORDERS AS OF DEC 31 2000,

DATE ENTERED	ACTION	WILL EXPIRE	QUANTITY	DESCRIPTION	LIMIT PRICE	LATEST PRICE
11/30/00	Sell	01/29/01	200	SUMMIT BANCORP	59.0000	38.1875
12/06/00	Sell	02/05/01	500	PRICELINE.COM INC	28.0000	1.3125
12/15/00	Sell	02/14/01	300	HOME DEPOT INC	65.0000	45.6875

,Orders expire as of close of business on expiration date listed above.

Member New York Stock Exchange, Inc. ar

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ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4461-1597	DEC 1 - DEC 31 2000	NOV 2000	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
JAY R HONE CHARLES SCHWAB & CO INC.CUST IRA CONTRIBUTORY PO BOX 10565 ALBUQUERQUE NM 87184	2400 LOUISIANA BLVD NE AFC #5 ALBUQUERQUE NM ** MAIL TO: P.O. BOX 173797 DENVER, CO 80217

029403

FOR QUESTIONS, CALL SCHWAB SIGNATURE SERVICES 1-800-435-8804 *** ACCOUNT OPENED IN: 1983

STATUS OF KEY VALUES AS OF DEC 31 2000	
Investments Owned	$88,542.48
Money Funds Balance	$1,639.03
Net Portfolio Value	$90,181.51
Ending Cash Balance	$.00
TOTAL ACCOUNT VALUE	$90,181.51
Change in Value from 11/00	($9,019.46)
Total Funds Available	$1,639.03

ACCOUNT TRANSACTION SUMMARY	
OPENING CASH BALANCE	$.00
Investment Sales	$23,514.30
Investment Income	$366.02
Total Credits	$23,880.32
Investment Purchases	($22,778.71)
Money Fund Purch/Reinvest	($1,101.61)
Total Debits	($23,880.32)
ENDING CASH BALANCE	$.00

INVESTMENT INCOME SUMMARY		
SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
Cash Dividends	$358.81	$990.18
Money Funds Dividends	$7.21	$511.88
TOTAL INVESTMENT INCOME	$366.02	$1,502.06

RATE SUMMARY AS OF 12/31	
MMF Yield*	5.95%
TEF Yield*	3.97%
GSF Yield*	5.77%
STF Yield*	5.59%
Schwab 1 Yield	5.56%
Margin Loan Rate	9.25% to 10.75%

* 7 Day Annualized Yield. Please see reverse for more information.

IRA CONTRIBUTION SUMMARY		
	CONTRIBUTIONS	ROLLOVERS
For Tax Year 1999	$0.00	$0.00
For Tax Year 2000	$0.00	$0.00

PORTFOLIO POSITION DETAIL

CATEGORY	S T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS	C	Long	CENL	1000	CENTENNIAL TECH INC NEW	11.3750	$11,375.00
	C	Long	FONR	1048	FONAR CORP	1.3125	$1,375.50
	C	Long		200	FONAR NON VTG CL A PFD NON VOTING CLASS A	N/A	N/A
	C	Long	INTC	707.3148	INTEL CORP Dividends Reinvested	30.0625	$21,263.65
	C	Long	LGTO	500	LEGATO SYSTEMS INC	7.4375	$3,718.75
	C	Long	MESA	224	MESA AIR GROUP INC NEV	7.0000	$1,568.00
	C	Long	MSFT	300	MICROSOFT CORP	43.3750	$13,012.50

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PORTFOLIO POSITION DETAIL

CATEGORY	ST	LONG/SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
	C	Long	MUZE	3000	MUSE TECHNOLOGIES INC	.5625	$1,687.50
	C	Long	ODP	1000	OFFICE DEPOT INC	7.1250	$7,125.00
	C	Long	OCAS	1000	OHIO CASUALTY CORP	10.0000	$10,000.00
	C	Long	PSCX	4000	P S C INC	.7500	$3,000.00
	C	Long	Q	200	QWEST COMMUNS INTL INC	41.0000	$8,200.00
	C	Long	SHPH	1	SHAMAN PHARMACEUTCLS NEW	.0340	$.03
	C	Long	UVEW	2000	UNIVIEW TECH CORP NEW	.5000	$1,000.00
MUTUAL FUNDS	C	Long	FDVLX	112.547	FIDELITY VALUE FUND	46.3500	$5,216.55
BONDS	C	Long		3000	1ST UTD METHDIST 8.50%04 GENL MTG BD DUE 03/01/04 SERS 1995 A	N/A	N/A
MONEY FUNDS	C	Long		1639.03	SCHWAB MONEY MARKET FUND	1.0000	$1,639.03

Net Portfolio Value $90,181.51

ACCOUNT TRANSACTION DETAIL

DATE	TRANSACTION		QUANTITY	DESCRIPTION	PRICE	AMOUNT
*****				Opening Cash Balance		$.00
12/01	Div For Reinvest			INTEL CORP		$14.14
12/04	Reinvested Shares		.4125	INTEL CORP	34.2817	($14.14)
12/08	Reinvested Shares		2.377	FIDELITY VALUE FUND	43.5600	($103.54)
12/08	Div For Reinvest			FIDELITY VALUE FUND		$103.54
12/11	Cash Dividend			OHIO CASUALTY CORP		$240.00
12/12	Bought	12/12		SCHWAB MONEY MARKET FUND	1.0000	($240.00)
12/13	Bought	12/08	300	CENTENNIAL TECH INC NEW	11.3750	($3,423.73)
12/13	Bought	12/08	300	CENTENNIAL TECH INC NEW	11.3750	($3,423.73)
12/13	Bought	12/08	200	CENTENNIAL TECH INC NEW	11.3750	($2,282.49)
12/13	Sold	12/08	1000-	OHIO CASUALTY CORP	9.7500	$9,719.72
12/14	Bought	12/14		SCHWAB MONEY MARKET FUND	1.0000	($589.77)
12/18	Sold	12/13	500-	CENTENNIAL TECH INC NEW	17.2500	$8,605.99
12/18	Sold	12/13	100-	CENTENNIAL TECH INC NEW	17.2500	$1,721.20
12/18	Sold	12/13	200-	CENTENNIAL TECH INC NEW	17.3750	$3,467.39
12/19	Bought	12/14	100	CENTENNIAL TECH INC NEW	13.5000	($1,353.00)
12/19	Bought	12/14	500	CENTENNIAL TECH INC NEW	13.5000	($6,764.96)
12/19	Bought	12/14	300	CENTENNIAL TECH INC NEW	13.5000	($4,058.99)
12/19	Bought	12/14	100	CENTENNIAL TECH INC NEW	13.5000	($1,353.00)
12/19	Bought	12/19		SCHWAB MONEY MARKET FUND	1.0000	($264.63)
12/29	Reinvested Shares		.024	FIDELITY VALUE FUND	46.3500	($1.13)
12/29	Div For Reinvest			FIDELITY VALUE FUND		$1.13
*****				Ending Cash Balance		$.00

MONEY FUNDS DETAIL

DESCRIPTION	OPENING SHARES	SHARES PURCHASED	SHARES REDEEMED	NET DIVIDEND REINVESTED	CLOSING SHARES
SCHWAB MONEY MARKET FUND Div: 12/31 Yield 5.95%	537.42	1,094.40	.00	7.21	1,639.03

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OPEN ORDERS AS OF DEC 31 2000[2]

DATE ENTERED	ACTION	WILL EXPIRE	QUANTITY	DESCRIPTION	LIMIT PRICE	LATEST PRICE
11/06/00	Sell	01/05/01	500	LEGATO SYSTEMS INC	33.0000	7.4375
11/06/00	Sell	01/05/01	2,000	MUSE TECHNOLOGIES INC	6.0000	.5625
11/09/00	Sell	01/08/01	100	INTEL CORP	78.7500	30.0625
11/30/00	Sell	01/29/01	50	INTEL CORP	67.0000	30.0625
11/30/00	Sell	01/29/01	1,000	P S C INC	7.2500	.7500
11/30/00	Sell	01/29/01	2,000	UNIVIEW TECH CORP NEW	3.3750	.5000
12/06/00	Sell	02/05/01	1,000	OFFICE DEPOT INC	10.0000	7.1250
12/14/00	Sell	02/12/01	1,000	CENTENNIAL TECH INC NEW	17.2500	11.3750

[2]Orders expire as of close of business on expiration date listed above.

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Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
12/31/2000		7.2100	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
12/29/2000			FDVLX	FIDELITY VALUE FUND type: DIV DISTRIBUTION		$1.13	
12/29/2000	Buy	0.0240	FDVLX	FIDELITY VALUE FUND type: REINVEST DIVIDEND	$46.35000	-$1.13	
12/19/2000	Buy	264.6300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$264.63	
12/14/2000	Buy	589.7700	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$589.77	
12/14/2000	Buy	500.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$13.50000	-$6764.96	$14.96
12/14/2000	Buy	100.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$13.50000	-$1353.00	$3.00
12/14/2000	Buy	300.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$13.50000	-$4058.99	$8.99
12/14/2000	Buy	100.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$13.50000	-$1353.00	$3.00
12/13/2000	Sell	200.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$17.37500	$3467.39	$7.49
12/13/2000	Sell	500.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$17.25000	$8605.99	$18.72
12/13/2000	Sell	100.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$17.25000	$1721.20	$3.74
12/12/2000	Buy	240.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$240.00	
12/10/2000			OCAS	OHIO CASUALTY CORP type: DIVIDEND		$240.00	
12/08/2000			FDVLX	FIDELITY VALUE FUND type: DIV DISTRIBUTION		$103.54	
12/08/2000	Buy	200.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$11.37500	-$2282.49	$7.49
12/08/2000	Buy	2.3770	FDVLX	FIDELITY VALUE FUND	$43.56000	-$103.54	

				type: REINVEST DIVIDEND			
12/08/2000	Buy	300.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$11.37500	-$3423.73	$11.23
12/08/2000	Sell	1000.0000	OCAS	OHIO CASUALTY CORP	$9.75000	$9719.72	$29.95
12/08/2000	Buy	300.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$11.37500	-$3423.73	$11.23
12/04/2000	Buy	0.4125	INTC	INTEL CORP	$34.28170	-$14.14	
				type: REINVEST DIVIDEND			
12/01/2000			INTC	INTEL CORP		$14.14	
				type: DIV DISTRIBUTION			
11/15/2000		2.7000	SWMXX	SCHWAB MONEY MARKET FUND			
				type: DIVIDEND			
10/16/2000	Sell	9029.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$9029.95	
10/16/2000		20.2300	SWMXX	SCHWAB MONEY MARKET FUND			
				type: DIVIDEND			
10/11/2000	Buy	250.0000	INTC	INTEL CORP	$36.00000	-$9029.95	$29.95
10/06/2000	Buy	9464.7000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$9464.70	
10/03/2000	Buy	78.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$78.00	
10/03/2000	Buy	1000.0000	PSCX	P S C INC	$2.25000	-$2279.95	$29.95
10/02/2000	Sell	300.0000	BOL	BAUSCH & LOMB INC	$39.25000	$11744.65	$29.95
10/02/2000			BOL	BAUSCH & LOMB INC		$78.00	
				type: DIVIDEND			
09/28/2000	Sell	2367.4500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$2367.45	
09/25/2000	Buy	50.0000	INTC	INTEL CORP	$46.75000	-$2367.45	$29.95
09/15/2000		65.6200	SWMXX	SCHWAB MONEY MARKET FUND			
				type: DIVIDEND			
09/13/2000	Buy	2303.5700	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$2303.57	
09/11/2000	Sell	8968.1900	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$8968.19	
09/10/2000			OCAS	OHIO CASUALTY CORP		$240.00	
				type: DIVIDEND			
09/08/2000	Sell	7054.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$7054.95	
09/07/2000	Sell	300.0000	UVEW	UNIVIEW TECH CORP NEW	$3.28120	$975.32	$9.00
09/07/2000	Sell	200.0000	UVEW	UNIVIEW TECH CORP NEW	$3.29680	$653.33	$6.00
09/07/2000	Sell	1500.0000	UVEW	UNIVIEW TECH CORP NEW	$3.28120	$4876.63	$45.00
09/06/2000	Buy	100.0000	INTC	INTEL CORP	$66.00000	-$6629.95	$29.95
09/06/2000	Buy	100.0000	INTC	INTEL CORP	$67.50000	-$6779.95	$29.95
09/05/2000	Buy	100.0000	INTC	INTEL CORP	$70.25000	-$7054.95	$29.95
09/05/2000	Buy	0.0020	INTC	INTEL CORP	$71.06250	-$0.14	
				type: REINVEST DIVIDEND			
09/01/2000			INTC	INTEL CORP		$0.14	
				type: DIV DISTRIBUTION			
08/31/2000	Sell	6779.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$6779.95	
08/30/2000	Sell	6408.8500	SWMXX	SCHWAB MONEY MARKET	$1.00000	$6408.85	

				FUND			
08/28/2000	Buy	1000.0000	OCAS	OHIO CASUALTY CORP	$6.75000	-$6779.95	$29.95
08/25/2000	Buy	20772.0200	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$20772.02	

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Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
08/25/2000	Buy	300.0000	BOL	BAUSCH & LOMB INC	$35.56250	-$10698.70	$29.95
08/23/2000	Sell	100.0000	INTC	INTEL CORP	$74.75000	$7444.80	$29.95
08/23/2000	Buy	1000.0000	PSCX	P S C INC	$3.12500	-$3154.95	$29.95
08/21/2000	Sell	200.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$12.87500	$2568.84	$6.07
08/21/2000	Sell	200.0000	ODP	OFFICE DEPOT INC	$8.12500	$1618.95	$5.99
08/21/2000	Sell	787.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$12.87500	$10108.41	$23.88
08/21/2000	Sell	800.0000	ODP	OFFICE DEPOT INC	$8.12500	$6475.82	$23.96
08/18/2000	Buy	1659.8800	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$1659.88	
08/16/2000	Buy	4439.8400	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$4439.84	
08/15/2000	Buy	2312.6900	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$2312.69	
08/15/2000		27.5100	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
08/15/2000	Buy	1000.0000	PSCX	P S C INC	$3.25000	-$3279.95	$29.95
08/14/2000	Sell	2000.0000	UVEW	UNIVIEW TECH CORP NEW	$2.50000	$4939.83	$60.00
08/10/2000	Sell	1000.0000	UVEW	UNIVIEW TECH CORP NEW	$2.25000	$2219.92	$30.00
08/10/2000	Sell	1000.0000	UVEW	UNIVIEW TECH CORP NEW	$2.25000	$2219.92	$30.00
08/09/2000	Sell	1000.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$12.00000	$11969.65	$29.95
08/08/2000	Sell	2671.1600	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$2671.16	
08/03/2000	Buy	200.0000	INTC	INTEL CORP	$61.78120	-$12386.19	$29.95
08/02/2000	Sell	3592.4500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$3592.45	
07/28/2000	Buy	1000.0000	PSCX	P S C INC	$3.56250	-$3592.45	$29.95
07/28/2000			INTC	INTEL CORP type: CASH IN LIEU		$58.07	
07/28/2000		3.0000	INTC	INTEL CORP WITH STOCK			

				SPLIT SHARES type: STOCK SPLIT			
07/26/2000	Buy	1751.2400	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$1751.24	
07/24/2000	Sell	9779.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$9779.95	
07/20/2000	Sell	6154.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$6154.95	
07/20/2000	Sell	100.0000	PNM	PUBLIC SVC CO NEW MEXICO	$17.81250	$1751.24	$29.95
07/19/2000	Buy	500.0000	OCAS	OHIO CASUALTY CORP	$9.75000	-$4889.98	$14.98
07/19/2000	Buy	200.0000	OCAS	OHIO CASUALTY CORP	$9.75000	-$1955.98	$5.98
07/19/2000	Buy	300.0000	OCAS	OHIO CASUALTY CORP	$9.75000	-$2933.99	$8.99
07/17/2000	Buy	9219.7400	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$9219.74	
07/17/2000		67.9500	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
07/17/2000	Buy	1000.0000	ODP	OFFICE DEPOT INC	$6.12500	-$6154.95	$29.95
07/13/2000	Sell	10929.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$10929.95	
07/11/2000	Sell	1000.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$9.25000	$9219.74	$29.95
07/10/2000	Buy	200.0000	Q	QWEST COMMUNS INTL INC	$54.50000	-$10929.95	$29.95
07/07/2000	Buy	1749.9400	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$1749.94	
07/06/2000	Buy	10969.6800	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$10969.68	
07/05/2000	Sell	7340.2200	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$7340.22	
06/30/2000	Buy	4063.6600	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$4063.66	
06/30/2000	Sell	500.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$12.50000	$6234.82	$14.97
06/30/2000	Sell	500.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$13.00000	$6484.80	$14.98
06/29/2000	Buy	1000.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$9.25000	-$9279.95	$29.95
06/28/2000	Buy	900.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$9.00000	-$8126.95	$26.95
06/28/2000	Sell	1000.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$11.00000	$10969.68	$29.95
06/28/2000	Buy	100.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$9.00000	-$903.00	$3.00
06/26/2000	Sell	500.0000	PSCX	P S C INC TENDER OFFER EXPIRES:7/17/00	$8.18750	$4063.66	$29.95
06/22/2000	Buy	10969.6800	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$10969.68	
06/16/2000	Sell	900.0000		CAREY INTERNATIONAL IXXXCASH MERGER @	$11.00000	$9872.71	$26.96

06/16/2000	Sell	100.0000		$18.25/SH CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$11.00000	$1096.97	$2.99
06/15/2000		4.5700	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			

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From 01 / 01 / 2000 (MM DD YYYY) to 12 / 31 / 2000 (MM DD YYYY)

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All Transactions From 01/01/2000 To 12/31/2000
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Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
06/02/2000	Buy	0.0009	INTC	INTEL CORP type: REINVEST DIVIDEND	$132.99610	-$0.12	
06/01/2000	Buy	1391.5100	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$1391.51	
06/01/2000			INTC	INTEL CORP type: DIV DISTRIBUTION		$0.12	
05/30/2000	Buy	400.0000	UVEW	UNIVIEW TECH CORP NEW	$1.87500	-$762.00	$12.00
05/30/2000	Buy	1600.0000	UVEW	UNIVIEW TECH CORP NEW	$1.87500	-$3048.00	$48.00
05/30/2000	Buy	1000.0000	UVEW	UNIVIEW TECH CORP NEW	$1.87500	-$1905.00	$30.00
05/30/2000	Sell	150.0000	T	A T & T CORP EXCHANGE OFFER	$35.06250	$5229.25	$29.95
05/30/2000	Buy	1000.0000	ODP	OFFICE DEPOT INC	$7.56250	-$7592.45	$29.95
05/25/2000	Sell	500.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$9.50000	$4734.86	$14.98
05/25/2000	Sell	300.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$9.50000	$2840.93	$8.97
05/25/2000	Sell	100.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$9.50000	$946.96	$3.00
05/25/2000	Sell	100.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$9.50000	$946.96	$3.00
05/22/2000	Buy	20.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$20.00	
05/19/2000			PNM	PUBLIC SVC CO NEW MEXICO type: DIVIDEND		$20.00	
05/15/2000		21.0000	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
05/08/2000	Sell	6095.5800	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$6095.58	
05/03/2000	Buy	150.0000	T	A T & T CORP EXCHANGE	$40.43750	-$6095.58	$29.95

				OFFER			
04/19/2000	Sell	13089.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$13089.95	
04/18/2000	Sell	2365.7200	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$2365.72	
04/17/2000		167.4800	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
04/14/2000	Buy	500.0000	UVEW	UNIVIEW TECH CORP NEW	$2.50000	-$1265.00	$15.00
04/14/2000	Buy	1000.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$8.00000	-$8029.95	$29.95
04/14/2000	Buy	1000.0000	UVEW	UNIVIEW TECH CORP NEW	$2.50000	-$2530.00	$30.00
04/14/2000	Buy	500.0000	UVEW	UNIVIEW TECH CORP NEW	$2.50000	-$1265.00	$15.00
04/13/2000	Buy	700.0000	MUZE	MUSE TECHNOLOGIES INC	$4.00000	-$2820.97	$20.97
04/13/2000	Buy	300.0000	MUZE	MUSE TECHNOLOGIES INC	$4.00000	-$1208.98	$8.98
04/12/2000	Buy	1000.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$9.00000	-$9029.95	$29.95
04/12/2000	Buy	2000.0000	UVEW	UNIVIEW TECH CORP NEW	$3.75000	-$7560.00	$60.00
04/12/2000	Sell	20904.9000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$20904.90	
04/12/2000	Buy	500.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$9.12500	-$4592.45	$29.95
04/11/2000	Buy	500.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$9.12500	-$4592.45	$29.95
04/11/2000	Sell	2000.0000		FIRST SECURITY CORP XXXSTOCK MERGER	$13.75000	$27439.08	$60.00
04/10/2000	Sell	8336.8800	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$8336.88	
04/10/2000			CI	CIGNA CORP type: DIVIDEND		$155.00	
04/07/2000	Buy	300.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$10.00000	-$3008.98	$8.98
04/07/2000	Buy	700.0000		CAREY INTERNATIONAL IXXXCASH MERGER @ $18.25/SH	$10.00000	-$7020.97	$20.97
04/07/2000	Buy	500.0000	LGTO	LEGATO SYSTEMS INC	$22.00000	-$11029.95	$29.95
04/05/2000	Buy	100.0000	MSFT	MICROSOFT CORP	$86.50000	-$8659.98	$9.98
04/05/2000	Buy	200.0000	MSFT	MICROSOFT CORP	$86.50000	-$17319.97	$19.97
04/05/2000	Buy	8201.7400	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$8201.74	
04/04/2000	Buy	100.0000		FIRST SECURITY CORP XXXSTOCK MERGER	$12.25000	-$1228.00	$3.00
04/04/2000	Buy	7266.8000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$7266.80	
04/04/2000	Sell	1000.0000	PG	PROCTER & GAMBLE CO	$60.87500	$60843.02	$29.95
04/04/2000	Buy	1900.0000		FIRST SECURITY CORP XXXSTOCK MERGER	$12.25000	-$23332.00	$57.00
04/04/2000	Buy	2000.0000	MUZE	MUSE TECHNOLOGIES INC	$5.00000	-$10060.00	$60.00
04/04/2000	Buy	900.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$9.50000	-$8579.95	$29.95
04/01/2000				G T E CORPORATION XXXSTOCK MERGER		$47.00	

				type: DIVIDEND			
03/31/2000	Buy	1000.0000	UVEW	UNIVIEW TECH CORP NEW	$4.75000	-$4779.95	$29.95
03/31/2000	Buy	1000.0000	PG	PROCTER & GAMBLE CO	$57.75000	-$57779.95	$29.95
03/30/2000	Sell	1200.0000	PG	PROCTER & GAMBLE CO	$59.00000	$70761.64	$36.00

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All Transactions From 01/01/2000 To 12/31/2000
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Date	Action	Quantity	Symbol	Description	Price	Amount	Comm
03/30/2000	Sell	11079.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$11079.95	
03/29/2000	Sell	100.0000		G T E CORPORATION XXXSTOCK MERGER	$72.50000	$7219.80	$29.95
03/27/2000	Buy	200.0000	PG	PROCTER & GAMBLE CO	$55.25000	-$11079.95	$29.95
03/27/2000	Buy	17108.2700	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$17108.27	
03/22/2000	Buy	700.0000	PG	PROCTER & GAMBLE CO	$56.00000	-$39229.95	$29.95
03/22/2000	Sell	500.0000	CI	CIGNA CORP	$72.00000	$35968.85	$29.95
03/21/2000	Sell	300.0000	CI	CIGNA CORP	$68.00000	$20369.37	$29.95
03/15/2000	Buy	4447.5800	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$4447.58	
03/15/2000		123.0400	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
03/13/2000	Sell	33854.9500	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	$33854.95	
03/10/2000	Buy	300.0000	PG	PROCTER & GAMBLE CO	$57.12500	-$17167.45	$29.95
03/10/2000	Sell	500.0000		CENTENNIAL TECH INC NXXXSTOCK MERGER	$11.50000	$5719.85	$29.95
03/09/2000	Buy	300.0000	CI	CIGNA CORP	$63.56250	-$19098.70	$29.95
03/09/2000	Sell	300.0000	INTC	INTEL CORP	$116.75000	$34993.88	$29.95
03/08/2000	Buy	100.0000	INTC	INTEL CORP	$112.75000	-$11284.98	$9.98
03/08/2000	Buy	2054.3200	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$2054.32	
03/08/2000	Buy	200.0000	INTC	INTEL CORP	$112.75000	-$22569.97	$19.97
03/06/2000	Buy	500.0000	CI	CIGNA CORP	$70.00000	-$35029.95	$29.95
03/03/2000	Buy	0.2083	INTC	INTEL CORP type: REINVEST DIVIDEND	$115.76570	-$24.11	
03/01/2000	Sell	300.0000	INTC	INTEL CORP	$116.50000	$34918.88	$29.95
03/01/2000			INTC	INTEL CORP type: DIV DISTRIBUTION		$24.11	
02/29/2000	Buy	53093.2700	SWMXX	SCHWAB MONEY MARKET	$1.00000	-$53093.27	

				FUND			
02/28/2000	Buy	200.0000	INTC	INTEL CORP	$111.12500	-$22244.97	$19.97
02/28/2000	Buy	100.0000	INTC	INTEL CORP	$111.12500	-$11122.48	$9.98
02/24/2000	Sell	300.0000	INTC	INTEL CORP	$114.50000	$34318.90	$29.95
02/24/2000	Buy	300.0000	INTC	INTEL CORP	$111.25000	-$33404.95	$29.95
02/24/2000	Sell	300.0000	INTC	INTEL CORP	$115.50000	$34618.89	$29.95
02/23/2000	Sell	500.0000	INTC	INTEL CORP	$106.25000	$53093.27	$29.95
02/23/2000	Buy	2788.2300	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$2788.23	
02/22/2000	Buy	20.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$20.00	
02/18/2000	Buy	100.0000	INTC	INTEL CORP	$105.50000	-$10555.99	$5.99
02/18/2000	Buy	400.0000	INTC	INTEL CORP	$105.50000	-$42223.96	$23.96
02/18/2000			PNM	PUBLIC SVC CO NEW MEXICO type: DIVIDEND		$20.00	
02/16/2000	Sell	100.0000	INTC	INTEL CORP	$111.25000	$11118.63	$5.99
02/16/2000	Sell	400.0000	INTC	INTEL CORP	$111.18750	$44449.55	$23.96
02/15/2000		2.8000	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
02/02/2000		1.0000	SHPH	SHAMAN PHARMACEUTCLS NEW type: REVERSE SPLIT			
02/02/2000		15.0000		SHAMAN PHARMACEUTCLS XXX 1 FOR 50 REVERSE SPLIT type: REVERSE SPLIT			
01/18/2000		1.7700	SWMXX	SCHWAB MONEY MARKET FUND type: DIVIDEND			
01/04/2000	Buy	47.0000	SWMXX	SCHWAB MONEY MARKET FUND	$1.00000	-$47.00	
01/01/2000				G T E CORPORATION XXXSTOCK MERGER type: DIVIDEND		$47.00	

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Charles Schwab Statement

IRA ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4461-1597	DEC 1 - DEC 31 1999	NOV 1999	1

TITLE OF ACCOUNT	OFFICE SERVING YOUR ACCOUNT
0188195 0172388 000	
JAY R HONE CHARLES SCHWAB & CO INC.CUST IRA CONTRIBUTORY PO BOX 10565 ALBUQUERQUE NM 87184	2400 LOUISIANA BLVD NE AFC #5 ALBUQUERQUE NM 87110 AQ BRANCH EXTENDED IRA HOURS APRIL 12TH-15TH 8AM TO 7PM

*** FOR QUESTIONS ABOUT THIS STATEMENT: CALL 1-800-435-4000 *** ACCOUNT OPENED IN: 1983

STATUS OF KEY VALUES AS OF DEC 31 1999	
Investments Owned	$92,344.24
Money Funds Balance	$645.34
Net Portfolio Value	$92,989.58
Ending Cash Balance	$.00
TOTAL ACCOUNT VALUE	$92,989.58
Change in Value from 11/99	$7,529.48
Total Funds Available	$645.34

ACCOUNT TRANSACTION SUMMARY	
OPENING CASH BALANCE	$.00
Money Fund Redempts	$2,054.95
Investment Income	$649.54
Total Credits	$2,704.49
Investment Purchases	($2,688.05)
Money Fund Purch/Reinvest	($16.44)
Total Debits	($2,704.49)
ENDING CASH BALANCE	$.00

INVESTMENT INCOME SUMMARY

SOURCE OF INCOME	CURRENT PERIOD	YEAR TO DATE
Cash Dividends	$161.24	$522.91
Money Funds Dividends	$16.44	$90.38
Capital Gains	$471.86	$471.86
TOTAL INVESTMENT INCOME	$649.54	$1,085.15

RATE SUMMARY AS OF 12/31	
MMF Yield*	5.31%
TEF Yield*	3.83%
GSF Yield*	4.88%
STF Yield*	4.63%
Schwab 1 Yield	4.70%
Margin Loan Rate	8.00% to 9.50%

* 7 Day Annualized Yield. Please see reverse for more information.

IRA CONTRIBUTION SUMMARY

	CONTRIBUTIONS	ROLLOVERS
For Tax Year 1998	$2,000.00	$0.00
For Tax Year 1999	$0.00	$0.00

PORTFOLIO POSITION DETAIL

CATEGORY	S T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
STOCKS		C Long	CENL	587	CENTENNIAL TECH INC NEW	10.5000	$6,163.50
		C Long	FONR	1048	FONAR CORP	1.6875	$1,768.50
		C Long		200	FONAR NON VTG CL A PFD NON VOTING CLASS A	N/A	N/A
		C Long	GTE	100	G T E CORPORATION	70.5625	$7,056.25
		C Long	INTC	803.6911	INTEL CORP Dividends Reinvested	82.3125	$66,153.82
		C Long	MESA	224	MESA AIR GROUP INC NEV	4.7500	$1,064.00
		C Long	PSCX	500	P S C INC	7.3750	$3,687.50

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IRA ACCOUNT

ACCOUNT NUMBER	PERIOD COVERED	LAST STATEMENT	PAGE
AQ 4461-1597	DEC 1 - DEC 31 1999	NOV 1999	2

RTFOLIO POSITION DETAIL

TEGORY	S T	LONG/ SHORT	QUOTE SYMBOL	QUANTITY	INVESTMENT DESCRIPTION	LATEST PRICE	MARKET VALUE
	C	Long	PNM	100	PUBLIC SVC CO NEW MEXICO	16.2500	$1,625.00
	C	Long	SHMN	15	SHAMAN PHARMACEUTCLS NEW	.0110	$.17
TUAL FUNDS	C	Long	FDVLX	110.146	FIDELITY VALUE FUND	43.8100	$4,825.50
NDS	C	Long		3000	1ST UTD METHDIST 8.50%04 GENL MTG BD DUE 03/01/04 SER% 1995 A	N/A	N/A
ONEY FUNDS	C	Long		645.34	SCHWAB MONEY MARKET FUND	1.0000	$645.34
st Portfolio Value					$92,989.58		

CCOUNT TRANSACTION DETAIL

ATE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
****			Opening Cash Balance		$.00
2/01	Div For Reinvest		INTEL CORP		$24.10
2/02	Reinvested Shares	.3137	INTEL CORP	76.8169	($24.10)
2/03	Reinvested Shares	11.032	FIDELITY VALUE FUND	42.7700	($471.86)
2/03	Reinvested Shares	1.637	FIDELITY VALUE FUND	42.7700	($70.01)
2/03	Reinvested Shares	1.570	FIDELITY VALUE FUND	42.7700	($67.13)
2/03	Sttm Cap Gn Rein		FIDELITY VALUE FUND		$67.13
2/03	Cap Gains Rein		FIDELITY VALUE FUND		$471.86
2/03	Div For Reinvest		FIDELITY VALUE FUND		$70.01
2/29	Bought 12/23	400	CENTENNIAL TECH INC NEW	5.0625	($2,054.95)
2/29	Redeemed 12/29		SCHWAB MONEY MARKET FUND	1.0000	$2,054.95
****			Ending Cash Balance		$.00

ONEY FUNDS DETAIL

DESCRIPTION	OPENING SHARES	SHARES PURCHASED	SHARES REDEEMED	NET DIVIDEND REINVESTED	CLOSING SHARES
CHWAB MONEY MARKET FUND	2,683.85	.00	2,054.95		645.34
Div: 12/31 Yield 5.13%				16.44	

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 JUN -7 PM 4:00

HAND DELIVERED
(Office Use Only)

Heather A. Wilson
(Full Name)

625 Silver SW suite 340
(Mailing Address)

Albuquerque NM 87102

(505) 346-6781
Daytime Telephone

Filer Status	☐ Member of the U.S. House of Representatives	State NM District 01	☐ Officer or Employee	Employing Office
Report Type	☐ Annual (May 15)	☒ Amendment	☐ Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g.; salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒ No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒ No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Heather Wilson	6/7/01

5608

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 14 AM 10: 21
(Office Use Only)

Frank R. Wolf
(Full Name)

241 Cannon House Office Building Washington, DC 20515
(Mailing Address)

202-225-5136
Daytime Telephone

Filer Status ✓ Member of the U.S. House of Representatives — State VA District 10 — Officer Or Employee — Employing Office

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes No ✓	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI	Yes ✓ No
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes No ✓	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ✓ No
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ✓ No	VIII	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII	Yes ✓ No
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ✓ No	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes No ✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes No ✓		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes No ✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4 § 104 and U.S.C. § 1001).

Certification	Signature (If Reporting Individual)	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank R. Wolf	5-10-01

5609

SCHEDULE III - ASSETS AND "U

Nam Frank R Wolf

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
Franklin Virginia Tax-Free Income Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	NA
Manufacturers Life Ins. Co. Of No. America. Manufacturers Investment Trust 401K (not self-directed)	$250,001 - $500,000	DIVIDENDS	NA	NA
Manufacturers Life Ins. Co. Of No. America. Manufacturers Investment Trust. Ret. Plan (not self-directed)	$50,001 - $100,000	DIVIDENDS	NA	P
Oppenheimer Capital Appreciation Fund A. IRA not self-directed	$100,001 - $250,000	DIVIDENDS	NA	NA

5610

SCHEDULE III - ASSETS AND "U

Nam Frank R Wolf

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
U.S. Savings Bonds	$1,001 - $15,000	INTEREST	NA	NA

5611

SCHEDULE IV - TRANSACTIONS

Nam Frank R. Wolf

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Manufacturers Life Ins. Co. Of No. America - Manufacturers Investment Trust Retirement Plan	P	monthly	$1,001 - $15,000

SCHEDULE VI - GIFTS

Nam Frank R. Wolf

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
City of Manassas Republican Committee	Framed Civil War Print (10/29/00 waiver received from Committee on Standards)	$300

SCHEDULE VII - TRAVEL PAYMENTS

Nam Frank R. Wolf

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
International Foundation and University of Nations - to meet with gov't officials, outreach & Nat'l Prayer Breakfast activities	Feb. 18-25	Wash., D.C. - Kona, Hawaii - Wash., D.C.	Y	Y	Y	N
International Foundation - meetings with gov't officials & Nat'l Prayer Breakfast activities	Dec. 8 - 14	Wash., D.C. - Greece - Serbia - Croatia - Macedonia - Kosovo - Wash., D.C.	Y	Y	N	N

SCHEDULE VIII - POSITIONS

Nam Frank R. Wolf

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Co-chair (uncompensated)	Congressional Hunger Center (non-profit organizations)
Member, International Council of Reference (uncompensated)	Stephen's Children (non-profit organization)
Member, Board of Advisors (uncompensated)	International Justice Misson (non-profit organization)
Member, Congressional Committee (uncompensated)	George C Marshall International Center (non-profit organization)
Member, Board of Trustees (uncompensated)	Shenandoah University
Member, Board of Directors (uncompensated)	Bread for the World (non-profit organization)

LAMAR SMITH, TEXAS
CHAIRMAN

JOEL HEFLEY, COLORADO
JOE KNOLLENBERG, MICHIGAN
DAVE CAMP, MICHIGAN
ROB PORTMAN, OHIO

ROBERT L. WALKER
CHIEF COUNSEL/STAFF DIRECTOR

JOHN W. LAMPMANN
ASSISTANT TO THE CHAIRMAN

BARRY SCHWARTZ
COUNSEL TO THE RANKING
MINORITY MEMBER

ONE HUNDRED SIXTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515–6328

HOWARD L. BERMAN, CALIFORNIA
RANKING MINORITY MEMBER

MARTIN OLAV SABO, MINNESOTA
ED PASTOR, ARIZONA
CHAKA FATTAH, PENNSYLVANIA
ZOE LOFGREN, CALIFORNIA

SUITE HT-2, THE CAPITOL
(202) 225-7103

October 19, 2000

The Honorable Frank R. Wolf
U.S. House of Representatives
241 Cannon House Office Building
Washington, D.C. 20515-4610

Dear Colleague:

This responds to your letter received by the Committee on October 10, 2000, requesting a waiver from House Rule 26, clause 5 (the gift rule) to permit you to accept a framed print from the City of Manassas Republican Committee depicting Generals Robert E. Lee, Thomas J. "Stonewall" Jackson, James Longstreet, and James E. B. Stuart preparing for the Second Manassas Campaign, which occurred in the district that you represent. In a telephone conversation with Committee counsel, your staff indicated the print was valued at approximately $300.

Under the gift rule, the Committee on Standards may grant a waiver to permit the acceptance of an otherwise impermissible gift "in an unusual case." House Rule 26, cl. 5(a)(3)(T). The Committee has determined that this would be an appropriate circumstance in which to exercise its waiver authority; therefore, on behalf of the Committee, we grant your request.

This letter applies only to the waiver for accepting gifts under the gift rule. Gifts totaling more than $260 from a single source must be reported on your annual Financial Disclosure Statement; however, gifts having a value of less than $100 need not be counted towards that $260 limit. If you also wish a waiver of the statutory reporting requirement, you should separately write to the Committee with that request. Any such request will be made publicly available pursuant to Section 102(a)(2)(C) of the Ethics In Government Act.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Lamar Smith
Chairman

Howard L. Berman
Ranking Minority Member

LS/HLB:rds

RECEIPTS SECTION

01 MAY -3 PM 1:29

(4)

HAND DELIVERED

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

Lynn C Woolsey
(Full Name)
923 B Street
Petaluma, CA 94952
(Mailing Address)
(707) 225-5161
(Daytime Telephone)

Filer Status: [X] Member of the U.S. House of Representatives — State CA District 06 — [ ] Officer or Employee — Employing Office

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.** — Yes [ ] No [X]

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.** — Yes [ ] No [X]

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.** — Yes [ ] No [X]

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.** — Yes [ ] No [X]

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.** — Yes [ ] No [X]

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** — Yes [ ] No [X]

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source.)? **If yes, complete and attach Schedule VII.** — Yes [X] No [ ]

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.** — Yes [ ] No [X]

IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.** — Yes [ ] No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes [ ] No [X]

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions. (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lynn C Woolsey	4/25/01

5617

Name Lynn Woolsey | Page 2 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income
For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction
Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	Block A: Asset and/or Income Source	Block B: Value of Asset	Block C: Type of Income											Block D: Amount of Income											Block E: Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	SP Mega Corp. Stock					X								X										X								P
DC, *Examples*	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
	Advanced Fibre Com			X																	X											
	Vodafone (Airtouch)			X										X								X										
	SBC Communications			X															IRA			X										
	Natural Resources			X															IRA			X										
	ML Fund Growth D			X															IRA		X											
	ML Cap. Fund B			X															IRA		X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Lynn Woolsey

Page 3 of 4

BLOCK A Asset and/or Income Source	SP. DC. JT	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P S E
Putnam OTC & Emerging Growth Fund				X																IRA	X											
US Treasury Strips 0% 11/15/03				X																IRA	X											
US Treasury Strips 0% 11/15/06					X															IRA	X											
Nordstrom, Inc.				X																IRA			X									
Citizens Trust				X																IRA	X											
Liberty Funds Trust III Colonial Class A Growth Fund + Select Value				X																IRA	X											

This page may be copied if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Inter. Management + Development Inst.						
	↳	11/27 - 12/2	San Francisco - Singapore - DC	Y	Y	N	N

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A

For use by Members, officers, and employees

01 MAY 15 PM 3:26

HAND DELIVERED

(Office Use Only)

David Wu
(Full Name)

1023 Longworth HOB Washington, DC 20515
(Mailing Address)

202-225-0855
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State OR District 01	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑ No ☐

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief		May 15, 2001

5621

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Wu

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of Income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	Lucent Technologies Stock IRA	$1,001 - $15,000	DIVIDENDS	NONE	
	Bidwell & Company cash account - IRA	$1,001 - $15,000	DIVIDENDS	NONE	
	Hershey Stock - IRA	$1,001 - $15,000	DIVIDENDS	NONE	
	Intel Stock - IRA	$1,001 - $15,000	DIVIDENDS	NONE	Stock Split
	Microsoft Stock - IRA	$15,001 - $50,000	DIVIDENDS	NONE	
	Pfizer Stock - IRA	$15,001 - $50,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Wu

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Loan to David Wu for Congress	$15,001 - $50,000	Loan Repayment/Interest	NONE	
Seligman Communication Information Fund IRA	$1,001 - $15,000	DIVIDENDS	NONE	
Cascade Oncogenics	None	DIVIDENDS	NONE	S
1602 SE Flavel St Portland, OR - house	$100,001 - $250,000	RENT	NONE	
314 1/2 N. Carolina SE, Washington, DC	$100,001 - $250,000	RENT	$5,001 - $15,000	

5623

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name David Wu

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Harvard University Health Policy Conference	Jan. 20-23	Wash., D.C. - Miami, FL - Wash., D.C	Y	Y	Y	N/A
Association of Chinese Schools	May 26-28	Wash., D.C. - Philadelphia, PA - Wash., D.C.	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name David Wu

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Portland Youth Philharmonic
Director	Portland-Suzhou Sister City Association

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

01 MAY 15 PM 5:15

HAND DELIVERED

(Office Use Only) 4

Albert Russell Wynn
(Full Name)

1411 Lake Arbor Way - #711
(Mailing Address)

202.225.8699
Daytime Telephone

Mitchellville, MD 20721

Filer Status	[X] Member of the U.S. House of Representatives	State ____ District ____	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month Day Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Albert R. Wynn	5-15-01

5626

Name Albert Russell Hymr.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investment(s)), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset (at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None.")

BLOCK C — Type of Income

BLOCK D — Amount of Income (For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.)

BLOCK E — Transaction (Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.)

Owner	Asset and/or Income Source	Value of Asset (A–L)	Type of Income	Amount of Income (I–XII)	Transaction
SP	Mega Corp Stock	$15,001 – $50,000	DIVIDENDS	$1,001 – $2,500	P
DC	Simon & Schuster	Indefinite	Royalties	$5,001 – $15,000	P
JT	1st Bank of Paducah KY accounts	$100,001 – $250,000	INTEREST	$1,001 – $2,500	
	7715 Gremont Street Hyattsville, MD	$50,001 – $100,000	RENT	$1,001 – $2,500	
	307 Todd Place Washington, D.C.	$100,001 – $250,000	RENT	$1,001 – $2,500	E
	MFS Emerging Growth Mutual Fund	$15,001 – $50,000	INTEREST	$1,001 – $2,500	P

For additional assets and unearned income, use next page.

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SCHEDULE IV — TRANSACTIONS

Name Albert Russell Wynn

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–00		X								
	526 Harry S. Truman Drive Largo, MD		X		07/25/99	X									

This page may be copied if more space is required.

5628

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St. Dover, Del				x						
	GMAC Mortgage Company	Mortgage 7745 Grenoble Hyattsville, MD			x							
	Margaret Lucas 425 L Street., NW Washington, D.C.	Mortgage 307 Todd Place Washington, D.C.				x						
	Long Island Savings Bank Newark, NJ	Mortgage 3701 Bethwood Upper Marlboro, MD				x						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source		Description	Value
Example	Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

HAND DELIVERED

(2)

[illegible] CENTER

2001 MAY 14 AM 9:30

MC OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Rep. C. W. Bill Young
(Full Name)

2407 Rayburn House Office Building — 202-225-5961
(Mailing Address) — Daytime Telephone

Washington, DC 20515

Filer Status	[X] Member of the U.S. House of Representatives	State FL District 10	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

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II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5/10/2001

5630

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Rep. C. W. Bill Young

BLOCK A — Asset and/or Income Source

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BLOCK B — Value of Asset

at close of reporting year.
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BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year

	Block A	Block B										Block C						Block D										Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock				X									X										X								P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
SP	Wright Fatman FCU IRA			X												n/a										n/a						
JT	Wright Fatman FCU					X										X								X								

For additional assets and unearned income, use next page.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2000

FORM A
For use by Members, officers, and employees

(7) HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2001 MAY 15 PM 4:45
(Office Use Only) MC

DONALD EDWIN YOUNG (Full Name)

2111 RAYBURN H.O.B. (Mailing Address)

WASHINGTON, DC 20515

202-225-5765 Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: ALASKA District: AT-LARGE	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

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PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $260 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $260 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Don Young	5-15-01

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF ALASKA	LEGISLATIVE & TEACHER'S PENSION	4,316

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name DON YOUNG

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP					X								X										X							
DC	*Examples*			Indefinite																	Royalties									X		P
JT								X									X									X						
	PUTNAM FUND FOR GROWTH & INC.							X									X								X							P
	STATE FARM INSURANCE					X											X							X								
SP	WRIGHT PATMAN CONG																															
	FED. CREDIT UNION IRA				X										X		X							X								
	WRIGHT PATMAN CONG																															
	FED. CREDIT UNION IRA						X								X		X								X							

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name DON YOUNG

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	NEW YORK LIFE INSUR.					X										X							X									
	2835 ALDERBERRY TRAIL FAIRBANKS, AK					X									X									X								
SP	GWITCHYAAZEE NATIVE CORP.			X																	X											
JT	STATE OF ALASKA PERMANENT FUND	X												X										X								
	WRIGHT PATMAN FCU			X												X							X									
	H POWER CORP. STOCK			X																	X											P

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SCHEDULE IV — TRANSACTIONS

Name DON YOUNG

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example.* Mega Corporation Common Stock	X			10–12–00		X								
	PUTNAM FUND FOR GROWTH & INCOME	X			PERIODIC REINVESTMENT	X									
	H POWER CORP. STOCK	X			9/00	X									

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Name DON YOUNG | Page 6 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	NAT'L BANK OF ALASKA	MORT., 2835 ALDERBERRY TRAIL FAIRBANKS, AK		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totaling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$200

Use additional sheets if more space is required.

SCHEDULE VII—TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $260 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	N
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	SAFARI CLUB INTERNATIONAL	2/3 - 2/5	DC — RENO — DC	Y	Y	Y	N
	AT-SEA PROCESSORS ASSN	5/4 - 5/8	ANCHORAGE - LAS VEGAS - DC	Y	Y	Y	N
	ALASKA NATURAL GAS TO LIQUIDS	5/26 - 6/5	DC — SOUTH AFRICA — DC	Y	Y	N	3 days
	NAT'L RIFLE ASSN.	6/26 - 6/27	DC - GREENSBORO, NC - DC	Y	Y	Y	N
	AMER. MARITIME OFFICERS SERV.	12/21 - 12/23	DC - DANIA, FLA - DC	Y	Y	Y	N

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